

Appropriations, Outlays, and Balances – Continued

Appropriation or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴
Title	Period of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
Small Business Administration											
General Fund Accounts											
Salaries And Expenses, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2011-2012	73		0100		-----	146,397,119.00	-----	42,520,282.24	-----	103,876,836.76
	2010-2012					150,000,000.00	-----	-----	681,960.76	-----	149,318,039.24
	2011					-----	286,174,005.00	-----	173,510,016.14	-----	112,663,988.86
	2010-2011					158,046,389.61	-----	-----	89,682,873.10	-----	68,363,516.51
	2010					113,899,418.52	-----	-----	88,080,252.73	-----	25,819,165.79
	2009-2010					58,359,571.57	-----	-----	27,161,709.31	-----	31,197,862.26
	2009					15,146,045.04	-----	-----	10,191,118.05	-----	4,954,926.99
	2008-2009					35,559,706.27	-----	-----	17,555,906.36	-----	18,003,799.91
	2008					5,430,014.19	-----	-----	994,851.22	-----	4,435,162.97
	2007-2008					1,606,379.66	-----	-----	863,234.37	-----	743,145.29
	2007					7,284,198.44	-----	-----	535,030.61	-----	6,749,167.83
	2006-2007					455,317.75	-----	-----	-----	-----	455,317.75
	2006					15,306,093.38	-----	-----	5,856,447.71	9,449,645.67	-----
	2005-2006					577,667.15	-----	-----	-12,301.45	589,968.60	-----
	No Year					79,187,205.34	-----	-----	-77,069,980.80	-----	156,257,186.14
Fund Equities:											
Unobligated Balances (Expired)						-19,022,389.23	-----	-----	-----	3,739,991.24	-22,762,380.47
Unobligated Balances (Unexpired)						-219,682,772.13	-----	-----	-----	-44,516,806.10	-175,165,966.03
Accounts Payable						-83,214,037.68	-----	-----	-----	25,145,965.68	-108,360,003.36
Undelivered Orders						-318,938,807.88	-----	-----	-----	57,610,958.56	-376,549,766.44
	Subtotal	73		0100		-0-	432,571,124.00	-----	380,551,400.35	52,019,723.65	-0-
Salaries And Expenses - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2009-2010	73		0101		37,566,134.90	-----	-----	26,553,338.94	-----	11,012,795.96
Fund Equities:											
Unobligated Balances (Expired)						-447,920.31	-----	-----	-----	531,864.00	-979,784.31
Accounts Payable						-2,429,597.76	-----	-----	-----	-2,429,597.76	-----
Undelivered Orders						-34,688,616.83	-----	-----	-----	-24,655,605.18	-10,033,011.65
	Subtotal	73		0101		-0-	-----	-----	26,553,338.94	-26,553,338.94	-0-
Office Of Inspector General, Small Business Administration											
Fund Resources:											
Undisbursed Funds	2011	73		0200		-----	16,267,400.00	-----	14,000,916.48	-----	2,266,483.52
	2010					2,078,281.73	-----	-----	1,879,894.86	-----	198,386.87
	2009					271,459.30	-----	-----	51,412.47	-----	220,046.83
	2008					225,495.52	-----	-----	-----	-----	225,495.52
	2007					46,417.18	-----	-----	-----	-----	46,417.18
	2006					54,836.44	-----	-----	-----	54,836.44	-----
	No Year					4,711,820.33	-----	-----	1,174,037.26	-----	3,537,783.07
Fund Equities:											
Unobligated Balances (Expired)						-607,755.03	-----	-----	-----	4,953.19	-612,708.22
Unobligated Balances (Unexpired)						-4,285,061.46	-----	-----	-----	-853,008.92	-3,432,052.54
Accounts Payable						-831,667.22	-----	-----	-----	577,952.42	-1,409,619.64
Undelivered Orders						-1,663,826.79	-----	-----	-----	-623,594.20	-1,040,232.59

Appropriations, Outlays, and Balances - Continued

Appropriation or Fund Account		Account Symbol			Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴
		Dept		Account Number						
Title	Period of Availability	Reg	Tr From							
	Subtotal	73		0200	-0-	16,267,400.00		17,106,261.07	-838,861.07	-0-
Office Of Inspector General - Recovery Act, Small Business Administration										
Fund Resources:										
Undisbursed Funds	2009-2013	73		0201	7,788,745.78	-----	-----	1,563,433.56	-----	6,225,312.22
Fund Equities:										
Unobligated Balances (Unexpired)					-7,342,780.58	-----	-----	-----	-1,436,273.79	-5,906,506.79
Accounts Payable					-44,987.30	-----	-----	-----	-11,556.04	-33,431.26
Undelivered Orders					-400,977.90	-----	-----	-----	-115,603.73	-285,374.17
	Subtotal	73		0201	-0-			1,563,433.56	-1,563,433.56	-0-
Disaster Loans Program Account, Small Business Administration										
Fund Resources:										
Undisbursed Funds	2011 No Year	73		1152	-----	229,267,716.00	-----	229,267,716.00	-----	-----
					829,777,529.32	45,372,074.00	-----	302,607,987.28	-----	572,541,616.04
Fund Equities:										
Unobligated Balances (Unexpired)					-804,953,703.02	-----	-----	-----	-289,971,210.57	-514,982,492.45
Undelivered Orders					-24,823,826.30	-----	-----	-----	32,735,297.29	-57,559,123.59
	Subtotal	73		1152	-0-	274,639,790.00		531,875,703.28	-257,235,913.28	-0-
Business Loans Program Account, Small Business Administration										
Fund Resources:										
Undisbursed Funds	2010-2012 2011 2010-2011 2010 2006 No Year	73		1154	22,500,000.00	-----	-----	7,921,970.00	-----	14,578,030.00
					-----	4,487,158,700.00	-----	4,487,158,700.00	-----	-----
					520,000,000.00	-----	-----	391,071,996.09	-----	128,928,003.91
					59,072,722.20	-----	-----	26,283,006.41	-----	32,789,715.79
					-----	-----	-----	-25,603.98	25,603.98	-----
					99,069,328.19	82,834,000.00	-----	81,293,955.33	-----	100,609,372.86
Fund Equities:										
Unobligated Balances (Expired)					-9,295,642.42	-----	-----	-----	43,131,084.09	-52,426,726.51
Unobligated Balances (Unexpired)					-586,627,936.57	-----	-----	-----	-526,600,438.32	-60,027,498.25
Undelivered Orders					-104,718,471.40	-----	-----	-----	59,732,426.40	-164,450,897.80
	Subtotal	73		1154	-0-	4,569,992,700.00		4,993,704,023.85	-423,711,323.85	-0-
Business Loans Program Account - Recovery Act, Small Business Administration										
Fund Resources:										
Undisbursed Funds	2011 2009-2010	73		1156	-----	310,710,775.00	-----	310,710,775.00	-----	-----
					149,429,178.17	-----	-----	64,134,289.37	-----	85,294,888.80
Fund Equities:										
Unobligated Balances (Expired)					-48,678,192.49	-----	-----	-----	11,890,047.42	-60,568,239.91
Undelivered Orders					-100,750,985.68	-----	-----	-----	-76,024,336.79	-24,726,648.89
	Subtotal	73		1156	-0-	310,710,775.00		374,845,064.37	-64,134,289.37	-0-
Public Enterprise Funds										
Pollution Control Equipment Fund, Liquidating Account, Small Business Administration										
Fund Resources:										
Undisbursed Funds	No Year	73		4147	2,225,060.00	2,000,000.00	-----	-490,757.26	2,225,060.00	2,490,757.26

Footnotes At End Of Chapter

Appropriations, Outlays, and Balances – Continued

Appropriation or Fund Account		Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴
Title	Period of Availability	Dept		Account Number	Sub No.						
		Reg	Tr From								
Pollution Control Equipment Fund, Liquidating Account, Small Business Administration - Continued											
Fund Equities:											
Unobligated Balances (Unexpired)						-2,225,060.00	-----	-----	265,697.26	-2,490,757.26	
Subtotal		73		4147		-0-	2,000,000.00	-490,757.26	2,490,757.26	-0-	
Disaster Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4153		4,978,358.07	2,000,000.00	-----	-2,560,243.70	5,451,634.90	
Fund Equities:											
Unobligated Balances (Unexpired)						-4,451,634.90	-----	-----	225,106.97	-4,676,741.87	
Accounts Payable						-526,723.17	-----	-----	-116,498.17	-410,225.00	
Subtotal		73		4153		-0-	2,000,000.00	-2,560,243.70	4,560,243.70	-0-	
Business Loan Fund, Liquidating Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4154		7,385,254.53	2,000,000.00	-1,551,728.26	-5,092,703.48	5,491,682.31	
Fund Equities:											
Unobligated Balances (Unexpired)						-4,491,682.31	-----	-----	1,918,531.94	-6,410,214.25	
Accounts Payable						-2,893,572.22	-----	-----	-869,239.03	-2,024,333.19	
Subtotal		73		4154		-0-	2,000,000.00	-1,551,728.26	5,540,975.22	-0-	
Surety Bond Guarantees Revolving Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4156		40,154,996.24	-----	-----	-2,787,703.51	42,942,699.75	
Fund Equities:											
Unobligated Balances (Unexpired)						-40,046,051.40	-----	-----	2,666,186.62	-42,712,238.02	
Accounts Payable						-108,944.84	-----	-----	121,516.89	-230,461.73	
Subtotal		73		4156		-0-	-----	-2,787,703.51	2,787,703.51	-0-	
Surety Bond Guarantees Revolving Fund - Recovery Act, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4268		16,207,641.79	-----	-----	217,536.33	15,990,105.46	
Fund Equities:											
Unobligated Balances (Unexpired)						-16,207,641.79	-----	-----	-217,536.33	-15,990,105.46	
Subtotal		73		4268		-0-	-----	217,536.33	-217,536.33	-0-	
Trust Fund Accounts											
Business Assistance Trust Fund, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		8466		262,727.78	-----	-----	130,072.92	132,654.86	
Fund Equities:											
Unobligated Balances (Unexpired)						-242,827.78	-----	-----	-110,172.92	-132,654.86	
Undelivered Orders						-19,900.00	-----	-----	-19,900.00	-----	
Subtotal		73		8466		-0-	-----	130,072.92	-130,072.92	-0-	

Appropriations, Outlays, and Balances - Continued

Appropriation or Fund Account Title	Period of Availability	Account Symbol				Balances, Beginning Of Fiscal Year	Appropriations And Other Obligational Authority ¹	Transfers Borrowings And Investment (Net) ²	Outlays (Net)	Balances Withdrawn And Other Transactions ³	Balances, End Of Fiscal Year ⁴
		Dept		Account Number	Sub No.						
		Reg	Tr From								
Deductions For Offsetting Receipts											
Proprietary Receipts From The Public					-----	-153,307,007.77	-----	-153,307,007.77	-----	-----	
Intrabudgetary Transactions					-----	-952.26	-----	-952.26	-----	-----	
Total, Small Business Administration					-----	5,456,873,828.97	-1,551,728.26	6,162,307,466.69	-706,985,365.98	-----	
Memorandum											
Financing Accounts											
Public Enterprise Funds											
Business Loan And Investment Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4148	27,590,746.29	-----	29,791,000.00	-6,908,175.72	-----	64,289,922.01	
Authority To Borrow From The Treasury					-----	47,831,603.00	-43,485,000.00	-----	4,346,603.00	-----	
Unfilled Customer Orders					1,753,719.57	-----	-----	-----	-7,877,634.47	9,631,354.04	
Fund Equities:											
Unobligated Balances (Unexpired)					-14,321,930.31	-----	-----	-----	9,902,806.05	-24,224,736.36	
Accounts Payable					-3.67	-----	-----	-----	117,760.14	-117,763.81	
Undelivered Orders					-15,022,531.88	-----	-----	-----	34,556,244.00	-49,578,775.88	
Subtotal	73			4148	-0-	47,831,603.00	-13,694,000.00	-6,908,175.72	41,045,778.72	-0-	
Business Loan And Investment Guaranteed Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4149	2,121,325,232.77	-----	-225,476,000.00	-2,889,641,976.49	-----	4,785,491,209.26	
Authority To Borrow From The Treasury					-----	41,000,000.00	-41,000,000.00	-----	-----	-----	
Unfilled Customer Orders					102,937,096.77	-----	-----	-----	-51,854,792.64	154,791,889.41	
Fund Equities:											
Unobligated Balances (Unexpired)					-2,054,518,857.40	-----	-----	-----	2,800,336,837.95	-4,854,855,695.35	
Accounts Payable					-162,551,871.45	-----	-----	-----	-83,945,110.40	-78,606,761.05	
Undelivered Orders					-7,191,600.69	-----	-----	-----	-370,958.42	-6,820,642.27	
Subtotal	73			4149	-0-	41,000,000.00	-266,476,000.00	-2,889,641,976.49	2,664,165,976.49	-0-	
Disaster Direct Loan Financing Account, Small Business Administration											
Fund Resources:											
Undisbursed Funds	No Year	73		4150	955,994,637.67	-----	-332,218,000.00	-497,678,740.55	-----	1,121,455,378.22	
Authority To Borrow From The Treasury					-----	988,299,872.00	-262,132,000.00	-----	726,167,872.00	-----	
Unfilled Customer Orders					24,861,734.95	-----	-----	-----	-32,735,297.29	57,597,032.24	
Fund Equities:											
Unobligated Balances (Unexpired)					-761,527,128.36	-----	-----	-----	-11,867,025.96	-749,660,102.40	
Accounts Payable					-1,865,765.64	-----	-----	-----	282,151.87	-2,147,917.51	
Undelivered Orders					-217,463,478.62	-----	-----	-----	209,780,911.93	-427,244,390.55	
Subtotal	73			4150	-0-	988,299,872.00	-594,350,000.00	-497,678,740.55	891,628,612.55	-0-	

Footnotes At End Of Chapter

Appropriations, Outlays, and Balances - Continued

Footnotes

- 1 The amounts in this column, unless otherwise footnoted, represent appropriations, increases and rescissions in borrowing authority or new contract authority. Appropriation accounts with appropriation transfer activity are presented in Table 1 (Appropriations and Appropriation Transfers) at the end of this chapter.
- 2 The amounts in this column, unless otherwise footnoted, represent transfers - other than appropriation transfers, borrowings (gross), investments (net), unrealized discounts or funds held outside the Treasury.
- 3 The amounts in this column, unless otherwise footnoted, represent obligated balances canceled for fiscal year 2006 pursuant to 31 U.S.C. 1553, changes in unfilled customer orders, accounts receivable, accounts payable, undelivered orders, unobligated balances and adjustments to borrowing and contract authority.
- 4 Unobligated balances for no-year or unexpired multiple year accounts are available for obligation; unobligated balances for expired fiscal year accounts are not available for obligation.
- 5 Represents capital transfer to miscellaneous receipts.