

I.A.: OVERVIEW BY09

Descriptive Information BY09

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| Template Name | BY2009 |
| Investment Name | DOL e-Judication [e-Gov Crosscut] |
| Investment Revision Number | 12 |
| Is this investment a consolidated business case? | No |
| Point of Contact | Hogans, Cheryl |
| Revision Comment | |
| Date of Submission | 2/1/2008 |
| Agency | Department of Labor |
| Bureau | Departmental Management |
| Name of this Capital Asset | DOL e-Judication [e-Gov Crosscut] |
| Exhibit 53 Part | IT Investments by Mission Area |
| OMB Investment Type | 01 - Major Investment |
| OMB Exhibit 53 Major Mission Area | Safe and Secure Workplaces |
| What kind of investment will this be in this Budget Year? | Full Acquisition |
| OMB Short Description | This initiative is a collaborative effort at DOL that will provide a web-based government-to-citizen portal integrating e-Filing, e-Service and research capabilities which will reduce paper and enhance communications with public stakeholders. |

Screening Questions BY09

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The e-Judication project is a product of collaborative effort of the Department's five partnering agencies: Office of the Solicitor (SOL) and the adjudicatory agencies: Office of Administrative Law Judges (OALJ), the Administrative Review Board (ARB), the Benefits Review Board (BRB), and the Employees' Compensation Appeals Board (ECAB). The core goal of this effort is consistent with meeting and adhering to the Presidential Management Agenda's (PMA) goals of making government "citizen centered, results oriented and market based." Furthermore, based on the existing e-Judication Enterprise Architecture (EA), the initiative conforms to the Litigation and Judicial lines of business. To meet those goals, e-Judication will streamline and integrate the existing business processes of the five partnering agencies (totaling over 1000 internal users) into a fully web-enabled system. The goals of this effort are to simplify and enhance the delivery of services to the general public inclusive of roughly 15,000+ interested parties to pending matters, reduce costs by consolidating and eliminating/upgrading redundant systems, and encourage transparency by streamlining all aspects of communication (Government to Citizen, Citizen to Government and Government to Business). By improving information-technology management, leveraging existing GOTS software components, simplifying business process and unifying information flows across lines of business, e-Judication will provide better quality service to the citizen, reduce redundant costs, allow for readier access to government services and make the partnering agencies more transparent and accountable.

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| Did the Agency's Executive/Investment Committee approve this request? | Yes |
| If "yes," what was the date of this approval? | 7/5/2007 |
| Did the Project Manager review this Exhibit? | Yes |
| Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project. | No |
| Will this investment include electronic assets (including computers)? | Yes |
| Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) | No |
| If "yes," is an ESPC or UESC being used to help fund this investment? | |
| If "yes," will this investment meet sustainable design principles? | |
| If "yes," is it designed to be 30% more energy efficient than relevant code? | |

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| Does this investment directly support one of the PMA initiatives? | Yes |
| If "yes," check all of the PMA initiatives that apply: | Expanded E-Government |
| Is this investment for information technology? | Yes |
| Briefly describe how this asset directly supports the identified initiative(s)? | This initiative creates a comprehensive interactive Government-to-Citizen web based communications portal that provides broad information on matters involving status of cases or appeals; links to relevant statutes/regulations, and procedures giving citizens an opportunity to file their cases online (e-filing); capability to search and find relevant case law; FAQ's regarding filing cases and appeals at DOL; and interactive and comprehensive responses to their inquiries. |

IT Screening Questions BY09

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

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| What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance): | (1) Project manager has been validated as qualified for this investment |
| If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? | Yes |
| Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? | No |

I.B.: SUMMARY OF SPENDING BY09

Summary of Spending BY09

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

| SUMMARY OF SPENDING FOR PROJECT STAGES | | | | | | | * Costs in thousands | | |
|--|------|------|------|------|------|------|----------------------|---------|---------|
| | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | PY 2007 | CY 2008 | BY 2009 |
| Planning | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 804 | 505 | 0 | 0 | 0 | 0 |
| Acquisition | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 0 | 363 | 1300 | 1500 | 400 | 2500 |
| Subtotal Planning & Acquisition | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 804 | 868 | 1300 | 1500 | 400 | 2500 |
| Operations & Maintenance | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 804 | 868 | 1300 | 1500 | 400 | 2500 |
| Government FTE Costs | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 500 | 550 | 600 | 650 | 700 | 750 |
| Planning | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Acquisition | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Maintenance | | | | | | | | | |
| Budgetary Resources | 0 | 0 | 0 | 500 | 550 | 600 | 650 | 700 | 750 |

Full time Equivalentents BY09

Use the following table to provide the number of Government Full Time Equivalentents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

| FTE Table | | | | | | | | | |
|----------------------|------|------|------|------|------|------|---------|---------|---------|
| | 2001 | 2002 | 2003 | 2004 | 2005 | 2006 | PY 2007 | CY 2008 | BY 2009 |
| Security | 0 | 0 | 0 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| IT | 0 | 0 | 0 | 2 | 2.0 | 2.0 | 2.0 | 2.0 | 2.0 |
| Financial Management | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Program Management | 0 | 0 | 0 | 1.5 | 1.5 | 1.5 | 1.5 | 1.5 | 1.5 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total* | 0 | 0 | 0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 | 4.0 |

I.C.: ACQUISITION/CONTRACT STRATEGY BY09

Sensitive Data

*** SENSITIVE DATA: This information was omitted completely ***

I.D.: PERFORMANCE INFORMATION BY09

Sensitive Data

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I.E.: SECURITY AND PRIVACY BY09

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I.F.: ENTERPRISE ARCHITECTURE (EA) BY09

General EA Questions BY09

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

The e-Judication project has requested to obtain the Office of Administrative Law Judges' (OALJ) Case Tracking System (OALJ CTS) which is a GOTS (Government off-the-shelf) system. E-Judication will specifically be using the Document Management Module developed to route legal documents for review, approval and issuance and is used by OALJ as part of its Case Tracking System. This Document Review and Approval service component will provide critical functionality when integrated into the e-Judication solution.

II.A.: ALTERNATIVES ANALYSIS BY09

Analysis Background BY09

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this project? Yes

II.B.: RISK MANAGEMENT BY09

Risk Management Plan BY09

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 7/12/2007

II.C.: COST AND SCHEDULE PERFORMANCE BY09

Sensitive Data

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