

A decorative horizontal banner at the top of the slide featuring a stylized American flag with stars and stripes.

WELCOME

KAREN LEE

Controller for the Office of Management and Budget,
Executive Office of the President

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Nancy Gunderson

Deputy Assistant Secretary,
Grants and Acquisition Policy and Accountability,
U.S. Health and Human Services

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Danny Werfel

Controller for the Office of Management and Budget,
Executive Office of the President



Nancy Gunderson

Deputy Assistant Secretary,
Grants and Acquisition Policy and Accountability,
U.S. Health and Human Services



GSA's Role in Federal Spending Transparency – Facilitating Sub-Award Reporting

*Kathleen M. Turco
Associate Administrator
Office of Governmentwide Policy
General Services Administration*


Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)
powered by | govproctra

[Home](#) : [Profile](#) : [Contract Worklist](#) : [File / Review Reports](#) :

[home](#)
today, July 7, 2010 15:11 pm | [enq@ffata.gov](#) | [Log in to eSRS](#) | [Logout](#)

 **add contract to worklist**

 **file FFATA report**

 **alerts**

- No current alerts

 **announcements**

- **This is a sample Announcement!**
Look for notifications from the FSRS system administrators here.

 **contractor user guide**

 **FSRS contractor demonstration**

[For More Federal Service Desk](#) | [Privacy Policy](#) | [Turn Accessibility Mode On](#) | [Contractor User Guide](#) | [FSRS Contractor Demonstration](#)
Version 3.2

FSRS.gov will be updated on October 29, 2010 to allow FFATA prime recipient subaward reporting for the grants community



FSRS Data Quality:

- ▶ Designed data quality into the system including validation checks
- ▶ Using Central Contract Registration tool (CCR.gov) and Agency-reported prime award information to pre-populate as many data fields as possible
- ▶ Working with the Office of Management and Budget and Federal agencies to develop tailored data quality processes



Need Help? www.fsd.gov

The Federal Service Desk (fsd.gov) will provide FSRS support to the grants community on October 29, 2010:

- ▶ Phone us toll free at **1-866-606-8220**
- ▶ Chat live with a Customer Service Representative
- ▶ Submit a request online for Non-Technical (Policy) and Technical service
- ▶ Check on your help desk ticket online
- ▶ Give us feedback on Frequently Asked Questions
- ▶ Phone us internationally at 334-206-7828



The screenshot shows the USASpending.gov website. At the top, there is a navigation menu with links for Home, Databases, Trends, Data Feeds, Opportunities, FAQs, and Feedback. The main header features the USASpending.gov logo and a search bar. Below the search bar, there are three columns of content:

- Popular Requests:** A list of links for various contract types: GUP ON Loan Contracts, Construction Contracts, Housing Assistance, Student Assistance, Research and Development Contracts, and Higher Education Grants.
- Spending Trends:** A scatter plot titled "Spending Trends" showing "US Grant Spending" on the y-axis and "US Contract Spending" on the x-axis. The plot contains several data points of different colors. Below the plot is a link: "See Federal Spending on Grants and Contracts over time".
- How you can help:** A section with text stating: "Federal agencies are committed to providing high quality spending data to the public. With your help we can do better. Use the 'Report Data Issues' feature to notify the submitting agency of potential issues with their data." Below this is a "What's new" section with text: "We are continuously striving to update and improve the site based on your feedback. Click here to find out what new features have been recently added to the site." and a link: "View our latest web-award reporting documents".

At the bottom of the page, there is a footer with links for About, Updates, Help, Feedback, Accessibility, Privacy Policy, and Disclaimer, and the RECOVERY.gov logo.

USASpending.gov – public data



**Thank You for Working With
Us to Achieve Federal
Spending Transparency**

www.FSRS.gov
www.USAspending.gov

A decorative graphic at the top of the slide featuring a portion of the American flag, with white stars on a blue field and red and white stripes.

WELCOME

KAREN LEE

Controller for the Office of Management and Budget,
Executive Office of the President



**Transparency Act
Sub-award Reporting and Executive
Compensation**

A decorative banner at the top of the slide featuring a stylized American flag with stars and stripes.

Agenda

- ▶ Transparency Act background
- ▶ What new reporting is required?
- ▶ Who is responsible for reporting?
- ▶ When does reporting begin?
- ▶ How do I report?
- ▶ What is FSRS?
- ▶ How long do I have to report?
- ▶ Summary



The Federal Funding Accountability and Transparency Act (FFATA) of 2006 and subsequent 2008 amendments:

- ▶ Requires information disclosure of entities receiving Federal funding through Federal awards such as Federal contracts and their sub-contracts and Federal grants and their sub-grants
- ▶ Requires disclosure of executive compensation for certain entities
- ▶ Requires the establishment of a publicly available, searchable website that contains information about each Federal award
- ▶ Requires agencies to comply with OMB guidance and instructions and assist OMB in implementation of website



What new reporting is required?

- ▶ Prime contract awardees of Federal contracts of \$25K or more must report associated contract first-tier sub-contracts of \$25K or more.
 - Data collection will be phased with all required first-tier sub-contracts reporting by March 2011 (see slide 6 for more details).
- ▶ Prime grant awardees of Federal grants of \$25K or more must report associated first-tier sub-grants of \$25K or more.
- ▶ Sub-award Information Required for Reporting:
 - Name of entity receiving award
 - Amount of award (obligated amount)
 - Funding agency
 - NAICS code for contracts / CFDA program number for grants
 - Program source
 - Award title descriptive of the purpose of the funding action
 - Location of the entity (including congressional district)
 - Place of performance (including congressional district)
 - Unique identifier of the entity and its parent; and
 - Total compensation and names of top five executives (prime or subawardee)



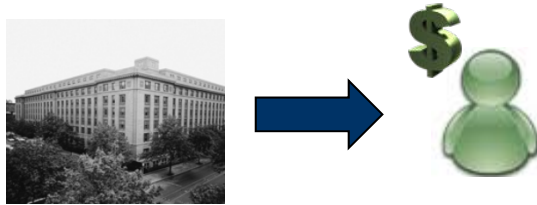
What new reporting is required?

- ▶ Prime awardees must report executive compensation information for prime or sub-awardees if:
 - More than 80% of annual gross revenues are from the Federal government, and those revenues are greater than \$25M annually; and
 - Compensation information is not already available through reporting to the SEC.

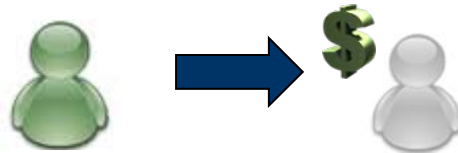
NOTE: Classified information remains exempt from the prime and sub-award reporting requirement

Who is responsible for reporting?

- ▶ Agencies must report prime award information



- ▶ Prime awardees must report first-tier sub-award information and executive compensation information





When does reporting begin?

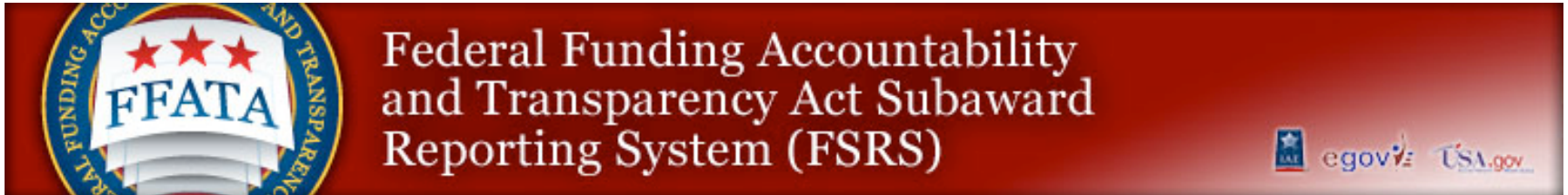
- ▶ Contracts: First-tier sub-contracts reporting requirement will be phased in:
 - **Phase 1:** Reporting first-tier sub-contracts of prime awards valued greater than \$20M (**July 2010**)
 - **Phase 2:** Reporting first-tier sub-contracts of prime awards valued greater than \$550K (**October 1, 2010**)
 - **Phase 3:** Reporting first-tier sub-contracts of prime awards valued at \$25K or more (**March 1, 2011**)
- ▶ Grants: For Federal grant awards of \$25K or more made on or after **October 1, 2010**, prime awardees must report associated first-tier sub-grants of \$25K or more and executive compensation data.
 - FSRS will begin accepting reports on **October 29, 2010**



How does reporting occur?

- ▶ Agencies must report **prime grant** award information through the **Federal Assistance Award Database System Plus format (FAADS+)**
- ▶ Agencies must report **prime contract** award information through the **Federal Procurement Data System – Next Generation (FPDS-NG)**
- ▶ Prime contract and grant awardees must report **sub-award** information through the **FFATA SubAward Reporting Systems (FSRS)**
- ▶ Prime contract and grant awardees must register with the **Central Contractor Registry (CCR)**
- ▶ If required, prime contract and grant awardees must report executive compensation through **CCR**

What is FSRS?



FSRS is the FFATA Sub-award Reporting System and can be found at www.fsrs.gov. Its functions include:

- ▶ Currently collects subcontract award information from prime awardees
- ▶ Beginning October 29th FSRs will also collect sub-grant award information
- ▶ Currently supports CSV “batch” inputs. Beginning November 15th FSRs will support XML inputs
- ▶ Pre-populates prime award information. Prime awardees enter following sub-award information if not pre-populated:
 - Name of entity receiving award
 - DBA name (Grants)
 - Amount of award
 - Subcontract/Subcontract Number
 - Funding agency
 - CFDA Number (Grants)
 - NAICS (Contracts)
 - Treasury Account Symbol (Contracts)
 - Award title descriptive of the purpose of the funding action
 - Location of the entity (including congressional district)
 - Place of performance (including congressional district)
 - Unique identifier (e.g., DUNS) of the entity and its parent and DUNS +4 (Grants)
 - Total compensation and names of top five executives
- ▶ Leveraging Electronic Subcontract Reporting System (eSRS) so you do not need to re-register if you have an existing account in eSRS.

How long do I have to report?



Prime awardees must report first-tier sub-award information by the end of the month following the month the award or award's obligation was made

- ▶ For example, if an award is made on October 10, 2010 the awardee would have until November 30, 2010 to report the award. Awards made during October will have until November 30, 2010 to report.



Summary

Who?	Reports what?	How?
Agency	Prime award information for Federal awards \$25K or more	FAADS+ for grants, FPDS-NG for contracts
Prime contract awardee	Awardee information Executive compensation First-tier sub-contracts of \$25K or more	CCR CCR or FSRS* FSRS
Prime grant awardee	Awardee information Executive compensation First-tier sub-grants of \$25K or more	CCR CCR or FSRS* FSRS

* If executive compensation is not found in CCR, it can be supplied through FSRS

Other guidance and documents help implement FFATA reporting requirements.



- ▶ The Office of Management & Budget has issued guidance related to Open Government and Transparency to include the April 6, 2010 memo requiring the reporting of first-tier subawards.
- ▶ On July 8, 2010, an interim FAR Rule with request for comments was published that contains information on the FFATA reporting requirements for Federal contracts. Comments were due by September 7, 2010.
- ▶ On August 27, 2010, OMB issued guidance to Federal agencies on implementation of the Transparency Act's executive compensation and subaward reporting.



Questions or Comments

Federal Spending Transparency:

www.USAspending.gov

OMB's website for Federal Spending Transparency:

www.whitehouse.gov/omb/open

FFATA Sub-award Reporting System

www.fsrs.gov



Federal Funding Accountability and Transparency Act (FFATA) Subaward Reporting System (FSRS) (www.fsrs.gov)

GSA, Office of Governmentwide Policy
September 23, 2010



1. Introduction

2. System Overview

- Who Should Register
- Prime Grant Awardees
- Government Users

3. Development / Testing Update

- Development / Testing
- Data Quality

4. Outreach & Communication

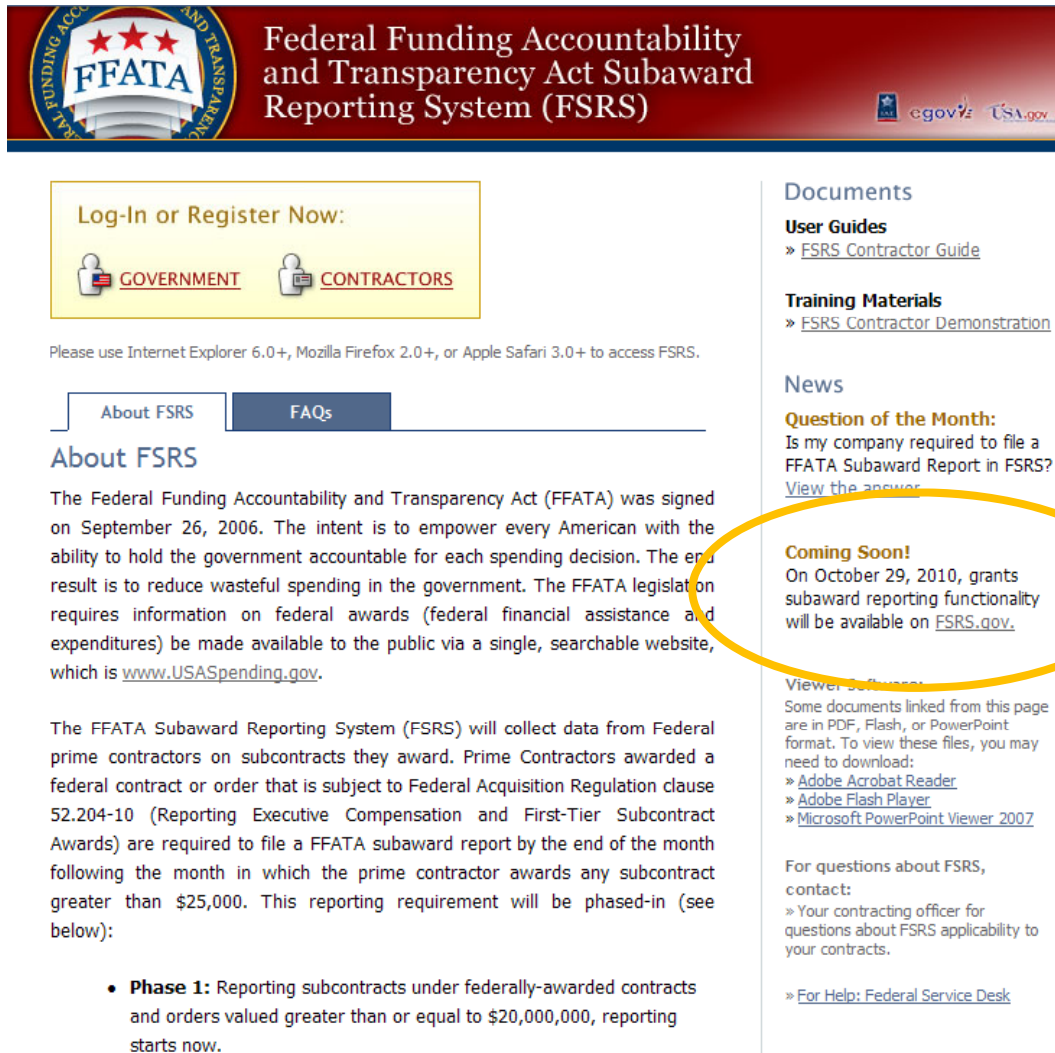
- System Training
- Support Documentation

5. Additional Resources





Introduction

September 15, 2010 – Coming Soon! Announcement live on FSRs.gov



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

Log-In or Register Now:

 [GOVERNMENT](#)  [CONTRACTORS](#)

Please use Internet Explorer 6.0+, Mozilla Firefox 2.0+, or Apple Safari 3.0+ to access FSRs.

[About FSRs](#) [FAQs](#)

About FSRs

The Federal Funding Accountability and Transparency Act (FFATA) was signed on September 26, 2006. The intent is to empower every American with the ability to hold the government accountable for each spending decision. The end result is to reduce wasteful spending in the government. The FFATA legislation requires information on federal awards (federal financial assistance and expenditures) be made available to the public via a single, searchable website, which is www.USASpending.gov.

The FFATA Subaward Reporting System (FSRS) will collect data from Federal prime contractors on subcontracts they award. Prime Contractors awarded a federal contract or order that is subject to Federal Acquisition Regulation clause 52.204-10 (Reporting Executive Compensation and First-Tier Subcontract Awards) are required to file a FFATA subaward report by the end of the month following the month in which the prime contractor awards any subcontract greater than \$25,000. This reporting requirement will be phased-in (see below):

- **Phase 1:** Reporting subcontracts under federally-awarded contracts and orders valued greater than or equal to \$20,000,000, reporting starts now.

Documents

User Guides

- » [FSRS Contractor Guide](#)

Training Materials

- » [FSRS Contractor Demonstration](#)

News

Question of the Month:
Is my company required to file a FFATA Subaward Report in FSRs?
[View the answer](#)

Coming Soon!
On October 29, 2010, grants subaward reporting functionality will be available on FSRS.gov.

Viewer Software:
Some documents linked from this page are in PDF, Flash, or PowerPoint format. To view these files, you may need to download:

- » [Adobe Acrobat Reader](#)
- » [Adobe Flash Player](#)
- » [Microsoft PowerPoint Viewer 2007](#)

For questions about FSRs, contact:

- » Your contracting officer for questions about FSRs applicability to your contracts.

» [For Help: Federal Service Desk](#)


Clicking on the announcement hyperlink takes you to a new FAQ:

Q. When will prime grant awardees be able to report their FFATA-required subaward data?


A. FSRS (www.fsrs.gov) will soon serve the grants community! Beginning October 29, 2010, the grants subaward reporting functionality will be available for prime grant awardees to report subawards against new grants \$25,000 or over, awarded on or after October 1, 2010. Grant subaward reporting userguides, FAQs, and an on-line demonstration will be incorporated into FSRS on October 29, 2010.





System Overview



Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)



Log-In or Register Now:

 [GOVERNMENT](#)  [AWARDEES](#)

Documents

User Guides
» [FSRS Awardee Guide](#)

Training Materials
» [FSRS Awardee Demonstration](#)

Please use Internet Explorer 6.0+, Mozilla Firefox 2.0+, or Apple Safari 3.0+ to access FSRS.

Government Users are:

- Representatives of a Federal agency who awards grants or contracts
- Those who need to view submitted FFATA reports from prime awardees
- Registered using e-mail address and Federal agency

Awardees are:

- Prime recipients of a grant or a contract
- Those required to report subawards against grant or a contract
- Registered using e-mail address and DUNS number

FSRS (www.fsr.gov) enables prime awardees to report FFATA-required subaward and executive compensation data for both prime contracts and grants then provides this data for display on USAspending.gov.

Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS)

powered by simplicity

Home | Profile | Worklist | File / Review Reports

home

Wednesday, September 22, 2010 | 2:21 pm | test-123@symplicity.com | Log-in to eSRS | Logout

add award to worklist

alerts

• No current alerts

awardee user guide

create FFATA report

announcements

• **This is a sample Announcement!**
Look for notifications from the FSR system administrators here.

awardee user demonstration

For Help: [Federal Service Desk](#) | [Turn Accessibility Mode On](#) | [Awardee User Guide](#) | [FSRS Awardee Demonstration](#)

Version 3.2

On October 29, 2010, Prime Grant Awardees will be able to register and log-in to FSRS to:

Manage FFATA Reports

- Search for an Award by Federal Award Identifier Number (FAIN)
- Create New FFATA report
- Save / Delete Draft FFATA Report
- Submit New FFATA Report
- Reopen and Revise Submitted FFATA Report
- Copy Existing FFATA Report
- Manage FFATA Report List
- Flag FAADS+ Data Elements
- Submit Multiple FFATA Reports

Manage Awardee Worklist

- Organize Prime Awards Associated with Registered DUNS
- Search for Award by FAIN
- Add FAIN to / Remove FAIN from Worklist

What is a FFATA Report?

- Triggered by the award of a subgrant
- An on-line form, completed in a stepwise process, that captures the data elements required by FFATA
- Cannot submit more than one FFATA report per FAIN per month

Where does the pre-populated data come from?

- Federal Agencies report prime grant award notice information to USAspending.gov using a file format known as FAADS PLUS
- FSRS pulls prime award data from USAspending.gov to help you locate the right award
- Using the DUNS number provided in the award notice, FSRS displays your entity information from CCR
- Using the CFDA number provided in the award notice, FSRS displays the CFDA Program Title from CFDA.gov

What do I report?

- Information the government does not already have

Government Users will be able to register and log in to FSRs to:**Manage FFATA Reports for Grants Awarded by their Agency**

- Search for FFATA Reports Submitted by Prime Awardees
- Review FFATA Reports Submitted by Prime Awardees
- Review Flagged Data Elements within FFATA Reports
- Manage Agency Users (Agency Coordinators)

Create and Run Ad Hoc Reports

- Run Ad Hoc FFATA Reports (Grants and/or Contracts)
- Run Government Directory Report
- Run Awardee Directory Report



Development / Testing Update

Development of grants subaward and executive compensation reporting functionality is on schedule.

1. Development Milestones Complete

- Initial Build Complete – August 27, 2010
- Beta Testing Complete – September 3, 2010
- Beta Testing Updates Complete – September 10, 2010
- Usability Test Complete – September 15, 2010
- Usability Test Updates Complete – September 22, 2010

2. Development Milestones Pending

- Development Complete – September 29, 2010

3. Follow-on Functionality

- XML Upload Interface – November 15, 2010

4. Load Testing / Capacity Planning

- Robust Infrastructure
- Capacity Designed to be Scalable
- Contacting the Joint Interoperability Test Command (JITC)

Data quality is being designed into FSRS by:

1. **Using existing sources to prepopulate data by interfacing with:**
 - Agency FAADS+ files (via USAspending.gov)
 - Central Contractor Registration (CCR, www.bpn.gov/ccr)
 - Catalog of Federal Domestic Assistance (CFDA, www.cfda.gov)
 - Dun&Bradstreet (D&B, www.dnb.com)

2. **Improving data quality with validation rules at USAspending**
 - CCR / DUNS number validation rule
 - CFDA number validation rule
 - Clarifying requirements for, and developing, a more robust CCR validation rule

3. **Allowing grant awardees to flag FAADS+ data elements**
 - Structures feedback by data element
 - Requires supporting agency business process to investigate
 - Will work with OMB-requested Agency Data Quality POCs

4. **Setting expectations**
 - Working with OMB to prepare draft supplemental guidance to pending FFATA Data Quality guidance outlining system interfaces



Outreach & Communication

Role-based System Webinars will be:

1. Live for the first 1,000 registered participants
2. Recorded and posted to FSRs.gov for later review
3. Registration announcement will be on USAspending.gov and Grants.gov

Registration Open for FSRs.gov Training Webinars

- GSA's Office of Governmentwide Policy will provide role-based training webinars for Federal agency users and for prime awardees to familiarize both communities with the FFATA Subaward Reporting System (www.fsrs.gov) reporting tool.
- These webinars will provide a system overview, defining user roles, addressing the basic data flow and introduce the grants subaward and executive compensation reporting functionality coming on October 29, 2010. Registration is now open.

FSRS.gov Webinar Session 1 – Prime Awardee Training
Thursday, October 7, 2010 from 10:00 a.m. – 11:00 a.m. (Eastern)
<https://www2.gotomeeting.com/register/810732538>

Awardee Support Documentation will include:

- 1. User Guides**
- 2. Demonstration Videos**
- 3. Technical Documentation**
 - **Batch Upload Template (.csv)**
 - **And on November 15th, FSRs Web Services Technical Documentation to support the XML Web Service for Batch Upload**

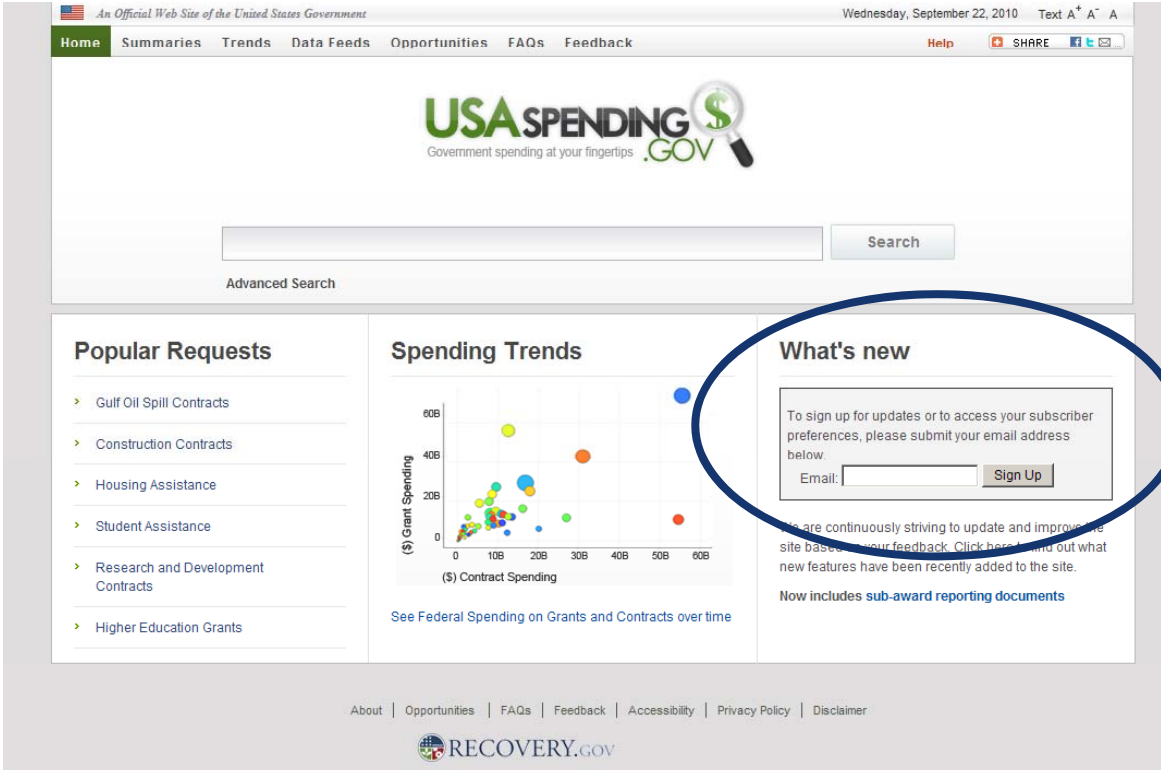


Additional Resources

Further information about subaward reporting is available at USAspending.gov on the “What’s New” tab:

<http://usaspending.gov/learn?tab=What%27s+New>

NEW!! Sign up at USAspending.gov to receive updates via e-mail



The screenshot shows the USAspending.gov website interface. The top navigation bar includes links for Home, Summaries, Trends, Data Feeds, Opportunities, FAQs, and Feedback. The main content area is divided into three columns: Popular Requests, Spending Trends, and What's new. The 'What's new' section is circled in blue and contains a sign-up form for email updates. The form includes a text input field for an email address and a 'Sign Up' button. Below the form, there is a link to 'sub-award reporting documents'.

Popular Requests

- > Gulf Oil Spill Contracts
- > Construction Contracts
- > Housing Assistance
- > Student Assistance
- > Research and Development Contracts
- > Higher Education Grants

Spending Trends

See Federal Spending on Grants and Contracts over time

What's new

To sign up for updates or to access your subscriber preferences, please submit your email address below.

Email:

We are continuously striving to update and improve the site based on your feedback. Click here to find out what new features have been recently added to the site.

Now includes [sub-award reporting documents](#)

Effective October 29th, in addition to the awardee demonstration videos and user guides available online, those needing more information about, or help with, grants reporting functionality on FSRS will be able to contact the Federal Service Desk for support:

- 1. From within FSRS, users will be able to click on the [For Help: Federal Service Desk] link at the bottom left of every page.**
- 2. FSRS users can also use the Federal Service Desk (www.fsd.gov) directly to:**
 - **Check the searchable, self-service Answer Center containing all the FSRS Frequently Asked Questions (FAQ) anytime at www.fsd.gov**
 - **Submit an on-line request using the [Submit New Request] link then completing the online web form**
 - **Check the status of a previous help desk ticket**
 - **Chat on-line with a Service Representative as necessary**
 - **Phone the national toll free number 1-866-606-8220**
 - **International callers should use 1-334-206-7828**

Questions