



AMENDMENT TO H.R. 3606
OFFERED BY MR. HIMES OF CONNECTICUT

Add at the end the following:

1 **SEC. 8. IDENTIFICATION OF EMERGING GROWTH COMPA-**
2 **NIES BY EXCHANGES.**

3 (a) IN GENERAL.—Not later than the end of the 180-
4 day period beginning on the date of the enactment of this
5 Act, the Securities and Exchange Commission shall—

6 (1) in consultation with the national securities
7 exchanges, establish a uniform system to allow inves-
8 tors and other members of the public to easily iden-
9 tify when a company is an emerging growth com-
10 pany; and

11 (2) issue a rule requiring national securities ex-
12 changes to use such system.

13 (b) INFORMATION MADE AVAILABLE THROUGH NA-
14 TIONAL MARKET SYSTEM.—Section 11A(a) of the Securi-
15 ties Exchange Act of 1934 (15 U.S.C. 78k-1(a)) is
16 amended by adding at the end the following:

17 “(4) INFORMATION WITH RESPECT TO EMERG-
18 ING GROWTH COMPANIES.—Quotation and trans-
19 action information with respect to emerging growth
20 companies that the Commission requires to be made

1 available by a national securities exchange or na-
2 tional securities association shall be made available
3 via any entity that operates a national market sys-
4 tem (or subsystem of such a system) and also dis-
5 seminate quotation and transaction information
6 with respect to securities that are not qualified secu-
7 rities.”.

