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BIOMETRICS

PROJECT MANAGEMENT OFFICE

The Road from a Quick Reaction Capability to a Program of Record

Presented By: PM DoD Biometrics and TRADOC Capability Manager – Biometrics and Forensics 26 January 2011





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Agenda

Introduction

- Force Modernization Proponent
- Product Areas
 - Biometrics Enabling Capability
 - Tactical Biometrics Systems
- Architecture
- oQ&A



Rapid vs. Deliberate Acquisition



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Taken from the Report of the Defense Science Board Task Force on the Fulfillment of Urgent Operational Needs (July 2009)

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The USAICoE responsibilities as the Force Modernization Proponent is:

- 1) Execute force management responsibilities for biometrics that include:
 - requirements definition
 - force development
 - combat developments
 - doctrine developments
 - training developments

- materiel developments
- leadership development and education
- personnel developments
- facilities developments
- Ensure DOTLMPF actions are coordinated with Army Commands, Army Service Component Command, Forward Operating/Operations Areas, the HQDA Staff, and others, as required.

"The Army Force Modernization Proponent System is the process of managing change within the Army."

Per AR 5-22 dtd 6 February 2009



BRIC Process





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DoD ABIS Facts

- DoD online 30 Jan 2009
- Stores and matches fingerprint, iris, face, and palm modalities
- 3,800+ transactions per day; rated for 8,000
- 4.2M records rated capacity
- Greater operational capability
 - Single or multi-modal processing
 - Expandable to process additional modalities
 - 95% lights-out matches
 - Latent to latent searches
 - 120k latent fingerprints
 - 8600 latent matches; 3400 latent to latent matches
 - Latent palm print capabilities
- During data migration from ABIS to NG-ABIS, observed 10% new matches the previous system missed









Operational Capabilities



FY 2011

Period	Submissions	
FY 2011	234,985	
To-Date	4,987,023	

Modalities in Submissions	FY 2011
Finger	226,017
Face 1010010110010100100 Face 101010101 110101010000000000000000000	178,351
00707370001010010101010101001000000 0010150000101000101000101000100	167,686
101110770777070777707	20,902

Period	Stored Identities	
FY 2011	133,537	
To-Date	3,259,011	

Encounter Matches by Modalities	FY 2011		
Finger	97,687		
Face 10000110100100100110011010101	1,050		
Iris ¹⁰¹⁰¹¹⁰⁰⁰⁰ 1101010101100110101110010	101011 1010110 1010110 1001011 10010110 10010110		
Finger and Face	10101 1017 1017 101101 101101 101101 100101 100101 100101 100101 100101 100101 100101 100101		
Finger and Iris	82,132		
Face and Iris	10101010101010101010101010101010101010		
Face, Finger, Iris	010010 010010 010010 010010 010010		
Fusion 0707070707777777777777777777777777777	10010111 364		
tal cials ned al	248,957		

Period	Total Encounters Matched		
FY 2011	248,957		
To-Date	1,986,326		

Period	Total Identities Matched		
FY 2011	101,448		
To-Date	1,728,012		

Average Auto Response	Mins	Requirement Mins	
Priority 1	4.02	4001010101 10101010101 1010010101 10101010101 10101010101 10	
Priority 2	4.31	1010101010 1010101010 101 30.00 101 30.00	
Priority 3	3.18	01010101010101010101010101010101010101	
Priority 4	2.58	240.00	

DoD ABIS Service Availability	%
Without planned downtime	99.61
With planned downtime	98.79



Near Term Capabilities



- Continue to pursue enrollments from Warfighter collections and foreign partnerships
- Accelerate expansion of capability to ingest and store
- Establish robust COOP
- Utilize advanced Identix software for highly proficient processing



Mid-Term Capabilities

- Improve Biometrically Enabled Watchlist functionality
- Automate system interoperability to eliminate "sneakernet" and other workarounds
- Support S&T development to inform both quick reaction capabilities and deliberate acquisition
- Archive voice collections for future matching
- Fulfill requirements of:
 - System Sustainment
 - EBTS Compliance
 - System Interoperability
 - Disaster Recover ABIS Relocation
 - Case Management Tools
 - Voice Collection Archive System
 - Operator & User-level Maintenance









Iris

Face

Palm Print

Fusion



DoD ABIS POR Capabilities



- Achieve performance enhancements and savings through deliberate technology insertions
- Implement requirements for Warfighter and Interagency support
- Net-readiness to fully support the execution of joint critical operational activities and information exchanges
- Support peak capacity to receive and process approximately 50,000 biometric submissions daily
- Increased storage capacity for up to 300 million biometrics DoD EBTS full enrollment files



Biometric Automated Toolset – Army Revised (BAT-AR)



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- Impart sustainability, Increase productivity
- Ensure future operations
- Support projected growth
- Improve resource efficiency
- Improve our ability to share biometric signatures with coalition partners and host nations
- Provide latent matching while the subject is still in hand
- Inform the JPIv2 PoR process



Situation



Problem

- BAT-A software is difficult and costly to modify due to rigid & outdated design low productivity
- Projected enrollment growth/ingest will overwhelm BAT-A
 - BAT servers not spec'd to meet ABIS-like processing needs
- Inefficient parallel development of biometrics systems (BAT, BISA) w/ functional overlap
- Opportunity
 - New emphasis on improved comms to the tactical edge
 - Nearing final stages of acquisition of improved handheld devices
 - Cost Avoidance
- Program of Record (JPI) not scheduled to deliver until 2014

Warfighter Needs Improvements Now!



More Background Details



- GIRoA/NTM-A acquiring 1000 CrossMatch Jumpkits and 1000 Afghani enrollers (A1K)
- HIIDE devices cannot handle the current Afghan WL size (~30K records)
- Expedient BAT-A design is currently unsustainable even without A1K cannot timely meet needs or scale to ingest A1K enrollments
 - Software (SW) architecture is rigid, tightly coupled, painful to take apart & comprehend (analogy: Legos glued together) leads to long and defect-prone feature development
 - SW based on obsolete 32-bit architecture -- limits performance and design choices
 - SW is mostly written in an archaic, slow language and obsolete supporting platform difficult to find coder skillset when everyone else has moved on
 - Database is not cleanly separated from other functions, inhibiting changes needed for IA, performance, & tech refresh
 - Matching algorithms and engines need revamp due to IA and performance
- New urgent tactical biometrics needs continue to emerge, increasing sustainment pressure
- PM's Guidance
 - Redesign to BAT-A CPD and other existing QRC requirements documents
 - Include full base access capabilities to support BISA retirement without loss of functionality
 - Assist TCM-BF in updating BAT-A CPD to incorporate requirements from other sources
- Use the opportunity to inform JPIv2 UNCLASSIFIED 26 JAN 2011



Ground Rules & Assumptions

- Afghani enrollments a small impact to watchlist growth
 - Other contributors to BEWL growth are more significant (see NGIC BEWL analysis)
- Warfighter wants actionable information
- Could be additional enrollment targets after 2012
- Handle biometric data and actionable information at the unclassified level to support sharing with coalition and host nation partners & comply with minimize-classification directives
- Desirable to share biometric data with coalition and host nation partners
- Continue current BAT BEI role on BAT-AR until able to handoff to MI
- Need a VSAT option for global areas lacking sufficient comms



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Notional BAT-AR AV-1







- Generate updated BAT capabilities document
- Validate shift in resources and requirement analysis
- Adjust cost/schedule ROMs if necessary
- Map work to existing contracts
- Define detailed architecture
- Apply reusable components
- Confirm new code requirements
- Revise capability packages





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DoDAF 1.5











DoDAF Viewpoints





Transition





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PoR Relationships







Questions









BACKUP SLIDES



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DoD ABIS



Overview

Status:

- ADM (MDA may be delegated from OSD to HQDA for FDD)
- Status of CPD
- Status of CPD
- Success of QRC
- Lessons Learned from QRC
- Highlights for POR
- BEI-ICD
- IT Box



DoD Next Generation-ABIS 1.0



Program Description

Objective: The fundamental purpose of Department of Defense Automated Biometric Identification System (DoD-ABIS) is to store, match, and share national biometric resources for adversary (red force) and neutral/unknown/non-aligned (gray force) population groups across the full range of military operations. The system is maintained and protected as an national asset.

Value to the Warfighter: The system provides a central collection repository as the biometrics enterprise authoritative data source in a currently fielded system-of-systems approach to a distributed biometrics capability in support of Operation Enduring Freedom (OEF)/ Operation Iraqi Freedom (OIF).

Capabilities Supported

Fusion

Face

Iris

Program Schedule

- 29 Jan 2009 NG-ABIS Go Live
 - Over 5.1 Million distinct submissions
 - 99.54% availability
- Winter 2011 Transaction Manager Integration
- Winter 2011 Master Recovery System
 Implementation

Challenges and Issues

Challenges:

- Maintain high availability and security while enhancing system
- Transition from QRC to POR

<u>lssues</u>:

- BTF-W Power/Circuits
- Expanding Mission Requirements



Palm Print

Fingerprint

DOD ABIS Data

- 5.1M records
- 120k latent fingerprints
- 153k watchlisted individuals
- Most collections from Iraq (49%), but concentrated collection effort underway in Afghanistan (18%)
- 84.5% of records are multimodal
 - 73% contain face, finger, and iris
 - Only 13% are single modality; mostly from digitized fingerprint cards
- Compliant with data standards



1.000.000

800.000

600.000

400.000

200.000

0

712595

212677

86263 51,944

80572

30

PGW EPW

FBI HRT

HIIDE

□ CRM

MPAC

Other

SOCOM





691482

570103

\$37.359

Capability Development Strategy



Current prototype systems (e.g., ABIS, BAT) will transition to formal acquisition programs (BEC, JPIv2) in 2012



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Fusion Matching





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Interagency Data Sharing



Program	Submission Method	Gallery Retention	Avg. Monthly Enrollments
DHS CBP / OBP (FTP established once ISA/MOA established.)	Email/FTP/SOF NIPR	Yes	144
DHS USVISIT ASYLUM	CD-R/email to Interface	No	80
REFUGEE AFFAIRS	Email/CD-R	Yes	2100
DHS USCG	Email via US-VISIT to Interface/CD-R	No	177
USCG-JQCP (Test in Progress)	Using JSOC assets to ABIS/IAFIS/IDENT	No	N/A
DHS ICE	(BITMAP) email to SOF NIPR portal ABIS/CJIS/IDENT	Yes	233



Interagency Data Sharing



Program	Submission Method	Gallery Retention	Avg. Monthly Enrollments
FBI Special Stops (SIU)	Email to interface/CD-R	Yes	48
FBI HRT (GIU) (QCP)	Email to ABIS	Yes	1274
DOS IRIS (IRIS Pilot evolving from Iris only to Multi-modal submissions/vetting at US Embassies.)	Email to ABIS	Yes	126
DOS DSS (pilot) (Small DOS program / Diplomatic Security Service. Scanned hard cards sent to FTP, BES Team pulls from FTP)	FTP/email to ABIS	Yes	1



Interagency Operational View



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OSD PSA Priorities

Afghanistan

- Enhance biometrics/forensics capabilities
- Support CJIATF-435
- Satisfy JUONs
- Develop GIRoA capabilities
- Institutionalize the capability
 - Requirements, Programs, Resources
 - Full DOTMLPF considerations
 - End-to-end approach across the enterprise
- Global application of Biometrics technologies to support COCOM missions
 - International / Interagency sharing arrangements
 - Consistent with varying HN policies
- Seamless interoperability with the interagency
 - Data Sharing
 - Technology Development
 - Operations







High Submission Density













The four charts to the left show the total processing time for each priority 1 submission as a function of the start time

•

- The density of the markers indicates that the large number of submissions is correlated to the increase in processing time
- The angular lines for the Yellow Resolves are a normal occurrence as the Examiners work off the Yellow Resolve backlog
- The figure below is the correlation between processing time and the time to generate the response file
 - The single threaded architecture of the iTM in generating response files is the major cause of long processing times when there are many submissions in a short period of time



Submission Density Sample









 The charts show the number of submissions in each 5 minute interval for 4 weeks in November

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DMET

- The red dash line represents 27.8 submissions per 5 minute interval which is derived from the requirement of 8,000 submissions per day
- Blue markers above the red dash line indicates a submission rate that exceeds the requirement
- High submission rates have an effect on processing times because the generation of response files by the iTM is single threaded

TDA Required / Future Vision

	TRADOC Capability Manager for Biometrics and Forensics (TCM-BF)		Civilian		Military	
			Auth	Req	Auth	
	Director, O-6			1		
	Deputy Director, GG 15	1				
	NCOIC, E-8			1		
	Systems Development, MOS 51A, O-4			1		
	Operations Management, GG12	1				
	Operations Management NCOIC, E-8			1		
	Specialist, Personnel Management, GG12	1				
	Specialist, Logistics Management, GG12	1				
	Management Support Assistant, GG09	1				
24 total LNOs	COCOMs Support/LNOs (6 major COCOMs), GG12	6				
with subject	Center of Excellence Support /LNOs (10 USA CoEs), GG12	10				
matter	Department of Defense Services Support/LNOs (4 Services separating Navy and Marine Corp), GG12	4				
experience	Higher Headquarters, Army Support/LNOs (HQDA and TRADOC), GG12	3				
	BEI/FEI Coordinator, GG14	1				
	MFLT Division Chief, GG14	1				
	Officer, MFLT Deputy Division Chief, O1A, O4			1		
	Research Specialist – MFLT Capability Developer, GG13	1				
	Research Specialist – MFLT Capability Developer, GG12	1				
13 total to	Biometrics Division Chief, GG14	1				
	Officer, Biometrics Deputy Division Chief, O1A, O4			1		
support	Research Specialist – Biometrics (Requirements Analyst/SME) GG13	6				
Biometric	Research Specialist – Biometrics (DT&E/SME) GG12	3				
offorts	BEI Integrator/Planner, GG13	1				
enorts	BEI Requirements Analyst/Developer/Writer, GG12	1				
	Forensics Division Chief, GG14	1				
	Officer, Forensics Deputy Division Chief, O1A, O4			1		
	Forensics Doc, Training, and Education, GG13	1				
	Forensics RDT&E, GG12	1				
	Forensics Architecture, GG12	1				
	Forensics Operations, GG12	1				
	Forensics Knowledge Management, GG12	1				
	FEI Integrator/Planner, GG13	1				
	FEI Requirements Analyst/Developer/Writer, GG12	1				
	CHART TOTALS	52		7		

Biometrics Requirements Request Form

Date	Rec	quirement Title		BRIC Tracking BRR#	PM Tracking CCR#	
		CONTACT INFORMATIO	N			
Governmen Name/Org	t Primary Sponsor's anization/Location	Email Address		Phone	Number	
Secon Name/Org	dary Sponsor's janization/Location	Email Address		Phone Number		
Support Name/Org	t Point of Contact Janization/Location	Email Address		Phone Number		
		REQUIREMENT SPECIFI	CS			
Priority	/ of Requirement	Classification	_	Atta	chments	
1-Emergency	8	0-Mandated 1-New Eurotionality	H	Yes 🗖	No 🗖	
3-Malor	H	2-Enhancement		Attch. Name 1		
4-Minor	Ē	3-Latent Defect		Attch. Name 2		
Desired Com	pletion Date	•				
Current Work	Around:					
Requirement:						
Recommende	d Solution:					
Stakeholders	/Users Affected:					

TCM-BF INFORMATION						
Date TCM-BF Received	Completion/Closeout Date					
POC	Email Address	Phone #				
Validated: Yes 🔲 No 🔲 NA 🗐	BRIC Validation Date					
Joint: Yes 🛛 No 🗆 NA 🗖	BRIC Voted Priority					
STATUS SU	MMARY / TIMELINE					
Scoping Working Group Held Yes 🛛 No 🗆	NA Date Held					
PM BIOMETRIC \$	PRE-BRIC ANALYASIS					
Date PM Received	Date Returned to TCM-BF					
POC	Email Address	Phone #				
Recommended Priority	assificatio n					
1 - Emergency NA 2 - Critical 3 - Major 4 - Minor	0-Mandated 1-New Functionality 2-Enhancement 3-Latent Defect]				
Recommended Requ	uirement Verblage Change					
Additional Comments / Requirement Dependencies						

BRIC Prioritized Requirements List – Army

As of 22DEC10

ARMY VALIDATED REQUIREMENTS				
TRACKING NUMBER	PRIORITY	CRITICALITY	REQUIREMENT	
20100600079	1	EMERGENCY	CX-I to SIPR Overwrite Prevention	
20100900084	2	EMERGENCY	EFT Export to GOI	
20090200035	3	EMERGENCY	BAT Palm Print	
20090100006	4	CRITICAL	Classification Marking	
20090100001v2	5	CRITICAL	Merged Logical Dossier View	
20091100066v2	6	CRITICAL	BAT-A Utilize Tactical Radio Networks	
20100500078	7	CRITICAL	BAT Thin Client Vista Compatible	
20090100002v2	8	CRITICAL	BAT Client History	
20090100004v2	9	CRITICAL	Enhanced Prioritized ID Search	
20090800061a	10	CRITICAL	International Sharing-Canadian Caveats	
20090100003v2	11	CRITICAL	Updating Biometrics during ID	
20090100005v2	12	CRITICAL	Hot Sync	
20090100007v2	13	CRITICAL	Improved Search Tool	
20090100008	14	CRITICAL	Match Results Feedback Loop to User	
20090100009	15	CRITICAL	Watchlisting	
20090100012	16	CRITICAL	BAT Services and Data Exchange Standard	
20100100073	17	CRITICAL	BIMA Ingest into BAT-A	
20100100072	18	CRITICAL	BDCS Ingest into BAT-A	
20100300074	19	CRITICAL	BAT Iris Raw Image Template Generation	
20100800082	20	CRITICAL	BAT Interoperability	
20100900084	21	CRITICAL	EFT Export to GOI	
20100800085	22	CRITICAL	ABIS Bulk Ingest	
20090100015	23	MAJOR	Voice Templating & Matching Implementation	
20090100015	24	MAJOR	BAT Indexing / Free-Text Search Capability	
20090100016	25	MAJOR	ID from Dossier	
20090100018	26	MAJOR	Per-node Settings	
20090100020	27	MAJOR	Node Relationship Metrics	
20090100021	28	MAJOR	Mug Book and Lineups	
20090100022	29	MAJOR	US Persons Marking	
20090100023	30	MAJOR	Detention Data in BAT Dossier	
20090100025v2	31	MAJOR	Reporting Enhancements	
20090100026	32	MAJOR	Improved DSS Logging	
20090100027	33	MAJOR	Axis Pro	
20090200036	34	MAJOR	BAT Training Database	
20100700081	35	MAJOR	Auto BDF Size Creation	
20100700080	36	MAJOR	BAT VMWare Implementation	
20090100028	37	MINOR	Height & Weight / English & Metric	
20090200055	38	MINOR	PCASS Entry	

BRIC Prioritized Requirements List - Joint

As of 22DEC10

ARMY VALIDATED JOINT REQUIREMENTS						
TRACKING NUMBER	PRIORITY	CRITICALITY	REQUIREMENT			
20091000064		CRITICAL	DSS Usage Scheduler			
20100400076		CRITICAL	Rapid Site Exploitation Information Exchange Portal			
20090200031		MAJOR	MatchML Standard			
20090200032		MAJOR	Best of Templates			
20090200033		MAJOR	Collection Devices w/GPS			
20091200068		MAJOR	System Agnostic Template Ingestion and Dissemination			
MANDATED REQUIREMENTS (Class 0)						
TRACKING NUMBER	PRIORITY	CRITICALITY	REQUIREMENT			
20091100065	TRACKING		SPOT			

TDA Required / Future Vision

	TRADOC Capability Manager for Biometrics and Forensics (TCM-BF)		Civilian		Military	
			Auth	Req	Auth	
Cmd Crn	Director, O-6			1		
Cind Grp	Deputy Director, GG 15	1				
Ops	NCOIC, E-8			1		
	Systems Development, O-4, MOS 51A			1		
	Operations Management, GG12	1				
	Operations Management NCOIC, E-8			1		
	Specialist, Personnel Management, GG12	1				
	Specialist, Logistics Management, GG12	1				
	Management Support Assistant, GG09	1				
LNOs	COCOMs Support/LNOs (6 major COCOMs), GG12	6				
	Center of Excellence Support /LNOs (10 USA CoEs), GG12	10				
	Department of Defense Services Support/LNOs (4 Services separating Navy and Marine Corp), GG12	4				
	Higher Headquarters, Army Support/LNOs (HQDA and TRADOC) GG12	3				
	BEI/FEI Coordinator, GG14	1				
NACIT	MFLT Division Chief, GG14	1				
IVIFLI	Officer, MFLT Deputy Division Chief, O1A, O4			1		
	Research Specialist – MFLT Capability Developer, GG13	1				
	Research Specialist – MFLT Capability Developer, GG12	1				
Discussion	Biometrics Division Chief, GG14	1				
Biometric	Officer, Biometrics Deputy Division Chief, O1A, O4			1		
	Research Specialist – Biometrics (Requirements Analyst/SME) GG13	6				
	Research Specialist – Biometrics (DT&E/SME) GG12	3				
	BEI Integrator/Planner, GG13	1				
	BEI Requirements Analyst/Developer/Writer, GG12	1				
Forensics	Forensics Division Chief, GG14	1				
	Officer, Forensics Deputy Division Chief, O4			1		
	Forensics Doc, Training, and Education, GG13	1				
	Forensics RDT&E, GG12	1				
	Forensics Architecture, GG12	1				
	Forensics Operations, GG12	1				
	Forensics Knowledge Management, GG12	1				
	FEI Integrator/Planner, GG13	1				
	FEI Requirements Analyst/Developer/Writer, GG12	1				
	CHART TOTALS	52		7		