

**I.A.: OVERVIEW BY10**

*Descriptive Information BY10*

Template Name	BY2010
Investment Name	ESA - OWCP- Integrated Federal Employees' Compensation System (iFECS)
Investment Revision Number	18
Is this investment a consolidated business case?	No
Point of Contact	Beckham, Paul
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	ESA - OWCP
Name of this Capital Asset	ESA - OWCP- Integrated Federal Employees' Compensation System (iFECS)
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Mixed Life Cycle
OMB Short Description	The Integrated Federal Employees' Compensation System (iFECS) processes injury claims, pays workers' compensation benefits, and processes medical bills. DFEC is initiating a Fair Share initiative to improve customer service.

*Screening Questions BY10*

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

iFECS is a mission-critical steady state system that supports the Office of Workers' Compensation Programs' (OWCP) Division of Federal Employees' Compensation (DFEC). System capabilities include: processing injury claims, paying workers' compensation benefits, and supporting other financial and administrative activities for DFEC. In FY 2010 iFECS will move to Mixed Lifecycle to implement the Fair Share initiative. This initiative has three components. Component 1 is a new Interactive Voice Response (IVR) system to replace and enhance the current outdated system. Component 2 is the Employees' Compensation Management Portal (E-Comp) to allow for electronic filing of claims. Component 3 is a Centralization/Consolidation of Case Creation and Imaging Operations where these functions will be centralized into two offices instead of the current 13 offices with the accompanying savings. All three components are designed to enhance customer service, while at the same time making these processes more efficient and cost effective to deliver. The initiative will join the DOL's EVM program. \$130,000 of the Case Create component will be funded out of existing O&M funds which have been shifted to DME.

The DFEC is charged by Congress to provide benefits to civilian employees of the Federal Government injured at work, and to other designated groups covered by the Federal Employees' Compensation Act (FECA). Benefits include wage replacement, payment for medical care, and where necessary, medical and vocational rehabilitation assistance in returning to work. iFECS supports the program's mission by enabling claims adjudication and wage-loss compensation payments.

The DFEC is one of OWCP's four major programs and aligns with several Federal, Departmental, and Agency strategic goals. Two OWCP core functions: Adjudicate Claims and Pay Compensation Benefits, support the Services for Citizens business area of the FEA Business Reference Model (BRM). This business area includes the delivery of citizen-focused benefits as a service and/or obligation of the federal Government. Within the Services for Citizens business area, the program's operations support the Income Security Line of Business (LOB). This LOB includes activities designed to ensure that members of the public are provided with the necessary means to sustain an adequate level of existence. OWCP programs directly support two sub-functions of this LOB: General Retirement and Disability and Survivor Compensation.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/5/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including	Yes

computers)?	
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government Financial Performance
Is this investment for information technology?	Yes
Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	IFECs supports E-Gov by facilitating electronic claims submission and putting claims information at the fingertips of OWCP staff to improve services to citizens. Expanding E-gov is further supported by the Financial Management LOB through the standardization of the business process and achieving cost savings. The Financial performance is improved by the investment via streamlining/consolidation of operations and improvement of accuracy that reduces operating costs and erroneous payments.

**IT Screening Questions BY10**

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

**I.B.: SUMMARY OF SPENDING BY10**

*Summary of Spending BY10*

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

REDACTED EXHIBIT 300 DME/Mixed BY10: ESA - OWCP- Integrated Federal Emplo...

SUMMARY OF SPENDING FOR PROJECT STAGES \* Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	9200	0	0	0	0	0	0	0	100
Acquisition									
Budgetary Resources	4300	8845	5615	5429	0	0	0	0	4980
Subtotal Planning & Acquisition									
Budgetary Resources	13500	8845	5615	5429	0	0	0	0	5080
Operations & Maintenance									
Budgetary Resources	23445	8749	5830	9280	15310	14225	15225	15994	16586
TOTAL									
Budgetary Resources	36945	17594	11445	14709	15310	14225	15225	15994	21666
Government FTE Costs									
Budgetary Resources	3800	1700	1600	1786	1896	1990	2089	2194	2304
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	3800	1700	1600	1786	1896	1990	2089	2194	2304

*Full time Equivalent BY10*

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security									
IT									
Financial Management									
Program Management									
Other									
Total*									

**I.C.: ACQUISITION/CONTRACT STRATEGY BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.D.: PERFORMANCE INFORMATION BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.E.: SECURITY AND PRIVACY BY10**

*Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*

**I.F.: ENTERPRISE ARCHITECTURE (EA) BY10**

*General EA Questions BY10*

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in the agency's EA Transition Strategy?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government. This investment leverages the following system components internally across the Department:

- DOL/ESA's general support system (GSS) houses the investment's application and provides development tools.
- DOL/ESA's Login Component - provides a singly-developed/managed access component to guarantee consistent authentication security for all MAs housed on the GSS.
- OWCP's Central Bill Process (CBP) - an outsourced business process shared by 3 OWCP investments for the payment of medical bills.

Furthermore, the investment leverages the following system components externally across the Government:

- Labor's GovBenefits.Gov as a portal for citizens to find information about Federal benefits they may be entitled to. FECA program is included on this site.
- Treasury's Secure Payment System (SPS) for transmitting and certifying payment files.

**II.A.: ALTERNATIVES ANALYSIS BY10**

*Analysis Background BY10*

Part II is completed only for investments identified as "Planning" or "Full Acquisition" or "Mixed Life-Cycle" investments in response to Part I above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

Did you conduct an alternatives analysis for this investment? Yes

### **II.B.: RISK MANAGEMENT BY10**

#### *Risk Management Plan BY10*

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 1/15/2009

### **II.C.: COST AND SCHEDULE PERFORMANCE BY10**

#### *Sensitive Data*

\* \* \* SENSITIVE DATA: This information was omitted completely \* \* \*