



FEDERAL RESERVE BANK *of* ST. LOUIS  
CENTRAL to AMERICA'S ECONOMY®

P.O. Box 442  
St. Louis, MO 63166

STLOUISFED.ORG

**DATE:** January 27, 2012  
**TO:** Eighth District Bank Holding Companies  
**SUBJECT:** Annual Report of Bank Holding Companies (FR Y-6)

The Federal Reserve requires the Annual Report of Bank Holding Companies (FR Y-6) be filed by all bank holding companies, including financial holding companies. For multi-tiered bank holding company organizations, only the top-tier bank holding company needs to submit the FR Y-6. However, Report Items 3 and 4 must be completed and submitted along with the FR Y-6 for **each** subsidiary bank holding company.

The Board of Governors of the Federal Reserve System has approved revisions to the FR Y-6 reporting form and instructions effective beginning with fiscal year-end December 31, 2011. The expiration date on the cover pages of the FR Y-6 has been changed to December 31, 2014. There are no other revisions to the report form.

The FR Y-6 instructions have been revised to:

- clarify the language regarding confidentiality of the reporter's submission,
- update the organizational chart to include information on physical address and general and limited partners,
- add the rounding definition from the FR Y-10 to ensure the reporting of percentage ownership is consistent across all structure reporting forms,
- modify the language for securities holders to include persons working in concert, including families, and
- update the insiders information to include options, warrants, or other securities as reportable voting securities and to include families in the definition of a principal securities holder.

The implementation of the requirement to provide the state and country of incorporation to the organizational chart has been delayed until fiscal years beginning December 31, 2012.

The Organization Chart, Report Item 2(b), Domestic Branch listing is collected for each depository institution and Edge and agreement corporation in the organization effective December 31, 2011. The Federal Reserve provides a website, <http://structurelists.federalreserve.gov> for respondents to download

branch information in spreadsheet format for review, verification and, if necessary correction. The FR Y-6 reporting form and instructions are available on the Federal Reserve Board's website at [www.federalreserve.gov](http://www.federalreserve.gov) under Reporting Forms. The revised pages have vertical black lines in the margins to annotate revisions.

**Note: A branch verification spreadsheet must accompany each FR Y-6 filing, even if no changes need to be reported. Depository institutions that do not own branches must also include a spreadsheet showing verification of the head office.**

If sending electronically, please send your branch verification spreadsheet and any changes annotated on the spreadsheet to the appropriate address:

<a href="mailto:STLS.NIC1@stls.frb.org">STLS.NIC1@stls.frb.org</a>	(States of Arkansas, Indiana, and Mississippi)
<a href="mailto:STLS.NIC2@stls.frb.org">STLS.NIC2@stls.frb.org</a>	(State of Kentucky)
<a href="mailto:STLS.NIC3@stls.frb.org">STLS.NIC3@stls.frb.org</a>	(States of Missouri and Tennessee)
<a href="mailto:STLS.NIC4@stls.frb.org">STLS.NIC4@stls.frb.org</a>	(State of Illinois)

When e-mailing branch verification spreadsheets, please indicate your institution's name, city and state in the subject line. Also, please include a contact name and phone number in the email message for any questions we may have regarding your data.

You may also mail a hardcopy version of the annotated branch listing with your FR Y-6 report.

**We encourage you to submit your branch list early.** If submitted early, mark "Submitted via email/hard copy on (insert date submitted)" on the FR Y-6 report under Report Item 2(b).

**SPECIAL  
INSTRUCTIONS:**

Please **do not include personal information with your FR Y-6 submission** unless it is specifically requested in the report form instructions. Examples of information that should NOT be included in the FR Y-6 are personal home street addresses, telephone numbers, social security numbers, bank account numbers or driver's license numbers.

The enclosed cover page must be returned with your completed FR Y-6 report.

**DUE DATE:**

The FR Y-6 report is due at this Reserve Bank no later than 5:00 p.m. on **Monday, April 2, 2012.** For the report to be considered timely, it must enter the delivery system by the following dates:

First Class Mail:  
**Wednesday, March 28, 2012**

Overnight Delivery:  
**Friday, March 30, 2012**

Please submit your report and two copies to the following address:

First Class Mail:

Federal Reserve Bank  
of St. Louis  
BS&R Records Center  
P.O. Box 442  
St. Louis, MO 63166

Messenger or Overnight:

Federal Reserve Bank  
of St. Louis  
BS&R Records Center  
1421 Dr. Martin Luther King Drive  
St. Louis, MO 63106-3716

Monday, April 2, 2012

***Deliveries accepted  
before 2:00 PM***

For ***Deliveries after 2:00 PM***,  
please call Myra Wesley or  
Rebecca Roberts.

**FURTHER  
INFORMATION:**

Myra Wesley, (800) 333-0810, ext. 44-8536 or (314) 444-8536

e-mail: [myra.wesley@stls.frb.org](mailto:myra.wesley@stls.frb.org)

Rebecca Roberts, (800) 333-0810, ext. 44-8744, or (314) 444-8744

e-mail: [rebecca.roberts@stls.frb.org](mailto:rebecca.roberts@stls.frb.org)

**ENCLOSURE:**

Cover page  
Report Form  
Instructions  
Helpful Hints