

I.A.: OVERVIEW BY10

Descriptive Information BY10

Template Name	BY2010
Investment Name	ESA - OWCP-Black Lung Automated Support Package
Investment Revision Number	17
Is this investment a consolidated business case?	No
Point of Contact	Alvarez, Vincent
Revision Comment	
Date of Submission	4/10/2009
Agency	Department of Labor
Bureau	ESA - OWCP
Name of this Capital Asset	ESA - OWCP-Black Lung Automated Support Package
Exhibit 53 Part	IT Investments by Mission Area
OMB Investment Type	01 - Major Investment
OMB Exhibit 53 Major Mission Area	Financial Management
What kind of investment will this be in this Budget Year?	Operations and Maintenance
OMB Short Description	The Automated Support Package is an automated system that includes case management, benefit and bill payment, and a wide variety of accounting and financial processes for processing and paying citizens with Black Lung in support of congressional mandate.

Screening Questions BY10

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The Automated Support Package (ASP) is a mission-critical steady state automated system that already meets its performance target by providing mission-critical data processing capabilities for the Office of Workers Compensation Programs (OWCP) Division of Coal Mine Workers' Compensation (DCMWC). Its functions include case management and a wide variety of accounting and financial processes. It has been the program's core IT system since 1985 and undergoes periodic maintenance and upgrades. ASP is hosted on Department of Labor/Employment Standards Administration (DOL/ESA) servers and currently has 234 active users.

The DCMWC mission is to adjudicate and process claims filed by our nation's coal miners and their survivors under the Black Lung Benefits Act and ASP allows DCMWC to perform this function in an automated manner. The program has 17 offices nationwide comprised of a national office, 9 district offices and 7 field stations. The DCMWC administers the Black Lung Benefits Act, by providing: 1) case processing and management of claims filed under the Act by coal miners totally disabled by black lung disease, and their survivors and 2) the accurate and timely payment of just over \$538M in benefit payments annually.

The DCMWC is one of OWCP's four major programs and aligns with several Federal, Departmental, and Agency strategic goals. Two OWCP core functions: Adjudicate Claims and Pay Compensation Benefits, support the Services for Citizens business area of the FEA Business Reference Model (BRM). This business area includes the delivery of citizen-focused benefits as a service and/or obligation of the federal Government. Within the Services for Citizens business area, the program's operations support the Income Security Line of Business (LOB). This LOB includes activities designed to ensure that members of the public are provided with the necessary means to sustain an adequate level of existence. OWCP programs directly support two sub-functions of this LOB: General Retirement & Disability and Survivor Compensation.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/5/2007
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
If "yes," is an ESPC or UESC being used to help fund this	

investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
If "yes," check all of the PMA initiatives that apply:	Expanded E-Government Financial Performance
Is this investment for information technology?	Yes
Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	In support of improved financial performance, this investment improves accuracy and streamlines/consolidates processes to maintain low operating costs and reduces erroneous payments. Expanded E-Gov is supported by allowing users to obtain information regarding claims filing procedures and eligibility. Through the common Government Benefits portal, citizens can retrieve information regarding claims filing procedures, eligibility and other program information.

IT Screening Questions BY10

If the answer to Question, "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

In addition to the Project/Program Manager FAC-P/PM or DAWIA certification level, what project management qualifications does the Project Manager have? (per CIO Council PM Guidance)	(1) Project manager has been validated as qualified for this investment
If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	N/A
Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	Yes

I.B.: SUMMARY OF SPENDING BY10

Summary of Spending BY10

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

SUMMARY OF SPENDING FOR PROJECT STAGES * Costs in thousands

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	3100	0	0	0	0	0	0	0	0
Subtotal Planning & Acquisition									
Budgetary Resources	3100	0	0	0	0	0	0	0	0
Operations & Maintenance									
Budgetary Resources	155457	12738	10395	9594	9337	9481	9384	9607	9799
TOTAL									
Budgetary Resources	158557	12738	10395	9594	9337	9481	9384	9607	9799
Government FTE Costs									
Budgetary Resources	17443	1370	1700	1677	1677	1825	1840	1906	1948
Planning									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Acquisition									
Budgetary Resources	0	0	0	0	0	0	0	0	0
Maintenance									
Budgetary Resources	17443	1370	1700	1677	1677	1825	1840	1906	1948

Full Time Equivalents BY10

Use the following table to provide the number of Government Full Time Equivalents (FTE) represented by the Government FTE Costs in the Summary of Spending Table. Numbers should be entered in decimal format for each of the categories listed.

FTE Table

	2002 and Prior	2003	2004	2005	2006	2007	PY 2008	CY 2009	BY 2010
Security									
IT									
Financial Management									
Program Management									
Other									
Total*									

I.C.: ACQUISITION/CONTRACT STRATEGY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.D.: PERFORMANCE INFORMATION BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.E.: SECURITY AND PRIVACY BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *

I.F.: ENTERPRISE ARCHITECTURE (EA) BY10

General EA Questions BY10

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

If "yes," please describe how the application will leverage existing components and/or applications across the Government. The ASP System leverages the following system components internally across the Department:

- The general support system (GSS) is an ESA-wide component that houses the investment's application and provides development tools.
- The system's login Component is an ESA-wide component that provides a singly-developed/managed access component to guarantee consistent authentication security for all MAs housed on the GSS.
- The Central Bill Process (CBP) is an OWCP-wide outsourced business services contract shared by 3 OWCP investments to process medical bills.

Furthermore, the investment leverages the following system components externally across the Government:

- Labor's GovBenefits.Gov is a portal for citizens to find information about Federal benefits they may be entitled to. The ASP program is included on this site.
- Treasury's Secure Payment System (SPS) is used for transmitting and certifying Black Lung's payment files.

III.A.: RISK MANAGEMENT BY10

Risk Management Plan BY10

Part III is completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Part I above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan?	Yes
What is the date of the risk management plan?	1/15/2009

III.B.: COST AND SCHEDULE PERFORMANCE BY10

Sensitive Data

* * * SENSITIVE DATA: This information was omitted completely * * *