

AES Newsletter

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AES Mandatory Filing

As a result of Public Law (P. L.) 106-113, "Proliferation Prevention Enhancement Act of 1999" the Census Bureau is planning revisions to the Automated Export System (AES) to meet the requirements of the law. P. L. 106-113 authorizes the Census Bureau to require by regulation the mandatory filing of export information through AES for the export of items identified on the Department of Commerce's Commerce Control List (CCL) and the Department of States' U.S. Munitions List (USML) that currently require an SED. The Department of State has requested to have additional data items incorporated into the AES in order to accommodate the requirements of the International Traffic and Arms Regulations (ITAR), Title 22, CFR 120 - 130. Continued at top of page

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BUREAU OF CENSUS
FOREIGN TRADE DIVISION
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On December 28, 2001, the Census Bureau published a Pre-submission Notice in the Federal Register summarizing our plans to request clearance from the Office of Management and Budget (OMB) to incorporate eight (8) additional data items in AES as required by the Department of State's Office of Defense Trade Controls. The collection of these eight items will affect only those AES transactions subject to the ITAR. Also, the incorporation of these additional items will eliminate the requirement for exporters to submit the paper SED to the Department of State.

To fully implement mandatory filing we must complete the following actions:

- ◆ Prepare and submit the Notice of Proposed Rulemaking (NPRM) - allow for a 60-day comment period.
- ◆ Respond to the comments from the NPRM.
- ◆ Submit Final Rule (FR) to the Federal Register.
- ◆ Allow 90 days for the trade to implement the FR.

We anticipate that all of these actions will be completed in a timeframe that will allow for the implementation of P. L. 106-113 in the fall of 2002.◆



AESDirect Users

The logon procedure for www.aesdirect.gov will change; the anticipated change date is April 1, 2002. Instructional letters are being mailed to all *AESDirect* registrants. **Companies will be required to change their password every 180 days thus providing a more secure network filing capability.** This change is the result of Public Law 106-113.

We need your assistance in ensuring a successful change process. An administrator and administrator code will now be required for each company as detailed in the letter of instruction. If you have not received your instructional letter by Friday, March 22, 2002, please call us on **(800)-549-0595** or e-mail us at askaes@census.gov. On your e-mail please include your company's name and mailing address. ♦



AESPcLink Tips

- **Review shipment feature:** If you wish to re-view a shipment that has been completed and saved, Click "send to *AESDirect*", then double click on the specific shipment you wish to review. All information for that shipment will appear for update or change.
- **Look up feature:** If you are having difficulty with a look-up search, enter an asterisk (*) plus one key word you are attempting to search for and the system will display all lines on the look-up table which contain the word. ♦

2002 Schedule B

The 2002 Schedule B, Export Commodity Classification Schedule is available on the Bureau of Census' Foreign Trade Division website at:

<http://census.gov/foreign-trade>

(The file available to download is a preliminary file.) However, the 2001 Schedule B numbers are valid through January 31, 2002; a revised downloadable file will be available on February 1, 2002. You may download both the Schedule B and the HTS from the address above. ♦

AES Statistics

AES – DECEMBER 2001*

Filers –	5,685
LOIs –	9,535
Shipments –	708,225
Option 4 Applicants –	2,470
Option 4 Approvals –	2,394

*Includes *AESDirect*

AESDirect – December 2001

Registrants –	8,798
Operational –	5,379
Shipments –	172,042

CUSTOMS' CORNER

- "AES allows filing of DSP-94 shipments" - AES will begin allowing the filing of Department of State DSP-94 license shipments beginning March 31, 2002.
- The AES Downtime Policy has been updated on the AES Website: <http://www.customsgov/download/aes/downpoln.pdf>. Please note that the AES Downtime Guidelines dated November 2001 completely replaces the December 1999 version.
- Export Port Code changes - Effective January 1, 2002, the Schedule D will no longer accept codes 1003 - 1081. Please refer to AES Broadcast 2001021 dated October 12, 2001 for details.
- AES allows the reporting of both Commodity (Shipper's Export Declaration) and Transportation Data (Manifest). Effective June 1, 2001, AES released the AES Vessel Transportation Module which was the first mode of transportation in AES to allow the submission of Transportation data. Future planned Transportation Modules include an Enhancement for both Air and Rail.
- U.S. Customs has experienced problems with the reporting of the AES External Transaction Number (XTN). Problems include XTN's in error, Option 2 filings not being submitted to AES prior to exportation and non-approved filers claiming Option 4 filing privileges. Shipments with problem XTN's may result in these shipments being held or detained until the appropriate information is reported. Fraudulent Option 4 claims will be referred to the Customs Office of Investigations.
- When problems arise with export shipments (penalties or seizures), the exporter should contact the Fines, Penalties, and Forfeitures (FP&F) office at the local Customs ports from where the action was taken. Once a seizure or penalty has been issued, the filer must work with the local FP&F office to submit a petition and resolve the problem. Contacting Customs Headquarters will not expedite the release of the shipment.
- "Operation Shield America" - Customs steps up its efforts to prevent terrorist organizations from acquiring sensitive U.S. technology, weapons and equipment. "Operation Shield America" is aimed at partnering with U.S. manufacturers or distributors to deny terrorists access to these materials. Customs field offices will identify specific U.S. firms in the areas of manufacturing or distribution and visit them. The Customs visits will provide knowledge and solicit these firms to notify Customs if they are approached by customers looking to acquire and export their products illegally. ♦

COMMON REPORTING PROBLEM

SCAC and IATA Codes

When reporting a SCAC code, the operating carrier's code is required. AES matches the SED data reported by USPPs and forwarders to the exporting carrier data via the SCAC and booking number. We have also seen AES filers reporting their internal Census air carrier codes and misusing the UNKNOWN code. In addition, AES will no longer accept unknown in the booking number field. To determine a SCAC or IATA code please refer to the following sources:

SCACs: National Motor Freight Traffic Association, Inc. 703-838-1822

IATAs: On our website at <http://www.census.gov/foreign-trade/www/information.html>

Or Bureau of the Census staff, Matthew Kund or Dan McCarthey

On 301-457-2209

AES Advantage- Export Commodity Information Filing Options

An advantage of using AES to report export commodity (Shipper's Export Declaration-SED) information is that filers have two options for specifying commodity details. They may choose to summarize or not to summarize their shipment data by commodity number. On paper SEDs, filers must, by law, summarize their shipment data by commodity number (that is, add all value together for each individual Schedule B or HTS Number). This can be quite tedious, especially if the source data contained on commercial invoices or other shipping documents or records are not summarized.

Hundreds of AES filers have already chosen the option not to summarize shipment data by commodity number. Filers doing so must follow two simple rules. First, they must report all export transactions, regardless of value, including values of \$2,500 or less.

Hypothetical example: The Schedule B is 3405 10 0000, Shoe Polish. The commercial invoice display 21kg @ \$1500; 15kg @ \$1000; 10 kg @ \$500 and 40 kg @ \$3000. In this example, using AES, each line of data is entered as an individual commodity line item, without the tedious task of summarizing all value.

We both benefit when all commodity values for each individual HTS or Schedule B Number is reported. For you, it eliminates the time consuming process of summarizing commodity data from commercial invoices or other shipping documents. For us, the process allows the ability to capture more accurate commodity information for statistical purposes.

Note: the \$2,500 filing exemption only applies to shipment data summarized by individual commodity number.

The second rule is to report consistently. In other words, filers choosing not to summarize their shipment data by commodity number must report this way for all their shipments. They cannot choose to report both ways. Authorized agents of U.S. principal parties in interest (USPPs) reporting export commodity information on AES must file consistently for each separate USPP. ♦

AES and AESDirect on the Road

NOTICE

Effective January 2002, AESDirect Certification Workshops will no longer be FREE. Due to costs incurred for the rental of computer labs and the increased no shows for the workshops, we will charge a nominal fee per person registering in advance and any other attendees.

Log onto our web site for locations and specific dates of the listed meetings and other conferences, exhibits, workshops, etc.

<u>Date</u>	<u>Meeting/Workshop</u>	<u>Contact Phone No.</u>
January 24	Washington, DC AES User Meeting	800-549-0595
January 31	San Diego, CA Export Regulation Seminar	301-457-2238
February 20	Orlando, FL AESDirect/PCLink Workshop	800-549-0595
February 21	Honolulu, HI Export Regulation Seminar	301-457-2238
February 21	Tampa, FL AESDirect/PCLink Workshop	800-549-0595
March 14	Miami, FL AES Users Meeting	800-549-0595
March 21	Chicago, IL AES Users Meeting	800-549-0595
March 21	New Orleans, LA Export Regulation Seminar	301-457-2238
April 4	New York, NY AES Users Meeting	800-549-0595
April	Houston, TX Export Regulation Seminar	301-457-2238
April	Dallas, TX AES Users Meeting	800-549-0595

If you are interested in having one of these workshops in your area or if you or any organization you know of would like to sponsor a meeting or desire our participation in a meeting, please contact:

Nan Clark
(301) 457-3787 or E-mail: nannie.m.clark@census.gov

More AES Telephone Numbers

The AES Branch has more telephone numbers. Please note the change in numbers for some of the client representatives, however, these numbers do not have voice mail. We retained all of the previous numbers so you can reach a member of the Branch and leave voice mail messages. ♦

We need your e-mail address

Please send your e-mail address to:

ASKAES@census.gov

Include in the message the name of the company and the name of the contact person. This helps us get information to you more timely. ♦

PHONE NUMBERS AND E-MAIL ADDRESSES

GERRY HORNER, CHIEF, AES BRANCH	(301) 457-6856	GERARD.J.HORNER@CENSUS.GOV
NAN CLARK, MARKETING ASSISTANT	(301) 457-3787	NANNIE.M.CLARK@CENSUS.GOV
WENDY PEEBLES, SUPERVISORY CLIENT REPRESENTATIVE	(301) 457-3540	WENDY.D.PEEBLES@CENSUS.GOV
KRISTAL BYRD, AES BRANCH WEB COORDINATOR	(301) 763-0328*	KRISTAL.A.BYRD@CENSUS.GOV

AES CLIENT REPRESENTATIVES:

KEITH JONES	(301) 763-0320*	KEITH.M.JONES@CENSUS.GOV
JACQUELYN MANN	(301) 763-0326*	JACQUELYN.E.MANN@CENSUS.GOV
JOEY MORALES	(301) 457-8012	JOEY.MORALES@CENSUS.GOV
PAUL NEWMAN	(301) 457-3541	PAUL.F.NEWMAN@CENSUS.GOV
MICHAEL RAMBO	(301) 763-0324*	MICHAEL.A.RAMBO@CENSUS.GOV
JOSE RODRIGUEZ	(301) 763-0325*	JOSE.RODRIGUEZ@CENSUS.GOV
LILY WONG	(301) 763-0327*	LILY.C.WONG@CENSUS.GOV
OMARI WOODEN	(301) 457-3829	OMARI.S.WOODEN@CENSUS.GOV

*NO VOICE MAIL

REGULATIONS BRANCH (SED QUESTIONS): (301) 457-2238

COMMODITY ANALYSIS BRANCH (SCHEDULE B CLASSIFICATION QUESTIONS):

DURABLE GOODS: (301) 457-3259

NON-DURABLE GOODS: (301) 457-3484

BUREAU OF EXPORT ADMINISTRATION (LICENSING QUESTIONS)

WASHINGTON, DC (202) 482-4811

WESTERN REGIONAL OFFICE (714) 660-0144

WEB SITES

CENSUS BUREAU, FOREIGN TRADE DIVISION	HTTP://WWW.CENSUS.GOV/FOREIGN-TRADE
U.S. CUSTOMS SERVICE	HTTP://WWW.CUSTOMS.TREAS.GOV/AES
BUREAU OF EXPORT ADMINISTRATION:	HTTP://WWW.BXA.DOC.GOV

U.S. CUSTOMS CLIENT REPRESENTATIVE LISTING

CLIENT REPRESENTATIVE		TELEPHONE #	FAX #
ALBERT, ELLEN	MIAMI	305-869-2781	305-869-2783
BURDORF, DEBBY	CLEVELAND	440-891-3814	440-891-3839
BUSIC, ALAN	CHICAGO	312-983-1340, or 1376	312-886-5106
CALAFELL, ELOISA	MIAMI	305-869-2780	305-869-2783
CLARK, KAREN	BOSTON	617-565-6342	617-565-8190
COONEY, MIKE	SEATTLE	206-553-5227	206-553-2517
DIX, ROBERT	BALTIMORE	410-962-5662	410-962-5652
DUPRE, CYNTHIA	NEW ORLEANS	504-670-2418	504-670-2435
EBY, TRISH	SEATTLE	206-553-1622	206-553-2517
FANELL, MIKE	BUFFALO	716-551-3520 X142	716-551-3066
FIKE, KAY	SAN FRANCISCO	415-782-9445	415-705-1652
FISCHER, RICK,	HOUSTON	713-387-7249	713-313-2933
FLOYD, CORA	HEADQUARTERS	202-927-0247	202-927-1344
FULKERSON, MICK	CHARLOTTE	704-329-6206	704-329-6192
HEATH, JOE	PHILADELPHIA	215-597-9920	215-597-9929
HENDERSON, KAREN	HOUSTON	713-387-7247	713-313-2933
HENRY, CONRAD	HEADQUARTERS	202-927-0332	202-927-1344
HUCK, KEVIN	NEWINGTON	703-921-7527	703-921-7561
JEGELS, JACKIE	WALDORF-TCS	301-893-6717	301-705-9834
KERSTEIN, ROBERT	LOS ANGELES	310-514-6073	310-514-6964
KORMOS, KATHY	NEW INGTON	703-921-7495	703-921-7561
KWIATHOWSKI, LORI	BUFFALO	716-551-3520 X141	716-551-3066
LALLY, BECKY	LAUREL-TCS	301-470-0567	301-362-7345
LARK, MARGARET	CHARLOTTE	704-329-6206	704-329-6192
MASKELL, SUSAN	NEWINGTON	703-921-7491	703-921-7561
MAZZARELLA, MICHAEL	JFK	718-553-2065	718-553-1760
McELHINNEY, TARA	LAX	310-215-1392	310-215-1302
MITCHELL, MIKE	JFK	718-553-2065	718-553-1760
MITCHELL, ROBERT	SAN FRANCISCO	415-782-9445	415-705-1652
MOHR, MIKE	NEWINGTON	703-921-7072	703-921-7561
NAPOLITANO, WILLIAM	BALTIMORE	410-962-5660	410-962-5652
NAVARRETE, MARIA	LOS ANGELES	310-514-6073	310-514-6964
NICKLES, PATRICIA	LAX	310-215-1392	310-215-1302
O'BRIEN, JOHN	NEWARK	201-443-0570	201-443-0575
OGLIORE, JOHN	SEATTLE	206-553-5228	206-553-2517
PENCE, JANET	NEWINGTON	703-921-7550	703-921-7561
RODIER, WALLY	JFK	718-553-2065	718-553-1760
RUBENSTEIN, PHYLLIS	CHICAGO	312-983-1342, or 1376	312-886-5106
SPARTA, STEPHEN	NEWARK	201-443-0570	201-443-0575
SPRAGUE, KATHY	NEWARK	201-443-0570	201-443-0575
STAHL, JAMES	PHILADELPHIA	215-597-9906	215-597-9929
TYSON, HOLLY	BALTIMORE	410-962-5662	410-962-5652
VAUGHN, WALTER	NEW ORLEANS	504-670-2415	504-670-2435
VILLWOCK, BLAINE	NEWINGTON	703-921-7496	703-921-7561
WASILUS, KENNETH	NEWARK	201-443-0570	201-443-0575
WELTER, PATRICIA	MIAMI	305-869-2782	305-869-2783
WILSON, DALE	HOUSTON	713-313-2897	713-313-2933
WOLFORD, JAMES	CHARLOTTE	704-329-6206	704-329-6192
WORKMAN, ALLA	CLEVELAND	440-891-3814	440-891-3839

