

INDEX

Abandonment of application — Act of 1905

Sec. No.

Sec. No.

A

Abandonment of application (See also: <u>Abandoned application</u> <u>Petition to revive abandoned application</u> <u>Reinstatement of inadvertently abandoned application</u>)	
after ex parte appeal.....	718.05, 1714.01(f)(i)
after inter partes proceeding.....	718.06
defined.....	718
express.....	611.02, 718.01
granting additional time to avoid.....	718.03(b)
incomplete response resulting in.....	718 to 718.03(c), 1713.01, 1714.01(f)(ii)
location of files.....	109.02
notice of, for failure to respond.....	718.04, 718.06
of multiple-basis application.....	806.02(d)
of multiple-class application.....	1403.05
ordering abandoned files.....	109.02
partial.....	718.02(a), 1402.13, 1403.05, 1904.03(d)
petition from examining attorney's holding of.....	1713.01
reconsideration of holding of.....	718.03(c)
through failure to file statement of use.....	718.04
through failure to prosecute appeal.....	718.05
through failure to respond to Office action.....	718.02, 718.03
Abstracts of title.....	503.08(a)
Acceptable Identification of Goods and Services Manual.....	1402.04
Access by public to files	
electronic images.....	109.01
paper files.....	109.02
Accessibility	
of assignment records.....	503.08
of files held by Office personnel.....	109
Acquired distinctiveness (§2(f) claim).....	1212
allegation of use filed with amendment to.....	714.05(a)(i)
as to a portion of the mark.....	1212.02(f)(i), 1212.09(b)
as to particular goods/services/classes.....	1212.02(f)(ii)
based on five years' use.....	1212.05 to 1212.05(d)
based on ownership of prior registrations.....	1212.04 to 1212.04(e)
concession that matter is not inherently distinctive.....	1212.02(b)
disclaimers in applications claiming §2(f).....	1212.02(e)
establishing with actual evidence.....	1212.06 to 1212.06(e)(iv)
evidence submitted to establish.....	1212.06 to 1212.06(e)
first or only user.....	1212.06(e)(i)
five years of use as proof of.....	1212.05 to 1212.05(d)
in part.....	1212.02(f) to 1212.02(f)(ii), 1212.09(b)
in the alternative.....	1212.02(c)
in §1(b) application.....	1102.04, 1212.09 to 1212.09(b)
in §44 application.....	1010, 1212.08
in §66(a) application.....	1212.08
printing of.....	817, 1212.10
prior registrations as proof of.....	1212.04 to 1212.04(e)
unnecessary.....	1212.02(d)
with respect to incapable matter.....	1212.02(i)
Act of 1881.....	1601.04, 1602.02
Act of 1905.....	1601.04, 1602.02

TRADEMENT MANUAL OF EXAMINING PROCEDURE

Act of 1920 — Affidavit of continued use or excusable nonuse (§8)

	Sec. No.	Sec. No.
Act of 1920.....		1601.05, 1602.03
Act of 1946 (See also: Trademark Act of 1946)		
Action after issuance of notice of allowance and before filing of statement of use.....		807.03(j), 1107
Action by affidavit/renewal examiner upon examination of renewal application.....		1606.11
upon examination of §8 affidavit.....		1604.15
Action by examining attorney (See also: Examination)		
after final refusal.....		715, 715.02, 715.03(a), 715.03(b)
after new search.....		206.04
all arguments by applicant to be addressed in.....		713.03
all outstanding refusals and requirements to be noted in.....		713.02
citation of decisions in.....		705.05
citation of registrations in.....		705.03
date of.....		705.07
evidence supporting refusal in.....		710.01 to 710.01(c)
form paragraphs in.....		705.01
incomplete response to.....		611.05(a) to (c), 718.03 to 718.03(c), 1713.01 to 1713.02, 1714.01(f)(ii)
language in.....		705.01
non-receipt of.....		717.02
piecemeal action to be avoided.....		704.01
raising new issues.....		706 to 706.01
returned.....		403, 717.01
review by supervisory examining attorney.....		705.06
“search clause” in.....		704.02
statutory language in.....		705.01
supplemental action.....		711.02
to be complete.....		704.01
Address		
changing address of owner of registration.....		1609.11
changing correspondence address.....		503.01(b), 609.02 to 609.02(f)
correspondence.....		609 to 609.04
for communications to the International Bureau.....		1906
for communications to U.S. Patent and Trademark Office (“USPTO”).....		305.01
for electronic mail communications.....		304.08
for filing documents to be recorded in Assignment Division.....		503.04
of applicant.....		803.05
of assignee.....		502.02(a) to (b)
Advisory statement cannot serve as foundation for final refusal.....		714.05(c)
Aesthetic functionality.....		1202.02(a)(vi)
Affidavit of continued use or excusable nonuse (§8) (See also: Affidavit of continued use or excusable nonuse (§71))		
appeal to federal court.....		1604.18(d)
change from mark as registered.....		1604.13
character of use of mark.....		1604.10
combined with renewal application.....		1604.19, 1606.15
combined with §15 affidavit.....		1605.05
deficiencies, correction of.....		1604.06(b), 1604.17 to 1604.17(c)
domestic representative, designation of.....		1604.14
excusable nonuse.....		1604.11
execution of.....		1604.08 to 1604.08(d)
extension of protection to the United States (§71).....		1613

INDEX

Affidavit of continued use or excusable nonuse (§71) — Amendment of application

	Sec. No.	Sec. No.
fee for filing.....		<u>1604.06 to 1604.06(c)</u>
form for filing.....		<u>1604.03</u>
goods and services set forth in.....		<u>1604.09</u>
grace period.....		<u>1604.04, 1604.06(b)</u>
nonuse.....		<u>1604.11</u>
notice on registration certificate.....		<u>1604.02</u>
Office action upon examination of.....		<u>1604.15</u>
ownership.....		<u>1604.07 to 1604.07(f)</u>
petition to Director.....		<u>1604.18 to 1604.18(c)</u>
premature filing of.....		<u>1604.04(a)</u>
recourse after refusal.....		<u>1604.18 to 1604.18(d)</u>
registrations in 20-year terms.....		<u>1604.04(b)</u>
requirements for.....		<u>1604.05</u>
response to Office action.....		<u>1604.16</u>
signature.....		<u>1604.08 to 1604.08(d)</u>
specimen(s).....		<u>1604.12 to 1604.12(c)</u>
time for filing.....		<u>1604.04, 1604.17(c)</u>
untimely filing cannot be cured.....		<u>1604.17(c), 1712.02(a)</u>
use in commerce.....		<u>1604.10</u>
who may file.....		<u>1604.07</u>
Affidavit of continued use or excusable nonuse (§71) (See also: <u>Affidavit of continued use or excusable nonuse (§8)</u>).....		<u>1613</u>
Affidavit of incontestability (§15).....		<u>1605 to 1605.06</u>
combined with §8 affidavit.....		<u>1605.05</u>
fee.....		<u>1605.04</u>
form for filing.....		<u>1605.02</u>
premature.....		<u>1605.03</u>
registered extension of protection of international registration.....		<u>1605.01, 1904.11</u>
requirements for.....		<u>1605.04</u>
substitute.....		<u>1605.04</u>
Supplemental Register registrations not eligible.....		<u>1605.01</u>
time for filing.....		<u>1605.03</u>
§14 limitation independent of.....		<u>1605.06</u>
Affidavit of use (See also: <u>Affidavit of continued use or excusable nonuse</u>)		
Affidavit under §8 (See also: <u>Affidavit of continued use or excusable nonuse (§8)</u>)		
Affidavit under §71 (See also: <u>Affidavit of continued use or excusable nonuse (§71)</u>)		
Agents.....		<u>602.03 to 602.03(c)</u>
Agreement of drawing and foreign registration in §44 application.....		<u>807.03(f), 807.12(b), 1011.01</u>
Agreement of drawing and specimen.....		<u>807.12(a) to 807.12(a)(iii), 1215.02(c)</u>
Allegations of use in §1(b) application (See also: <u>Amendment to allege useStatement of use</u>).....		<u>714.05(a)(i), 1103</u>
Alphabetical List, International.....		<u>1401.02(c)</u>
Amendment	of	application
after decision on appeal.....		<u>1501.06</u>
after final action.....		<u>715, 715.01, 715.02</u>
after Notice of Allowance.....		<u>1107</u>
after Notice of Allowance.....		<u>1107</u>
after publication.....		<u>806.03(j) to (j)(ii), 1505 to 1505.02(g)</u>
from Principal Register to Supplemental Register in §1(b) application.....		<u>1102.03</u>
prohibited during period between issuance of notice of allowance and filing of statement of use, except to delete specified goods or services (§1(b) application).....		<u>1107</u>

TRADEMENT MANUAL OF EXAMINING PROCEDURE

Amendment of dates of use — Amendment to allege use

	Sec. No.	Sec. No.
to add or substitute a basis for registration.....	806.03 to 806.03(k)	
to change basis from §44 to §1(b).....	806.03(d)	
to change filing basis from §1(a) to §1(b).....	806.03(c)	
to change filing basis from §1(b) to §44.....	806.03(g), 806.03(j)(i) to 806.03(j)(iii)	
to claim priority.....	806.03(b)	
to delete §1(b) basis.....	806.04 to 806.04(a)	
to different register.....	816 to 816.05, 1014, 1102.03	
Amendment of dates of use		
after registration.....	1609.07	
to reflect a change, must be verified.....	903.04	
upon amendment of identification.....	903.08	
Amendment of mark in registration	1609.02 to 1609.02(g)	
drawing.....	1609.02(b)	
fee required.....	1609.02	
in registered extension of protection.....	1609.02, 1904.13	
material alteration of mark not permitted.....	1609.02(a)	
specimen.....	1609.02(c)	
who may request.....	1609.02	
Amendment of mark on drawing	807.15	
amendment to cure “internal inconsistency” not permitted.....	807.14(d)	
in marks comprising domain names.....	1215.08 to 1215.08(b)	
in registered extension of protection.....	1609.02, 1904.02(j), 1904.13, 1906.01(i)	
in registration based on §1 or §44 application.....	1609.02 to 1609.02(g)	
in request for extension of protection of international registration.....	807.13(b), 1609.02, 1904.02(j), 1904.13, 1906.01(i)	
in §44 application.....	1011.03	
may not result in material alteration.....	807.03(d), 807.14 to 807.14(e), 1609.02 to 1609.02(g)	
must be warranted by specimens or foreign registration.....	807.12(a) to 807.12(a)(iii), 807.12(b), 807.13	
not permitted in §66(a) application.....	807.13(b), 1609.02, 1904.02(j), 1906.01(i)	
procedures for.....	807.15, 807.16	
processing unacceptable amendments.....	807.17	
to color features.....	807.14(e) to 807.14(e)(iii)	
Amendment of registration (See also: Amendment of mark in registration)	1609 to 1609.09	
correction of mistake.....	1609.10 to 1609.10(b)	
dates of use.....	1609.07	
disclaimer.....	1609.05	
effect on limitation of grounds for cancellation.....	1609.08	
fee required.....	1609.02	
from Supplemental to Principal Register not permitted.....	1609.08	
of classification.....	1609.04	
of identification of goods/services.....	1609.03	
of mark.....	1609.02 to 1609.02(g)	
of owner’s address.....	1609.11	
of registered extension of protection.....	1609.01, 1609.02, 1609.03, 1609.04, 1904.13, 1906.01 to 1906.01(i)	
of registrations with color claim.....	1609.02(e)	
territorial restrictions.....	1609.06	
who may request.....	1609.01(a), 1609.01(b)	
Amendment to allege use	1104 to 1104.11	
approval of.....	1104.11	
blackout period.....	1103, 1104.03(b), 1104.03(c)	
dates of use.....	1104.09(d)	

INDEX

“America” or “American,” marks comprising — Applicant

	Sec. No.	Sec. No.
drawing.....		<u>1104.09(f)</u>
ex parte appeals.....		<u>1104.07</u>
examination of, by examining attorney.....		<u>1104.09 to 1104.09(h)</u>
execution of.....		<u>1104.09(b)</u>
fee for filing.....		<u>1104.09(g)</u>
filed in conjunction with application.....		<u>1104.05</u>
filing during pendency of ex parte appeal.....		<u>1104.07</u>
form of.....		<u>1104.02</u>
identification of goods/services in.....		<u>1104.09(c)</u>
located after publication.....		<u>1104.04</u>
minimum requirements for.....		<u>1104.01</u>
ownership.....		<u>1104.09(a)</u>
requirements for.....		<u>1104.08</u>
review for compliance with minimum filing requirements.....		<u>1104.01(a) to 1104.01(c)</u>
specimen.....		<u>1104.09(e)</u>
substantive refusals.....		<u>1102.01</u>
time for filing.....		<u>1104.03</u>
use in commerce.....		<u>1104.09(d)</u>
use on all goods/services before filing.....		<u>1104.03(a)</u>
withdrawal of.....		<u>1104.10</u>
“America” or “American,” marks comprising.....		<u>1209.03(n), 1210.02(b)(iv)</u>
Apostille.....		<u>804.01(a)(i)</u>
Appeal briefs, applicants’.....		<u>1501, 1501.02(a)</u>
Appeal briefs, examining attorneys’ failure to file.....		<u>1501, 1714.01(f)(ii)</u>
format for.....		<u>1501.02(b), A</u>
preparation of.....		<u>1501.02(b)</u>
Appeal to Trademark Trial and Appeal Board amendment after decision on.....		<u>1501.06</u>
amendment during.....		<u>1501.05</u>
appealable matter.....		<u>1501.01, 1704</u>
application record must be complete prior to.....		<u>710.01(c)</u>
dismissal of.....		<u>1501, 1714.01(f)(ii)</u>
fee for.....		<u>1501.04</u>
in general.....		<u>1501 to 1501.07</u>
time period for.....		<u>715.03(c), 1501</u>
withdrawal of refusal during.....		<u>1501.03</u>
Appealable matter.....		<u>1501.01</u>
Applicant address of.....		<u>803.05</u>
application void if wrong party identified as.....		<u>803.06, 1201.02(b)</u>
association as.....		<u>803.03(c)</u>
banking institution.....		<u>803.03(a)</u>
citizenship of.....		<u>803.04</u>
communication with applicant who is represented by attorney.....		<u>601.02</u>
companies.....		<u>803.03(c)</u>
corporation as.....		<u>803.02(c), 803.03(c)</u>
correcting mistake in name of.....		<u>1201.02(c)</u>
eligible under §44.....		<u>1002 to 1002.05</u>
Federally recognized Indian tribe.....		<u>803.03(j)</u>
foreign.....		<u>803.03(i), D</u>

TRADEMENT MANUAL OF EXAMINING PROCEDURE

Applicant's name — Application for registration

	Sec. No.	Sec. No.
government bodies and universities as.....		803.03(f)
individual as.....		803.02(a), 803.03(a)
joint applicants as.....		803.03(d)
joint venture as.....		803.02(b), 803.03(b)
limited liability companies as.....		803.03(h)
limited liability partnerships as.....		803.03(k)
may not be changed.....		803.06, 1201.02(b)
minor.....		803.01
must be natural or juristic person.....		803.01
name of.....		803.02
operating divisions.....		1201.02(d)
partnership as.....		803.02(b), 803.03(b)
properly identifying the applicant.....		1201.02(a)
sole proprietorship as.....		803.03(a)
trusts, conservatorships and estates as.....		803.03(e) to 803.03(e)(i)
verification of.....		804 to 804.05
who may apply.....		803.01
Applicant's name.....		803.02
Applicants, joint.....		611.06(a), 803.03(d)
Application checklist.....		818
Application		files
electronic.....		109.03, 402 to 402.01
new, processing of.....		401.04
preparation of, for publication or registration of mark.....		817
to remain in Office.....		109
Application	for	registration
abandoned (See also: Abandoned application Abandonment of application).....		718
“amended”.....		702.01
approved for publication.....		1502
basis	for	(See also: Filing basis)
classification.....		1401 to 1401.08
combined	(See also: Combined application)	
concurrent	use (See also: Concurrent use registration)	
dates of use.....		903.01, 903.02
declaration in lieu of oath.....		804.01(b)
defective.....		204 to 204.03
establishing ownership of.....		502 to 502.03
filing	basis (See also: Filing basis)	
filing	date (See also: Filing date (application))	
form for filing.....		802
informal.....		204 to 204.03
intent-to-use	(See also: Intent-to-use applications)	
multiple-class	(See also: Combined application)	
persons who can sign verification.....		804.04
priority under §44(d).....		806.01(c), 1003 to 1003.08
should specify register on which registration is sought.....		801.02(a)
signature.....		804 to 804.05
specimen.....		904 to 904.07(b), 1301.04 to 1301.04(d)
statutory averments.....		804.02
suspended (See also: Suspension of Office action).....		716 to 716.06
TEAS Plus.....		819 to 819.04

INDEX

Application serial number — Assignment, recordation of

	Sec. No.	Sec. No.
types of.....		801 to 801.02(b)
use in commerce.....		806.01(a)
verification made in foreign country.....		804.01(a)(i)
verification with oath.....		804.01(a)
Application serial number.....		401.02
Applications for international registration (See also: <u>International applications for registration</u>)		
Article 6 <i>ter</i> of Paris Convention.....		1205.02
Artist, name of, on original work of art.....		1202.09(b)
Artists and authors, name of, as mark.....		1202.09 to 1202.09(b), 1301.02(b)
Assignee		
entitled to prosecute application or registration.....		501.05, 502.01
establishing ownership in name of.....		502 to 502.03
issuance of new registration certificate to.....		502.03
issuance of original registration certificate in name of.....		502.02(a) to (b)
not domiciled in United States.....		501.04
stands in place of applicant or registrant.....		501.05
Assignment	(See	also: Assignee)
accessibility of records.....		503.08
conditional.....		503.01(e)
definition of.....		501.01
documents.....		503.02, 503.03(a)
establishing ownership.....		502 to 502.03
examining attorney's action regarding.....		502.02(c)
failure to record.....		501.03
indexing against recorded document not permitted.....		503.07
of extension of protection of international registration... <u>501.01(b), 501.07, 502.01, 502.02(b), 502.03, 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)</u>		
of intent-to-use application.....		501.01(a)
of marks in applications or registrations.....		501.01
of §1(b) application.....		501.01(a)
of §44 application.....		501.04, 1006
of §66(a) application... <u>501.01(b), 501.07, 502.01, 502.02(b), 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)</u>		
partial.....		501.06, 1110.11, 1615
recordation of (See also: <u>Assignment, recordation of</u>)		
records open to public.....		503.08
searching.....		104, 503.08
written assignment required.....		501.02
Assignment, recordation of		
address for submitting documents.....		503.04
automatic updating.....		504 to 504.05
correction of errors in.....		503.06 to 503.06(f)
correspondence address not changed by.....		503.01(b)
cover sheet.....		503.03(e), 503.06(a) to 503.06(a)(ii)
date of.....		503.05
documents eligible for.....		503.02
effect of.....		503.01 to 503.01(e)
electronic filing.....		503.03(a), 503.03(b), 503.03(e)
errors, correction of.....		503.06 to 503.06(f)
fee for.....		503.03(d)
indexing not permitted.....		503.07
not a determination of validity.....		503.01(c)

TRADEMENT MANUAL OF EXAMINING PROCEDURE

Association — B

	Sec. No.	Sec. No.
of extension of protection of international registration must be filed International Bureau.....	503.03(a) , 503.05 , 503.06 , 503.06(b) , 504.05 , 1904.06	
of international registration.....	1906.01 to 1906.01(a)(iv)	
original documents should not be filed.....	503.03(b)	
petitions to correct or expunge assignment records.....	503.06(f)	
procedure for.....	503.05	
requirements for.....	503.03 to 503.03(e)	
resubmission of documents for.....	503.05	
searching may be done on USPTO website.....	104 , 503.08	
Association		
name of.....	803.02(c)	
state or country of organization of.....	803.04	
Assumed business name.....	803.02 , 803.02(a) , 803.02(b)	
Attorney		
appearance by.....	602 , 602.01	
associate power of.....	605.03	
Canadian.....	602.03(a)	
change of.....	604.03 , 609.02 , 609.02(a) , 609.02(b)	
communication with.....	601.02 , 604.03 , 608.01 , 609.01 , 609.02 , 707.01 , 708.02	
communication with applicant who is represented by.....	601.02	
correspondence sent to.....	604.03 , 609 , 609.01 , 609.02(a) , 609.02(b)	
foreign.....	602 , 602.03 to 602.03(e)	
Office may not aid in selection of.....	601.01	
powers of (See also: Power of attorney).....	602.01 to 605.04	
representation of owner of mark by.....	601 to 601.02	
revocation of power of.....	606	
signature of.....	611.01(a) , 611.02	
standards of conduct.....	603	
suspended.....	608.02	
unauthorized practice.....	608	
withdrawal of.....	607	
Author, name of, as mark.....	1202.09(a) to 1202.09(a)(iii)	
Averments, statutory.....	804.02	
B		
Background designs and shapes as mark.....	1202.11	
Banking institution as applicant.....	803.03(g)	
Basic Facts About Registering a Trademark.....	105	
Basis for filing (See also: Filing basis)		
Blackout period.....	1103 , 1104.03(b) to 1104.03(c)	
Blinded Veterans Association.....	1205.01	
“Bona fide and effective industrial or commercial establishment”.....	1002.04	
Bona fide intention to use mark in commerce		
acceptable language for statement of.....	1101	
application filing basis, under §1(b).....	806.01(b)	
continued.....	1101 , 1108.02	
must be asserted in request for extension of time to file statement of use.....	1108.02(e)	
must be asserted in written application.....	806.01(b) to 806.01(d) , 1101	
must be asserted in §44 application, even if use has commenced.....	1008	
must be asserted in §66(a) application.....	804 , 804.05 , 1904.01(c)	
not necessary to repeat in multiple-basis application.....	806.02(g)	
Bona fide use in ordinary course of trade.....	901.02	

INDEX

C — C

	Sec. No.	Sec. No.
<i>Bona fides</i> of applicant, in asserting intent to use mark in commerce, not inquired into by examining attorney.....		1101
Buenos Aires Convention.....		B
Bulky specimens.....		904.02(b)
Business cards as specimens of service mark use.....		1301.04(c)
Business trusts.....		803.03(e)(i)
Business with Office to be transacted in writing.....		708.03, 709.03, 709.04, 709.05
C		
Canadian attorneys and agents.....		602.03(a)
Cancellation		
of inadvertently accorded filing date.....		204.01
of international registration.....		1904.08
of notice of allowance.....		1106.03
of registration for certification mark.....		1306.05(b), 1306.07
of registration for failure to file affidavit of continued use.....		1604, 1604.04
of registration under §8.....		1604, 1604.04
of registrations under §14 or §37.....		1607
of registrations under §71.....		1904.10
surrender of registration for.....		1608
Catalogs as trademark specimens.....		904.03(h)
Certificate of extension of protection of international registration.....		1904.05
Certificate of mailing procedure		
correspondence returned by U.S. Post Office.....		305.02(g)
date used to determine timeliness.....		305.02(e)
effect of.....		305.02(e)
eligible correspondence.....		305.02(a)
location of certificate.....		305.02(c)
mailing requirements and address.....		305.01
non-receipt of correspondence by PTO.....		305.02(f)
not available for filing international applications.....		305.02(a)
not available for filing requests for transformation.....		305.02(a)
not available for filing requests to record changes to an international registration.....		305.02(a)
not available for filing responses to IB notices of irregularities.....		305.02(a)
not available for filing subsequent designations.....		305.02(a)
not available for filing trademark applications.....		305.02(a)
wording of certificate.....		305.02(d)
Certificate of registration		
extension of protection of international registration.....		1904.05
indication that mark is on Principal Register in.....		1601.07
indication that mark is on Supplemental Register in.....		1601.07
issuance in name of assignee.....		502.02(a) to (b)
new, upon change of ownership.....		502.03
Certificate of transmission (See also: <u>Facsimile transmission, certificate of</u>)		
Certification division of USPTO (See also: <u>Document Services Branch</u>)		
Certification mark		
amendment to different type of mark.....		1306.06(f)(vi)
authority of applicant to control use of geographic term.....		1306.02(b)
based on foreign registration.....		1306.08
cancellation of prior trademark registration as prerequisite to issuance of certification mark registration.....		1306.05(b)
cancellation of registration for.....		1306.07

TRADEMENT MANUAL OF EXAMINING PROCEDURE

C — C

	Sec. No.	Sec. No.
certification statement in application to register.....		1306.06(f)(i)
certification versus performance of services and sale of goods.....		1306.04
characteristics of.....		1306.05
circumstances surrounding use.....		1306.05
classification of goods/services in application to register... 1306.06(d), 1401.03(d), 1902.02(g)(ii), 1904.02(b)		
collective mark, distinguished from certification mark.....		1306.09(a)
definition of.....		1306.01
distinguished from other types of marks.....		1306.01, 1306.09(a), 1306.09(b)
drawing requirements.....		1306.06(a)
examination of application to register.....		1306.06 to 1306.06(f)(vi)
exercise of control.....		1306.06(f)(iii)
foreign registration, basis for registration of certification mark.....		1306.08
geographic term used to designate regional origin.....		1210.09, 1306.02 to 1306.02(c)
geographically deceptive term not permitted.....		1306.02
government as applicant for.....		1306.02(c)
grounds for cancellation under §14, applicability to examination.....		1306.07
identification of goods or services.....		1306.06(e)
in §66(a) application.....		1904.02(d)
indication of regional origin versus description of goods.....		1306.02(a)
labor performed by specific group or individual, term used to certify that.....		1306.03
mark not used by applicant.....		1306.06(f)(v)
may be geographically descriptive.....		1306.02
not registrable as trademark or service mark.....		1306.05(a)
ownership of.....		1306.04
patent licenses, use of trademark or service mark by patent licensee distinguished from.....		1306.09(c)
purpose is to certify, not indicate source.....		1306.01(b)
quality control by owner not a requirement.....		1306.01(a)
related company use of trademark or service mark distinguished from.....		1306.09(b)
same mark not registrable as other type of mark.....		1306.05(a), 1306.05(b)
specimens.....		1306.06(b), 1306.06(c)
standards.....		1306.06(f)(ii)
statement of control over use of mark.....		1306.06(f)(iii)
statement of first use under authority of applicant.....		1306.06(f)(iv)
statement of what mark certifies.....		1306.06(f)(i)
statement that mark is not used by applicant.....		1306.06(f)(iv)
title or degree not a certification mark.....		1306.03, 1306.06
uncertainty as to type of mark.....		1306.09
use is by others.....		1306.01(a), 1306.06(f)(iv), 1306.06(f)(v)
verification language in application to register.....		1306.06(f)(iii)
Certification or certified copy of foreign registration required for §44(e).....		1004.01
Chain of title.....		502.01, 502.02(a), 504.03
Change of attorney.....		604.03
Change of correspondence address.....		503.01(b), 609.02 to 609.02(f)
Change of filing date of application.....		204.03, 205, 1711
Character or person, as mark.....		1202.09, 1202.10 to 1202.10(a), 1301.02(b)
Check,	returned	unpaid
affidavit of continued use or excusable nonuse (§8).....		405.06, 1604.06(c)
amendment to allege use.....		405.06, 1104.09(g)
application filing fee.....		202.03(a), 405.06
extension of time to file statement of use.....		405.06, 1108.02(c)

INDEX

C — C

	Sec. No.	Sec. No.
renewal fee.....		405.06, 1606.05(c)
statement of use.....		405.06, 1109.15(a)
Checklist, application.....		818
Checks, in general.....		405.02
Citation of Decisions and USPTO Publications.....		705.05
Citizenship of applicant.....		803.04
Claim of priority (See also: <u>Priority under §44(d)</u>)		
amendment to add.....		806.03(b), 1003.02
form of.....		806.01(c), 806.01(e), 1003, 1904.01(e)
in application for international registration.....		1902.05
in request for extension of protection of international registration.....		206.02, 1904.01(e)
Claiming benefits of Act of 1946 under §12(c).....		1603 to 1603.03
Class headings.....		1401.02(a)
Classification of goods/services		
amendment after publication.....		707.02, 1109.14, 1505.02(b)
amendment after registration.....		1401.02, 1609.04
amendment from U.S. to international classification system.....		1401.02, 1601.06, 1609.04
amendment not permitted in §66(a) application.....		1401.03(d), 1505.02(b), 1609.04, 1904.02(b)
amendment of.....		707.02, 1401.03, 1401.03(b), 1401.04(b), 1403.02, 1403.02(b)
cannot be changed in §66(a) application.....		1401.03(d), 1505.02(b), 1609.04, 1904.02(b)
certification mark.....		1306.06(d), 1401.03(d), 1902.02(g)(ii), 1904.02(b)
changes based on 8 th and 9 th Nice Agreements.....		1401.09 to 1401.11
collective mark.....		1303.02(a)
collective membership mark.....		1304.08(c), 1401.03(d), 1902.02(g)(ii), 1904.02(b)
criteria for determining.....		1401.02(a), 1401.05
designation by applicant normally accepted.....		1401.03(a)
designation by International Bureau in §66(a) application controls.....		1401.03(d), 1904.02(b)
designation by Office.....		1401.03(b)
in application for international registration.....		1902.02(g) to 1902.02(g)(iii)
in §66(a) application.....		1401.03(d), 1505.02(b), 1609.04, 1904.02(b)
international system.....		1401.02 to 1401.02(c)
plurality of uses.....		1401.07
republication not required after amendment.....		1505.02(b)
services.....		1401.02(a), 1402.11
short titles of classes.....		1401.02(b)
should be designated by applicant, if known.....		1401.03
specimens as related to.....		1401.06
statutory authority for.....		1401.01
Clear error.....		706.01, 1109.08
Closings of USPTO, unscheduled.....		309
Coat of arms, refusal to register mark comprising.....		1204
Collateral estoppel.....		1217
Collective mark, in general (See also: <u>Collective membership mark</u> <u>Collective trademarks and service marks</u>)		
definition of.....		1302
history of.....		1302.01
Collective membership mark		
classification.....		1304.08(c), 1401.03(d), 1902.02(g)(ii), 1904.02(b)
control over use of.....		1304.08(f)(i), 1304.08(f)(ii)
definition.....		1302
distinguished from other types of marks.....		1302, 1304.01, 1304.08(a)(i), 1306.09(a)

TRADEMENT MANUAL OF EXAMINING PROCEDURE

C — C

	Sec. No.	Sec. No.
examination of application to register.....	1304.08 to 1304.08(f)(iii)	
history of.....	1304.01	
identifications.....	1304.08(d)	
in §66(a) application.....	1904.02(d)	
ownership of.....	1302, 1304.04, 1304.05	
purpose of.....	1304.02	
refusal to register.....	1304.08 to 1304.08(f)(iii)	
special elements of.....	1304.08(f)(i), 1304.08(f)(iii)	
specimens for.....	1304.08(e)	
title or degree designations compared with.....	1304.08(a)(i)	
use is by members.....	1304.03, 1304.08(f)(iii)	
who may apply.....	1304.04	
Collective		organization
nature of collective organization.....	1304.06	
use of collective mark by members of.....	1302, 1303.01	
use of trademark or service mark by.....	1305	
Collective	trademarks	and
		service
		marks
classification of.....	1303.02(a)	
control over use of.....	1303.02(c)(i), 1303.02(c)(ii)	
definition.....	1302	
distinguished from other types of marks.....	1302, 1303, 1305, 1306.09(a)	
examination of application to register.....	1303.02 to 1303.02(c)(ii)	
manner of control.....	1303.02(c)(i)	
ownership of.....	1303	
special elements of.....	1303.02(c)(i), 1303.02(c)(ii)	
specimens for.....	1303.02(b)	
use is by members.....	1303.01, 1303.02(c)(ii)	
Color		
amendment of color features of mark.....	807.14(e) to (e)(iii)	
as a mark.....	1202.05 to 1202.05(h)	
as a separable element of a mark.....	1202.05(c)	
black, white or gray shown on drawing.....	807.07(d) to (f)	
drawings of.....	807.07 to 807.07(e), 1202.05(d) to 1202.05(d)(iv)	
functional color marks not registrable.....	1202.05(b)	
gray.....	807.07(d) to (f)	
in the mark.....	807.07 to 807.07(e), 1202.05 to 1202.05(h)	
lining.....	807.07(g), 808.01(b), 1902.02(e)	
location statement.....	807.07(a)(ii)	
must be claimed as feature of the mark.....	807.07(a), 807.07(a)(i)	
special considerations for color marks.....	1202.05(g)	
specimens for marks comprising.....	904.02(c)(ii), 1202.05(f)	
Color claim.....	807.07(a) to 807.07(f)	
Columns or sections of publications, marks that identify.....	1202.07 to 1202.07(b)	
Combined affidavit under §§8 and 15.....	1605.05	
Combined application.....	1403 to 1403.07	
abandonment of.....	718.02(a), 1403.05	
addition of class number(s) in.....	1403.02(c)	
amendment of.....	1403.02	
correction of class(es) in.....	1403.02(b)	
deletion of class(es) from.....	1403.02(a)	

INDEX

C — C

	Sec. No.	Sec. No.
division of (See also: <u>Division of application</u>).....		1403.03
filing fees for.....	810.01, 1401.04,	1403.06
refusal as to fewer than all classes in.....		1403.05
requirements for.....		1403.01
single certificate of registration issued, unless application divided.....		1403.01
specimens for.....		904.01(b)
Commerce		
bona fide use in ordinary course of trade.....		901.02
commerce that may be lawfully regulated by Congress.....		901.03
definition of.....		901.01
territorial.....		901.03
type of.....		901.03
use in (See also: <u>Use in commerce</u>) with the United States.....		901.03
Commercial name.....		1202.01
Commissioner, change of title.....		1701
Companion applications and registrations.....	702.03(a) to 702.03(a)(iv)	
“Company”		
does not identify legal entity in the United States.....	803.03, 803.03(c)	
used in commonwealth countries to designate legal entity.....	803.03(i)	
Company, related (See also: <u>Related company</u>)		
Compliance with other laws.....		907
Component or ingredient, mark used to identify.....		1402.05(a)
Composition of goods.....		1402.05(b)
Computer programs		
identification of.....		1402.03(d)
specimens for.....		904.03(e)
Computer services, identification of.....		1402.11(a)
Computer software as service mark.....		1301.02(f)
Computing period for response to action.....		310
Concurrent use registration.....	1207.04 to 1207.04(g)(i)	
not permitted in §44 and §66(a) applications.....	1207.04(b)	
Conditional assignment.....		503.01(e)
Configuration of goods or packaging.....	1202.02 to 1202.02(d)	
Conflicting applications.....	702.03(b), 705.03, 718.07, 1207.01(d)(x), 1208 to 1208.02(f)	
Conflicting marks.....	702.03(b), 1207.01(d)(x), 1208 to 1208.02(f)	
Congressional inquiry.....		1802
Consent agreement.....	714.05(d), 715.03(b), 1207.01(d)(viii)	
Consent to register by living individual.....	813 to 813.01(c), 1206 to 1206.05	
Connection with goods or services.....		1206.02
Implicit consent.....		1206.04(d)
Must be personally signed.....	813, 1206.04(a), 1206.04(b)	
Must be written consent to registration.....		1206.04(a)
Name or likeness is that of living individual.....	813.01(a), 1206.01	
Names and likenesses that do not identify particular living individual.....	813.01(b), 1206.05	
Not required if consent of record in prior registration.....		1206.04(c)
Presumed from signature of application.....		1206.04(b)
Printing of statement.....	813.01(a) to 813.01(c)	
Sample statements.....	813.01(a), 813.01(b)	
Statement required in TEAS Plus application.....	813, 819.01(o)	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

D — D

	Sec. No.	Sec. No.
Updating TRAM database.....	813.01(a) to 813.01(c)	
When inquiry required.....	1206.03	
Written consent must be personally signed.....	813, 1206.04(a), 1206.04(b)	
Conservatorship as owner of mark.....	803.03(e)	
Constructive use.....	201.02, 1904.01(g)	
Control over nature and quality of goods/services.....	1201.01, 1201.03, 1201.03(a)	
Convention or treaty relating to trademarks.....	1001, 1002.01, 1002.02, 1900, B	
Copending (companion) applications.....	702.03(a) to 702.03(a)(iv)	
Copies of documents, when acceptable for filing.....	302.01	
Copies of materials in files, requests for.....	111	
Copies of trademark applications and registrations, requests for.....	111	
Copying materials in files.....	109.03	
Corporation		
address of.....	803.05	
name of.....	803.02	
non-profit.....	803.03(c)	
signature on behalf of.....	611.06(d), 804.04	
state or country of incorporation.....	803.04	
tax-exempt.....	803.03(c)	
Correction of drawing.....	807.15 to 807.16	
Correction of errors in cover sheet or recorded document.....	503.06 to 503.06(f)	
Correction of errors in international registration.....	1906.01(f)	
Correction of mistake in name of applicant.....	1201.02(c)	
Correction of mistake in registration.....	1609.10 to 1609.10(b)	
Correspondence address.....	609 to 609.04	
applicant has duty to maintain current correspondence address.....	609.03	
change of.....	609.02 to 609.02(f), 609.04	
establishing.....	609.01	
in §66(a) application.....	609.01(a)	
signature of request to change.....	609.02(b), 611.02	
Correspondence for trademark applications.....	302.03(a)	
Correspondence for trademark registrations.....	302.03(b)	
Correspondence with applicants not domiciled in United States.....	609.04	
Correspondence, returned as undeliverable.....	403	
Correspondence, with whom held.....	609 to 609.04	
Country of origin.....	1002.04	
Courier service, delivery of correspondence by.....	307	
Court orders concerning registrations.....	1610	
Cover sheet required for recording in Assignment Division.....	503.03(e)	
Credit card.....	405.01, 405.06	
Charges refused or charged back.....	202.03(a) to 202.03(a)(i), 405.06	
Cultivar names.....	1202.12	

D

Date

of filing (See also: <u>Filing date (application)“Office Date” stamp</u>)		
of first use in commerce.....	903.02	
of first use of mark.....	903.01	
of notarization of application.....	804.01(a)	
of receipt stamped on hand-delivered papers.....	303.01, 303.02(b), 307	
of signing of §2.20 declaration in application.....	804.01(a), 804.01(b), 804.03	

INDEX

D — D

	Sec. No.	Sec. No.
Date stamp	(See also: “Office Date” stamp)	stamp
Dates of use (See also: <u>Date of first use in commerce</u> <u>Date of first use of mark</u>)		
amending.....		903.04, 1609.07
apparent discrepancies in.....		903.06(a)
in allegation of use, may be earlier than application filing date.....		903
in another form.....		903.07
indefinite.....		903.06
must be specified in amendment to allege use.....		903, 1104.09(d)
must be specified in statement of use.....		903, 1109.09(a)
must be specified in §1(a) application.....		806.01(a), 903
must be verified.....		903
pertain to at least one item in class.....		903.08
relation between.....		903.03
DBA designations.....		803.02, 803.02(a), 803.02(c)
<i>De facto</i> functionality.....		1202.02(a)(iii)(B)
<i>De jure</i> functionality.....		1202.02(a)(iii)(B)
Dead languages, translation of.....		809, 1207.01(b)(vi), 1209.03(g)
Deadline for response.....		711
Deadline for response, how to compute.....		310
Deceptive matter.....		1203, 1203.02 to 1203.02(g), 1210.05 to 1210.05(c)(ii)
amending identification of goods or services to overcome §2(a) refusal.....		1203.02(e)(ii), 1203.02(f)(i), 1203.02(g)
case references.....		1203.02(g)
determining materiality.....		1203.02(d)
distinction between marks comprising deceptive matter and deceptively misdescriptive marks.....		1203.02(c)
elements of §2(a) deceptiveness refusal.....		1203.02(b)
marks comprising deceptive matter are not registrable.....		1203.02(f)(ii)
mere personal preference.....		1203.02(d)(ii)
objective criteria.....		1203.02(d)(i)
procedure for issuing §2(a) deceptive refusals.....		1203.02(e) to 1203.02(e)(ii)
types of deceptive marks.....		1203.02(a)
Deceptively misdescriptive geographic marks.....		1210.01(b), 1210.05(a), 1210.05(c) to 1210.05(c)(ii), 1210.06(b)
basis for refusal.....		1210.05(a)
test.....		1210.01(b) to 1210.01(c)
Deceptively misdescriptive marks.....		1209.04
Declaration in lieu of oath.....		804.01(b)
Declaration under §8 (See also: <u>Affidavit of continued use or excusable nonuse (§8)</u>)		
Declaration under §71 (See also: <u>Affidavit of continued use or excusable nonuse (§71)</u>)		
Defective application materials.....		204 to 204.03
Degrees or titles.....		1304.08(a)(i), 1306.03, 1306.06
Delay between execution and filing of papers.....		804.03, 1104.09(b), 1108.02(b), 1109.11(c)
Deletion		
of items from identification.....		1104.09(c), 1107, 1108.02(d), 1109.13, 1402.06(a)
of matter from mark on drawing.....		807.14(a)
Deposit accounts.....		405.03
Description	of	mark
accurate but incomplete.....		808.03(c) to 808.03(c)(ii)
application submitted without.....		808.03(b)
examination procedure for.....		808.03
guidelines for requiring.....		808.01
must be accurate and concise.....		808.02

TRADEMENT MANUAL OF EXAMINING PROCEDURE

D — D

	Sec. No.	Sec. No.
of color in mark.....	807.07(a)(ii), 1202.05(c)	
of location of mark on goods.....		808.01
of mark comprising configuration.....	808.01, 1202.02(c)(ii)	
of mark in §66(a) application.....		1904.02(j)
of sensory mark.....		807.09
of significant aspects of the mark.....		808.02
of three-dimensional mark.....	807.10, 808.01	
printed in <i>Official Gazette</i>		808.03
printed on registration certificate.....		808.03
printing of.....	808.03, 817, 1202.02(c)(ii)	
Descriptiveness, geographical.....		1210 to 1210.09
Descriptiveness, mere.....	1209, 1209.01 to 1209.03(s)	
“America” or “American”.....		1209.03(n)
combined terms.....		1209.03(d)
design or picture.....		1209.03(f)
domain names.....	1209.03(m), 1215.04, 1215.05	
first user.....		1209.03(c)
foreign equivalents.....		1209.03(g)
function or purpose.....		1209.03(p)
incongruity.....		1209.03(h)
intended users.....		1209.03(i)
laudatory terms.....		1209.03(k)
more than one meaning.....		1209.03(e)
“National,” “International,” “Global” and “Worldwide”.....		1209.03(o)
no dictionary listing.....		1209.03(b)
phonetic equivalent.....		1209.03(j)
picture or illustration.....		1209.03(f)
procedure for refusal.....	1209.02 to 1209.02(b)	
punctuation.....		1209.03(u)
repetition of descriptive term.....		1209.03(t)
retail store and distributorship services.....		1209.03(r)
slogans.....		1209.03(s)
source or provider of goods/services.....		1209.03(q)
telephone numbers.....		1209.03(k)
test of descriptiveness/genericness.....	1209.01(c)(i)	
third party registrations.....		1209.03(a)
Destruction of files.....		109.02
Diligence (See also: <u>Due diligence in monitoring status of pending matters</u>)		
Director, statutory authority of.....		1701
Disclaimer		
alliteration.....		1213.05(e)
as to certain classes, or certain goods or services.....		1213
compound word marks.....	1213.05(a) to 1213.05(a)(ii)	
display of mark.....		1213.05(f)
double entendre.....		1213.05(c)
form of.....	1213.08 to 1213.08(d)	
history.....		1213.01
hyphens and other punctuation.....		1213.05(a)(ii)
in relation to likelihood of confusion.....	1213.09, 1213.10	
incongruity.....	1209.01(a), 1213.05(c)	

INDEX

D — D

Sec. No.	Sec. No.
of domain name.....	<u>1215.07</u>
of entire mark not permitted.....	<u>1213.06</u>
of entity designations or the familial structure of an entity.....	<u>1213.03(d)</u>
of foreign words.....	<u>1213.08(d)</u>
of generic matter.....	<u>1213.03(b)</u>
of geographic terms.....	<u>1210.06(b)</u>
of misspelled words.....	<u>1213.08(c)</u>
of pictorial representations of descriptive matter.....	<u>1213.03(c)</u>
of unregistrable matter in its entirety.....	<u>1213.08(b)</u>
piecemeal disclaimer not permitted.....	<u>1213.08(b)</u>
refusal to register because of failure to disclaim.....	<u>1213.01(b)</u>
removal rather than.....	<u>1213.07</u>
slogan not subject to.....	<u>1213.05(b)</u>
sound patterns.....	<u>1213.05(e)</u>
standardized printing format for.....	<u>1213.08(a)(i)</u>
telescoped words.....	<u>1213.05(a)(i)</u>
trade names.....	<u>1213.04</u>
unitary mark not subject to.....	<u>1213.05 to 1213.05(f)</u>
voluntary.....	<u>1213.01(c)</u>
with respect to unitary marks.....	<u>1213.05 to 1213.05(f)</u>
Disparagement.....	<u>1203.03 to 1203.03(d)</u>
Disrepute.....	<u>1203, 1203.03 to 1203.03(f)</u>
Distinctiveness of product design.....	<u>1202.02(b)(i)</u>
Distinctiveness of product packaging.....	<u>1202.02(b)(ii)</u>
Distinctiveness of trade dress.....	<u>1202.02(b)</u>
Distinctiveness under §2(f) (See also: <u>Acquired distinctiveness (§2(f) claim)</u>)	
Division	
fee for.....	<u>1110.02</u>
of application.....	<u>1110 to 1110.12</u>
of application subject to Board proceeding.....	<u>1110.09</u>
of application under §44.....	<u>1110.10</u>
of application under §66(a).....	<u>1110.11</u>
of international registration.....	<u>1906.01(a)(iv)</u>
of multiple class application.....	<u>1403.03</u>
of registration.....	<u>1615 to 1615.02</u>
time for filing.....	<u>1110.03</u>
when response to Office action is due.....	<u>1110.08</u>
when statement of use is due.....	<u>1110.07</u>
Divisions, operating or unincorporated.....	<u>803.01, 1201.02(d)</u>
Document Services Branch, Public Records Division.....	<u>111</u>
Documents not returned to filer.....	<u>404</u>
Documents that the Office will record.....	<u>503.02</u>
Domain names, marks comprising.....	<u>1209.03(m), 1215 to 1215.10</u>
adding or deleting TLDs.....	<u>1215.08(a) to (b)</u>
agreement of mark on drawing with mark on specimen.....	<u>1215.02(c), 1215.08 to 1215.08(b)</u>
combined with geographic matter.....	<u>1215.06</u>
combined with surname.....	<u>1211.01(b)(vii), 1215.03</u>
descriptiveness.....	<u>1209.03(m), 1215.04, 1215.05</u>
disclaimer of.....	<u>1215.07</u>
effect of incontestability in ex parte examination.....	<u>1216.02</u>

TRADEMENT MANUAL OF EXAMINING PROCEDURE

D — D

	Sec. No.	Sec. No.
effect of prior applicant’s registration.....	1215.02(d)	1216
for domain name registry services.....	1209.03(m), 1215.05	1215.02(d)
generic refusals.....	1215.02(e)	1215.05
intent-to-use applications.....	1215.09	1215.02(e)
likelihood of confusion.....	1215.08 to 1215.08(b)	1215.09
material alteration.....	1215.10	1215.08 to 1215.08(b)
phonetic equivalent of TLD.....	1215.03	1215.10
Surnames.....	1215.02(a)	1215.03
use applications.....	1215.02 to 1215.02(e)	1215.02(a)
use as a mark.....	1215.02(f)	1215.02 to 1215.02(e)
§44 and 66(a) applications.....	1215.02(f)	1215.02(f)
Domestic representative (of party not domiciled in United States)		
designation by applicant.....	610, 811, 1013	
designation by assignee.....	501.04	
designation by renewal applicant.....	1606.10	
mailing of correspondence to.....	609.04	
with respect to affidavits under §8.....	1604.14	
with respect to proceedings before Trademark Trial and Appeal Board.....	610	
Drawing(s) (See also: <u>Special form drawing</u> <u>Standard character drawing</u>)		
agreement with foreign registration in §44 application.....	807, 807.03(f), 807.12(b), 1011.01	
agreement with specimens.....	807, 807.12(a) to 807.12(a)(iii), 1215.02(c)	
amendments (See also: <u>Amendment of mark on drawing</u>)		
broken lines on.....	807.08, 1202.02(c)(i)	
clear drawing of.....	202.01	
code.....	807.18	
color.....	807.07 to 807.07(d)(iii), 1202.05(d) to 1202.05(d)(iv)	
color lining.....	807.07(g), 808.01(b), 1902.02(e)	
deletion of matter from.....	807.14(a)	
determining when special form exists.....	807.04 to 807.04(b)	
dotted lines on.....	807.08, 1202.02(c)(i)	
electronically filed application.....	807.05 to 807.05(c)	
examination of.....	1102.02	
fax transmission not permitted.....	306.01	
for sensory marks.....	807.09	
gray tones in.....	807.07(d) to (d)(iii), 807.07(f)	
in applications filed before November 2, 2003.....	807.03(i), 807.07(f)	
in §44 application.....	807.03(f), 807.12(b), 1011 to 1011.03	
in §66(a) application.....	807.03(b), 807.03(g), 807.03(h), 807.07(b), 807.07(d)(ii), 807.07(f)(i), 807.12(c), 807.13(b), 1904.02(k)	
ink (See also: <u>Special form drawing</u>)		
limited to mark.....	807.02	
limited to one mark.....	202.01, 807.01, 1011.02	
lining.....	807.07(g), 808.01(b), 1902.02(e)	
long marks.....	807.05(a)(i), 807.06(b)	
mark drawing code.....	807.18	
mark entered in “Mark” field of TEAS application controls.....	202.01, 807.01, 807.14(d)	
mark on separate drawing page controls.....	202.01, 807.01, 807.14(d)	
motion, drawing of marks with.....	807.11	
multiple marks.....	202.01, 807.01	
multiple rendition of three dimensional mark.....	807.10	
not required for sound, scent or non-visual mark.....	807.09	

INDEX

E — E

	Sec. No.	Sec. No.
paper.....		807.06 to 807.06(c), 807.07(f)
punctuation, role of.....		807.12(a)(i) to 807.12(a)(iii), 807.14(c)
purpose of.....		807
required for marks other than sound and scent.....		807
separate drawing page.....		807.06(b)
showing position of mark.....		807.08
size of.....		807.06(a)
special form (See also: <u>Special form drawing</u>)		
standard character.....		807.03 to 807.03(i)
substitute.....		807.15
three-dimensional marks.....		807.10, 1202.02(c)(iv)
type of paper for.....		807.06(a)
typed (See also: <u>Standard character drawing</u>)		
Dual citizenship asserted by applicant.....		803.04
Due diligence in monitoring status of pending matters.....		108.03, 1705.05, 1712.01, 1714.01(d)
Duplicate registrations not issued.....		703
Duration of registration.....		1602 to 1602.04
E		
Educational institution as owner of mark.....		803.03(f)
Effective date		
of Madrid Protocol Implementation Act.....		1900 (introductory text)
of Trademark Act of 1946.....		1601.02
of Trademark Law Revision Act of 1988.....		1602.01
of Trademark Law Treaty Implementation Act.....		1602.04
Effective filing date of application.....		206 to 206.02
Electronic filing through TEAS.....		301, 303.02(a)
confirmation of receipt of.....		303.02(a)
drawings.....		807.05
signature.....		301, 611.01(c)
specimens.....		904.02(a)
Electronic funds transfer.....		405, 406
Electronic image files.....		109.01, 402
Electronic mail.....		304 to 304.10
address for correspondence by.....		304.08
attachments must be in .jpg or .pdf format.....		304.01, 304.07
authorization of.....		304.03
cannot be used to respond to examining attorneys' Office actions.....		304.02, 709.05
communications that may be filed by.....		304.01, 304.02
filing date of.....		304.04
outgoing.....		304.06, 403, 717.01
procedure.....		709.04, 709.05
signature of.....		304.07, 611.01(c)
Electronic signature.....		611.01(c)
English, application must be in.....		802
Enrollment and Discipline, Office of.....		602.03, 602.03(a), 602.03(b), 720
Entity of foreign applicants, common terms designating.....		803.03(i), 803.04, D
Entity, legal.....		803.03 to 803.03(k), D
Equivalents, foreign.....		1207.01(b)(vi) to 1207.01(b)(vi)(C), 1209.03(g), 1211.01(a)(vii)
Errors in cover sheet or recorded document, correction of.....		503.06 to 503.06(f)
Establishing ownership of applications or registrations.....		502 to 502.03

TRADEMENT MANUAL OF EXAMINING PROCEDURE

E — E

	Sec. No.	Sec. No.
Estate as owner of mark.....		<u>803.03(e)</u>
European Community Trademark.....		<u>B</u>
Evidence with respect to refusal or requirement.....		<u>710 to 710.03</u>
from Internet.....		<u>710.01(b)</u>
from research database.....		<u>710.01(a)</u>
indicating no refusal or requirement necessary.....		<u>710.02</u>
of third party registration.....		<u>710.03</u>
record must be complete prior to appeal.....		<u>710.01(c), 1501.02(b)</u>
remand for introduction of new evidence.....		<u>1504.05, 1504.05(a)</u>
Ex parte, contacts with third parties regarding ex parte matters.....		<u>1806</u>
Examination		
checklist.....		<u>818</u>
initial (See also: <u>Intent-to-use applications, initial examination of</u>).....		<u>704.01, 1102 to 1102.04</u>
of related applications.....		<u>702.03 to 702.03(b)</u>
of §66(a) application.....		<u>1904.02 to 1904.09(b)</u>
order of.....		<u>702.01</u>
procedure.....		<u>704 to 704.03</u>
search.....		<u>704.02, 718.07</u>
“second” examination (See also: <u>Statement of use, examination of</u>)		
“special” applications.....		<u>702.02, 1710</u>
statutory authority for.....		<u>701</u>
suspended applications.....		<u>716 to 716.06</u>
Examiner’s		amendment
approval of.....		<u>707.01</u>
combined with priority action.....		<u>708.05</u>
form of.....		<u>707.03</u>
may not be issued as first action in §66(a) application.....		<u>707</u>
objection to amendment of identification of goods/services.....		<u>1402.07(e)</u>
paralegal cannot authorize.....		<u>608.01, 707.01</u>
to charge fee.....		<u>405.03, 810.01</u>
to enter standard character claim.....		<u>707.02</u>
when appropriate.....		<u>707.01, 707.02</u>
without prior authorization.....		<u>707.02</u>
Examining attorneys’ appeal briefs (See also: <u>Appeal briefs, examining attorneys’</u>)		
Express abandonment of application.....		<u>608.01, 611.02, 718.01</u>
“Express Mail,” filing of correspondence by.....		<u>305.03</u>
Extension of protection of international registration to the United States (See also: <u>Registered extension of protectionSection 66(a)</u>)		
affidavit of continued use or excusable nonuse.....		<u>1613, 1904.11</u>
affidavit of incontestability.....		<u>1605.01, 1904.11</u>
amendment of identification of goods/services.....		<u>1402.01(c), 1609.01, 1904.02(c) to (c)(v), 1906.01(i)</u>
amendment of mark not permitted.....		<u>807.13(b), 1609.02, 1904.02(j), 1906.01(i)</u>
amendment to Supplemental Register not permitted.....		<u>801.02(b), 815, 816.01, 816.04, 1904.02(f)</u>
amendments to.....		<u>1904.13(a)</u>
assignability of.....		<u>501.01(b)</u>
assignee, issuance of registration certificate in name of.....		<u>502.02(b), 502.03</u>
assignment of.....		<u>501.01(b), 501.07, 502.01, 502.02(b), 502.03, 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)</u>
basis.....		<u>806.01(e), 806.03(k), 1505.02(f), 1904.01(a)</u>
can be amended under §7 only in limited circumstances.....		<u>1609.01(a)</u>
cancellation of, by IB.....		<u>1904.08</u>

INDEX

F — F

	Sec. No.	Sec. No.
cannot be based on USPTO application.....		1904.01(h)
certificate of registration.....		1904.05
certificate of registration, issuance in name of new owner.....		502.02(b), 502.03
claim of acquired distinctiveness.....		1212.08
classification of goods and services.....	1401.03(d), 1403.02, 1403.02(b), 1403.02(c), 1505.02(b), 1609.04, 1904.02(b), 1904.02(c) to (c)(v)	
concurrent use registration not permitted.....		1207.04(b)
constructive use.....		1904.01(g)
correction of errors.....	1904.02(e)(i), 1904.03(f), 1904.13, 1904.13(b), 1904.14, 1906.01(f)	
correspondence address.....		609.01(a), 1904.02(i)
declaration of intent to use required.....		804.05, 1904.01(c)
dividing.....		1110.11, 1615.02
drawing.....	807.03(b), 807.03(g), 807.03(h), 807.07(b), 807.07(d)(iii), 807.07(f), 807.12(c), 807.13(b), 1904.02(k)	
establishing right to take action.....		502.01
examination of.....		1904.02 to 1904.09(b)
express abandonment of.....		608.01, 611.02, 718.01
filing basis.....		806.01(e), 806.03(k), 1505.02(f), 1904.01(a)
filing date.....		201, 202, 203, 1904.01(b)
filing fee.....		202.03, 810, 810.01, 1401.05(b), 1904.01(f)
filing receipt.....		401.01
identification of goods and services.....	1402.01(c), 1609.01, 1904.02(c) to (c)(v), 1906.01(i)	
incontestability, affidavit of.....		1605.01, 1904.11
invalidation of.....		1904.07
issuance of registration certificate in name of new owner.....		502.02(b), 502.03
jurisdiction.....		1504.04, 1904.02(l)
limitation in list of goods/services.....	1904.02(e)(ii), 1904.03(g), 1904.15, 1906.01(e)	
mark cannot be amended.....		807.13(b), 1609.02, 1904.02(j), 1906.01(i)
must be filed by holder of international registration.....		1201
notice of refusal.....		1904.03 to 1904.03(g), 1904.04
Office actions, issuing.....		1904.02(h)
opposition.....	1503.01, 1503.05, 1504.02, 1504.05(a), 1904.04	
ownership, change of.....	501.01(b), 501.07, 502.01, 502.02(b), 502.03, 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)	
ownership, in general.....		501, 502, 1201, 1904.06
priority claim.....		206.02, 1904.01(e)
recording change of ownership.....	503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)	
refusal of.....	711.02, 714.05(b), 1904.03, 1904.03(g), 1904.04	
remains part of international registration.....		1904.05
remand.....		1504.02, 1504.04, 1504.05(a)
renewal of.....		1602.01, 1606.01(b), 1614, 1905
replacement.....		1616, 1904.12
signature.....		804.04, 804.05, 1904.01(c)
Supplemental Register, amendment to not a new issue.....		714.05(a)(i)
Supplemental Register, not registrable on.....	801.02(b), 815, 816.01, 816.04, 1904.02(f)	
transformation of.....		1904.09 to 1904.09(b)
use not required.....		901, 1904.01(d)
verification.....		804, 804.04, 804.05, 1904.01(c)
Extension of time to file statement of use (See also: Request for extension of time to file statement of use)		
Extension of time to oppose.....		1503.04
F		
Facsimile transmission, certificate of (See also: Facsimile transmission, filing of correspondence by)		

TRADEMENT MANUAL OF EXAMINING PROCEDURE

F — F

	Sec. No.	Sec. No.
effect of.....		306.05(c)
eligible correspondence.....		306.01
non-receipt of correspondence by PTO.....		306.05(d)
not available for filing international applications.....		306.01
not available for filing requests for transformation.....		306.01
not available for filing requests to record changes to an international registration.....		306.01
not available for filing responses to IB notices of irregularities.....		306.01
not available for filing subsequent designations.....		306.01
not available for filing trademark applications.....		306.01
requirements for.....		306.05 to 306.05(c)
wording of certificate.....		306.05(b)
Facsimile transmission, filing of correspondence by (See also: <u>Facsimile transmission, certificate of</u>)		
documents excluded.....		306.01
documents included.....		306.01
effect of.....		306.03
not available for filing applications.....		306.01
procedure for.....		306.04, 306.05
False suggestion of connection.....		1203, 1203.03 to 1203.03(f), 1203.03(f)
Family of marks.....		1207.01(d)(xi)
Fax copies of documents, filing of.....		302.01
Fax transmission (See also: <u>Facsimile transmission</u>)		
Federal holiday, response period ending on.....		308
Fee(s)		
electronic funds transfer.....		405.05
for correcting irregularities in international application.....		1902.07(b)(i)
for filing amendment to allege use.....		1104.09(g)
for filing application.....		202.03, 810 to 810.02, 1401.04, 1403.01
for filing ex parte appeal.....		1501.04
for filing international application.....		1902.02(i), 1903.02
for filing multiple-class application.....		810, 810.01, 1401.04, 1403.01
for filing notice of opposition.....		1503.01
for filing petition to revive abandoned application.....		1714 to 1714.01(b)
for filing request for extension of time to file statement of use.....		1108.02(c)
for filing request for transformation.....		1904.09
for filing request to record changes to an international registration.....		1906.01(a)(i)
for filing statement of use.....		1109.15
for filing subsequent designation.....		1902.08(c)
for recording document in Assignment Division.....		503.03(d)
for request to divide.....		1110.02
international, payment of.....		1903.02
method of payment of.....		405
number of.....		810, 810.01, 1401.04, 1403.01, 1403.05(b)
refund of (See also: <u>Refund of fees</u>)		
refused by financial institution.....		405.06
returned check.....		202.03(a) to 202.03(a)(i), 405.06, 1104.09(g), 1108.02(c), 1109.15(a), 1604.06(c), 1606.05(c)
Files, access to.....		109 to 109.03
Files, destruction of.....		109.02
Files, electronic.....		109.03, 402 to 402.01
Filing basis		
abandonment of multiple-basis application.....		806.02(d)

INDEX

F — F

	Sec. No.	Sec. No.
amendment after publication.....	806.03(j) to 806.03(j)(ii), 1505.02(f)	
amendment from §1(a) to §1(b).....	806.03(c)	
amendment from §1(b) to §44.....	806.03(g)	
amendment from §44 to §1(b).....	806.03(d)	
amendment of §66(a) basis not permitted.....	806.03(k), 806.03(l), 1505.02(f), 1904.01(a)	
amendment, effect on filing date.....	806.03(h), 806.04(b)	
amendment, verification of.....	806.02(e), 806.03(i)	
bona fide intention to use mark in commerce.....	806.01(b) to 806.01(d), 806.02(e)	
changing.....	806.03 to 806.03(k)	
changing after publication.....	806.03(j) to 806.03(j)(ii)	
claim of priority under §44(d).....	806.01(c), 806.02(f), 806.03(b), 806.04(b), 1003	
combining §1 and §44.....	806.02(e), 806.02(f), 806.03(d), 806.03(f), 806.04(b)	
combining §1(a) and §1(b).....	806.02(a), 806.02(b), 806.03(c)	
deletion of §1(b) basis.....	806.04 to 806.04(b)	
deletion of, in general.....	806.04	
extension of protection of international registration under §66(a).....	806.01(e), 1904.01(a)	
foreign registration under §44(e).....	806.01(d), 806.02(e), 1004	
intent-to-use.....	806.01(b), 806.02(b), 806.03(c), 806.03(d), 806.03(e), 806.03(g), 806.04(a)	
multiple.....	806.02 to 806.02(f)	
must be stated in written application.....	806	
requirements for establishing.....	806.01 to 806.01(d)	
review prior to publication or issue.....	806.05	
specimen, deferral of examination in multiple-basis application.....	806.02(c)	
use in commerce.....	806.01(a), 806.02(b), 806.03(c)	
when basis can be changed.....	806.03(a)	
Filing date label.....	303.02(b)	
Filing	date,	application
cancelled if minimum requirements not met.....	204.01	
change of.....	204.03, 205, 1711	
denial of.....	204 to 204.03, 1711	
effective.....	206 to 206.02	
in extension of protection of international registration.....	1904.01(b)	
minimum requirements for.....	202	
of §66(a) application.....	1904.01(b)	
priority.....	206.02, 806.01(c), 1003 to 1003.02, 1003.05	
request for restoration of.....	1711	
requirements for receiving.....	202 to 202.03	
restoration of.....	1711	
review for compliance with minimum requirements.....	203	
review of denial of.....	1711	
section 66(a) application.....	1904.01(b)	
what constitutes.....	201	
Filing date, for correspondence (in general).....	205, 303.01, 304.04	
Filing date, for correspondence filed via electronic mail.....	304.04	
Filing fee, application.....	202.03, 810 to 810.02, 1403.01	
in §66(a) application.....	202.03, 810, 810.01, 1904.01(f)	
varies depending on whether application filed on paper or through TEAS....	202.03, 810, 1110.02, 1403.02(c)	
Filing of correspondence with International Bureau.....	1906 to 1906.01(i)	
Filing of correspondence with USPTO.....	305.01	
by courier or delivery service.....	307	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

F — F

	Sec. No.	Sec. No.
by “Express Mail”.....		305.03
by fax (See also: <u>Facsimile transmission, filing of certain papers and fee authorizations</u> by)		
by hand delivery.....		307
by mail.....		305 to 305.03
by unauthorized individual.....		608.01, 611.05 to 611.05(c)
certificate of mailing procedure for (See also: <u>Certificate of mailing procedure</u>)		
certificate of transmission procedure for (See also: <u>Facsimile transmission, certificate of</u>)		
time between execution and.....		804.03, 1104.09(b), 1108.02(b), 1109.11(c)
Filing receipt.....		401.01
Final		refusal
action after.....		715 to 715.04
for failure to provide information requested by examining attorney.....		814
issuance of, after removal of application from suspension.....		716.06
new issues requiring issuance of nonfinal action.....		714.05 to 714.05(c), 715.03(b)
not permissible on first action.....		714.01
not permissible on suspension.....		714.02
pertaining to identification.....		714.05(a)(ii)
pertaining to proposed amendment of drawing.....		714.05(a), 807.17
premature.....		714.06
proper response to.....		715.01
request for reconsideration after.....		715.03 to 715.04(b), 1714.01(a)(ii), 1714.01(f)(ii)
statement of grounds of.....		714.04
time for appeal runs from date of.....		715.03(c)
when appropriate.....		714.03, 714.05 to 714.05(c)
with respect to amendment to Supplemental Register.....		714.05(a)(i)
with respect to submission of consent agreement.....		714.05(d)
with respect to submission of substitute specimen.....		714.05(e) to (f)
with respect to §2(f) claim.....		714.05(a)(i), 1212.02(h)
“Firm”.....		803.02(b)
Flag, refusal to register mark comprising.....		1204, 1204.01 to 1204.01(e), 1204.03
Flavor as mark.....		904.03(m), 1202.13
Foreign		applicant
common terms designating entity of.....		803.03(i), D
signature on behalf of.....		611.06(e), 804.04
Foreign attorney (See also: <u>Attorney, foreign</u>)		
“Foreign” commerce.....		901.03
Foreign equivalents, doctrine of.....		1207.01(b)(vi), 1209.03(g), 1210.10
Foreign priority (See also: <u>Priority under §44(d), application claiming</u>)		
Foreign registration, application based on ownership of.....		1005
assignment of home country application or registration.....		1006
based on registration issued by a “common office of several states”.....		1002.03
bona fide intention to use mark in commerce.....		806.01(d), 806.02(e), 807.02(g), 1004, 1008
certification or certified copy of foreign registration required.....		806.01(d), 1004.01
country of origin.....		1002.04
dividing.....		1110.10
drawing.....		807.03(f), 807.12(b), 1011 to 1011.04
establishing entitlement under a treaty.....		1002.01, 1002.03
from applicant’s country of origin.....		1002.04
identification of goods/services.....		1012, 1402.01(b)
international registration as basis for §44 application.....		1016

INDEX

G — G

	Sec. No.	Sec. No.
may not be filed before foreign registration has issued.....		<u>1004</u>
must be in force.....		<u>1004.01(a)</u>
one mark per registration.....		<u>1011.02</u>
ownership of foreign registration.....		<u>1005</u>
requirements for receiving a filing date.....		<u>202 to 202.03</u>
requirements for §44(e) basis.....		<u>806.01(d), 1002.01, 1004</u>
specimen not required.....		<u>1009</u>
standard character claim in.....		<u>807.03(f), 1011.01</u>
status of foreign registration.....		<u>1004.01(a)</u>
suspension pending receipt of copy of foreign registration not permitted except in extraordinary situation.....		<u>1004.01</u>
translation of.....		<u>1004.01(b)</u>
use not required.....		<u>1009</u>
Form paragraphs.....		<u>705, 705.01</u>
Forms, trademark.....		<u>103, 301, 802</u>
Fragrance as mark.....		<u>807.09, 1202.13</u>
Franchisees, use of mark by.....		<u>1201.03(f)</u>
Fraud.....		<u>720, 906.04</u>
Freedom of Information Act requests.....		<u>1803</u>
for copies of letters of protest.....		<u>1715.05</u>
Functionality.....		<u>1202.02(a) to 1202.02(a)(v)(iii)</u>
G		
General information booklet about trademarks.....		<u>105</u>
Generic terms.....		<u>1209.01(c) to 1209.01(c)(iii), 1215.05</u>
Geographic		terms
“America” and “American”.....		<u>1210.02(b)(iv)</u>
arbitrary use of.....		<u>1210.04(d)</u>
arbitrary, fanciful, or suggestive composite.....		<u>1210.02(c)(iii)</u>
as certification marks.....		<u>1210.09, 1306.02 to 1306.02(c)</u>
combined with additional matter.....		<u>1210.02(c) to 1210.02(c)(iii), 1210.06 to 1210.06(b)</u>
combined with domain name.....		<u>1215.06</u>
disclaimer of.....		<u>1210.06(a), 1210.06(b)</u>
geographic locations.....		<u>1210.02(a)</u>
goods/place association.....		<u>1210.04, 1210.04(a)</u>
misleading indication of origin of wines/spirits.....		<u>1210.08</u>
more than one location with same name.....		<u>1210.02(b)(ii)</u>
non-geographic characteristics.....		<u>1210.02(b)(iii)</u>
obscure or remote.....		<u>1210.04(c)</u>
origin of goods or services.....		<u>1210.03</u>
other meanings.....		<u>1210.02(b)(i) to (i)(A)</u>
primary geographic significance.....		<u>1210.02</u>
registrability on Supplemental Register.....		<u>1210.07(a)</u>
registrability under §2(f).....		<u>1210.07(b)</u>
registration of, under §2(f).....		<u>1210.07(b)</u>
services/place association.....		<u>1210.04, 1210.04(b)</u>
two geographic terms combined.....		<u>1210.02(c)(i)</u>
used as certification mark to designate regional origin.....		<u>1306.02</u>
with surname significance.....		<u>1210.02(b)(i)(A)</u>
Geographical indications used on wine or spirits.....		<u>1210.08 to 1210.08(c)</u>
Geographical marks, deceptive (See also: Geographically deceptively misdescriptive marks).....		<u>1203.02(c), 1210.01(b), 1210.01(c), 1210.05 to 1210.05(c)(ii)</u>

TRADEMENT MANUAL OF EXAMINING PROCEDURE

H — I

Sec. No.	Sec. No.
basis for refusal.....	1210.04(a)
case references.....	1210.05(e)
disclaimer.....	1210.06(b)
elements of.....	1210.05(b)
materiality of deception.....	1210.05(c) to 1210.05(c)(ii)
neither applicant nor goods/services come from the place named.....	1210.05(d)
procedures for issuing refusal.....	1210.05(d)
registrability on Supplemental Register.....	1210.07(a)
registrability under §2(f).....	1210.07(b)
test.....	1210.01(c)
used on wines and spirits.....	1210.08
Geographically deceptively misdescriptive marks (See also: <u>Geographical marks, deceptive</u>).....	1210.01(b),
<u>1210.05(a) to 1210.05(e), 1210.06(b)</u>	
basis for refusal.....	1210.04(a)
disclaimer.....	1210.06(b)
materiality of deception.....	1210.05(c) to 1210.05(c)(ii)
registrability on Supplemental Register.....	1210.07(a)
registrability under §2(f).....	1210.07(b)
test.....	1210.01(b)
Geographically descriptive marks.....	1210 to 1210.09, 1210.01(a)
combined with additional matter.....	1210.06 to 1210.06(b)
disclaimer.....	1210.06(a)
registrability on Supplemental Register.....	1210.07(a)
registrability under §2(f).....	1210.07(b)
test.....	1210.01(a)
Geometric shapes.....	1202.11
Gift baskets, identification and classification.....	1401.05(a), 1902.02(g)(iii)
Good cause, showing of, in request for second or subsequent extension of time to file statement of use.....	1108.02(f)
Goods (See also: <u>Classification of goods/services/Identification of goods/services</u>)	
Goods in trade.....	1202.06 to 1202.06(c)
Goods/place association.....	1210.04 to 1210.04(d)
Government insignia.....	1204, 1204.02 to 1204.02(d), 1204.03
Governmental body as owner of mark.....	803.03(f)
Grade designation.....	1202.16 to 1202.16(c)(v)(B)
Gray tones in drawings.....	807.07(d) to (d)(iii)
Greek cross.....	1205.01
H	
Hague convention.....	804.01(a)(i)
Hand delivery of correspondence relating to Madrid applications.....	1900
Hand delivery of correspondence to USPTO.....	307
Heading or caption for papers.....	302.03(a), 302.03(b)
Holiday (See also: <u>Federal holiday, response period ending on</u>)	
Hologram.....	807.01, 1202.14
House mark.....	1402.03(b)
I	
Identification of goods/services	
accuracy of.....	1402.05
ambiguous.....	1402.07(b)
amendment of portion.....	1402.13
amendment permitted to clarify or limit.....	1402.06 to 1402.06(b)

INDEX

I — I

	Sec. No.	Sec. No.
association services.....		1402.11(c)
award programs.....		1402.11(j)
bonus programs.....		1402.11(h)
broad terms in.....	1402.03, 1402.03(a)	
certification mark.....		1306.06(e)
charitable services.....		1402.11(d)
clarification of.....	1402.06 to 1402.06(b)	
components or ingredients.....		1402.05(a)
computer programs.....		1402.03(d)
computer services.....		1402.11(a)
consulting services.....		1402.11(e)
date of changes to USPTO ID manual.....		1401.12
deletion of items from.....	1104.09(c), 1107, 1108.02(d), 1109.13, 1402.06(a)	
distribution of video tapes, audio tapes, and videodiscs.....		1402.11(f)
entitlement to filing date with respect to.....	202.02, 1402.02	
final refusal pertaining to.....		714.05(a)(ii)
“full line of”.....		1402.03(c)
general guidelines.....		1402.01(a)
gift baskets.....	1401.05(a), 1902.02(g)(iii)	
house mark.....		1402.03(b)
identical language in more than one class not permitted.....		1401.07
in amendment to allege use.....		1104.09(c)
in international application.....		1902.02(f)
in request for extension of time to file statement of use.....		1108.02(d)
in response to IB notice of irregularity.....		1902.07(c)(ii)
in statement of use.....		1109.13
in §44 application may not exceed scope of those in foreign registration.....	1012, 1402.01(b)	
in §66(a) application.....	1402.01(c), 1904.02(c) to (c)(v)	
inappropriate in collective membership mark application.....		1304.08(d)
inclusive terminology in.....		1402.03(a)
industry terms.....		1402.11(i)
information services.....		1402.11(b)
kits.....	1401.05(a), 1902.02(g)(iii)	
limitation of.....	1402.06 to 1402.06(a)	
location of.....		1402.01(d)
Manual of acceptable identifications.....		1402.04
medical vs. non-medical goods.....		1401.05(b)
moving goods/services between companion applications.....		1402.08
negative language in.....		1402.06(a)
online publications.....		1402.11(a)
“ordinary meaning” test for determining scope of.....		1402.07(a)
parenthesis and brackets should not be used in.....	707.02, 1402.12	
printed publications.....	1402.03(e), 1402.11(a)	
recorded entertainment services.....		1402.11(g)
registered marks may not be used in.....		1402.09
required for application to receive a filing date.....	202.02, 1402.02	
scope of, for amendment purposes.....	1402.07, 1402.07(d), 1402.07(e)	
services.....		1402.11
specificity of terms in.....	1402.01, 1402.03, 1402.03(e)	
trademarks/service marks inappropriate in.....		1402.09

TRADEMENT MANUAL OF EXAMINING PROCEDURE

I — I

	Sec. No.	Sec. No.
unacceptable amendments.....		1402.15
unambiguous.....		1402.07(c)
Immoral or scandalous matter.....		1203.01
Improper use of registration notice.....		906.02
Inadvertently issued registration.....		1109.15(a), 1503.04, 1609.10(a)
Incomplete application materials.....		204 to 204.03
Incomplete response to Office action.....		611.05(a) to (c), 718.03 to 718.03(c), 1713.01 to 1713.02, 1714.01(f)(ii)
Incontestability		
affidavit of (§15) (See also: Affidavit of incontestability)		
effect in examination.....		1216.02
Indexing against recorded document not permitted.....		503.07
Individual as applicant		
citizenship of.....		803.04
doing business under assumed name.....		803.02, 803.02(a), 803.02(c)
name of.....		803.02(a)
Individual, living, name or likeness of (See also: Consent to register by living individual)		
Individuals who may practice before Office in trademark matters.....		602 to 602.03(e)
Informal application materials.....		204 to 204.03
Informal communications with examining attorney.....		304.01, 709.05
Information, examining attorney's request for.....		814
Informational matter		
as mark.....		1202.04, 1301.02(a)
on drawing, deletion of.....		807.14(a)
Initial examination to be complete.....		704.01, 1102 to 1102.04
Inquiry		
by examining attorney.....		814
from Congressional office.....		1802
from member of press.....		1804
from third party regarding ex parte matter.....		1806
general inquiries from public.....		1805
letter of, with respect to suspended application.....		716.05
regarding status of pending matters.....		108 to 108.03, 1705.05
Insignia, refusal to register mark comprising.....		1204
Inspection of files on Office premises.....		109
"Insurance" extension of time to file statement of use.....		1108.03 to 1108.03(a), 1109.16(c) to (d)
Intent-to-use applications (See also: Amendment to allege useNotice of allowanceRequest for extension of time to file statement of useStatement of use)		
allegation of use required prior to registration.....		1103
assignment of.....		501.01(a)
distinctiveness under §2(f).....		1102.04, 1212.09, 1212.09(b)
initial examination of:		
color marks.....		1202.05(h)
configuration.....		1202.02(d)
drawings.....		1102.02, 1104.09(f), 1109.12
for use as mark.....		704.01, 1102 to 1102.04
identification of goods/services.....		1104.09(c), 1108.02(d), 1109.13
ornamentation.....		1202.03(e)
publication of.....		1105
statutory averments.....		804.02, 806.01(b), 1101
Supplemental Register.....		1102.03
under §2(d).....		1102.01

INDEX

J — J

Sec. No.	Sec. No.
under §§1, 2(a), 2(b), 2(c), 2(e).....	1102.01
Inter-American Convention.....	1001, B
Interference, petition to declare.....	1208.03 to 1208.03(c), 1507
“Internal inconsistencies,” amendment of drawing to cure not permitted.....	807.14(d)
International Alphabetical List.....	1401.02(c)
International applications for registration.....	1902 to 1902.07(f)
certification by USPTO.....	1902.03
certification of.....	1902.03
classification in.....	1902.02(g) to 1902.02(g)(iii)
color claim.....	1902.02(e)
description of mark.....	1902.02(k)
drawing.....	1902.02(d)
examination by IB.....	1902.06
fee for.....	1902.02(i)
form for filing.....	1902.02(a)
identification of goods and services.....	1902.02(f)
irregularities in.....	1902.07 to 1902.07(f)
requirements for receipt in USPTO.....	1902.02
requirements for registration by IB.....	1902.05
International Bureau.....	1900 (introductory text)
International fees.....	1903.02
International registrations.....	1901
application for.....	1902 to 1902.07(f)
as basis for §44 application.....	1016
cancellation of, by International Bureau.....	1904.08, 1906.01(e)
central attack.....	1902.09
changes to.....	1906.01 to 1906.01(j)
correction of.....	1904.03(f), 1904.14, 1906.01(f)
date of.....	1902.04
dividing.....	1906.01(a)(iv)
in general.....	1901
invalidation.....	1904.07
limitation in list of goods/services.....	1904.03(g), 1904.15, 1906.01(e)
renewal of.....	1905
restriction of holder’s rights of disposal.....	1906.01(b)
who can file.....	1902.01
International trademark agreements.....	1001, B
Internet domain names, marks comprising.....	1209.03(m), 1215 to 1215.10
Internet, evidence from.....	710.01(b)
Interruption of U.S. Postal Service.....	305.04
Interview with applicant or attorney.....	709 to 709.06
J	
Joint	applicants
application by.....	803.03(d)
signature by.....	611.06(a), 804.04
Joint	venture
name of.....	803.03(b)
setting forth names and citizenship of joint venturers.....	803.03(b)
signature by.....	611.06(c), 804.04
state or country of organization.....	803.03(b)

TRADEMENT MANUAL OF EXAMINING PROCEDURE

K — L

	Sec. No.	Sec. No.
Jurisdiction over application.....	1504 to 1504.05(a),	1904.02(l)
Jurisdiction, request for.....	1504.04(a)	
Juristic person.....	803.01, 1203.03(a)	
K		
Kits, identification and classification.....	1401.05(a), 1902.02(g)(iii)	
L		
Lanham Act (See also: Trademark Act of 1946)		
Laudatory terms in marks.....	1209.03(k)	
Legal advice, Office cannot render.....	108.02, 1801, 1805	
Legal entity of applicant set forth in application.....	803.03, 803.03(i)	
Letter of inquiry with respect to suspended application.....	716.05	
Letter of protest in pending application (See also: Protest)		
Letterhead stationery as specimen of service mark use.....	1301.04(c)	
License agreements, recording of.....	503.02, 1906.01(h)	
Licensees, use of mark by.....	1201.03(f)	
Likelihood of confusion		
actual confusion unnecessary.....	1207.01(d)(ii)	
attack on registration improper in ex parte proceeding.....	1207.01(d)(iv)	
between collective and other marks.....	1304.08(b)	
classification not relevant.....	1207.01(d)(v)	
collateral attack on registration improper in ex parte.....	1207.01(d)(iv)	
consent between parties.....	1207.01(d)(viii)	
design marks.....	1207.01(c) to 1207.01(c)(iii)	
doubt resolved in registrant's favor.....	1207.01(d)(i)	
expansion of trade doctrine.....	1207.01(a)(v)	
family of marks.....	1207.01(d)(xi)	
famous mark.....	1207.01(d)(ix)	
foreign equivalents.....	1207.01(b)(vi)	
legal equivalents.....	1207.01(c)(i)	
meaning, similarity in.....	1207.01(b)(v)	
no per se rule.....	1207.01(a)(iv)	
parody marks.....	1207.01(b)(x)	
past decisions of examining attorneys.....	1207.01(d)(vi), 1216 to 1216.02	
pharmaceuticals or medicinal products.....	1207.01(d)(xii)	
related companies and.....	1201.07 to 1201.07(b)(iv)	
relatedness of goods and/or services.....	1207.01(a) to 1207.01(a)(vi)	
reliance on identification of goods/services in application/registration.....	1207.01(a)(iii)	
similarity of.....	1207.01(b)	
similarity of marks.....	1207.01(b) to 1207.01(b)(x)	
sophisticated purchasers.....	1207.01(d)(vii)	
sound, similarity in.....	1207.01(b)(iv)	
third-party registrations.....	1207.01(d)(iii)	
transposition of terms.....	1207.01(b)(vii)	
unity of control.....	1201.07 to 1201.07(b)(iv)	
weak marks.....	1207.01(b)(ix)	
Limited liability company.....	803.03(h)	
signature by.....	611.06(g), 804.04	
Limited liability corporation.....	803.03(h)	
Limited liability partnership.....	803.03(k)	
signature by.....	611.06(h)	
Lining statement.....	808.01(b)	

INDEX

M — N

	Sec. No.	Sec. No.
Living individual, name or likeness of (See also: Consent to register by living individual)		
Loss of papers.....	305.02(f) , 305.03 , 1711 , 1712.01 , 1712.02(a)	
M		
Madrid Protocol (See also: Extension of protection of international registration to the United States Registered extension of protection §66(a) application).....		1900
Mail sent to USPTO related to trademarks.....	302.03(a) , 302.03(b)	
Maintenance of registration.....	1602 to 1602.04	
Manner of use of mark (See also: Method-of-use)		
Mark drawing code.....		807.18
Material alteration.....	807.03(d) , 807.14 to 807.14(f) , 1604.13 , 1609.02(a)	
Material difference between mark on drawing and mark in foreign registration.....	807.12(a) to 807.12(a)(iii) , 807.12(b) , 1011.01	
Meaning of term in mark, inquiry as to.....		808.01(a)
Membership mark (See also: Collective membership mark)		
Mere descriptiveness (See also: Descriptiveness, mere)		
Merger, documents of.....		503.02
Method-of-use.....		905
Minor as applicant.....		803.01
“Misspelled” words.....	1209.03(j) , 1213.08(c)	
Mistake in applicant’s name, correction of.....		1201.02(c)
Mistake in registration, correction of.....	1609.10 to 1609.10(b)	
Model or grade designation.....	1202.16 to 1202.16(c)(v)(B) ,	
More than one mark.....		202.01 , 807.01
Motion marks.....	807.11 , 904.03(l)	
Moving goods/services between companion applications.....		1402.08
Multiple copies of papers should not be filed.....		302.02
Multiple drawings.....	202.01 , 807.01	
Multiple filing bases.....	806.02 to 806.02(f)	
Multiple-class application (See also: Combined application)		
Musical performer/group names.....	1202.09 , 1202.09(a) , 1301.02(b)	
“Mutilation” of mark.....		807.12(d)
N		
NAFTA (See also: North American Free Trade Agreement)		
Name of applicant, correcting mistake in.....		1201.02(c)
Name, portrait or signature as mark (See also: Consent to register by living individual).....	1206 to 1206.05	
Names of artists and authors as marks.....	1202.09 to 1202.09(b) , 1206.01 , 1301.02(b)	
Names of characters, authors and artists as a mark.....	1202.09 to 1202.09(b) , 1202.10 to 1202.10(a)	
National Archives and Records Administration.....		503.08
National symbols.....	1203.03 , 1203.03(b) , 1203.03(c) , 1203.03(d)	
Negative identification language.....		1402.06(a)
New certificate of registration, issuance to assignee.....		502.03
Nice Agreement.....	1401.09 to 1401.11	
Non-attorney may not represent parties in the USPTO.....	602.02 , 608.01 , 611.02 , 707.01 , 708.02 , 712	
Non-English wording in mark, translation of.....		809 to 809.02
Non-Latin characters in mark, transliteration of.....		809 to 809.02
Non-lawyers.....	602.02 , 608.01 , 611.05 to 611.05(c) , 707.01 , 708.02 , 712.01	
Non-profit corporation.....		803.03(c)
Non-receipt of examining attorney’s Office action.....		717.02
Non-registration.....		1205.02
Non-responsive communications.....		719
Non-visual marks.....		807.09

TRADEMENT MANUAL OF EXAMINING PROCEDURE

O — O

	Sec. No.	Sec. No.
Nonuse of registered mark.....		1604.11
North American Free Trade Agreement (NAFTA).....	1210, 1210.01(b), 1210.05(a), 1210.07(a), 1210.07(b), 1211, 1212, 1212.02(a)	
Notes-to-the-File.....		709.04, 710.02
Notice of abandonment.....		718.04, 718.06
Notice of allowance.....		1106 to 1106.04
action after issuance of (but before filing of Statement of use).....	806.03(j)(ii), 1106.02, 1107	
cancellation of.....		1106.03
correction of errors in.....		1106.04
information printed on.....		1106.01
issuance of.....		1106.01
non-receipt of.....		1714.01(c)
“Notice of incomplete trademark application”.....		204.01
Notice of irregularity of international application for registration, issued by the International Bureau..		1902.07 to 1902.07(f)
Notice of publication.....		704.01
Notice, registration (See also: Registration notice)		
Number of specimens.....		904.01
O		
Office action (See also: Action by examining attorney Provisional refusal Response to Office action)		
non-receipt of.....		717.02
preparation of.....		705 to 705.08
re-mailing of.....		717
response period.....		310, 711 to 711.02
returned as undeliverable.....		403, 717.01
supplemental Office action.....		711.02
“Office Date” label.....		303.02(b)
Office personnel		
not to express opinion on validity of registered mark.....		1801
not to give legal advice.....		108.02
not to testify.....		1801.01
Official Gazette (See also: Trademark Official Gazette).....		106, 1502, 1502.01
“Olympic” and other designations protected under Amateur Sports Act of 1978.....		1205.01 to 1205.01(b)(vii)
One mark, application limited to.....		202.01, 807.01
Operating divisions.....		803.01
Opinion, Office personnel not to express.....		1801
Opposition.....		1503 to 1503.05
extension of time to file.....		1503.04
to §66(a) application.....		1503.05
Order of examination.....		702.01
Order of work.....		702 to 702.02
Ordering abandoned application files.....		109.02
Original document, filing of.....		302.01, 503.08
Ornamentation.....		1202.03 to 1202.03(g)
Other statutes protecting names, initials, marks, etc.....		C
Owner of mark is proper party to apply.....		803.01, 1201
Ownership of application or registration.....		1201 to 1201.07
change of.....		501 to 501.06, 1201.02(e)
establishing.....		502 to 502.03
may be based on use by related companies.....		1201.01
recording change.....		503 to 503.05

INDEX

P — P

	Sec. No.	Sec. No.
§1 application.....		1201
§44 application.....		1201
§66(a) application.....		501.07, 502.02(b), 1201, 1904.06
Ownership of mark (See also: ApplicantAssignmentOwnership of application or registrationRelated Company)		
automatic updating.....		504 to 504.05
change in, after application filed.....		501 to 501.06, 1201.02(e)
inquiry by examining attorney with respect to.....		1201.04
refusal on basis of.....		1201.02(b)
related-company use.....		1201.03 to 1201.03(f)
request to update after recordation of assignment.....		501.01 to 501.02
P		
Papers not returnable.....		404
Paralegal may not represent parties in the USPTO.....		602, 608.01, 611.02, 707.01, 708.02, 712
Paris Convention.....		1001, 1002.03, 1002.04, 1205.01, B
article 6 ^{ter}		1205.02
Partial abandonment.....		718.02(a), 1402.13, 1403.05, 1904.03(d)
Partial assignment.....		501.06, 1110.11, 1615
Partners, setting forth names and citizenship of.....		803.03(b)
in TEAS Plus application.....		819.01(c)
Partnership		
address of.....		803.05
foreign.....		803.03(b), 803.04
name of.....		803.02, 803.03(b)
signature by.....		611.06(b), 804.04
state or country of organization.....		803.02(b), 803.04
Patent and Trademark Depository Libraries.....		112
Patents, design and utility.....		1202.02(a)(v)(A)
Payment refused or charged back by financial institution.....		405.06
Performing artist, name of, as mark.....		1202.09 to 1202.09(a)(iii), 1301.02(b)
Period	for	response
ending on Saturday, Sunday or federal holiday.....		308
how computed.....		310
may run from date of previous action.....		711.01
reset in examining attorney's letter correcting error.....		711.02
statutory.....		711
Person, defined.....		1203.03(a)
Petition decisions available to public.....		110
Petition from examining attorney's holding of abandonment.....		1713.01
Petition to cancel.....		1607
Petition to Director.....		1702, 1703, 1704, 1705 to 1705.09, 1706, 1707, 1708
after refusal of renewal.....		1606.14, 1606.14(c)
after refusal of §8 affidavit.....		1604.18 to 1604.18(c)
appeal to federal court from.....		1705.09
delegation of.....		1709
diligence.....		1705.05
evidence and proof of facts.....		1705.03
fee for.....		1705.02
petitionable matter.....		1704
procedure for.....		1705 to 1705.09
request for reconsideration of denial of.....		1705.08

TRADEMENT MANUAL OF EXAMINING PROCEDURE

P — P

	Sec. No.	Sec. No.
signature of.....		1705.07
standard of review on.....		1706
standing.....		1705.01
stay of deadlines pending disposition of.....		1705.06
supervisory authority.....		1707
timeliness.....		1705.04
to amend basis after publication.....		806.03(j) to 806.03(j)(ii)
to make special (See also: <u>Petition to make “special”</u>)		
to reinstate abandoned applications.....		1712.01
to reinstate cancelled registrations.....		1712.02(a)
to reverse examining attorney’s holding of abandonment.....		1713.01
to review denial of certification of international application.....		1902.03(a)
to review denial of filing date.....		1711
to revive (See also: <u>Petition to revive</u>)		
to waive rules.....		1708
types of.....		1703
Petition to make “special”.....		702.02, 1710
basis for granting or denying.....		1710.01
fee for.....		1710
handling of.....		1710.02
must state facts justifying special action.....		1710.01
submission of.....		1710
Petition to revive abandoned application (See also: <u>Unintentional delay</u>)		
basis for granting.....		1714.01(e)
diligence with respect to.....		1705.05, 1714.01(d)
fee for.....		1714 to 1714.01(b)
request for reconsideration of denial of.....		1714.01(g)
requirements for filing.....		1714.01 to 1714.01(e)
time for filing of.....		1714.01(d)
unintentional delay, statement of.....		1714.01(e)
unintentional delay, where unintentional delay applies.....		1714.01(f) to 1714.01(f)(ii)
Petitionable subject matter.....		1704
“Phantom” elements in marks.....		807.01, 1214 to 1214.04
Pharmaceuticals or medicinal products.....		1207.01(d)(xii)
Photocopies of documents, when acceptable for filing.....		302.01
Piecemeal action to be avoided.....		704.01
Portrait as mark (See also: <u>Consent to register by living individual</u>)		
Postal Service, U.S., interruptions of.....		305.04
Postcard, acknowledgment.....		303.02(c) to 303.02(c)(i)
Power of attorney.....		602.01 to 605.04
associate.....		605.03
change of.....		604.03, 609.02(b)
filed after registration.....		605.04, 1612
relating to multiple application or registration.....		605.02
revocation.....		606
Practice before Office in trademark matters.....		602 to 602.03(e), 611.02
Predecessor in title, first use of mark by.....		903.05
Preparation of case for publication or registration.....		817
President of United States, deceased.....		1206.02, 1206.03
Press releases.....		904.04(b), 904.04(c), 1301.04

INDEX

P — P

Sec. No.	Sec. No.
Press, inquiries from members of.....	1804
Previous examining attorney, examination approach of.....	713.01
Principal	Register
advantages of registration on.....	801.02(a)
applications for registration on.....	801.02(a)
registration on Supplemental Register may not be amended to.....	1609.09
Printing of information in <i>Official Gazette</i> and on registration certificate.....	817
Prior	registrations
effect of.....	1216 to 1216.02
identification of, in application.....	812
“incontestability”.....	1216.02
not controlling.....	1216.01
printing of claim of.....	812, 817
proving ownership of.....	812.01
refusal under §2(d) with respect to.....	812.01
Prior trademark acts (See also: <u>Act of 1881</u><u>Act of 1905</u><u>Act of 1920</u>)	
registrations issued under.....	1601.04, 1601.05, 1602.02, 1602.03
repeal of.....	1601.02
reregistration of marks registered under.....	1601.03
Priority	action
combined with examiner’s amendment.....	708.05
defined.....	708.01
discussion of.....	708.01, 708.03
elements of.....	708.01
form of.....	708.03
must include evidence.....	708.01, 708.03, 708.04
paralegal cannot authorize.....	608.01, 708.02
when appropriate.....	708.02
Priority claim in application for international registration.....	1902.05
Priority claim in request for protection of international registration to the United States.....	206.02, 1904.01(e)
Priority	claim
in	§44(d)
application	
abandonment of foreign application.....	1003.08
amendment of basis, priority filing date retained.....	806.03(h), 806.04(b)
assignment of §44(d) application.....	1006
basis for registration required.....	1003.03
bona fide intention to use mark in commerce must be asserted.....	806.01(c), 806.02(e), 1003, 1008
combined with other bases.....	806.02(f), 1003.04(b)
country of origin.....	1002.04
dividing.....	1110.10
drawing.....	1011 to 1011.04
effect of failing to file within six months.....	1003.02
effective filing date of.....	206.02, 1003.05
eligible applicants.....	1002.02
establishing entitlement under a treaty.....	1002.02, 1002.03, 1002.04
“first-filed” requirement.....	1003.01
identification of goods/services.....	1012, 1402.01(b)
in multiple-basis applications.....	1003.04(b)
inquiry as to status of foreign application.....	1003.04(c)
may also rely on §44(e).....	1003.06
more than one foreign application.....	1003.07
must be filed within six months of foreign filing.....	806.03(b), 1003.02

TRADEMENT MANUAL OF EXAMINING PROCEDURE

Q — R

	Sec. No.	Sec. No.
not limited to country of origin.....		1002.04
one mark per application.....		1011.02
ownership of foreign application.....		1005
priority for publication of.....	201.01, 1003.05	
requirements for receiving a filing date.....		202
requirements for §44(d) filing basis.....		806.01(c)
retention of priority filing date without perfect §44(e) basis.....	806.03(h), 806.04(b)	
suspension pending receipt of foreign registration.....	716.02(b), 1003.04(a)	
U.S. applicant.....		1002.05
use not required.....		1009
valid basis for registration in United States required.....		1003.03
Priority for publication or issue based on effective filing date.....	201.01, 1003.05	
Priority, constructive use.....		201.02
Process, system or method.....		1301.02(e)
Protest, Letter of.....		1715 to 1715.06
adversarial arguments inappropriate.....		1715.01
appropriate subjects to be raised.....	1715.01 to 1715.01(b)	
approval of application for publication after grant of.....		1715.02(b)
does not extend opposition period.....		1715.03(b)
filed after publication.....		1715.03
filed after registration.....		1715.03(b)
filed before publication.....	1715.02(a) to 1715.02(b)	
petition to review denial of.....		1715.06
recourse after denial of.....		1715.06
requests for copies of.....		1715.05
timely filing of.....		1715.03(b)
Provisional refusal of 66(a) applications.....	1904.02(h), 1904.03	
PTDL (See also: Patent and Trademark Depository Libraries)		
Public Records Division of USPTO (See also: Document Services Branch)		
Publication for opposition		
amendments after.....	806.03(j) to 806.03(j)(ii), 1505 to 1505.02(g)	
notice of.....		704.01
of mark in intent-to-use application.....		1105
Publications, marks for		
columns or sections, marks that identify.....	1202.07 to 1202.07(b)	
identification of goods.....		1402.03(e)
specimens for.....	904.02(c)(iii)	
Punctuation,		
addition or deletion of.....		807.14(c)
agreement of drawing and specimen.....	807.12(a)(i) to 807.12(a)(iii), 807.14(c)	
Q		
(No Entry)		
R		
Reasonable time between signing and filing papers.....	804.03, 1104.09(b), 1108.02(b), 1109.11(c)	
Receipt date placed on all correspondence filed.....		303.02(b)
Receipt, filing.....		401.01
Receipt, of documents by Office.....	303 to 303.02(c)	
Receipt, postcard.....		303.02(c)
Reciprocal registration rights.....		1002.03, B
Recognition as a Representative.....		604 to 604.02

INDEX

R — R

	Sec. No.	Sec. No.
Reconsideration after final action.....	715.03 to 715.04(b), 1501.02(a)	
Reconsideration of Board decision, request for, by examining attorney.....	1501.07	
Reconsideration of decision on petition.....	1705.08	
Reconsideration of holding of abandonment.....	718.03(c)	
Recordation date.....	503.05	
Recorded documents (See also: <u>Assignment, recordation of</u>)		
automatic update of trademark database after recordation.....	504 to 504.05	
correction of errors in.....	503.06 to 503.06(f)	
effect of.....	503.01 to 503.01(e)	
indexing against, not permitted.....	503.07	
notifying Trademark Operation of.....	505	
original documents should not be submitted for recording.....	503.03(b)	
request to update ownership after recordation.....	505.01, 505.02	
requests for copies of.....	503.08	
requirements.....	503.03 to 503.04	
searching.....	104, 503.08	
Red Crescent.....	1205.01(a) to 1205.01(a)(vi)	
Red Cross.....	1205.01	
Red Crystal.....	1205.01(a) to 1205.01(a)(vi)	
Refund of fees.....	405.04, 810.02	
for informal application.....	204.01	
Refusal of registration		
amendment to Supplemental Register after.....	714.05(a)(i), 815.04, 816.04, 1209.02(a)(i)	
as to fewer than all classes in combined application.....	1403.05	
based on inadequate response to request for additional information.....	814	
deceptive matter.....	1203.02 to 1203.02(g), 1210.05(a) to 1210.05(c)(ii), 1210.06(b)	
descriptiveness.....	1209.02 to 1209.02(b)	
disparagement.....	1203.03(c), 1203.03(d)	
duplicate registrations.....	703	
false suggestion of a connection.....	1203.03(e), 1203.03(f)	
flag, coat of arms or insignia.....	1204	
functionality.....	1202.02(a) to 1202.02(a)(v)(D)	
generic names.....	1209.01(c) to 1209.01(c)(iii)	
geographic descriptiveness.....	1210 to 1210.09	
geographic misdescriptiveness.....	1210.01(b), 1210.05(a) to 1210.05(c)(ii), 1210.06(b)	
immoral or scandalous matter.....	1203.01	
in §66(a) application.....	711.02, 714.05(b), 1904.03, 1904.03(e), 1904.04	
incapable matter.....	805.04	
likelihood of confusion (See also: <u>Likelihood of confusion</u>).....	1207 to 1207.03	
matter protected by statute.....	1205 to 1205.02	
name, portrait or signature of living individual or deceased United States president without consent (See also: <u>Consent to register by living individual</u>).....	1206 to 1206.03	
nondistinctive configuration.....	1202.02(b) to 1202.02(b)(ii)	
of matter protected by statute or convention.....	1205	
of request for extension of protection of international registration.....	711.02, 714.05(b), 1904.03, 1904.03(e), 1904.04	
ornamentation.....	1202.03 to 1202.03(g)	
ownership (See also: <u>Ownership of mark</u>)		
res judicata.....	1217	
surname, primarily merely a.....	1211 to 1211.02(b)(vii)	
trade name.....	1202.01	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

R — R

	Sec. No.	Sec. No.
use as a service mark.....		1301.02 to 1301.02(e)
use as a trademark.....		1202 to 1202.15
use in commerce.....		901.04
when specimen unacceptable.....		904.07 to 904.07(b)
Refusal, final (See also: Principal Register Supplemental Register) (See also: Final refusal).....		
Register indicated on certificate of registration.....		1601.07
Registered extension of protection of international registration to the United States (See also: Extension of protection of international registration to the United States Section 66(a)).....		
affidavit of continued use or excusable nonuse.....		1613, 1904.11
affidavit of incontestability.....		1605.01, 1904.11
amendment of identification of goods/services.....		1402.01(c), 1609.01, 1904.02(c) to (c)(v), 1906.01(i)
amendment of mark not permitted.....		807.13(b), 1609.02, 1904.02(j), 1906.01(i)
assignability of.....		501.01(b)
assignee, issuance of registration certificate in name of.....		503
assignment of.....		501.01(b), 501.07, 502.01, 502.03, 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)
can be amended under §7 only in limited circumstances.....		1609.01(a)
cancellation of, by IB.....		1904.08
certificate of registration.....		1904.05
certificate of registration, issuance in name of new owner.....		502.02(b), 502.03
claim of acquired distinctiveness.....		1212.08
classification of goods and services.....		1401.03(b), 1403.02, 1403.02(b), 1403.02(c), 1505.02(b), 1609.04, 1904.02(b), 1904.02(c) to (c)(v)
concurrent use registration not permitted.....		1207.04(b)
constructive use.....		1904.01(g)
correction of errors.....		1904.03(f), 1904.13, 1904.13(b), 1904.14, 1906.01(f)
dividing.....		1110.11, 1615.02
establishing right to take action.....		502.01
filing receipt.....		401.01
identification of goods and services.....		1402.01(c), 1609.01, 1904.02(c) to (c)(v), 1906.01(i)
incontestability, affidavit of.....		1605.01, 1904.11
invalidation of.....		1904.07
issuance of registration certificate in name of new owner.....		502.02(b), 502.03
jurisdiction.....		1504.04, 1904.02(l)
limitation in list of goods/services.....		1904.02(e)(ii), 1904.03(a), 1904.15, 1906.01(e)
mark cannot be amended.....		807.13(b), 1609.02, 1904.02(j), 1906.01(i)
ownership, change of.....		501.01(b), 501.07, 502.01, 502.02(b), 502.03, 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)
ownership, in general.....		501, 502, 1201, 1904.06
priority claim.....		206.02, 1904.01(e)
recording change of ownership.....		503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)
refusal of.....		711.02, 714.05, 1904.03 to 1904.03(g), 1904.04
remains part of international registration.....		1904.05
remand.....		1504.02, 1504.04, 1504.05(a)
renewal of.....		1602.01, 1606.01(b), 1614, 1905
replacement.....		1616, 1904.12
signature.....		804.04, 804.05, 1904.01(c)
Supplemental Register, not registrable on.....		801.02(b), 806.01, 815, 816.04, 1904.02(f)
transformation of.....		1904.09 to 1904.09(b)
use not required.....		901, 1904.01(d)
verification.....		804.04, 804.05, 1904.01(c)

INDEX

R — R

	Sec. No.	Sec. No.
Registration as correct type of mark.....		1307
Registration certificate (See also: <u>Certificate of registration</u>)		
Registration notice		
improper use of.....		906.02
provided for in 15 U.S.C. §1111.....		906
symbolizing foreign registration.....		906.01
Registration, amendment of mark in.....	1609.02 to 1609.02(c)	
Registration, division of.....	1615 to 1615.02	
Registration, reinstatement of registration cancelled or expired due to Office error.....	1712.02(a)	
Reinstatement of inadvertently abandoned application.....	1712.01	
Reinstatement of registrations cancelled or expired due to Office error.....	1712.02(a)	
Related applications (See also: <u>Companion applications</u> <u>Conflicting applications</u>).....	702.03 to 702.03(b)	
Related company		
common stockholders, directors, officers.....		1201.03(d)
control with respect to use by.....		1201.03
definition of.....		1201.03
distributor.....		1201.06(a)
first use of mark by.....		903.05
importer.....		1201.06(a)
licensees and franchisees.....		1201.03(f)
reference to, in §1(b) applicant.....		1201.03(a)
sister corporations.....		1201.03(e)
use by.....	903.05, 1201.03 to 1201.03(f)	
use solely by.....		1201.03(a)
wholly owned.....		1201.03(c)
with respect to likelihood of confusion.....	1201.07 to 1201.07(b)(iv)	
Remailing of Office action.....	717 to 717.02	
Remand to examining attorney from Trademark Trial and Appeal Board.....	1501, 1504.05, 1504.05(a)	
Renewal (See also: <u>Renewal, application for</u>)		
of international registrations.....	1602.01, 1606.01(b), 1614, 1905	
of registered extension of protection.....	1602.01, 1606.01(b), 1614, 1905	
of registrations issued under Act of 1920.....	1602.03, 1606 to 1606.15	
of registrations issued under Act of 1946.....	1606 to 1606.15	
of registrations issued under Acts of 1881 and 1905.....	1602.02, 1606 to 1606.15	
Renewal, application for.....	1606 to 1606.15	
appeal to federal court.....		1606.15
by owner of international registration.....	1602.01, 1606.01(b), 1614, 1905	
by registrant not domiciled in United States.....		1606.10
combined with §8 affidavit.....		1606.15
deficiencies, correction of.....	1606.05(b), 1606.13 to 1606.13(c)	
domestic representative, designation of.....		1606.10
execution of.....		1606.07
fee for filing.....	1606.05 to 1606.05(c)	
form for filing.....		1606.04
goods and services set forth in.....	1606.08 to 1606.08(d)	
grace period.....	1606.03, 1606.05(b)	
Office action upon examination of.....		1606.11
ownership and who may file.....		1606.06
petition to Director.....	1606.14 to 1606.14(c)	
premature filing of.....		1606.03(a)
recourse after refusal.....	1606.14 to 1606.14(c)	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

R — R

	Sec. No.	Sec. No.
requirements for.....		1606.04
response to Office action.....		1606.12
signature of.....		1606.07
specimen not required.....		1606.09
term of.....	1602 to 1602.03	
time for filing.....		1606.03, 1606.03(a)
untimely filing cannot be cured.....		1606.13(c), 1712.02(a)
use, declaration of use not required.....		1606.09
who may file.....		1606.06
Repeal of prior trademark acts.....		1601.02
Replacement.....		1616, 1904.12
Reply brief in ex parte appeal.....		1501.02(c)
Representation by attorney or other authorized individual.....		602 to 602.03(e)
Reproduction of mark (See also: Drawing)		
Republication.....		1505.03 to 1505.03(b)
Request for extension of protection of international registration to the United States (See also: Extension of protection of international registration to the United StatesRegistered extension of protection of international registration to the United StatesSection 66(a))		
Request for extension of time to file statement of use.....		1108 to 1108.05
bona fide intention to use the mark in commerce.....		1101, 1108.02(e)
fee for filing.....		1108.02(c)
filing in conjunction with or after statement of use.....		1108.03 to 1108.03(a)
form for filing.....		1108
good cause for.....		1108.02(f)
hand delivery of.....		1108
identifying goods/services in.....		1108.02(d)
“insurance” request.....		1108.03 to 1108.03(a)
minimum filing requirements.....		1108.04
ownership.....		1108.02(a)
recourse after denial.....		1108.04, 1108.05
requirements (of 37 C.F.R. §2.89) for.....		1108.02 to 1108.02(f)
time for filing.....		1108.01
verification.....		1108.02(b)
Request for extension of time to oppose.....		1503.04
Request for information from applicant.....		814
Request to divide.....		1110 to 1110.12
division of application.....		1110 to 1110.11(a)
division of application subject to Board proceeding.....		1110.09
division of application under §44.....		1110.10
division of application under §66(a).....		1110.11, 1110.11(a)
division of multiple class application.....		1110.08, 1403.03
division of registration.....		1615
fee for.....		1110.02
form and processing of.....		1110.04
outstanding deadlines apply to applications created by.....		1110.05
signature of.....		1110.06
time for filing.....		1110.03
when response to Office action is due.....		1110.05, 1110.08
when statement of use is due.....		1110.07
Requirements for receiving filing date for application.....		202 to 202.03
Reregistration of marks registered under prior acts.....		1601.03

INDEX

S — S

	Sec. No.	Sec. No.
Res judicata.....		1217
Resignation of examining attorney.....		702.01
Response to IB notice of irregularity of international application for registration.....	1902.07, 1902.07(f)	
Response to Office action deadline for.....		310, 711 to 711.02
incomplete.....	611.05(a) to (c), 718.03 to 718.03(c), 1713.01 to 1713.02, 1714.01(f)(ii)	
must be signed.....		712
persons who can sign.....	611.03(b), 611.06 to 611.06(h), 712.01	
signed by unauthorized party.....	608.01, 611.05 to 611.05(c), 712.03	
unsigned.....		712.02
Restoration of filing date.....		1711
Restriction of holder's right to dispose of international registration.....	1906.01(b)	
Return of application materials not entitled to a filing date.....	204.01	
Returned correspondence.....	403, 717.01	
Revival (See also: <u>Petition to revive abandoned application</u>)		
Revived or reinstated application, examination of.....	718.07, 1208.01(d)	
Revocation of power of attorney.....	606	
S		
Scandalous matter (See also: <u>Immoral or scandalous matter</u>)		
Scent as mark.....	807.09, 904.03(m), 1202.13	
Scope of identification of goods/services for purposes of amendment.....	1402.07 to 1402.07(e)	
"Search clause".....	704.02	
Search library, trademark.....	104	
Search of trademark applications and registrations.....	104	
Search room, trademark assignment.....	104, 503.08	
Search, by examining attorney.....	206.03, 206.04, 704.02, 718.07	
Secondary meaning (See also: <u>Acquired distinctiveness (§2(f)) claim</u>)		
Secondary source.....	1202.03(c)	
Section 2(f) claim (See also: <u>Acquired distinctiveness (§2(f)) claim</u>)		
Section 8 affidavit (See also: <u>Affidavit of continued use or excusable nonuse (§8)</u>)		
Section 15 affidavit (See also: <u>Affidavit of incontestability</u>)		
Section 44 (See also: <u>Foreign registration, application based on ownership of Priority under §44(d), application claiming</u>)		
applications for Supplemental Register under.....		1014
assignment of applications under.....		1006
claim of acquired distinctiveness in application under.....		1010
eligible applicants, under.....		1002 to 1002.05
international trademark agreements implemented by.....		1001
sections of publications, marks that identify.....	1202.07 to 1202.07(b)	
Section 66(a) application (See also: <u>Extension of protection of international registration to the United States</u>)		
Registered extension of protection of international registration to the United States)		
amendment of identification of goods/services.....	1402.01(c), 1609.01, 1904.02(c) to (c)(v), 1906.01(i)	
amendment of mark not permitted.....	807.13(b), 1609.02, 1904.02(j), 1906.01(i)	
amendment to Supplemental Register not permitted.....	801.02(b), 815, 816.01, 816.04, 1904.02(f)	
assignability of.....	501.01(b)	
assignee, issuance of registration certificate in name of.....	502.02(b)	
assignment of.....	501.01(b), 501.07, 502.01, 502.02(b), 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)	
basis.....	806.01(e), 806.03(k), 1505.02(f), 1904.01(a)	
cancellation of, by IB.....	1904.08	
cannot be based on USPTO application.....	1904.01(h)	
certificate of registration.....	1904.05	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

S — S

Sec. No.	Sec. No.
certificate of registration, issuance in name of new owner.....	502.02(b), 502.03
certification and collective marks.....	1904.02(d)
claim of acquired distinctiveness.....	1212.08
classification of goods and services.....	1401.03(d), 1403.02, 1403.02(b), 1403.02(c), 1505.02(b), 1609.04, 1904.02(b)
concurrent use registration not permitted.....	1207.04(b)
constructive use.....	1904.01(g)
correction of errors.....	1904.03(f), 1904.13, 1904.13(b), 1904.14, 1906.01(f)
correspondence address.....	609.01(a), 1904.02(i)
declaration of intent to use required.....	804.05, 1904.01(c)
description of mark.....	1904.02(k)
dividing.....	1110.11, 1615.02
drawing.....	807.03(b), 807.03(g), 807.03(h), 807.07(b), 807.07(d)(iii), 807.07(f)(i), 807.12(c), 807.13(b), 1904.02(k)
establishing right to take action.....	502.01
examination of.....	1904.02 to 1904.09
express abandonment of.....	718.01
filing basis.....	806.01(e), 806.03(k), 1505.02(f), 1904.01(a)
filing date.....	201, 202, 203, 1904.01(b)
filing fee.....	202.03, 810, 810.01, 1401.05(b), 1904.01(f)
filing receipt.....	401.01
identification of goods and services.....	1402.01(c), 1609.03, 1904.02(c) to (c)(v), 1906.01(i)
invalidation of.....	1904.07
issuance of registration certificate in name of new owner.....	502.02(b), 502.03
jurisdiction.....	1504.04, 1904.02(l)
limitation in list of goods/services.....	1904.02(e)(ii), 1904.03(g), 1904.15, 1906.01(e)
mark cannot be amended.....	807.13(b), 1904.02(j), 1906.01(i)
must be filed by holder of international registration.....	1201
notice of refusal.....	1904.03 to 1904.03(g), 1904.04
Office actions, issuing.....	1904.02(h)
opposition.....	1503.01, 1503.05, 1504.02, 1504.05(a), 1904.04
ownership, change of.....	501.01(b), 501.07, 502.01, 502.02(b), 503.03(a), 503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)
ownership, in general.....	501, 502, 1201, 1904.06
priority claim.....	206.02, 1904.01(e)
recording change of ownership.....	503.05, 1904.06, 1906.01(a) to 1906.01(a)(iii)
refusal of.....	711.02, 714.05(b), 1904.03, 1904.03(e), 1904.04
remains part of international registration.....	1904.05
remand.....	1504.02, 1504.04, 1504.05(a)
renewal of.....	1602.01, 1606.01(b), 1614, 1905
replacement.....	1616, 1904.12
signature.....	804.04, 804.05, 1904.01(c)
Supplemental Register, amendment to not a new issue.....	714.05(a)(i)
Supplemental Register, not registrable on.....	801.02(b), 815, 816.01, 816.04, 1904.02(f)
transformation of.....	1904.09 to 1904.09(b)
use not required.....	901, 1904.01(d)
verification.....	804, 804.04, 804.05, 1904.01(c)
Section 71 affidavit.....	1613, 1904.11
Security interest agreements, recording of.....	503.02
Serial number.....	401.02
Series code.....	401.02
Service	mark

INDEX

S — S

	Sec. No.	Sec. No.
character or personal name.....		1301.02(b)
computer software.....		1301.02(f)
definition of.....		1301, 1301.02
identification and classification of services.....		1301.05
informational matter.....		1301.02(a)
matter that does not function as.....		1301.02(a)
menu item for restaurant.....		1301.02(a)
ornamental matter.....		1301.02(a)
process, system or method.....		1301.02(e)
product used in performing service.....		1301.02(a)
radio and television program, title of.....		1301.02(d)
specimens of use.....		1301.04 to 1301.04(d)
system, process or method.....		1301.02(e)
three-dimensional.....		1301.02(c)
use in commerce.....		1301.03
what is a.....		1301.02 to 1301.02(e)
Service, what is a.....		1301.01 to 1301.01(b)(v)
contests and promotional activities.....		1301.01(b)(i)
criteria for determining what constitutes.....		1301.01(a) to 1301.01(a)(iii)
informational services ancillary to sale of goods.....		1301.01(b)(v)
investment, soliciting.....		1301.01(b)(iv)
promotional services.....		1215.02(b), 1301.01(b)(i)
publishing.....		1301.01(b)(iii)
warranty or guarantee of repair.....		1301.01(b)(ii)
Services		
classification of.....		1401.02(a)
identification of.....		1402.11 to 1402.11(e)
Shape	as	mark
background designs and shapes as mark.....		1202.11
product and container configurations.....		1202.02(a) to 1202.02(b)(ii)
Signature	(See	also: Verification)
as certification.....		611.01(a)
by association.....		611.06(f), 804.04
by corporation.....		611.06(d), 804.04
by foreign corporate-type entity.....		611.06(e), 804.04
by joint applicants.....		611.02, 611.06(a), 804.04
by joint venture.....		611.06(c), 804.04
by limited liability company.....		611.06(g), 804.04
by partnership.....		611.06(b), 804.04
by someone other than applicant or applicant's attorney.....		611.05 to 611.05(c)
date of.....		804.03, 1104.09(b), 1108.02(b), 1109.11(c)
electronic.....		611.01(c)
examples of authorized and unauthorized signatories.....		611.04
must be personally signed.....		611.01(b), 611.01(c), 611.02, 611.03, 712, 804.01(b), 804.04
of amendments and responses.....		611.02, 611.03(b), 611.05 to 611.05(c), 712.01
of applicant	(See also: Verification	of application)
of application for registration, persons who can sign.....		804.04
of correction, amendment, or surrender of registration.....		611.03(f)
of correspondence address change request in an application or registration.....		611.02, 611.03(i)
of documents filed in USPTO.....		611 to 611.05(c)

TRADEMENT MANUAL OF EXAMINING PROCEDURE

S — S

	Sec. No.	Sec. No.
of domestic representative designation and representation.....		611.03(h)
of electronically filed documents.....		611.01(c)
of letter of express abandonment.....	608.01, 611.02, 611.05, 718.01	
of petition to Director.....	611.02, 611.03(e), 1705.07	
of petition to revive.....	611.03(d), 1705.07, 1714.01(e)	
of powers of attorney and revocations of powers of attorney.....		611.03(c)
of renewal applications.....		611.03(g)
of request to divide.....		611.02, 611.03(b)
of response to Office action.....	608.01, 611.02, 611.03(b), 611.03(b), 611.06(h), 712	
of TEAS document.....		611.01(c), 611.02(a)
original.....		302.01
persons who can sign verification on behalf of applicant.....		804.04
persons who may sign response.....		712.01
requirements for.....		611.01(b)
Single creative work, title of.....		1202.08, 1301.02(d)
“Single source” (related companies).....		1201.07 to 1201.07(b)(iv)
Size of mark on drawing.....		807.06(a)
Slogans		
disclaimers with respect to.....		1213.05(b)
registrability of.....		1202.03(f)(i), 1202.04
Sole proprietorship as applicant.....		803.03(a)
Sound marks.....		807.09, 904.03(f), 1202.15
“Special” applications (See also: <u>Petition to make “special”</u>).....		702.02
Special form drawing.....		807.04 to 807.04(b)
also referred to as “ink drawing”.....		807.04 to 807.04(b)
characteristics of.....		807.04(a)
correction of.....		807.15, 807.16
size limitations for.....		807.06(a)
when required.....		807.04(b)
Specimens		
affidavit of use of.....		904.05
agreement with mark on drawing.....		807.12(a) to 807.12(a)(iii), 1215.02(c)
beta versions.....		904.03(e), 904.03(i), 1301.03(a)
bulky.....		904.02(b)
catalogs as.....		904.03(h)
certification mark.....		1306.06(b)
collective membership mark.....		1304.08(e)
collective trademark and collective service mark.....		1303.02(b)
commercial packaging.....		904.03(c)
deferral of examination in multiple-basis application.....		806.02(c)
displays.....		904.03(g)
electronic and digital media attachments.....		904.03(d)
electronic displays.....		904.03(i)
electronically transmitted.....		904.02(a), 1104.09(e), 1109.09(b), 1604.12(b)
facsimiles.....		904.02(b)
for amendment to allege use.....		1104.09(e)
for color marks.....		904.02(c)(ii), 1202.05(f)
for combined application (See also: <u>Specimen, multiple-class application</u>).....		
for computer programs, movies or videotapes.....		904.03(e)
for downloadable software.....		904.03(e)

INDEX

S — S

	Sec. No.	Sec. No.
for flavor mark.....		<u>904.03(m)</u>
for motion marks.....		<u>904.03(l)</u>
for publications.....		<u>904.02(c)(iii)</u>
for scent mark.....		<u>904.03(m)</u>
for statement of use.....		<u>1109.09(b)</u>
impracticable to place mark on goods, packaging or displays.....		<u>904.03(k)</u>
labels.....		<u>904.03(a)</u>
manuals.....		<u>904.03(j)</u>
multiple-class application.....	<u>904.01, 904.01(b), 1402.03(c), 1403.01, 1403.02(b)</u>	
musical score.....		<u>904.03(f), 1202.15</u>
number of.....		<u>904.01</u>
package inserts.....		<u>904.04(c)</u>
paper.....		<u>904.02(b)</u>
parties named on-Office no longer inquires as to relationship with applicant.....		<u>1201.04</u>
physical form of.....		<u>904.02</u>
service mark.....		<u>1301.04 to 1301.04(d)</u>
sound mark.....		<u>904.03(f)</u>
stampings.....		<u>904.03(b)</u>
statutory refusals.....		<u>904.07 to 904.07(b)</u>
substitute (See also: <u>Substitute specimens</u>)		
trademark.....		<u>904.03 to 904.04(c)</u>
translation of matter on.....		<u>904.06</u>
use, affidavit of.....		<u>904.05</u>
Standard character drawings.....		<u>807.03 to 807.03(i)</u>
and foreign registration.....		<u>807.03(f), E</u>
and specimen of use.....		<u>807.03(e)</u>
changing to or from special form may be material alteration.....		<u>807.03(d)</u>
combined with other elements.....		<u>807.03(c)</u>
format unclear.....		<u>807.03(h)</u>
in §44 application.....		<u>807.03(f)</u>
in §66(a) application.....		<u>807.03(b), 807.03(g), 807.03(h)</u>
list of.....		<u>807.03(b)</u>
requirements for.....		<u>807.03(a)</u>
Standard character list.....		<u>807.03(b)</u>
Stare decisis.....		<u>1217</u>
Statement of use		
approval of.....		<u>1109.18</u>
black-out period.....		<u>1103</u>
classification, amendment of.....		<u>1109.14</u>
clear-error standard in examining.....		<u>706.01, 1109.08</u>
correcting defects.....		<u>1109.16 to 1109.16(d)</u>
date of execution.....		<u>1109.11(c)</u>
dates of use.....		<u>1109.09(a)</u>
drawing.....		<u>1109.12</u>
examination of.....		<u>1109.07, 1109.08, 1109.16(b)</u>
execution of.....		<u>1109.11 to 1109.11(d)</u>
extension of time to file (See also: <u>Request for extension of time to file statement of use</u>)		
failure to file within time permitted.....		<u>718.04, 1109.04</u>
fee for filing.....		<u>1109.15, 1109.15(a)</u>
form of.....		<u>1109.05</u>

TRADEMENT MANUAL OF EXAMINING PROCEDURE

S — S

Sec. No.	Sec. No.
hand delivery of.....	1109.05
identification of goods/services in.....	1109.13
may not be withdrawn.....	1109.17
minimum requirements for.....	1109.01
minimum requirements, review for compliance with.....	1109.02
necessary elements of.....	1109.06
ownership.....	1109.10
recourse after refusal.....	1109.16(e)
requirements for.....	1109.06
response to Office action.....	1109.16(d)
signature of.....	804.04, 1109.11 to 1109.11(d)
specimens for.....	1109.09(b)
time for filing.....	1109.04
use in commerce.....	1109.09
use on all goods/services required before filing.....	1109.03
verification of.....	804.04, 1109.11 to 1109.11(c)
withdrawal prohibited.....	1109.17
Status inquiries.....	108, 1705.05
Internet information.....	108.01
Personal telephone assistance.....	108.02
Statutory authority for examination.....	701
Statutory averments in application for registration.....	804.02
Stippling on drawing.....	808.01(b)
Subpoena received by Office employee.....	1801.01
Subsequent designations.....	1902.08 to 1902.08(e)
Substitute drawing.....	807.15
Substitute	specimens
affidavit supporting.....	904.05
for amendment to allege use.....	1104.09(e)
for statement of use.....	1109.09(b)
in response to refusal for failure to show the applied-for mark functioning as a mark.....	714.05(f)
in response to refusal for failure to show use of the mark in commerce.....	714.05(e)
may require amendment of dates of use.....	904.05
requirements for substitute specimens and statutory refusals.....	904.07 to 904.07(b)
Supervisory authority of Director.....	1707
Supplemental	Register
allegation of use filed with amendment to.....	714.05(a)(i)
amendment of application to.....	816 to 816.05
applications for.....	815 to 815.04
intent-to-use applications.....	206.01, 714.05(a)(i), 1102.03
marks eligible for Principal Register not registrable on.....	815.01
not an admission that mark has not acquired distinctiveness.....	815.03
refusal of incapable matter.....	815.04
request for extension of protection of international registration, not eligible..	801.02(b), 815, 816.01, 816.04, 1904.02(f)
required elements.....	815.02
Surname, primarily merely a.....	1211 to 1211.02(b)(vii)
combined with additional matter.....	1211.01(b) to 1211.01(b)(vii)
combined with domain name.....	1211.01(b)(vii), 1215.03
combined with initials.....	1211.01(b)(iii)
combined with legal or familial entity designation.....	1211.01(b)(viii)

INDEX

T — T

	Sec. No.	Sec. No.
combined with title.....	1211.01(b)(iv)	
doctrine of foreign equivalents.....	1211.01(a)(vii)	
double surname.....	1211.01(b)(i)	
evidence supporting refusal.....	1211.02 to 1211.02(b)(vii)	
geographical significance.....	1211.01(a)(iii)	
historical place or person.....	1211.01(a)(iv)	
“look and feel” of a surname.....	1211.01(a)(vi)	
negative dictionary evidence.....	1211.01(a)(vii), 1211.02(b)(vi)	
non-surname significance.....	1211.01(a) to 1211.01(a)(vi)	
ordinary language meaning.....	1211.01(a)(i)	
phonetic equivalent.....	1211.01(a)(ii)	
plural.....	1211.01(b)(v)	
possessive.....	1211.01(b)(v)	
rare.....	1211.01(a)(v)	
stylization.....	1211.01(b)(ii)	
telephone directory listings.....	1211.02(b)(i) to 1211.02(b)(iii)	
Surrender of registration for cancellation.....	1608	
Suspended cases checked by examining attorney.....	716.04	
Suspension of action by Office		
after final action.....	716.06	
circumstances where appropriate.....	716.02 to 716.02(e)	
letter of inquiry regarding.....	716.05	
notice of.....	716.01	
pending cancellation or expiration of cited registration.....	716.02(e)	
pending correction of or limitation to international registration.....	716.02(g)	
pending decision on petition not permitted.....	716.02, 1705.06	
pending disposition of earlier-filed application.....	716.02(c)	
pending expiration of “insurance” extension request.....	716.02(f)	
pending inter partes or court proceeding.....	716.02(d)	
pending receipt of foreign registration.....	716.02(b), 1003.04(a)	
pending receipt of proof of renewal of foreign registration.....	716.02(b), 1004.01(a)	
request to remove.....	716.03	
Suspension of practitioner from practice before USPTO.....	608.02	
Suspension, removal of application from.....	716.03, 716.06	
T		
TAC (Trademark Assistance Center).....	108.02	
Taiwan, understanding with the United States.....	B	
TARR database.....	108.01	
Tax-exempt corporation.....	803.03(c)	
TDR (Trademark Document Retrieval).....	109.01, 402	
TEAS (See also: <u>Electronic</u> filing)		
TEAS Plus.....	819 to 819.04	
Adding a class during examination.....	819.03	
Application requirements.....	819.01 to 819.01(q)	
Documents that must be filed through TEAS.....	819.02(b)	
Identification of goods and services.....	1402.01(d), 1402.02	
Payment of TEAS Plus processing fee.....	819.04	
Receipt of communications by e-mail.....	819.02(a)	
TEAS success page.....	303.02(a)	
Telephone communications.....	709.04	

TRADEMENT MANUAL OF EXAMINING PROCEDURE

T — T

	Sec. No.	Sec. No.
Telephone numbers.....		<u>1209.03(l)</u>
Telescoped words.....		<u>1213.05(a)(i)</u>
“Ten-year proviso” of §5 of Act of 1905.....		<u>1601.04</u>
Territorial commerce.....		<u>901.03</u>
TESS (Trademark Electronic Search System).....		<u>104</u>
Testimony, requests for, referred to Office of General Counsel.....		<u>1801.01</u>
Third parties, inquires from regarding ex parte matters.....		<u>1806</u>
Third party registrations		
evidence of.....		<u>710.03</u>
relevance in determining descriptiveness.....		<u>1209.03(a)</u>
relevance in determining likelihood of confusion.....		<u>1207.01(d)(iii)</u>
Third Protocol.....		<u>1205.01(a) to 1205.01(a)(vi)</u>
Three-dimensional marks.....		<u>807.10, 1202.02 to 1202.02(d), 1301.02(c)</u>
TICRS.....		<u>109.02, 402</u>
Time between execution and filing.....		<u>804.03, 1104.09(b), 1108.02(b), 1109.11(c)</u>
Title of a single creative work.....		<u>1202.08 to 1202.08(f), 1301.02(d)</u>
Title or degree.....		<u>1304.08(a)(i), 1306.03, 1306.06</u>
Trade dress.....		<u>1202.02, 1202.02(b) to 1202.02(e), 1202.03, 1202.03(f)(iii)</u>
Trade name.....		<u>1202.01</u>
Trademark Act of 1946		
claiming benefits of, under §12(c).....		<u>1603 to 1603.03</u>
duration of registrations under.....		<u>1602.01</u>
effective date of.....		<u>1601.02</u>
Trademark assignment, searching.....		<u>503.08</u>
Trademark Assistance Center.....		<u>108.02</u>
Trademark Document Retrieval (TDR).....		<u>109.01, 402</u>
Trademark forms.....		<u>103</u>
Trademark Image Capture and Retrieval System (TICRS).....		<u>109.02, 402</u>
Trademark Law Revision Act of 1988.....		<u>816.02, 901.02, 1303.01, 1602.01</u>
Trademark Law Treaty Implementation Act, changes to requirements for maintaining a registration.....		<u>1602.04</u>
Trademark manuals.....		<u>107</u>
Trademark Official Gazette		
contents of.....		<u>106</u>
notification of clerical errors in.....		<u>1502.01</u>
publication in.....		<u>1502</u>
Trademark searching.....		<u>104</u>
Trademark Trial and Appeal Board		
appeal to.....		<u>1501 to 1501.04</u>
concurrent proceedings.....		<u>1506</u>
interference.....		<u>1507</u>
jurisdiction of.....		<u>1504.02</u>
opposition proceedings before.....		<u>1503 to 1503.05</u>
Trademark website.....		<u>102</u>
Trademark, definition of.....		<u>1202</u>
Transformation.....		<u>1902.10, 1904.09 to 1904.09(b)</u>
Translation		
entry of.....		<u>809.01(a)</u>
equivalency in.....		<u>809.02</u>
exceptions to rules for translations.....		<u>809.01(b) to 809.01(b)(iii)</u>
of dead or obscure languages.....		<u>809(b)(iii), 1207.01(b)(vi), 1209.03(g)</u>
of document submitted for recording in Assignment Division.....		<u>503.03(c)</u>

INDEX

U — U

	Sec. No.	Sec. No.
of foreign articles.....		809.01(b)(ii)
of foreign registration.....		1004.01(b)
of idiomatic expressions.....		809.01(b)
of matter on specimens.....		904.06
of non-English wording in mark.....		809 to 809.02(a)
printing of.....		809.03, 817
Transliteration of non-Latin characters in mark.....		809 to 809.02(a)
Treaty or convention relating to trademarks.....		1001, 1002.03, B
TRIPs (Trade Related Intellectual Property portions of General Agreement on Tariffs and Trade).....		1210.08, 1210.08(a), B
Trust as owner of mark.....		803.03(e), 803.03(e)(i)
Type of commerce.....		901.03
Type of mark, registration in correct category.....		1307
Types of applications.....		801 to 801.02(b)
Types of marks.....		1300
Types of registrations.....		1601 to 1601.06
U		
Unauthorized practice at PTO.....		608 to 608.02
Undeliverable correspondence, returned as.....		403
Unintentional		delay
petition based on.....		1714.01(e), 1714.01(f) to 1714.01(f)(ii)
statement of.....		1714.01(e)
where unintentional delay applies.....		1714.01(f) to 1714.01(f)(ii)
United States Patent and Trademark Office		
reorganization of.....		1701
Website.....		102
Unity of control.....		714.05(d), 715.03(b), 1201.07 to 1201.07(b)(iv)
Unpublished decisions.....		705.05
Unscheduled closing of USPTO.....		309
Unsigned response to Office action.....		712.02
Uruguay Round Agreements.....		1210.08, B
U.S. Postal Service, interruptions of.....		305.04
Use	dates	(See also: <u>Dates of use</u>)
Use	in	commerce
assertion of.....		901
bona fide use in ordinary course of trade.....		901.02
commerce that may be lawfully regulated by Congress.....		901.03
current, asserted in §1(a) application.....		901
date of first.....		903.02
definition of.....		901.01
not required in §44 application.....		901, 1009
not required in §66(a) application.....		901, 1904.01(d)
solely by related company.....		903.05, 1201.03(a)
Use	of	mark
as a mark.....		1202
bona fide use in ordinary course of trade.....		901.02
by applicant.....		1201.01
by licensee.....		1201.03(f)
by predecessor in title.....		903.05
by related company.....		903.05, 1201.03 to 1201.03(f)
in	commerce	(See also: <u>Use in commerce</u>)

TRADEMENT MANUAL OF EXAMINING PROCEDURE

V — Z

	Sec. No.	Sec. No.
solely as a trade name.....		<u>1202.01</u>
solely by related company.....		<u>1201.03(a)</u>
V		
Varietal names.....		<u>1202.12</u>
Verification of application (See also: <u>Signature</u>).....		<u>804 to 804.05</u>
declaration in lieu of oath.....		<u>804.01(b)</u>
defective.....		<u>804.01(a), 804.01(b), 804.02</u>
electronic.....		<u>611.01(c)</u>
in a foreign country.....		<u>804.01(a)(i)</u>
must be personally signed.....		<u>611.01(b), 611.01(c), 611.03, 804.01(b), 804.04</u>
not required for receipt of filing date.....		<u>804</u>
of TEAS document.....		<u>611.01(c), 611.02(a)</u>
of §66(a) application.....		<u>804, 804.05, 1904.01(c)</u>
persons who can sign.....		<u>611.03(a), 804.04</u>
under 28 U.S.C. §1746.....		<u>804.01(b)</u>
with oath.....		<u>804.01(a)</u>
W		
Waiver of rules, on petition.....		<u>1708</u>
Warehouse		storage
access by public to files in.....		<u>109.02</u>
of registration files.....		<u>109.02</u>
Who may practice before Office in trademark matters.....		<u>602 to 602.03(e), 611.02</u>
Wholly owned related companies.....		<u>1201.03(c)</u>
Wines/spirits, misleading indication of origin of.....		<u>1210.08 to 1210.08(c)</u>
Withdrawal of attorney.....		<u>607</u>
Withdrawal of authorization to represent.....		<u>606</u>
Withdrawal of refusal or requirement after appeal.....		<u>1501.03</u>
World Intellectual Property Organization.....		<u>1401.02(a), 1900 (introductory text)</u>
World Trade Organization.....		B
Written application, form for filing.....		<u>802</u>
X		
(No		Entry)
Y		
(No		Entry)
Z		
(No		Entry)