



Overview of APHIS Inspection of American Ginseng



John Veremis & Timothy Torbett
USDA-APHIS-PPQ

USDA Animal Plant Health Inspection Service

APHIS serves to facilitate safe trade, monitor the movement of risk material, protect against the introduction of pests, regulate the import and export of plants, and help exporters meet the entry requirements of other countries.

USDA responsibility

The USDA is responsible for enforcing regulations specific to the import and export of plants regulated by CITES as well as the Endangered Species Act (ESA).



CITES Implementation in the U.S.A.

**2005 Memorandum of Agreement
between USDA and DHS-CBP**

**DHS enforces CITES for nonliving
plant imports e.g. herbal medicinal
products and timber species**

Roles of PPQ and CBP

PPQ:

- Importation of living CITES plants
- Exportation of CITES regulated plants and plant products

CBP:

- Importation of nonliving CITES plants and plant products
- CITES plants on the Canadian border



Appendix II

Species not necessarily threatened with extinction, but for which trade must be controlled to avoid their becoming threatened

International trade is allowed but controlled by permits



CITES Appendices

Many species listed in Appendix II have “annotations” which provide additional guidance as to specific parts or derivatives that are regulated or exempted



American Ginseng Export Requirements



- • **USDA Protected Plant Permit**
- • **CITES Export Permit/Certificate**
- • **State Permits for 5 + year Wild roots**
- • **Invoice or Packing List**
- • **Inspection and Export at a USDA “Designated Port”**



American Ginseng Export Requirements



USDA Protected Plant Permit

7CFR355.11

Effective March 26, 1985:

“...no person shall engage in business as an importer, exporter, or reexporter of any terrestrial plants listed in 50 CFR 17.12 or 23.23 unless such person has obtained a protected plant permit for engaging in such business from Plant Protection and Quarantine.”



American Ginseng Export Requirements



USDA Protected Plant Permit

“ Engage in business as an importer, exporter, or reexporter of any terrestrial plants.”:


“To import, export, or reexport terrestrial plants for the purpose of selling, bartering, collecting, or otherwise exchanging or acquiring the plants as a livelihood or enterprise engaged in for gain or profit.”




American Ginseng Export Requirements



- •USDA Protected Plant Permit
- Fee: \$70.00
- Valid for 2 years

 Animal and Plant Health Inspection Service

 Plant Protection & Quarantine

United States Department of Agriculture
Animal and Plant Health Inspection Service
4700 River Road
Riverdale, MD 20737

Protected Plant Permit
To engage in the business of importing, exporting, or reexporting terrestrial plants regulated by 50 CFR 17.12 or 23.23 (Threatened or Endangered Species)

This permit was generated electronically via the ePermits system

PERMITTEE NAME:	[REDACTED]	PERMIT NUMBER:	[REDACTED]
CONTACT:	[REDACTED]	APPLICATION NUMBER:	[REDACTED]
ADDRESS:	[REDACTED]	DATE ISSUED:	[REDACTED]
MAILING ADDRESS:	[REDACTED]		
PHONE:	[REDACTED]	EXPIRES:	06/23/2010
FAX:	[REDACTED]		

SPECIAL INSTRUCTIONS TO INSPECTORS
NONE

PERMIT CONDITIONS

A copy of this permit (including all conditions) must accompany all shipments authorized under this permit. Upon arrival in the United States, the articles and shipping container(s) are subject to inspection by officials of Customs and Border Protection, Agriculture Inspection (CBP-AI) or Plant Protection and Quarantine (PPQ). Convention on International Trade of Endangered Species (CITES) documentation and phytosanitary certificates must be obtained prior to importing, exporting or reexporting Threatened or Endangered Species.



American Ginseng Export Requirements



- •USDA Protected Plant Permit
 - •CITES Export Permit/Certificate
- Issued by the U.S. Division of Management Authority
Fish and Wildlife Service
Department of the Interior
Arlington, VA

FORM 3-2014 (1/97)

CITES CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

EXPORT PERMIT Page 1 of 1
 RE-EXPORT CERTIFICATE 1. Original Permit/Certificate No.
 OTHER CERTIFICATE (see block 9) 2. Valid until 1/19/2009

3. Permittee (name and address, country) Singapore
 4. Consignee (name and address, country)

5. Special Conditions
 MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF CULTIVATED AMERICAN GINSENG.
 EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.
 PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT.

5a. Purpose of Transaction
 5b. Signature No. 215822

6. U.S. Management Authority
 DIVISION OF MANAGEMENT AUTHORITY
 U.S. FISH AND WILDLIFE SERVICE
 DEPARTMENT OF THE INTERIOR
 WASHINGTON, D.C. 20240
 UNITED STATES OF AMERICA

10/20/2008
 Issuing Date
 AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

7.b. Common Name and Scientific name (genus and species) of Animal or Plant
 9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)
 9. CERTIFICATE FOR ARTIFICIALLY PROPAGATED PLANTS, ROOTS; AUTHORIZED UNDER MASTER FILE # 674795.

10. Appendix No. and Source
 10. 2 A
 11. Quantity (including units)
 25.00 LBS
 11a. Total Exported/Quota

12. Country of Origin U.S.A. Permit/Certificate No. 08US1977759 Date of Issue 10/20/2008 12b. Breeding Operation No.
 12a. Country of Last Re-export Re-export Certificate No. Date of Issue 12c. Pre-Convention: Date of Acquisition

B. Common Name
 Scientific Name
 12. Country of Origin
 12a. Country of Last Re-export

13. Export / Re-export Endorsement:
 The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.
 See Block 7
 Quantity
 A 25.00 LBS
 B

14. Bill of Lading/Air Way-Bill Number
 FEDEX
 Port of Exportation / Re-exportation
 San Francisco, CA
 Total No. of Shipping Containers
 One Carton

15. This document valid only if it has the ORIGINAL stamp, signature and date in this space.
 INSPECTOR'S SIGNATURE AND DATE
 DATE 10/20/08 TIME 1:00 PM
 215822



Inspection of American ginseng roots for export endorsement validation



Problems:

- Documentation, expired permits

Permits are valid for six months from the date of issuance

FORM 5204 (08)		CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		Page 1 of 1	
<input type="checkbox"/> EXPORT PERMIT <input type="checkbox"/> RE-EXPORT CERTIFICATE <input type="checkbox"/> OTHER CERTIFICATE (see block 9)		1. Original Permit/Certificate No. 01US9264269		2. Wild Code 03/01/2002	
3. Permittee (name and address, country) [REDACTED]		4. Consignee (name and address, country) [REDACTED] Hong Kong			
5. Special Conditions PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS; PERMITTEE TO RETAIN ORIGINAL. MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG. EXPORT MUST BE THROUGH A USDA DESIGNATED PORT. PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENTS PRIOR TO EACH SHIPMENT. AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 8 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.		6a. Purpose of transaction T		6b. Security Stamp No. US9264269	
6. U.S. Management Authority OFFICE OF MANAGEMENT AUTHORITY U.S. FISH AND WILDLIFE SERVICE DEPARTMENT OF THE INTERIOR WASHINGTON, D.C. 20240 UNITED STATES OF AMERICA 		Issuing Date 08/30/2001		AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et seq.)	
7a. CONVEYOR NAME AND SOURCE (name (block and species) of Animal or Plant)		7b. Description of Part or Copy/ative, including identifying marks or numbers (specify if any)		7c. Appendix No. and Source	
A. CONVEYOR Name AMERICAN GINSENG		B. EXPORT: 2001 HARVEST SEASON, WILD ROOTS.		7d. 2 W	
C. CONVEYOR Name PANAX QUINQUEFOLIUS				7e. Quantity (including units) 485 lbs.	
				7f. Total Exported/Quota	
12. Country of Origin U.S.A.		Permit/Certificate No. 01US9264269		Date of Issue 08/30/2001	
13. Country of (last) re-export		Re-export Certificate No.		Date of Issue	
12b. Breeding Operation No.		12c. Pre-Convention Date of Acquisition			
13b. Breeding Operation No.		13c. Pre-Convention Date of Acquisition			
14. Bill of Lading/WT Way-Bill Number BAX Global AMB 51716361 Part of Exportation / (Re-exportation) San Francisco, CA TEL No. 1708339300 8 drums		15. This document valid only with inspecting officer's ORIGINAL stamp, signature and date in this block.		Inspecting Officer's Stamp, Signature and Date	
1. Export / Re-export Endorsement: The official who inspects all goods upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.		See Block 7		Quantity A 485 lbs. B	



CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA

- EXPORT PERMIT
 RE-EXPORT CERTIFICATE
 OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.
011US0 [REDACTED]
2. Valid until
03/01/2002

3. Permittee (name and address, country)

[REDACTED]

4. Consignee (name and address, country)

[REDACTED]

Hong Kong

5. Special Conditions

PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS; PERMITTEE TO RETAIN ORIGINAL.

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENT# 4, PRIOR TO EACH SHIPMENT.

AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.

5a. Purpose of Transaction

T

5b. Security Stamp No.

US9264269

6. U.S. Management Authority

OFFICE OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA



08/30/2001

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
AMERICAN
GINSENG

9. EXPORT: 2001 HARVEST SEASON, WILD ROOTS.



10. 2 W



Inspection of American ginseng roots for export endorsement validation



Problems - documentation 5. Special conditions

FORM 3-201A (1/97)  CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		<input type="checkbox"/> EXPORT PERMIT <input type="checkbox"/> RE-EXPORT CERTIFICATE <input type="checkbox"/> OTHER CERTIFICATE (see block 9)	Page 1 of 1 1. Original Permit/Certificate No. 01US0 [redacted] 2. Valid until 03/01/2002
3. Permittee (name and address, country) [redacted]		4. Consignee (name and address, country) [redacted] Hong Kong	
7. Special Conditions PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS; PERMITTEE TO RETAIN ORIGINAL. MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG. EXPORT MUST BE THROUGH A USDA DESIGNATED PORT. PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENT# <u>4</u> , PRIOR TO EACH SHIPMENT. AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.		5a. Purpose of Transaction T	5b. Security Stamp No. US9264269
For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.		6. U.S. Management Authority OFFICE OF MANAGEMENT AUTHORITY U.S. FISH AND WILDLIFE SERVICE DEPARTMENT OF THE INTERIOR WASHINGTON, D.C. 20240 UNITED STATES OF AMERICA  08/30/2001 Issuing Date United States Management Authority AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)	
7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source	



CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA

- EXPORT PERMIT
- RE-EXPORT CERTIFICATE
- OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.

01US0 [REDACTED]

2. Valid until

03/01/2002

3. Permittee (name and address, country)

[REDACTED]

4. Consignee (name and address, country)

[REDACTED]

Hong Kong

5. Special Conditions

PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS; PERMITTEE TO RETAIN ORIGINAL.

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENT# 4, PRIOR TO EACH SHIPMENT.

AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

5a. Purpose of Transaction

T

5b. Security Stamp No.

US9264269

6. U.S. Management Authority

OFFICE OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA

Management Authority
United States Fish and Wildlife Service
U.S. Department of the Interior

08/30/2001

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

8. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
AMERICAN
GINSENG

9. EXPORT: 2001 HARVEST SEASON, WILD ROOTS.

10. 2 W



Inspection of American ginseng roots for export endorsement validation



Problems

- Documentation original permits only

Permits are valid for six months from the date of issuance

FORM 3-201A (1/97)

CITES CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

Page 1 of 1

EXPORT PERMIT
 RE-EXPORT CERTIFICATE
 OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.
2. Valid until 04/19/2009

3. Permittee (name and address, country)
4. Consignee (name and address, country)

5. Special Conditions
MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF CULTIVATED AMERICAN GINSENG.
EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.
PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT.

5a. Purpose of Transaction T
5b. Security No. J15522

6. U.S. Management Authority
DIVISION OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA

10/20/2008
Issuing Date United States Management Authority
AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

718. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
A. Common Name (AMERICAN)	9. CERTIFICATE FOR ARTIFICIALLY PROPAGATED PLANTS, ROOTS, AUTHORIZED UNDER MASTER FILE	10.



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

- EXPORT PERMIT
- RE-EXPORT CERTIFICATE
- OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.

2. Valid until
04/19/2009

3. Permittee (name and address, country)

4. Consignee (name and address, country)

5. Special Conditions

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF CULTIVATED AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT.

5a. Purpose of Transaction

T

5b. Security No.

Handwritten security number

6. U.S. Management Authority

DIVISION OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA

Signature
Management Authority

10/20/2008

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

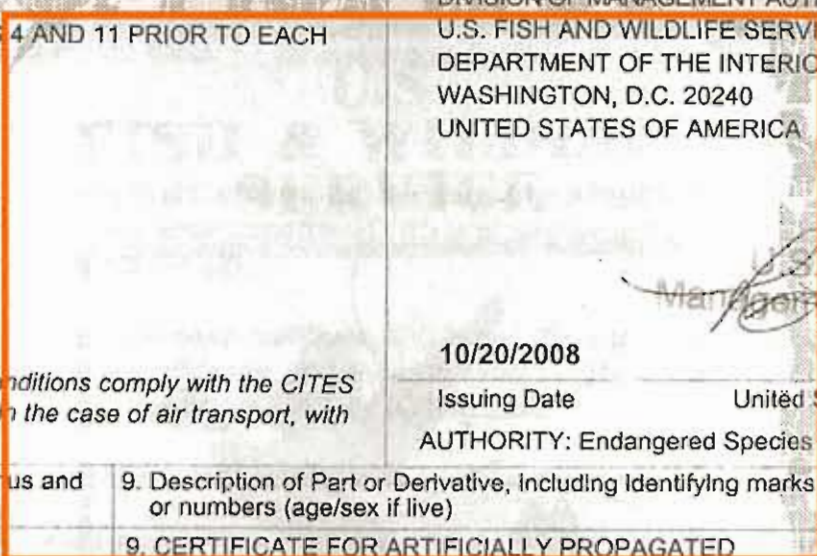
9. Description of Part or Derivative, including Identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

9. CERTIFICATE FOR ARTIFICIALLY PROPAGATED

10.





**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

- EXPORT PERMIT
- RE-EXPORT CERTIFICATE
- OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.
01US0 [REDACTED]

2. Valid until
03/01/2002

3. Permittee (name and address, country)

[REDACTED]

4. Consignee (name and address, country)

[REDACTED]
Hong Kong

5. Special Conditions

PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS; PERMITTEE TO RETAIN ORIGINAL.

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENT# 4, PRIOR TO EACH SHIPMENT.

AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

5a. Purpose of Transaction

T

5b. Security Stamp No.

US9264269

6. U.S. Management Authority

OFFICE OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA

Monica J. Perry
U.S. Department of the Interior
Wildlife Management Authority

08/30/2001

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

77b. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
AMERICAN
GINSENG

9. EXPORT: 2001 HARVEST SEASON, WILD ROOTS.

10. 2 W



American Ginseng Export Requirements

- • **USDA Protected Plant Permit**
- • **CITES Export Permit/Certificate**
- • **State Permits for 5 + year Wild roots**
- • **Invoice or Packing List**
- • **Inspection and Export at a USDA “Designated Port”**



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

- EXPORT PERMIT
- RE-EXPORT CERTIFICATE
- OTHER CERTIFICATE (see block 8)

1. Original Permit/Certificate No.
01US0-
2. Valid until
03/01/2002

3. Permittee (name and address, country)

4. Consignee (name and address, country)

Hong Kong

5. Special Conditions

PERMIT MAY BE COPIED FOR MULTIPLE SHIPMENTS, PERMITTEE TO RETAIN ORIGINAL.

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4, 11, AND SHIPMENTS 4 PRIOR TO EACH SHIPMENT.

AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 6 YEARS OF AGE OR OLDER HARVESTED IN THE 2001 SEASON.

5a. Purpose of Transaction

T

5b. Security Stamp No.

US9264269

6. U.S. Management Authority

OFFICE OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA



08/30/2001

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

7a. Common Name and scientific name (genus and species) of Animal or Plant

8. Description of Permit or numbers (if live) including identifying marks

10. Appendix No. and Date

Common Name
AMERICAN GINSENG

P EXPORT 2001 HARVEST SEASON, WILD ROOTS.

10. 2 W

Scientific Name
PANAX QUINQUEFOLIUS

11. Quantity (including units)

485 lbs.

11a. Total Exported/Quota

12. Country of Origin

U S A

Permit/Certificate No.

01US0-0000000

Date of Issue

08/30/2001

12b. Breeding Operation No.

2a. Country of Last Re-export

Re-export Certificate No.

Date of Issue

12c. Pre-Convention Date of Acquisition

Common Name

8.

10.

Scientific Name

11. Quantity (including units)

11a. Total Exported/Quota

12. Country of Origin

Permit/Certificate No.

Date of Issue

12b. Breeding Operation No.

2a. Country of Last Re-export

Re-export Certificate No.

Date of Issue

12c. Pre-Convention Date of Acquisition

9. Export / Re-export Endorsement:
The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.

14. Bill of Lading/Air Way-Bill Number

TAX Global
AWB 51716361

Port of Exportation / Re-exportation

15. This document valid only with inspecting officer's ORIGINAL stamp, signature and date in this block.

See Block 7	Quantity
A	485 lbs.
B	

San Francisco, CA
Total No. of Shipping Containers

4 drums

Inspecting Officer's Stamp, Signature and Date



Commonwealth of Kentucky

Certificate Number **10723**

AMERICAN GINSENG EXPORT CERTIFICATE

Dealer Registration Number 932064

Shipment Number 13

This shipment contains:

Weight of Shipment (Specify in both numerical and written figures)

Numerical		Written	
Pounds	Ounces	Pounds	Ounces
100	/	one hundred	/

of: wild or cultivated or wood grown, green or dry American

ginseng roots that were legally harvested within the state of Kentucky during this harvest year 2001, and I have complied with all state and federal laws pertaining to the harvest, purchase, sale, transfer, and export of these roots.

[Signature]
Signature of Registered Dealer

Georgetown Ind
Address of Registered Dealer

I hereby certify that I am the duly authorized agent of the state of Kentucky and that I have examined this shipment and verify the quantity of root and that I have examined the records of origin of this root and find the root to be legally harvested in Kentucky.

[Signature]
Signature of State Agent

[Signature]
Title of Agent

10-1-01
Date of Certification

This original certificate must accompany the certified ginseng until it is exported from the United States.



Plant Industry Division
 North Carolina Department of
 Agriculture and Consumer Services
 P.O. Box 27647
 Raleigh, NC 27611
 (919) 733-3610



CERTIFICATE # 010012

DEALER PERMIT # 01-02
 DEALER SHIPMENT # 9



NORTH CAROLINA GINSENG EXPORT CERTIFICATE

NUMERICAL WEIGHT		WRITTEN WEIGHT	
pounds	ounces	pounds	ounces
100	0	one hundred	zero

Check one: Wild Cultivated Check one: Green Dry

THIS IS TO CERTIFY that, to the best of my knowledge, the American ginseng roots in this shipment described above were legally harvested in North Carolina between the dates of

(mm/dd/yyyy) 10/10/01 and (mm/dd/yyyy) 10/18/01

and that I have complied with all State and Federal laws pertaining to the harvest, sale, purchase, trade, transfer, and transport of these roots.

DEALER SIGNATURE Raymond E. Bowdley DATE 10/18/01
 DEALER NAME Blue Ridge Botanicals
 AND ADDRESS 978 Laurel Branch Rd, Utopia, NC 28692

THIS IS TO CERTIFY that the statements in this certificate have been verified by a visual inspection of the ginseng being certified and an examination of the dealer's purchase records. The certification is based on:

Record of Wild Collected Ginseng Purchases: sheet numbers 10-13
 Record of Cultivated Ginseng Purchases: sheet numbers
 Record of Dealer-Dealer Transactions: sheet numbers 5

This certificate declares that the North Carolina-harvested ginseng roots herein described are eligible for export in accordance with criteria established by State and Federal law.

SIGNATURE Rohi Hunt DATE 10/18/01
 Authorized Inspector, Plant Industry Division

The original of this certificate must accompany the certified ginseng for which it was issued until the roots are exported from the United States. This certificate may be revoked at any time for causes such as falsification of records or loss of identity of roots covered by the certificate, and may be invalidated for the purpose of consolidation of several lots into a single lot by an authorized inspector.

W. A. Dickerson
 W. A. Dickerson, Director, Plant Industry Division



Ginseng Dealer Registration and Permit Number 200117

Shipment Number 01



No. 5122

Year Roots Harvested 01

TENNESSEE
DEPARTMENT OF ENVIRONMENT AND CONSERVATION
DIVISION OF NATURAL HERITAGE

AMERICAN GINSENG EXPORT CERTIFICATE

This is to certify that, to the best of my knowledge, the wild X, woodsgrown _____, or cultivated _____ American Ginseng roots in this shipment, consisting of

13.5 pounds, NO ounces
(weight numerically)
one hundred thirty five 5 NO/100
(weight in script)

of green _____ dry X American Ginseng roots were legally collected in accordance with state and federal law in Tennessee as approved by the Fish and Wildlife Service of the United States Department of the Interior. This certification is based on permanent records of commerce in ginseng dealings as required by the state of registration.

The dealer attests the weight herein certified is based upon and is no greater than the amount(s) shown on Tennessee transaction receipt number(s): 360128-360200
370551-370734, unaltered dealer copies of which are contained in and have not been removed from the Monthly Purchase Record Book.

Wend Kemp
Signature of Registered Dealer
170 Crabtree Creek Rd.
Zacharyville Tenn. 38588
Oct. 12, 2001
Date of Shipment by Dealer

Patrick Randall
Signature of Tennessee Certifying Official
Ranger II
Title of Tennessee Certifying Official
10/11/01
Date of Certification

NOTE: Dealers must be registered in the state from which they purchase the American Ginseng described in this certificate. This certificate must accompany each shipment of ginseng upon and after the first sale of the ginseng. A Federal Export Permit is required to export wild American Ginseng from the United States. Artificially Propagated Plant Certificates are necessary for international shipment of cultivated American Ginseng. Export Permits and Artificially Propagated Plant Certificates are available from United States Fish and Wildlife Service, OMA, 4401 N. Fairfax Drive, Room 700, Arlington, Virginia, 22203. USDA General Permits are also required to export American Ginseng. USDA General Permits are available from United States Department of Agriculture, APHIS/PPQ, 4700 River Road, Unit 136, Riverdale, Maryland 20737-1236. A Valid Federal Export Permit or Certificate of Artificial Propagation, Federal General Permit and copies of these State Certificates listing the ginseng in the shipment are required to export any American Ginseng, either wild or cultivated, from the United States.



American Ginseng Export Requirements



- • **USDA Protected Plant Permit**
- • **CITES Export Permit/Certificate**
- • **State Permits for 5 + year Wild roots**
- • **Invoice or Packing List**
- • **Inspection and Export at a USDA “Designated Port”**





PPQ Plant Inspection Stations

(All CITES Regulated plant, plant parts & derivatives)





Inspection of American ginseng roots for export endorsement validation



Inspection process:

- 1) Examine documents
- 2) Empty at least one barrel
- 3) Inspect contents for compliance with Export Permit Conditions



Possible problems

- Wild, mixed or hidden in shipment of cultivated root
- Suspect underage root
- Excessive roots with broken or no necks
- Other questions, “What is it?”
- Fiber, not whole roots

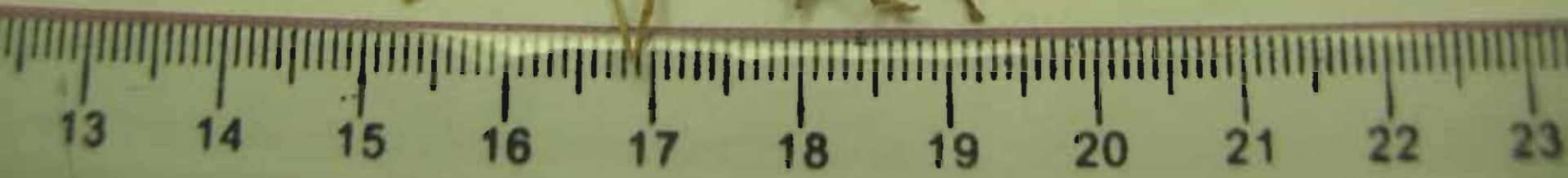
編號 P0421417
Ac07s11-9
淨重 89.9 磅 B
皮重 12.1 磅 9
淨重 77.8 磅



Possible problems

- Wild, mixed or hidden in shipment of cultivated root
- **Suspect underage root**
- Excessive roots with broken or no necks
- Other questions, “What is it?”
- Fiber, not whole roots







Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Aerial Stem Scar

Neck

METRIC 1

INCHES

2

3

4

1



Not all small
roots are
underage





Possible problems

- Wild, mixed or hidden in shipment of cultivated root
- Suspect underage root
- Excessive roots with broken or no necks
- Other questions, “What is it?”
- Fiber, not whole roots





Possible problems

- Wild, mixed or hidden in shipment of cultivated root
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Possible problems

- Wild, mixed or hidden in shipment of cultivated root
- Suspect underage root
- Excessive roots with broken or no necks
- Other questions, “What is it?”
- **Fiber, not whole roots**









Inspection of American ginseng roots for export endorsement validation



Inspection process:

Examine documents

Empty at least one barrel

Inspect contents for
compliance with

Export Permit Conditions

Validate permit and distribute copies


**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

EXPORT PERMIT

RE-EXPORT CERTIFICATE

OTHER CERTIFICATE (see block 9)

Page 1 of 1

1. Original Permit/Certificate No.

2. Valid until

1/19/2009

3. Permittee (name and address, country)

Sinn

4. Consignee (name and address, country)

5. Special Conditions

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF CULTIVATED AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT

PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT.

5a. Purpose of Transaction

T

5b. Security No.

HS 202

6. U.S. Management Authority

DIVISION OF MANAGEMENT AUTHORITY
U.S. FISH AND WILDLIFE SERVICE
DEPARTMENT OF THE INTERIOR
WASHINGTON, D.C. 20240
UNITED STATES OF AMERICA

10/20/2008

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

7/B. Common Name and Scientific name (genus and species) of Animal or Plant

A. Common Name
AMERICAN
GINSENG

Scientific Name
PANAX
QUINQUEFOLIUS

12. Country of Origin
U.S.A.

12a. Country of Last Re-export

Permit/Certificate No.
08US197775/9

Re-export Certificate No.

Date of Issue
10/20/2008

Date of Issue

12b. Breeding Operation No.

12c. Pre-Convention; Date of Acquisition

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

9. CERTIFICATE FOR ARTIFICIALLY PROPAGATED
PLANTS, ROOTS; AUTHORIZED UNDER MASTER FILE
674795.

10. Appendix No. and Source

10. 2 A

11. Quantity (including units)
25.00 LBS

11a. Total Exported/Quota

B. Common Name

9.

10.

Scientific Name

11. Quantity (including units)

11a. Total Exported/Quota

12. Country of Origin

Permit/Certificate No.

Date of Issue

12b. Breeding Operation No.

12a. Country of Last Re-export

Re-export Certificate No.

Date of Issue

12c. Pre-Convention; Date of Acquisition

13. Export / Re-export Endorsement:
The official who inspects shipment upon
exportation / re-exportation must enter the
total quantities of specimens being
exported / re-exported in this block.

See Block 7	Quantity
A	25.00 LBS
B	

14. Bill of Lading/Air Way-Bill Number

FEDEX

Port of Exportation / Re-exportation

San Francisco, CA

Total No. of Shipping Containers

One Carton

15. This document valid only if it bears the ORIGINAL stamp, signature and date of the official.

INSPECTION & RELEASED
SAN FRANCISCO, CA
USDA, WILDS, IPQ
INSP. BADGE NO: 61873
DATE 10/20/08 TIME 10:00
Inspecting Official's Name, Signature and Date



American Ginseng Export Requirements



When the inspection has been completed, the Inspector :

- **Stamps the Original Permit “Inspected and Released”
(The original accompanies the shipment)**

- **Photocopies of the validated permit are distributed to:**
 - The Permittee**
 - Division of Management Authority**
 - Port files**



CITES Regional Specialists

John Arcery, JFK, NY

Tel. (718) 553-1732

Fax: (718) 553-0060

Tim Torbett, SFO, CA

Tel. (650) 876-9093

Fax. (650) 876-9008



PPQ Headquarters Contacts:

John Veremis

**USDA, APHIS, PPQ
4700 River Road, Unit 52
Riverdale, MD 20737**

Tele. 301.734.8891 / Fax. 301.734.3560