

Table 339. Financial Crimes: 2003 to 2009

[For the year ending September 30. The FBI focuses its financial crimes investigations on such criminal activities as corporate fraud, securities and commodities fraud, health care fraud, financial institution fraud, mortgage fraud, insurance fraud, mass marketing fraud, and money laundering]

Type of financial fraud	Unit indicator	2003	2004	2005	2006	2007	2008	2009
Corporate fraud:								
Cases pending.....	Number.....	279	332	423	486	529	545	592
Indictments.....	Number.....	150	192	178	176	183	160	161
Convictions.....	Number.....	143	126	150	134	181	134	162
Restitution.....	Bil. dol.....	—	0.4	5.6	1.1	12.6	8.2	6.1
Recoveries.....	1,000 dol.....	37.0	28.0	68.0	41,400.0	27,400.0	6,590.0	16,100.0
Fines.....	Mil. dol.....	3.0	117.1	122.4	14.2	38.6	193.7	5.3
Seizures.....	Mil. dol.....	(NA)	20.8	12.6	82.4	70.1	9.3	40.6
Securities/Commodities fraud:								
Cases pending.....	Number.....	937	987	1,139	1,165	1,217	1,210	1510
Indictments.....	Number.....	358	393	327	320	408	359	412
Convictions.....	Number.....	320	305	363	279	321	302	309
Restitution.....	Bil. dol.....	1.6	0.9	2.3	2.1	1.5	3.0	2.1
Recoveries.....	Mil. dol.....	28.6	13.0	76.3	20.6	25.4	43.7	47.3
Fines.....	Mil. dol.....	16.7	12.5	14.8	80.7	202.8	128.5	7.4
Seizures.....	Mil. dol.....	(NA)	11.9	281.9	41.8	83.0	77.5	85.0
Insurance fraud:								
Cases pending.....	Number.....	326	289	270	233	209	177	152
Indictments.....	Number.....	111	100	72	56	39	73	43
Convictions.....	Number.....	172	77	79	66	47	60	42
Restitution.....	Mil. dol.....	101.9	121.6	171.7	30.4	27.6	553.7	22.9
Recoveries.....	1,000 dol.....	115.0	34,200.0	913.0	14.0	21.0	10,400.0	31,400.0
Fines.....	1,000 dol.....	810.0	330.0	112.0	212.0	447.0	31.0	138.0
Seizures.....	Mil. dol.....	0.3	15.7	10.7	3.5	15.9	25.3	2.2
Mass marketing fraud:								
Cases pending.....	Number.....	236	192	161	147	127	100	92
Indictments.....	Number.....	94	66	28	15	13	50	9
Convictions.....	Number.....	93	64	43	46	11	23	23
Restitution.....	Mil. dol.....	154.2	23.0	503.8	273.2	30.6	4.2	4.4
Recoveries.....	1,000 dol.....	125.0	1,900.0	4.0	468.0	542.0	173.0	—
Fines.....	1,000 dol.....	4,900.0	11,100.0	362.0	86,900.0	121.0	23.0	2.1
Seizures.....	Mil. dol.....	9.6	1.8	8.1	12.7	(Z)	—	(Z)
Health care fraud:								
Cases pending.....	Number.....	2,262	2,468	2,547	2,423	2,493	2,434	2,494
Indictments.....	Number.....	523	693	589	588	847	851	982
Convictions.....	Number.....	414	564	550	535	642	707	674
Restitution.....	Bil. dol.....	1.1	1.0	1.1	0.4	1.1	1.1	1.3
Recoveries.....	Mil. dol.....	10.0	28.8	115.0	1,600.0	439.8	102.4	517.1
Fines.....	Mil. dol.....	78.8	543.0	42.4	172.8	33.7	25.6	68.9
Seizures.....	Mil. dol.....	79.7	60.4	52.7	28.9	86.1	48.3	55.7
Money laundering:								
Cases pending.....	Number.....	496	509	507	473	443	404	350
Indictments.....	Number.....	105	127	126	264	140	114	63
Convictions.....	Number.....	61	69	91	112	115	134	96
Restitution.....	Mil. dol.....	13.2	282.9	313.0	17.1	69.4	222.4	81.9
Recoveries.....	Mil. dol.....	2.9	0.8	9.3	3.2	2.7	20.9	0.6
Fines.....	Mil. dol.....	2.4	0.9	0.3	0.4	11.4	34.1	1.5
Seizures.....	Mil. dol.....	8.2	5.1	7.8	6.4	10.9	24.2	4.5
Mortgage fraud:								
Cases pending.....	Number.....	(NA)	(NA)	721	881	1,211	1,644	2,794
Indictments.....	Number.....	(NA)	(NA)	93	138	328	574	822
Convictions.....	Number.....	(NA)	(NA)	60	123	283	354	494
Restitution.....	Mil. dol.....	(NA)	(NA)	151.2	308.3	600.6	825.2	2,540.0
Recoveries.....	Mil. dol.....	(NA)	(NA)	(Z)	1.2	21.8	3.3	7.5
Fines.....	Mil. dol.....	(NA)	(NA)	44.0	300.8	1.6	3.1	58.4
Seizures.....	Mil. dol.....	(NA)	(NA)	(NA)	(NA)	5.1	6.6	5.0
Suspicious Activity Reports (SARs) ^{1,2}								
Mortgage fraud related—								
Number of violations:								
Mortgage fraud.....	Number.....	6,936	17,127	21,994	35,617	46,717	63,713	67,190
Commercial loan.....	Number.....	1,850	1,724	2,126	2,409	3,240	4,189	4,514
False statement.....	Number.....	4,569	6,784	11,611	21,023	28,692	37,622	38,159
Dollars losses reported on:								
Mortgage fraud SARs.....	Bil. dol.....	0.2	0.4	1.0	0.9	0.8	1.5	2.8
Reported on commercial loan SARs.....	Bil. dol.....	1.0	1.1	0.7	0.5	1.0	1.9	1.7
False statement SARs.....	Bil. dol.....	0.4	0.5	1.0	1.4	0.8	2.5	2.1

— Represents zero. NA Not available. Z represents a value less than 50 thousand. ¹ Reports filed by federally-insured financial institutions. ² SARs are cataloged according to the year in which they are submitted and the information contained within them may describe activity that occurred in previous month or years.

Source: U.S. Department of Justice, Federal Bureau of Investigation, *Financial Crimes Report to the Public and 2009 Mortgage Fraud Report, Year in Review*. See also <<http://www.fbi.gov/stats-services/publications/financial-crimes-report-2009>> /financial-crimes-report-2009> and <<http://www.fbi.gov/stats-services/publications/mortgage-fraud-2009>>.