

**I.A.: OVERVIEW BY10**

*Descriptive Information BY10*

Template Name	BY2010
Investment Name	OCFO - DOLAR\$ System Maintenance
Investment Revision Number	8
Is this investment a consolidated business case?	No
Point of Contact	Clark, Patricia
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	DM - OCFO
Name of this Capital Asset	OCFO - DOLAR\$ System Maintenance
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Operations and Maintenance
OMB Short Description	DOLAR\$ is the DOL central accounting system of record since FY 1989. It is based on Keane's Federal Success, a COTS package purchased between 1985 and 1987.

*Screening Questions BY10*

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The DOL FY 2010 budget for the DOL legacy Department of Labor Accounting and Related Systems Maintenance(DOLAR\$) is the cost to operate and maintain DOLAR\$ to prepare DOL FY 2009 consolidated financial statements, comply with internal and external financial reporting requirements, and to provide financial management information to DOL stakeholders. The funds will be used to acquire IT contract support to operate and maintain the DOLAR\$ application and acquire mainframe host services.

FY2009 activities consist of on-going system operations and maintenance to operate DOLAR\$ and mainframe host services. As it will be the system of record longer than anticipated, the OCFO will have to execute DOL IT capital planning investment and security requirements to recertify the use of DOLAR\$ Centralized Core Accounting System. The transition strategy is to reduce unnecessary development in this legacy system. The OCFO governance, which oversees the request for enhancements, is responsible to ensure that the budget for software maintenance is adhered to and that enhancements are kept to a minimum.

The New Core Financial Management System (NCFMS) has been initiated to modernize the Department of Labor's (DOL) financial management system. NCFMS will replace the Department of Labor Accounting and Related Systems (DOLAR\$) with an approved Financial Management Line of Business Shared Service Provider (FMLoB SSP) using a commercial off-the-shelf (COTS) software package, Oracle Federal Financials.

The ability of DOLAR\$ to continue to meet both Federal and DOL requirements has been determined to be deficient and a performance gap exists between federal financial management requirements and DOLAR\$ capabilities. Its core financial management functions and data are distributed and/or duplicated across multiple systems which forces staff to process batches of financial information manually. Maintaining sound financial management practices using DOLAR\$ has become challenging with the growing emphasis for increased accountability, standardized processes, and reporting transparency.

In FY 2010, the New Core Financial Management System will become the DOL system of record for financial management. FY 2010 activities consist of operation of DOLAR\$ to prepare the FY 2009 DOL consolidated financial statements, close-out FY 2009 financial processes and retire DOLAR\$.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	9/9/2008
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	No

Is this investment for new construction or major retrofit of

a Federal building or facility? (answer applicable to non-IT assets only)

If "yes," is an ESPC or UESC being used to help fund this investment?

If "yes," will this investment meet sustainable design principles?

If "yes," is it designed to be 30% more energy efficient than relevant code?

Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all of the PMA initiatives that apply: Financial Performance

Is this investment for information technology? Yes

Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner? On-going maintenance activities are needed to ensure DOLAR\$ is able to meet both internal DOL and external federal financial requirements. As DOLAR\$ is the current core financial system for DOL, maintenance activities will ensure that the system is able to identify and reduce erroneous payments as well as provide useful and reliable data in a timely fashion to DOL decision makers.

**IT Screening Questions BY10**

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

**I.B.: SUMMARY OF SPENDING BY10**

*Summary of Spending BY10*

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT STAGES

\* Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	0	0	60	0	0	0	0	0	0
Acquisition									
Budgetary Resources	2700	0	490	0	0	0	0	0	0
Subtotal Planning & Acquisition									
Budgetary Resources	2700	0	550	0	0	0	0	0	0
Operations & Maintenance									
Budgetary Resources	2900	4500	4600	4760	4592	4786	5544	5079	4224
TOTAL									
Budgetary Resources	5600	4500	5150	4760	4592	4786	5544	5079	4224
Government FTE Costs									
Budgetary Resources	1042	1214	1129	1198	3291	1346	1500	1545	1591
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	1042	1214	1129	1198	3291	1346	1500	1545	1591

Full Time Equivalents BY10

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security	1	1	1	1	1	1	1	1	1
IT	11	11	11	11	9	10	10	10	10
Financial Management	1	1	1	1	1	1	1	1	1
Program Management	1	1	1	1	1	1	1	1	1
Other	0	0	0	0	0	0	0	0	0
Total*	14	14	14	14	12	13	13	13	13

**I.C.: ACQUISITION/CONTRACT STRATEGY BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.D.: PERFORMANCE INFORMATION BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.E.: SECURITY AND PRIVACY BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.F.: ENTERPRISE ARCHITECTURE (EA) BY10**

*General EA Questions BY10*

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? No

If "yes," please describe how the application will leverage existing components and/or applications across the Government.

**III.A.: RISK MANAGEMENT BY10**

*Risk Management Plan BY10*

Part III is completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 6/11/2008

**III.B.: COST AND SCHEDULE PERFORMANCE BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*