I.A.: OVERVIEW BY09

Descriptive Information BY09

Template Name	BY2009
Investment Name	ETA - FLC Automated Systems (formerly DFLC Automated Systems)
Investment Revision Number	9
Is this investment a consolidated business case?	No
Point of Contact	Wilson, David
Revision Comment	
Date of Submission	9/10/2007
Agency	Department of Labor
Bureau	Employment and Training Administration
Name of this Capital Asset	ETA - FLC Automated Systems (formerly DFLC Automated Systems)
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	A Prepared Workforce
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
OMB Short Description	This initiative addresses the needs of the Employment and Training Administration's Office of Foreign Labor Certification, supporting Foreign Labor Certification (FLC) programs.

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Office of Foreign Labor Certification (OFLC) provides national leadership and policy guidance to carry out the responsibilities of the Secretary of Labor under the Immigration and Nationality Act, as amended, concerning foreign workers seeking admission to the United States for employment. OFLC provides labor certifications to employers seeking to bring foreign workers into the United States. Certification may be obtained in cases where it can be demonstrated that there are insufficient qualified U.S. workers available and willing to perform the work at wages that meet or exceed the prevailing wage paid for the occupation in the area of intended employment.

This initiative addresses the automation and technical support needs encompassing multiple sub-systems supporting various Foreign Labor Certification (FLC) programs. These programs comply with different regulatory requirements and are each subject to possible changes with relatively short notice. The FLC system supports four different programs areas: 1) H-1B (Nonimmigrants in Specialty Occupations), 2) H-2A (Temporary Agricultural Employment and Services of a Temporary or Seasonal Nature), 3) PERM (Permanent Immigration) and 4) H-2B (Temporary Non-Agricultural Employment).

The investment will become a Mixed Life Cycle in FY 2008 and FY 2009 to enhance the current applications. This will help the Department to implement a web-based filing system to support the H-2A and H-2B regulatory reforms. Also future activities may include the integration of H1B with PERM application and the development of a payment collection module for each foreign labor certification application submitted for processing with a real-time interface with the U.S. Treasury's PAY.GOV.

The Department currently maintains four different case management systems that are all utilized by the same staff at the ETA National Processing Centers. This has resulted in substantial administrative inefficiencies and a lack of communication among the systems to combat fraud and abuse. A seamless H-1B/PERM and H-2A/H-2B case management systems will improve efficiency in case processing, provide greater flexibility in reducing pending workload, improve Departmental response to Congressional and Customer inquires and substantially reduce administrative waste and inefficiencies. Applicants and immigration lawyers will be able to reduce time by reusing common data that are applicable across the systems.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/6/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques	Yes

or practices for this project.

Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government
Is this investment for information technology?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	This System provides on-line labor condition applications for employers to be able to complete and register forms electronically (with field and cross-field edits), re-use parts for applications (pre-filled data fields), and get online status updates for the review process. Supports the PMA especially the focus on E-government:The federal government can secure greater services at lower cost through electronic government (E-government), and can meet high public demand for E-government

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance):	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B.: SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY09: ETA - FLC Automated Systems (formerly …

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Planning									
Budgetary Resources	500	710	0	0	0	0	0	2000	0
Acquisition									
Budgetary Resources	1200	1350	640	0	0	0	0	7000	7500
Subtotal Planning & Acquisition									
Budgetary Resources	1700	2060	640	0	0	0	0	9000	7500
Operations & Maintenance									
Budgetary Resources	400	150	690	940	940	940	1500	1575	1608
TOTAL									
Budgetary Resources	2100	2210	1330	940	940	940	1500	10575	9108
Government FTE Costs									
Budgetary Resources	0	0	0	0	0	125	150	158	161
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	0	0	0	0	0	125	150	158	161

Full time Equivalents BY09

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

	2001	2002	2003	2004	2005	2006	PY 2007	CY 2008	BY 2009
Security	0	0	0	0	0	0	0	0	0
IT	0	0	0	0	0	0	0	0	0
Financial Management	0	0	0	0	0	0	0	0	0
Program Management	0	0	0	0	0	1	1	1	1
Other	0	0	0	0	0	0	0	0	0
Total*	0	0	0	0	0	1	1	1	1

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.E.: SECURITY AND PRIVACY BY09

Sensitive Data

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture?	Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

It hasn't been developed yet.

Is this investment included in the agency's EA Transition Strategy?	Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc). If so, please describe.

No

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

II.A.: ALTERNATIVES ANALYSIS BY09

Analysis Background BY09

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project?	Yes

II.B.: RISK MANAGEMENT BY09

Risk Management Plan BY09

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes

What is the date of the risk management plan?   9/28/2007

II.C.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

 * * * SENSITIVE DATA: This information was omitted completely * * *