

## PANTROPICAL SPOTTED DOLPHIN (*Stenella attenuata*): Western North Atlantic Stock

### STOCK DEFINITION AND GEOGRAPHIC RANGE

There are two species of spotted dolphin in the Western Atlantic — the Atlantic spotted dolphin, *Stenella frontalis*, formerly *S. plagiodon* (Perrin *et al.* 1987), and the pantropical spotted dolphin, *S. attenuata*. These species are difficult to differentiate at sea.

The pantropical spotted dolphin is distributed worldwide in tropical and some sub-tropical oceans (Perrin *et al.* 1987; Perrin and Hohn 1994). Sightings of this species in the northern Gulf of Mexico occur over the deeper waters, and rarely over the continental shelf or continental shelf edge (Mullin *et al.* 1991; SEFSC, unpublished data). Pantropical spotted dolphins were seen in all seasons during recent seasonal aerial surveys of the northern Gulf of Mexico, and during recent winter aerial surveys offshore of the southeastern USA Atlantic coast (SEFSC unpublished data). Some of the Pacific populations have been divided into different geographic stocks based on morphological characteristics (Perrin *et al.* 1987; Perrin and Hohn 1994); however, there is no information on stock differentiation in the Atlantic population.

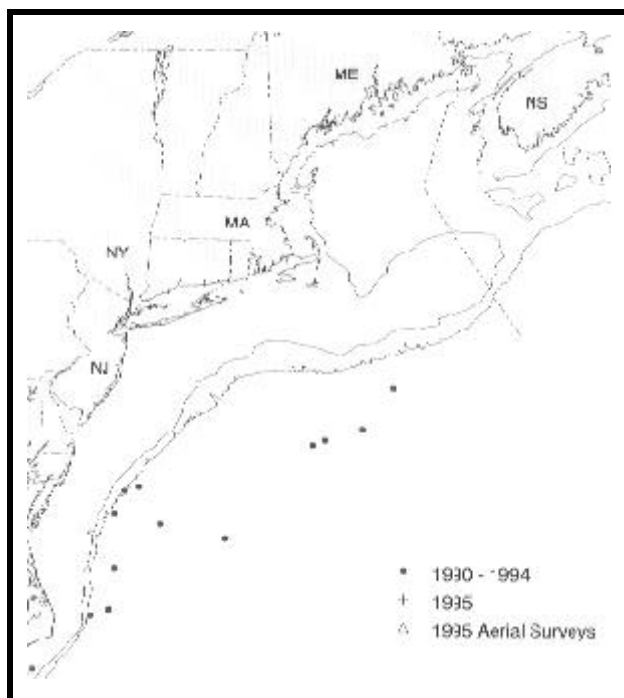
### POPULATION SIZE

The total number of pantropical spotted dolphins off the eastern USA coast is unknown; however, two abundance estimates are available for the combination of both spotted dolphin species within portions of the northeastern USA Atlantic during spring and summer of 1978-82, and July-September 1995 (Table 1; Figure 1). Neither survey distinguishes between the two species or covers important spotted dolphin habitat in the continental shelf between Cape Hatteras and Florida, or in oceanic waters.

A population size of 6,107 spotted dolphins ( $CV=0.27$ ) was estimated from an aerial survey program conducted from 1978 to 1982 on the continental shelf and shelf edge waters between Cape Hatteras, North Carolina and Nova Scotia (Table 1; CETAP 1982). R. Kenney (pers. comm.) provided abundance estimates for both species of spotted dolphins combined that accounted for survey effort in two continental slope survey blocks and uncertainties resulting from sightings of unidentified small dolphins. The estimate is based on inverse variance-weighted pooling of the revised CETAP (1982) spring and summer data. An average of these seasons were chosen because the greatest proportion of the population off the northeast USA coast appeared in the study area during these seasons. This estimate does not include a correction for dive-time or  $g(0)$ , the probability of detecting an animal group on the track line. This estimate may not reflect the current true population size because of its high degree of uncertainty, its old age, and it was estimated just after cessation of extensive foreign fishing operations in the region.

Due to insufficient numbers of spotted dolphin sightings collected during the August 1990, June-July 1991, August-September 1991 and June-July 1993 sighting surveys spotted dolphin abundance was not estimated.

A population size of undifferentiated 4,772 ( $CV = 1.27$ ) spotted dolphins was estimated from a July to September 1995 sighting survey conducted by two ships and an airplane that covered waters from Virginia to the mouth of the Gulf of St. Lawrence (Table 1; NMFS, unpublished data). Total track line length was 32,600 km (17,600 nmi). The ships covered waters between the 50 and 1000 fathom contour lines, the northern edge of the Gulf Stream, and the northern Gulf of Maine/Bay of Fundy region. The airplane



**Figure 1.** Distribution of spotted dolphin sightings from NEFSC shipboard and aerial surveys during the summer in 1990-1995. Isobaths are at 100 m and 1,000 m.

covered waters in the Mid-Atlantic from the coastline to the 50 fathom contour line, the southern Gulf of Maine, and shelf waters off Nova Scotia from the coastline to the 1000 fathom contour line. Shipboard data were collected using a two independent sighting team procedure and were analyzed using the product integral method (Palka 1995) and DISTANCE (Buckland *et al.* 1993). Shipboard estimates were corrected for  $g(0)$  and, if applicable, also for school size-bias. Standard aerial sighting procedures with two bubble windows and one belly window observer were used during the aerial survey. An estimate of  $g(0)$  was not made for the aerial portion of the survey. Estimates do not include corrections for dive-time. Variability was estimated using bootstrap resampling techniques.

The best available current abundance estimate for the undifferentiated group of spotted dolphins is 4,772 (CV=1.27) as estimated from the July to September 1995 line transect survey (NMFS, unpublished data) because this survey is recent and provided the most complete coverage of the known habitat.

Table 1. Summary of abundance estimates for both species of spotted dolphins. Month, year, and area covered during each abundance survey, and resulting abundance estimate ( $N_{best}$ ) and coefficient of variation (CV).

Month/Year	Area	$N_{best}$	CV
spring & summer 1978-82	Cape Hatteras, NC to Nova Scotia	6,107	0.27
Jul-Sep 1995	Virginia to Gulf of St. Lawrence	4,772	1.27

#### Minimum Population Estimate

The minimum population estimate is the lower limit of the two-tailed 60% confidence interval of the log-normally distributed best abundance estimate. This is equivalent to the 20th percentile of the log-normal distribution as specified by Wade and Angliss (1997). The best estimate of abundance for spotted dolphins is 4,772 (CV=1.27). The minimum population estimate for spotted dolphins is 1,617 (CV=1.27).

#### Current Population Trend

There are insufficient data to determine the population trends for this species.

#### CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

Current and maximum net productivity rates are unknown for this stock. For purposes of this assessment, the maximum net productivity rate was assumed to be 0.04. This value is based on theoretical modeling showing that cetacean populations may not grow at rates much greater than 4% given the constraints of their reproductive life history (Barlow *et al.* 1995).

#### POTENTIAL BIOLOGICAL REMOVAL

Potential Biological Removal (PBR) is the product of minimum population size, one-half the maximum productivity rate, and a “recovery” factor (MMPA Sec. 3. 16 U.S.C. 1362; Wade and Angliss 1997). The minimum population size for the undifferentiated group of spotted dolphins is 1,617 (CV=1.27). The maximum productivity rate is 0.04, the default value for cetaceans. The “recovery” factor, which accounts for endangered, depleted, threatened stocks, or stocks of unknown status relative to optimum sustainable population (OSP) is assumed to be 0.5 because this stock is of unknown status. PBR for the undifferentiated group of spotted dolphins combined is 16. However, it is not reasonable to calculate a PBR for the pantropical spotted dolphin alone, because it was impossible to separately identify the two species.

#### ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

Total annual estimated average fishery-related mortality or serious injury to this stock during 1993-1997 was 9.9 spotted dolphins (*Stenella* sp.) CV = 0.09; Table 2).

#### Fisheries Information

No spotted dolphin mortalities were observed in 1977-1991 foreign fishing activities. Data on current incidental takes in USA fisheries are available from several sources. In 1986, NMFS established a mandatory self-reported fisheries information system for

large pelagic fisheries. Data files are maintained at the Southeast Fisheries Science Center (SEFSC). The Northeast Fisheries Science Center (NEFSC) Sea Sampling Observer Program was initiated in 1989 and since that year several fisheries have been covered by the program. In late 1992 and in 1993, the SEFSC provided observer coverage of pelagic longline vessels fishing off the Grand Banks (Tail of the Banks) and provides observer coverage of vessels fishing south of Cape Hatteras. Total fishery-related mortality and serious injury cannot be estimated separately for the two species of spotted dolphins in the USA Atlantic Exclusive Economic Zone (EEZ) because of the uncertainty in species identification by fishery observers. The Atlantic Scientific Review Group advised adopting the risk-averse strategy of assuming that either species might have been subject to the observed fishery-related mortality and serious injury.

By-catch has been observed by NMFS Sea Samplers in the pelagic drift gillnet and pelagic longline fisheries, but no mortalities or serious injuries have been documented in the pelagic pair trawl, New England multispecies sink gillnet, mid-Atlantic coastal gillnet, and North Atlantic bottom trawl fisheries; and no takes have been documented in a review of Canadian gillnet and trap fisheries (Read 1994).

### **Pelagic Drift Gillnet**

The estimated total number of hauls in the pelagic drift gillnet fishery increased from 714 in 1989 to 1,144 in 1990; thereafter, with the introduction of quotas, effort was severely reduced. The estimated number of hauls in 1991, 1992, 1993, 1994, 1995, and 1996 were 233, 243, 232, 197, 164, and 149 respectively. In 1996 and 1997, the NMFS issued management regulations which prohibited the operation of this fishery in 1997. Further, in January 1999 the NMFS issued a Final Rule to prohibit the use of driftnets (i.e., permanent closure) in the North Atlantic swordfish fishery (50 CFR Part 630). Fifty-nine different vessels participated in this fishery at one time or another between 1989 and 1993. Since 1994, between 10-12 vessels have participated in the fishery (Table 2). Observer coverage, expressed as percent of sets observed, was 8% in 1989, 6% in 1990, 20% in 1991, 40% in 1992, 42% in 1993, 87% in 1994, 99% in 1995, and 64% in 1996. Effort was concentrated along the southern edge of Georges Bank and off Cape Hatteras. Examination of the species composition of the catch and locations of the fishery throughout the year, suggested that the pelagic drift gillnet fishery be stratified into two strata, a southern or winter stratum, and a northern or summer stratum. Estimates of the total by-catch, from 1989 to 1993, were obtained using the aggregated (pooled 1989-1993) catch rates, by strata (Northridge 1996). Estimates of total annual by-catch for 1994 and 1995 were estimated from the sum of the observed caught and the product of the average bycatch per haul and the number of unobserved hauls as recorded in self-reported fisheries information. Variances were estimated using bootstrap re-sampling techniques. Forty-nine spotted dolphin mortalities were observed in the drift gillnet fishery between 1989 and 1996 and occurred northeast of Cape Hatteras within the 183 m isobath in February-April, and near Lydonia Canyon in October. Six whole animal carcasses that were sent to the Smithsonian were identified as Pantropical spotted dolphins (*S. attenuata*). The remaining animals were not identified to species. Estimated annual mortality and serious injury attributable to this fishery (CV in parentheses) was 25 in 1989 (.65), 51 in 1990 (.49), 11 in 1991 (.41), 20 in 1992 (0.18), 8.4 in 1993 (0.40), 29 in 1994 (0.01), 0 in 1995, and 2 in 1996 (0.06); average annual mortality and serious injury during 1993-1997 was 9.9 (0.09) (Table 2).

### **Pelagic Longline**

Interactions between the pelagic longline fishery and spotted dolphins have been reported; however, a vessel may fish in more than one statistical reporting area and it is not possible to separate estimates of fishing effort other than to subtract Gulf of Mexico effort from Atlantic fishing effort, which includes the Caribbean Sea. Total effort, excluding the Gulf of Mexico, for the pelagic longline fishery, based on mandatory self-reported fisheries information, was 11,279 sets in 1991, 9,869 sets in 1992, 9,862 sets in 1993, 9,481 sets in 1994, 10,129 sets in 1995, 9,885 sets in 1996, and 8,023 sets in 1997 (Cramer 1994; Scott and Brown 1997; Johnson *et al.* 1999). Since 1992, this fishery has been monitored with about 5% observer coverage, in terms of trips observed, within every statistical reporting area within the EEZ and beyond. Off the USA Atlantic coast, the fishery has been observed from January to March off Cape Hatteras, in May and June in the entire Mid-Atlantic, and in July through December in the Mid-Atlantic Bight and off Nova Scotia. This fishery has been monitored with about 5% observer coverage, in terms of trips observed, since 1992. Most of the estimated marine mammal by-catch was from EEZ waters between South Carolina and Cape Cod (Johnson *et al.* 1999). The 1992-1997, estimated take was based on a revised analysis of the observed incidental take and self-reported incidental take and effort data, and replace previous estimates for the 1992-1993 and 1994-1995 periods (Scott and Brown 1997; Johnson *et al.* 1999). Excluding the Gulf of Mexico where one animal was hooked and released alive (Appendix 1), no Pantropical spotted dolphin bycatches were observed for 1992-1997. Table 3 summarizes the number of animals released alive and classified as injured or non-injured. It also includes the ratio of observed to estimated mortalities for this fishery.

Table 2. Summary of the incidental mortality of spotted dolphins (*Stenella* sp.) by commercial fishery including the years sampled (Years), the number of vessels active within the fishery (Vessels), the type of data used (Data Type), the annual observer coverage (Observer Coverage), the mortalities recorded by on-board observers (Observed Mortality), the estimated annual mortality (Estimated Mortality), the estimated CV of the annual mortality (Estimated CVs) and the mean annual mortality (CV in parentheses).

Fishery	Years	Vessels	Data Type <sup>1</sup>	Observer Coverage <sup>2</sup>	Observed Mortality	Estimated <sup>6</sup> Mortality	Estimated CVs	Mean Annual Mortality
Pelagic <sup>7</sup> Drift Gillnet	93-97	1994=11 <sup>3</sup> 1995=12 1996=10	Obs. Data Logbook	.42, .87, .99, .64, NA	0, 29, 0, 2, NA	<sup>4</sup> , 8.4, 29, 0, 2, NA	.40, .01, 0, 0 <sup>5</sup> , NA	9.9 <sup>7</sup> (.09)
Pelagic Longline	93-97	NA	Obs. Data Logbook	.06, .05, .06, .03, .04	0, 0, 0, 0, 0	0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0	0
TOTAL								9.9 (.09)

<sup>1</sup> Observer data (Obs. Data) are used to measure bycatch rates, and the data are collected within the Northeast Fisheries Science Center (NEFSC) Sea Sampling Program. Mandatory logbook (Logbook) data are used to measure total effort for the pelagic drift gillnet fishery, and these data are collected at the Southeast Fisheries Science Center (SEFSC).

<sup>2</sup> The observer coverage for the pelagic drift gillnet and pair trawl fishery is measured in terms of sets, and the longline fishery is in trips.

<sup>3</sup> 1994 and 1995 shown, other years not available on an annual basis.

<sup>4</sup> For 1991-1993, pooled bycatch rates were used to estimate bycatch in months that had fishing effort but did not have observer coverage. This method is described in Northridge (1996). In 1994 and 1995, observer coverage increased substantially, and bycatch rates were not pooled for this period.

<sup>5</sup> Estimates were based on 2 seasons. The two observed takes were during the winter season when observer coverage was 100%.

<sup>6</sup> Annual mortality estimates do not include any animals injured and released alive.

<sup>7</sup> The fishery did not operate in 1997; the average annual mortality is based on the number of years (4; 1993-1996) that the fishery operated.

Table 3. Summary of spotted dolphins (*Stenella* sp.) released alive, by commercial fishery, years sampled (Years), ratio of observed mortalities recorded by on-board observers to the estimated mortality (Ratio), the number of observed animals released alive and injured (Injured), and the number of observed animals released alive and uninjured (Uninjured)

Fishery	Years	Ratio	Injured <sup>2</sup>	Uninjured
Pelagic longline	93-97	0, 0, 0, 0, 0	0, 1 <sup>1</sup> , 0, 0, 0	0, 1 <sup>1</sup> , 0, 0, 0

<sup>1</sup> See Appendix 1.

<sup>2</sup> Annual mortality estimates do not include any animals injured and released alive.

### Other Mortality

From 1995-1997, 15 Pantropical spotted dolphins were stranded between North Carolina and Florida (NMFS unpublished data). The 15 mortalities includes the 1996 mass stranding of 11 animals in Florida (NMFS unpublished data).

### STATUS OF STOCK

The status of pantropical spotted dolphins, relative to OSP in the USA Atlantic EEZ is unknown. The species is not listed as threatened or endangered under the Endangered Species Act. There are insufficient data to determine the population trends for this species. Total fishery-related mortality and serious injury for this stock is not less than 10% of the calculated PBR and, therefore, cannot be considered to be insignificant and approaching zero mortality and serious injury rate. The status of this stock has been changed from strategic to non-strategic because the estimated annual fishery-related mortality and serious injury are below PBR.

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