

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2009-06-15 17:19:22
2. **Agency:** 023
3. **Bureau:** 30
4. **Name of this Investment:** GSA SmartCard_HSPD12 Program - Dashboard
5. **Unique Project (Investment) Identifier:** 023-30-01-12-01-1050-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2007
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

This investment is made to meet the requirements of Homeland Security Presidential Directive 12 (HSPD12), Policy for a Common Identification Standard for Federal Employees and Contractors as implemented by OMB Memorandum M-05-25, Implementation of HSPD-12. It is directed at improving security and efficiency of access to Federal Buildings and Information Systems, and provides basic access control security which prevents unauthorized intrusion and permits safe and easy access by authorized individuals. The investment provides for the organizational changes in verifying an individual's suitability for employment by the Federal Government by conducting background investigations such as the National Agency Check with written Inquires (NACI) prior to access to facilities and information systems as well as the actual production of HSPD-12 compliant credentials (which are acquired through a shared service provider in accordance with OMB wishes). The investment also provides for a program management office which facilitates the various stakeholder groups working on such things as using the HSPD-12 credential for logical (network) access and physical (building) access, modifying contractual language to insure that HSPD-12 investigation requirements are satisfied for contractor personnel, and that tenant agencies of the Public Building Service (such as Congressional and Judicial offices) are considered in the implementation of HSPD-12.

 - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? ***
 - a. **If "yes," what was the date of this approval? ***
10. **Contact information of Program/Project Manager?**
 - **Name:** *
 - **Phone Number:** *
 - **Email:** *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	\$1.9	\$0.0	\$0.0	*	*	*	*	*
Acquisition:	*	\$0.0	\$0.0	\$0.0	*	*	*	*	*
Subtotal Planning & Acquisition:	*	\$1.9	0	0	*	*	*	*	*
Operations & Maintenance:	*	\$5.8	\$5.9	\$4.3	*	*	*	*	*
Disposition Costs (optional):	*	\$0.0	\$0.0	\$0.0	*	*	*	*	*
SUBTOTAL:	*	\$7.7	\$5.9	\$4.3	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	\$0.5	\$0.5	\$0.5	*	*	*	*	*
Number of FTE represented by Costs:	*	\$4.0	\$5.0	\$5.0	*	*	*	*	*
TOTAL(including FTE costs)	*	\$12.2	\$11.4	\$9.8	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
GS-35F-4338D/GS T0007AC 3022	Fixed Labor Hour	Y	2008-11-12	2008-10-01	2009-09-30	\$1,340.8	N	N	Y	*	*
GS07F0823N/P-00-05-CY-0029	Fixed Labor Hour	Y	2008-09-19	2008-09-19	2009-12-22	\$24.5	N	N	Y	*	*
GS-35F-0615S/GS T0007AC 4141	Fixed Labor Hour	Y	2008-09-11	2008-08-23	2009-08-22	\$87.6	N	N	Y	*	*
26355GS N	MOU with GSA FAS MSO for production of PIV Credentials	Y	2006-09-20	2006-09-20	2009-10-27	\$5,821.5	Y	N	Y	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Customer Results	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 25 days.	Actual time to grant access has dropped to 11 working days as of August 2007.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Mission and Business Results	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	10% of GSA employees and contractors will have HSPD-12 compliant credentials.	As of the end of August 2007, less than 1% of GSA employees have HSPD-12 credentials. This is due to the recomplete of the MSO contract.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Processes and Activities	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Only 30% of GSA employees have a NACI (or better) background investigation.	70% of GSA employees and contractors will have NACI (or better) background check.	As of the end of August 2007, 67% of GSA employees have a validated NACI (or better) investigation on record.
2007	6. Carry out Social, Environmental and other Responsibilities as a Federal Agency	Technology	Availability	Percent of employees and contractors using 2-factor authentication to access sensitive agency information.	No 2-factor authentication mechanism currently available.	10% of GSA employees and contractors accessing sensitive information will use 2-factor authentication.	As of the end of August 2007, no GSA employees are using 2-factor authentication. This is due to the recomplete of the MSO contract. Those persons requiring 2-factor access will be pushed to the head of the line to receive the new credential.
2008		Customer Results	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 15 days.	Once background investigation is received, an average of 10 days to grant IT access & issue HSPD-12 compliant credentials.
2008		Mission and Business Results	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	90% of GSA employees and contractors will have HSPD-12 compliant credentials.	As of 7/31/08, 44% of employees & 3.5% of contractors have HSPD-12 compliant credentials.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008		Processes and Activities	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Only 70% of GSA employees have a NACI (or better) background investigation.	100% of GSA employees and contractors will have NACI (or better) background check.	As of 7/31/08, 90% of employees have a Fingerprint and or NACI background check
2008		Technology	Availability	Percent of employees and contractors using 2-factor authentication to access sensitive agency information.	No 2-factor authentication mechanism currently available.	50% of GSA employees and contractors accessing sensitive information will use 2-factor authentication.	As of 7/31/08, pilot program using 50 users for testing two-factor authentication mechanism is under way.
2009		Customer Results	Access	Time to grant building and initial IT access.	35 days under old system.	Reduce to 10 days.	Once background investigation is received, an average of 10 days to complete the process for an HSPD-12 ID card & IT access.
2009		Mission and Business Results	Key Asset and Critical Infrastructure Protection	Number of GSA employees and contractors nationwide having HSPD-12 compliant credentials	No HSPD-12 compliant credentials are issued.	100% of GSA employees and contractors will have HSPD-12 compliant credentials.	As of 12/31/2008, 61% of GSA personnel have received thier HSPD-12 credentials .
2009		Processes and Activities	Security	Percentage of GSA employees and contractors nationwide who have HSPD12-compliant background checks.	Approximately 12,000 new employees and contractors per year.	100% of GSA employees and contractors nationwide have HSPD12-compliant background checks	As of 12/31/2008, 97% of GSA Personnel have have a fingerprint and or a NACI background check.
2009		Technology	Data Reliability and Quality	Percent of employees and contractors using 2-factor authentication to access sensitive agency information	No 2-factor authentication mechanism currently available	100% of GSA employees and contractors accessing sensitive information will use 2-factor authentication	As of 12/31/2008, the initial 50 user LACS Pilot program has been completed and planning is under way to rollout Smart Card login to various GSA regions.
2010	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space,	Customer Results	Access	Time to grant initial IT and building access	35 days under old system	10 days	Not yet available

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	furnishings, technology, supplies, and related services.						
2010	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Mission and Business Results	Key Asset and Critical Infrastructure Protection	Number of GSA personnel with HSPD-12 compliant credentials	None	100%	Not yet available
2010	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Processes and Activities	Security	Percentage of GSA employees and contractors with NACI or better background check	82%	100%	Not yet available
2010	2.Superior Workplaces:Deliver and maintain productive workplaces consisting of office space, furnishings, technology, supplies, and related services.	Technology	Data Reliability and Quality	Percent of personnel using 2-factor authentication to access sensitive agency data	None - No 2-factor authentication mechanism currently available	100% of Agency personnel using 2-factor authentication	Not yet available

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY07 DME	\$2.7	\$2.6	2006-10-01	2006-10-01	2007-09-30	2007-08-31	100.00%	100.00%
FY07 O&M	\$0.7	\$0.7	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY08 DME	\$1.9	\$1.9	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY08 O&M	\$6.3	\$6.3	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY09 O&M	\$6.4	\$6.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	75.00%	75.00%
FY10 O&M	\$6.7	\$1.7	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY11 O&M	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY12 O&M	*	*	2011-10-01		2012-09-30		0.00%	0.00%

* - Indicates data is redacted.