



UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
NATIONAL MARINE FISHERIES SERVICE  
Silver Spring, MD 20910

AUG 18 2006

Todd R. Robeck, D.V.M., Ph.D.  
Sea World, Inc.  
7007 Sea World Drive  
Orlando, Florida 32821

Dear Dr. Robeck:

Enclosed is Permit No.116-1691-00/MA062475-0, issued to Sea World Inc. [Dr. Todd R. Robeck, Responsible Party and Principal Investigator (PI)], which authorizes the collection, acquisition, import, and export of various marine mammal and endangered species specimens under the jurisdiction of the National Marine Fisheries Service (NMFS) and the U.S. Fish and Wildlife Service (USFWS) for the purpose of scientific research.

Please note that this permit is not valid until our office receives the signed file copy of the signature page. Therefore, please review the permit carefully to ensure it accurately reflects what you requested unless otherwise stated and that you understand what is authorized, and sign and date both the original signature page and the one marked "file copy." To validate your permit, please return the signature page marked file copy to the Permits, Conservation and Education Division, 1315 East-West Highway, Silver Spring, MD 20910. You may also submit the file copy of the signature page by facsimile to (301) 427-2521 and confirm it by mail. Your permit is valid from the date we receive the signed file copy of the signature page through August 31, 2011.

Please note that this permit does not authorize collection or receipt of marine mammal specimens from animals stranded dead or alive in the U.S. under NMFS jurisdiction (cetaceans and pinnipeds except walrus). To obtain samples from stranded animals under NMFS jurisdiction, you should contact the appropriate Regional Stranding Network Coordinator (list attached) to become a designated stranding responder or authorized recipient of such specimens. Arrangements may also be made with the various NMFS Science Centers (list attached) for parts from marine mammals that are killed incidental to commercial fisheries in the U.S. To obtain samples from walrus taken under a subsistence harvest and to coordinate the collection of specimens from dead wild walrus, please contact the USFWS Marine Mammals Management Office at 1011 East Tudor Road, Anchorage, Alaska, 99503 [phone (907) 786-3800 or (800) 362-5148; fax (907) 786-3816].

The NMFS Marine Mammal Health and Stranding Response program along with the National Institute of Standards and Technology maintain the National Marine Mammal Tissue Bank. Please contact Dr. Teresa K. Rowles, NOAA/NMFS/PR2, 1315 East-West Highway, Silver Spring, Maryland 20910 [(301) 713-2322, Ext. 178, Teri.Rowles@noaa.gov] or Dr. Paul R. Becker, NIST, 219 Fort Johnson Road Charleston, South Carolina 29412 [(843) 762-8503, Paul.Becker@nist.gov] about



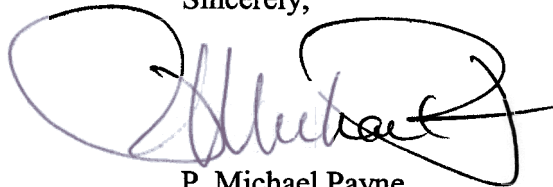
possibly contributing some of your samples to the archive. Visit the following web site for more information: <http://www.nmfs.noaa.gov/pr/health/tissue/>.

The import and export of species listed on the Appendices to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) requires a valid CITES permit. Any specimens of species listed in the Appendices to CITES must be accompanied by valid CITES documentation from the CITES Management Authority of the exporting country, and in the case of Appendix-I species and Appendix I and II species collected in the open ocean (i.e., in the marine environment outside of any country's territorial jurisdiction), from the CITES Management Authority of the importing country. For further information regarding CITES requirements please contact Ms. Lisa Lierheimer, USFWS, Division of Management Authority, 4401 N. Fairfax Drive, Room 700, Arlington, VA 22203 (1-800-358-2104, x 1991).

The USFWS declaration requirements (50 CFR 14.61) specify that a completed Declaration for Importation or Exportation of Fish or Wildlife (Form 3-177), signed by the importer or importer's agent, must be filed with the USFWS at the designated port(s) of entry (50 CFR 14.52). Form 3-177 can be obtained online at <http://www.le.fws.gov/faqs.htm>. For additional information regarding completion of the form, contact your local USFWS inspection office. Designated ports of entry are listed in Condition B.10. Prior to importing or exporting specimens, the USFWS wildlife inspectors (list attached) must be notified at least 48 hours in advance. Further, any port of entry other than the designated ports listed in Condition B.10. must be approved in writing by the USFWS Law Enforcement Regional Office.

As the Responsible Party and PI of this permit, you are ultimately responsible for all activities of any individual operating under its authority. Please review the permit carefully before signing it and return the file copy of the signature page to us to activate your permit. If you have any problems or questions, please contact Jennifer Skidmore or Amy Sloan at (301) 713-2289.

Sincerely,

A handwritten signature in blue ink, appearing to read "P. Michael Payne", with a large, stylized flourish at the end.

P. Michael Payne  
Chief, Permits, Conservation and  
Education Division  
Office of Protected Resources

Enclosures



UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
NATIONAL MARINE FISHERIES SERVICE  
Silver Spring, MD 20910

Permit No.: 116-1691-00/MA062475-0  
Expiration Date: August 31, 2011

## PERMIT TO TAKE/IMPORT/EXPORT MARINE MAMMAL SPECIMENS

### Authorization

Sea World Inc., 7007 Sea World Drive, Orlando, FL 32821 [Todd Robeck, D.V.M, Ph.D., Responsible Party and Principal Investigator (PI)], is hereby authorized to take, import and export marine mammal specimens in the manner specified below for the purpose of scientific research, subject to the provisions of the Marine Mammal Protection Act of 1972, as amended (MMPA; 16 U.S.C. 1361 *et seq.*), the regulations governing the taking and importing of marine mammals (50 CFR parts 18 and 216), the Endangered Species Act of 1973 (ESA; 16 U.S.C. 1531 *et seq.*), the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR parts 222-226), and the Terms and Conditions hereinafter set out.

### II. Abstract

The objectives of the research are to study marine mammal reproductive physiology, including endocrinology, gamete biology, cryophysiology, and assisted reproductive techniques in captive marine mammals. The research will involve the collection, receipt, import, export and analysis of marine mammal specimens (hard and soft parts) under the jurisdiction of both the National Marine Fisheries Service (NMFS) and the U.S. Fish and Wildlife Service (USFWS). Specimens of interest from dead animals include, but are not limited to, testes (including the epididymis and vas deferens), ovaries, uterus (with any conceptus if present), cervix and proximal vagina, pineal gland, urine, feces, teeth, and blood. Specimens of interest from live animals include, but are not limited to, semen, urine, feces, saliva, ocular secretions, and blood.

### III. Terms and Conditions

The activities authorized herein must occur by the means, in the areas, and for the purposes set forth in the permit application, and as limited by the Terms and Conditions specified in this permit.

#### A. Number and Kind of Marine Mammals

1. At any time of the year, the Permit Holder may, subject to the conditions herein, take<sup>1</sup> acquire, analyze, archive, import/export, re-import, and re-export on a worldwide basis hard and soft parts from the marine mammal and endangered species listed in the table below.

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<sup>1</sup>The Regulatory (50 CFR 216.3) definition of "take" includes the collection of dead animals or specimens from dead animals.



| <b>Table 1: Permit No. 116-1691-00/MA062475-0 - Annual Take, Receipt<sup>2</sup>, Import, and/or Export of Parts (soft and hard parts including, but not limited to, reproductive cells and organs, urine, feces, saliva, ocular secretions, whole blood and blood constituents, and teeth).</b> |                          |
|--|--------------------------|
| <b>Species</b>   | <b>Number of Samples</b> |
| Bottlenose dolphin<br>( <i>Tursiops truncatus</i> )  | 15                       |
| Beluga whale<br>( <i>Delphinapterus leucas</i> )   | 15                       |
| Killer whale<br>( <i>Orcinus orca</i> )  | 7                        |
| Pacific white-sided dolphin<br>( <i>Lagenorhynchus obliquidens</i> )   | 10                       |
| Commerson's dolphin<br>( <i>Cephalorhynchus commersonii</i> )  | 10                       |
| Short-beaked common dolphin<br>( <i>Delphinus delphis</i> )  | 5                        |
| False killer whale<br>( <i>Pseudorca crassidens</i> )  | 10                       |
| Baiji or Chinese River dolphin<br>( <i>Lipotes vexillifer</i> )  | 10                       |
| Vaquita<br>( <i>Phocoena sinus</i> )   | 5                        |
| Walrus<br>( <i>Odobenus rosmarus</i> )   | 10                       |

<sup>2</sup> For species under NMFS jurisdiction, receipt does not include samples from animals stranded in the U.S. Such samples would be obtained under separate authorizations issued by the NMFS Regional Offices, or for ESA-listed species, under a separate NMFS permit. Such samples may be exported and re-imported under the authority of this permit.

2. Specimens may be obtained from the following sources:
  - a) Animals killed during subsistence harvests (see USFWS Special Condition D.4. for walrus);
  - b) Animals that died incidental to commercial fishing operations in countries where such taking is legal;
  - c) NMFS Science Centers that hold specimens from animals that died incidental to U.S. commercial fishing operations;
  - d) Dead stranded animals in foreign countries, where taking of such samples is legal;
  - e) Salvaged tissue from dead wild walrus (see USFWS Special Conditions D.1. and D.2.); and
  - f) Captive stocks where such specimens were collected as a result of routine husbandry procedures, and captive animals that have died of natural causes; a list of approved facilities for the collection of specimens to be imported is attached.
3. No animals may be intentionally killed for the purpose of collecting specimens, and no remuneration, either financial or in-kind, can be offered for the taking of animals from the wild. This does not preclude legitimate logistical collection and transportation expenses. It does, however, apply to paying bounties or incentive pay for the removal of animals from the wild.
4. Samples collected from live captive animals must come from animals behaviorally conditioned for non-intrusive sample donation as part of their routine husbandry management. Sample collection in the U.S. may only be performed on animals that are maintained under the U.S. Department of Agriculture, Animal and Plant Health Inspection Service guidelines, in compliance with the regulations and provisions of the Animal Welfare Act (AWA).

B. Research Conditions

1. The authority to receive, import, and export the marine mammal specimens authorized in this permit shall extend from the date of issuance through **August 31, 2011**.
2. Dr. Robeck as the Principal Investigator may conduct the authorized research. No Co-Investigators (CIs) have been designated.

3. The Permit Holder shall not import specimens into the U.S. from marine mammals:
  - a) Taken in any high seas driftnet fishery after December 31, 1992;
  - b) Deliberately killed or harassed for the express purposes of fulfilling this permit;
  - c) Taken illegally in the country of origin; or
  - d) Taken in a directed fishery, including "drive fisheries."
4. The use of any samples obtained, imported, or exported under the authority of this permit in assisted reproductive techniques and research thereof must be carried out under this permit or an equivalent scientific research permit issued to another party for that purpose. Persons receiving parts obtained under this permit for assisted reproductive techniques must either be designated as a CI on this permit or be a holder of or CI on a permit that authorizes such research on marine mammal assisted reproductive techniques. (For walrus, also see USFWS Special Condition D.8.).
5. All specimen materials obtained under this authority shall be maintained according to accepted curatorial standards. After completion of initial research goals, any remaining samples or specimens shall be deposited into a *bona fide* scientific collection that meets the minimum standards of collection, curation, and data cataloging as established by the scientific community. See Conditions B.4., B.11. through B.13., and D.7. regarding subsequent transfer of specimens.
6. Marine mammal parts imported under the authority of this permit must be taken in a humane manner, and in compliance with the Marine Mammal Protection Act, the Endangered Species Act, the Animal Welfare Act [9 CFR Chapter 1, SubChapter A], and any applicable foreign law. Importation of marine mammal parts is subject to the provisions of 50 CFR parts 14, 17, 18, 23 [CITES], 216, and 222.
7. Any specimens of species listed in the Appendices to CITES must be accompanied by valid CITES documentation from the exporting country, and, in the case of Appendix I species, and Appendix I and II species collected in the open ocean (i.e., in the marine environment outside of any country's territorial jurisdiction), from the CITES Management Authority of the importing country.
8. All specimens imported into the U.S. must be cleared through a USFWS port designated for wildlife and shall be accompanied by documentation giving a description of each animal from which specimen materials were taken including, if possible, identification, age, size, sex, reproductive condition; date and location of acquisition; circumstances causing death or nature of specimen collection.

9. The Permit Holder must maintain a record of all specimens obtained under this permit. This record must include: the species and type(s) of specimens, circumstances surrounding the specimen acquisition (e.g., stranding, incidental take from commercial fishery, etc.), date(s) acquired, the date shipped, the location(s) of collection and who collected the sample(s), and the authorizing government agency.
10. Designated Ports of Entry: The following ports of entry are designated for the importation or exportation of wildlife and are referred to hereafter as “designated ports” (50 CFR 14.12). Please notify the USFWS wildlife inspectors (list attached) at these ports at least 48 hours prior to import or export.

|                          |                       |
|--------------------------|-----------------------|
| 1) Anchorage, AK         | 10) Louisville, KY    |
| 2) Atlanta, GA           | 11) Memphis, TN       |
| 3) Baltimore, MD         | 12) Miami, FL         |
| 4) Boston, MA            | 13) New Orleans, LA   |
| 5) Chicago, IL           | 14) New York, NY      |
| 6) Dallas/Fort Worth, TX | 15) Newark, NJ        |
| 7) Honolulu, HI          | 16) Portland, OR      |
| 8) Houston, TX           | 17) San Francisco, CA |
| 9) Los Angeles, CA       | 18) Seattle, WA       |

To use a port of entry other than the designated ports listed above, the Permit Holder or PI must obtain a Designated Port Exception Permit from the USFWS as required in 50 CFR 14.31 and 14.32. Additional information may be obtained from the USFWS website:

[http://www.fws.gov/le/ImpExp/Info\\_Importers\\_Exporters.htm](http://www.fws.gov/le/ImpExp/Info_Importers_Exporters.htm) .

11. The Terms and Conditions of the permit shall remain in effect as long as the marine mammal specimens received and imported hereunder are maintained under the authority and responsibility of the Permit Holder. Attached is 50 CFR 216.37 of the NMFS regulations governing the taking and importing of marine mammals that contains additional conditions regarding maintaining and transferring marine mammal parts applicable only to NMFS species. These regulations are made a part hereof. Also see Research Condition B.4.
12. Under no circumstances may any marine mammal parts obtained, imported or exported under the authority of this NMFS/USFWS permit be bought or sold.
13. Samples may be made available to other scientists upon request, if the research request does not conflict with the original intent of collected specimens and upon the approval of the Permit Holder and NMFS. For NMFS species see Condition B.4. For USFWS species see Condition D.7.

the approval of the Permit Holder and NMFS. For NMFS species see Condition B.4. For USFWS species see Condition D.7.

14. All U.S. born progeny of those species authorized by this permit are subject to the MMPA and shall be reported as a result of research authorized under Permit No. 116-1691-00/MA062475-0 upon their birth. All progeny not listed under the ESA shall subsequently be considered public display animals and maintained in the inventory collection of the holder (see Reporting Condition C.3.). For walrus, also see USFWS Special Condition D.8. Any progeny of species listed under the ESA may only be held in the U.S. under a scientific research and/or enhancement permit.

C. Reporting Conditions

1. Annual Reports: Each year the permit is valid, the Permit Holder must submit an annual report for the reporting period of September 1 through August 31, due by November 30 of each year, describing the specific activities that have been conducted. The annual report must be both tabular and narrative in nature. An example blank table is amended to the permit.
  - a) The annual report must include, in tabular form:
    1. The date and type of action (e.g., import, export, transfer);
    2. Species (common and scientific name);
    3. Description (including age and sex of animal, if determined), number of parts, date and circumstances of animal death if known, Native contact if the specimen is from a subsistence harvest;
    4. Country of origin and authorizing government agency;
    5. Sample origination (e.g., stranding, commercial fishing); date, location, and method of original sample collection;
    6. Disposition of any marine mammal parts, including an identification of the part as required by 50 CFR 216.37(a)(4) and the manner of disposition; and
    7. A description of how that part is being used, including disposition that involves a transfer to another institution.



- b) The annual report must also include, in narrative form:
  1. How the results of this reporting period demonstrate the accomplishments of your research goal and, if applicable, how such goals pertain to the species conservation plan;
  2. A list of any offspring resulting from this research during the year and the identifiers (e.g., NOAA ID Nos. for U.S. source marine mammals under NMFS jurisdiction) of the sire and dam; and
  3. A description of when or if any results have been published or otherwise made public during the reporting period.
2. Final Report: A final report shall be submitted within 180 days of the expiration date of this permit (February 28, 2012). The final report shall include information requested in number 2 above, and:
  - a) A summary of research objectives and results of research as it relates to the objectives; and
  - b) An indication of where and when the research results will be published.
3. In the event of the birth of any progeny related to this research, including stillbirths, Sea World, Inc. must notify the appropriate agency (NMFS or USFWS) within 30 days by submitting a Marine Mammal Data Sheet (enclosed) for the animal indicating that the birth was the result of Permit No. 116-1691-00/MA062475-0.
4. All reports and any papers or manuscripts published as a result of the research authorized herein must include a credit, acknowledgment, or caption indicating that the research was conducted under NMFS/USFWS Permit No. 116-1691-00/MA062475-0 under the authority of the MMPA and ESA.
5. All reports and any papers or manuscripts published as a result of the research authorized herein, shall be submitted to the Chief, Permits, Conservation and Education Division, Office of Protected Resources, NMFS, U.S. Department of Commerce, 1315 East-West Hwy., Silver Spring, Maryland 20910. All publications regarding walrus should also be submitted to the Chief, Branch of Permits, Division of Management Authority, USFWS, 4401 N. Fairfax Drive, Room 700, Arlington, VA 22203.

- D. USFWS Special Conditions: These special conditions apply to walrus and are in addition to all other permit conditions, except where noted.
1. Salvaged tissue from dead wild walrus may be collected and/or imported provided:
    - a) The collection of the samples occurs in a manner that does not disrupt other animals' movements or behavior during a critical phase of their activities or life cycle; and
    - b) Salvage is defined as the collection of samples from dead animals that were not killed intentionally and that died of causes unrelated either to the collection of samples or the capture of the animal for the purpose of obtaining samples. Samples collected from animals that accidentally died as the results of capture for reasons other than the collection of samples (i.e., capture for translocation or radio-collaring) would be considered salvage.
  2. Collection activities from dead wild walrus must be reported and coordinated in advance with the USFWS Marine Mammals Management (MMM) Office at 1011 East Tudor Road, Anchorage, Alaska, 99503, (phone (907)786-3800 or (800)362-5148; fax (907)786-3816) to ensure that activities are not in conflict with ongoing studies.
  3. Information gathered from strandings or other unusual mortality events of walrus should be reported to the USFWS MMM Office.
  4. Samples derived from walrus taken under a subsistence harvest may be obtained from the USFWS MMM Office in consultation with the Eskimo Walrus Commission (Pacific walrus), Steller Sea Lion and Sea Otter Commission (Northern Sea Otter) or the Alaska Nanuuq Commission (polar bear). The Permit Holder should contact MMM at telephone (907) 786-3800 to request such samples.
  5. At request of the USFWS, the Permit Holder must make duplicate specimens available for a variety of ongoing biological studies.
  6. Specimens of captive-held walrus may only be collected during routine husbandry practices.
  7. Transfer of parts of USFWS marine mammal species can only be for scientific research to USFWS permit holders or for disposition of remaining samples to MMM. The USFWS Division of Management Authority should be contacted

prior to the transfer of USFWS marine mammal species parts for the purpose of public display. Also see Research Condition B.4.

8. Assisted reproductive techniques on walrus and research thereof may only be conducted on animals that are either: (1) being held for the purpose of public display under a USFWS MMPA permit, (2) are considered pre-MMPA (in captivity prior to December 21, 1972), or (3) are the progeny of such public display pre-MMPA animals.

E. General Conditions

1. The Permit Holder has ultimate responsibility for all activities of any individual who is operating under the authority of the permit. The Principal Investigator (PI) has direct supervision over the conduct of the research.
  - a) Restrictions: Only the PI may conduct the research activities authorized by this permit.
  - b) The Permit Holder or PI may add one or more CI(s) to this permit, but must submit a CI designation request to the Chief, Permits, Conservation and Education Division, Office of Protected Resources. The request must include the individuals' resume, curriculum vitae, or bio-sketch, and duty(s) to be performed. Approval by NMFS is based on the individual's qualifications to perform the requested activity(s). To expedite this process, the letter and CV may be submitted by facsimile (301-427-2521) followed by mail confirmation. Research may commence upon review by NMFS (in consultation with USFWS, as appropriate) and letter of authorization from the NMFS Chief of Permits, Conservation and Education Division.
2. Individuals conducting activities authorized under the permit must possess qualifications commensurate with their duties and responsibilities, or must be under the direct supervision of a person with such qualifications.
3. Persons who require state or Federal licenses to conduct activities authorized under the permit must be duly licensed when undertaking such activities.
4. Special exception permits are not transferable or assignable to any other person, and a Permit Holder may not require any direct or indirect compensation from another person in return for requesting authorization for such person to conduct the take, import, or export activities authorized under this permit.
5. The Permit Holder, PI, or CI(s) shall possess a copy of the permit when engaged in a permitted activity, when the marine mammal part is in transit incidental to

such activity, and whenever marine mammal parts are in the possession of the Permit Holder, PI, or CI. A copy of the permit shall be affixed to any container, package, enclosure, or other means of containment, in which the marine mammal parts are placed for purposes of transit. For marine mammal parts in storage, a copy of the permit shall be kept on file in the storage facility.

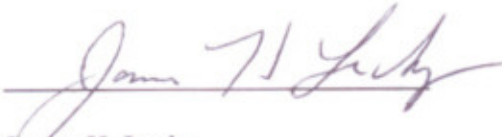
6. Inspection: Upon request of NMFS' or USFWS' enforcement agents or personnel designated by the Director, Office of Protected Resources or Chief, Division of Management Authority, the Permit Holder, PI and/or CI(s) must make available for inspection: records; facilities; marine mammals; marine mammal parts; copies of photographs, motion picture films, and/or video tapes; and any other information related to any inspection of records associated with this permit.
7. Permit Amendments: The Director, Office of Protected Resources, NMFS, and the Chief, Division of Management Authority, USFWS, may amend the provisions of this permit in response to or independent of a request from the Permit Holder.
8. NMFS and USFWS shall be the sole arbiter of whether a given activity is within the scope and bounds of the authorization granted in this permit. The Permit Holder is on notice that if the Permit Holder is unsure whether an activity is within the scope of the permit, the Permit Holder should contact the NMFS Permits, Conservation and Education Division regarding species under its jurisdiction and the USFWS, Branch of Permits for walrus, for verification before conducting the activity. Failure to verify, where NMFS or USFWS subsequently determines that the activity was outside the scope of the permit, may be used as evidence of a violation of the permit, the MMPA, and the ESA in any enforcement actions.
9. Any falsification of information pertaining to the permitted activities, including information provided to NOAA or USFWS personnel will be considered a violation of the permit.
10. The Permit Holder, in signing this permit, will be considered to have accepted and to be prepared to comply with the provisions of this permit, applicable Regulations (50 CFR parts 18, 216 and 222-226), and the MMPA and ESA.

**F** Penalties and Permit Sanctions

1. Any person who violates any provision of this permit is subject to civil and criminal penalties, permit sanctions and forfeiture as authorized under MMPA, ESA, and 15 CFR part 904.
2. All permits are subject to suspension, revocation, modification and denial in accordance with the provisions of subpart D of 15 CFR part 904.

AUG 18 2006

Date: AUG 17 2006



James H. Lecky  
Director  
Office of Protected Resources  
National Marine Fisheries Service



Charlie R. Chandler  
Chief, Branch of Permits  
Division of Management Authority  
U.S. Fish and Wildlife Service

Date:



Todd R. Robeck, D.V.M., Ph.D.  
Responsible Party and Principal Investigator  
Sea World, Inc.

**FILE COPY**

**Attachment 1: NATIONAL MARINE FISHERIES SERVICE STRANDING COORDINATORS**  
**<http://www.nmfs.noaa.gov/pr/health/coordinators.htm>**

**HEADQUARTERS COORDINATOR:**

Drs. Teri Rowles & Janet Whaley, National Coordinators  
Office of Protected Resources  
Marine Mammal Division, F/PR2  
National Marine Fisheries Service, NOAA  
1315 East-West Highway  
Silver Spring, MD 20910-3226  
Phone (301) 713-2322; Fax (301) 427-2522

**REGIONAL COORDINATORS:**

|   |  |
|---|--|
| <b>AK</b>   | Aleria Jensen, Alaska Region<br>National Marine Fisheries Service, NOAA<br>P.O. Box 21668<br>Juneau, AK 99802-1668<br>Phone (907) 586-7248; Fax (907) 586-7012                                   |
| <b>CT, DE, IL, IN, ME,<br/>MD, MA, MI, MN, NH,<br/>NJ, NY, OH, PA, RI,<br/>VT, VA, WV, WI</b> | Mendy Garron, Northeast Region<br>National Marine Fisheries Service, NOAA<br>One Blackburn Drive<br>Gloucester MA 01930<br>Phone (978) 281-9300 x6528; Fax (978) 281-9394                        |
| <b>CO, ID, MT, ND, OR,<br/>SD, UT, WA, WY</b>   | Brent Norberg, Northwest Region<br>National Marine Fisheries Service, NOAA<br>7600 Sand Point Way, NE, BIN C15700, Bldg. 1<br>Seattle, WA 98115-0070<br>Phone (206) 526-6733; Fax (206) 526-6736 |
| <b>AL, AR, FL, GA, IA,<br/>KS, KY, LA, MS, MO,<br/>NC, NE, OK, PR, SC<br/>TN, TX, VI, NM</b>  | Blair Mase-Guthrie, Southeast Region<br>National Marine Fisheries Service, NOAA<br>75 Virginia Beach Dr.<br>Miami, FL 33149<br>Phone (305) 361-4586; Fax (305) 361-1462                          |
| <b>AZ, CA, NV</b>   | Joseph Cordero, Southwest Region<br>National Marine Fisheries Service, NOAA<br>501 West Ocean Blvd., Suite 4200<br>Long Beach, CA 90802-4213<br>Phone (562) 980-4017; Fax (562) 980-4027         |
| <b>HI, Guam,<br/>American Samoa<br/>Northern Mariana Islands</b>                              | David Schofield, Pacific Islands Region<br>1601 Kapiolani Blvd., Rm 1110<br>Honolulu, HI 96814<br>Phone (808) 944-2269; Fax (808) 973-2941   |

**Attachment 2: NATIONAL MARINE FISHERIES SERVICE SCIENCE CENTERS**

|  |  |
|--|--|
| <p><b>Alaska Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>7600 Sand Point Way NE<br/>PO Box 15700<br/>Seattle, WA 98115</p> <p>Phone (206) 526-4000<br/>Fax (206) 526-4004</p> | <p><b>Northwest Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>2725 Montlake Blvd. E, West Bldg., Rm. 363<br/>Seattle, WA 98112</p> <p>Phone (206) 860-6795<br/>Fax (206) 860-3217</p> |
| <p><b>Southwest Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>8640 La Jolla Shores Drive<br/>La Jolla, CA 92037</p> <p>Phone (858) 546-7067<br/>Fax (858) 546-5655</p>          | <p><b>Northeast Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>166 Water Street, Rm. 312<br/>Woods Hole, MA 02543</p> <p>Phone (508) 495-2233<br/>Fax (508) 495-2232</p>               |
| <p><b>Southeast Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>75 Virginia Beach Dr., Rm. 207<br/>Miami, FL 33149</p> <p>Phone (305) 361-4285<br/>Fax (305) 361-4219</p>         | <p><b>Pacific Islands Fisheries Science Center</b><br/>National Marine Fisheries Service<br/>2570 Dole Street<br/>Honolulu, HI 96822</p> <p>Phone (808) 983-5303<br/>Fax (808) 983-2902</p>                    |

### Attachment 3: U.S. Fish and Wildlife Service Wildlife Inspectors

Wildlife, including parts and products, must be imported/exported through one of the designated ports listed below. **Please call the Designated Port or the FWS Law Enforcement Regional office nearest your location (see reverse), to determine port availability, possible clearance and inspection costs, and other requirements.** Under special circumstances, other ports referred to as non-designated ports may be used if authorized by Law Enforcement under a valid Designated Port Exception permit. For more information contact the FWS Law Enforcement Regional office or see the information at [http://www.le.fws.gov/Contact\\_Info\\_Ports.htm](http://www.le.fws.gov/Contact_Info_Ports.htm). A Wildlife Declaration Form 3-177 (obtained at the port or at [http://www.le.fws.gov/Info\\_Importers\\_Exporters.htm](http://www.le.fws.gov/Info_Importers_Exporters.htm)) must be filed with the FWS inspector at the time of importation/exportation.

| <b>DESIGNATED PORTS</b><br><b>U.S. Fish and Wildlife Service, Division of Law Enforcement</b>   |   |
|---|---|
| <b>Anchorage - <u>Designated</u></b><br>P.O. Box 190045<br>Anchorage, Alaska, USA 99519<br>Phone: (907)271-6198<br>Fax: (907)271-6199                             | <b>Los Angeles - <u>Designated</u></b><br>370 Amapola Ave. #114<br>Torrance, California 90501<br>Phone: (310)328-6307<br>Fax: (310)328-6399   |
| <b>Atlanta - <u>Designated</u></b><br>P.O. Box 45287<br>Atlanta, Georgia 30320<br>Phone: (404)763-7959<br>Fax: (404)763-7560                                      | <b>Memphis - <u>Designated</u></b><br>University of Memphis- South Camp<br>Memphis, Tennessee 38512<br>Phone: (901)360-7007<br>Fax: (901)360-7010   |
| <b>Baltimore - <u>Designated</u></b><br>40 S. Gay Street, #223<br>Baltimore, Maryland 21202<br>Phone: (410)865-2127<br>Fax: (410)865-2129                         | <b>Miami - <u>Designated</u></b><br>10426 N.W. 31 <sup>st</sup> Terrace<br>Miami, Florida 33172<br>Phone: (305)526-2610<br>Fax: (305)526-2695   |
| <b>Boston - <u>Designated</u></b><br>70 Everett Avenue, Suite 315<br>Chelsea , Massachusetts 02150<br>Phone: (617)892-6616<br>Fax: (617)889-1980                  | <b>New Orleans - <u>Designated</u></b><br>2424 Edenborn, Room 100<br>Metairie, Louisiana 70001<br>Phone: (504)219-8870<br>Fax: (504)219-8868  |
| <b>Chicago - <u>Designated</u></b><br>Wildlife Inspection Program<br>P.O. Box 66726<br>Chicago, Illinois 60666-0726<br>Phone: (773)894-2910<br>Fax: (773)894-2916 | <b>Newark - <u>Designated</u></b><br>1210 Corbin St.<br>SeaLand Bldg., 2 <sup>nd</sup> Fl.<br>Elizabeth, New Jersey 07201<br>Phone: (973)645-6171<br>Fax: (973)645-6533                           |
| <b>Dallas/Ft. Worth - <u>Designated</u></b><br>1717 West 23 <sup>rd</sup> , Suite 104<br>DFW Airport, Texas 75261<br>Phone: (972)574-3254<br>Fax: (972)574-4669   | <b>New York - <u>Designated</u></b><br>70 E. Sunrise Hwy. #419<br>Valley Stream, New York 11580<br>Phone: (516)825-3950<br>Fax: (516)825-1929 - Inspectors<br>Fax: (516)825-3597 - Special Agents |
| <b>Houston - <u>Designated</u></b><br>16639 W. Hardy<br>Houston, Texas 77060-6230<br>Phone: (281) 446-1284<br>Fax: (281)540-0357                                  | <b>Portland - <u>Designated</u></b><br>7000 NE Airport Way, Rm. C2732<br>Portland, Oregon 97238<br>Phone: (503)231-6135<br>Fax: (503)231-6133   |



|   |   |
|---|---|
| <b>Honolulu - Designated</b><br>3375 Koapaka St., #F275<br>Honolulu, Hawaii 96819<br>Phone: (808)861-8525<br>Fax: (808)861-8515         | <b>San Francisco - Designated</b><br>1633 Old Bayshore Hwy., Ste. 248<br>Burlingame, California 94010<br>Phone: (650)876-9078<br>Fax: (650)876-9701 |
| <b>Louisville - Designated</b><br>601 Broadway, Suite 115-A<br>Louisville, Kentucky 40202<br>Phone: (502)582-5989<br>Fax: (502)582-5981 | <b>Seattle - Designated</b><br>2580 South 156 <sup>th</sup> Street<br>Seattle, Washington 98158<br>Phone: (206)764-3463<br>Fax: (206)764-3485       |

**Attachment 4. Facilities where samples from live animals may be collected and imported into the U.S.**

**Dolphin Encounters, LTD**

P.O. Box N-7448  
Nassau, Bahamas

**The Dolphin Experience**

P.O. Box F-43788  
Freeport, Grand Bahamas  
The Bahamas

**Dolphin Quest Bermuda**

P.O. Box 1068  
Middleburg, VA 20118

**Dolphin Quest French Polynesia**

4467 Saratoga Avenue  
San Diego, CA 92107

**The Institute for Marine Sciences**

699 South Federal Highway  
Hollywood, FL 33020 or  
Sandy Bay  
Roatan, Honduras

**Lisbon Zoo**

Estrada De Benfica 158  
Lisbon, Portugal 1549-004

**Ocean Park**

Aberdeen, Hong Kong

**Parque Nizuc Atlantida**

Bldv.Kukulcan km.25  
Zona Hotelera  
Cancun, Mexico

**Kamogawa Sea World**

1464-18 Higashi-cho  
Kamogawa, China 296-0041  
Japan

**Tampereen Sarkanniemi Oy**

Sarkanniemi  
Fin-33230 Tampere  
Finland

**Vancouver Aquarium Marine Science Center**

P.O. Box 3232  
Vancouver, BC, Canada V6B3X8

**Zeedierenpark Harderwijk**

Strandboulevard-Oost 1  
3841 AB Harderwijk, Holland

**Zoomarine**

E.N. 125 KM 65 -- Guia  
Albufeira, Portugal 8200

## Attachment 5: 50 CFR §216.37 Marine mammal parts

With respect to marine mammal parts acquired by take or import authorized under a permit issued under this subpart:

(a) Marine mammal parts are transferrable if:

- (1) The person transferring the part receives no remuneration of any kind for the marine mammal part;
- (2) The person receiving the marine mammal part is:
  - (i) An employee of NMFS, the U.S. Fish and Wildlife Service, or any other governmental agency with conservation and management responsibilities, who receives the part in the course of their official duties;
  - (ii) A holder of a special exception permit which authorizes the take, import, or other activity involving the possession of a marine mammal part of the same species as the subject part; or
  - (iii) In the case of marine mammal parts from a species that is not depleted, endangered or threatened, a person who is authorized under section 112(c) of the MMPA and subpart C of this part to take or import marine mammals or marine mammal parts;
  - (iv) Any other person specifically authorized by the Regional Director, consistent with the requirements of paragraphs (a)(1) and (a)(3) through (6) of this section.
- (3) The marine mammal part is transferred for the purpose of scientific research, maintenance in a properly curated, professionally accredited scientific collection, or education, provided that, for transfers for educational purposes, the recipient is a museum, educational institution or equivalent that will ensure that the part is available to the public as part of an educational program;
- (4) A unique number assigned by the permit holder is marked on or affixed to the marine mammal part or container;
- (5) The person receiving the marine mammal part agrees that, as a condition of receipt, subsequent transfers may only occur subject to the provisions of paragraph (a) of this section; and
- (6) Within 30 days after the transfer, the person transferring the marine mammal part notifies the Regional Director of the transfer, including a description of the part, the person to whom the part was transferred, the purpose of the transfer, certification that the recipient has agreed to comply with the requirements of paragraph (a) of this section for subsequent transfers, and, if applicable, the recipient's permit number.

(b) Marine mammal parts may be loaned to another person for a purpose described in paragraph (a)(3) of this section and without the agreement and notification required under paragraphs (a)(5) and (6) of this section, if:

- (1) A record of the loan is maintained; and
- (2) The loan is for not more than one year. Loans for a period greater than 12 months, including loan extensions or renewals, require notification of the Regional Director under paragraph (a)(6).

(c) Unless other disposition is specified in the permit, a holder of a special exception permit may retain marine mammal parts not destroyed or otherwise disposed of during or after a scientific research or enhancement activity, if such marine mammal parts are:

- (1) Maintained as part of a properly curated, professionally accredited collection; or
- (2) Made available for purposes of scientific research or enhancement at the request of the Office Director.

(d) Marine mammal parts may be exported and subsequently reimported by a permit holder or subsequent authorized recipient, for the purpose of scientific research, maintenance in a properly curated, professionally accredited scientific collection, or education, provided that:

- (1) The permit holder or other person receives no remuneration for the marine mammal part;
- (2) A unique number assigned by the permit holder is marked on or affixed to the marine mammal specimen or container;
- (3) The marine mammal part is exported or reimported in compliance with all applicable domestic and foreign laws;
- (4) If exported or reimported for educational purposes, the recipient is a museum, educational institution, or equivalent that will ensure that the part is available to the public as part of an educational program; and
- (5) Special reports are submitted within 30 days after both export and reimport as required by the Office Director under §216.38.

