

SEC NEWS DIGEST

Issue 98-236

December 9, 1998

COMMISSION ANNOUNCEMENTS

DUNCAN KING PROMOTED TO DEPUTY DIRECTOR OF THE OFFICE OF PUBLIC AFFAIRS

The Commission today promoted Duncan King to Deputy Director of the Office of Public Affairs. Mr. King has served in the Office of Public Affairs since 1996. This past fall Mr. King also served on a detail as Acting Deputy Director in the Office of Legislative Affairs. While there he skillfully facilitated communications between the Hill and the Commission during the final months of the 105th Congress.

"Duncan is a tremendous asset to the Commission and the Office of Public Affairs. He has superb judgment, boundless energy, and a remarkable level of commitment to the fair and timely dissemination of news to the public," said Chris Ullman, Director of the Office of Public Affairs.

As Deputy Director, Mr. King will play an important role in the management of the Office and will continue as a key advisor to the Director. The Deputy Director position has been vacant for two years.

Prior to joining the SEC, Mr. King worked at the NewsHour with Jim Lehrer.

Mr. King, 25, received his B.A. from McGill University. (Press Rel. 98-129)

ENFORCEMENT PROCEEDINGS

COMMISSION OBTAINS ORDER OF PRELIMINARY INJUNCTION WITH FINDINGS OF FACT AND CONCLUSIONS OF LAW AGAINST RONNIE NEIHART AND SYNVIION CORPORATION

The Commission announced today that on December 8 after a hearing on the merits, it obtained an order of preliminary injunction with findings of fact and conclusions of law against Ronnie R. Neihart (Neihart) and Synvion Corporation (Synvion). The complaint alleges, and the Court found, that Neihart and Synvion engaged in fraud in the offer and sale of unregistered securities in the form of Synvion common stock using misrepresentations and omissions of fact to

investors. Judge Willis B. Hunt of the United States District Court for the Northern District of Georgia entered the preliminary injunction against the two defendants and directed that their assets be frozen and that they provide an accounting.

The Commission's complaint alleges, and the Court found, that Neihart and Synvion effected the scheme by falsely representing that Synvions's stock would be quoted on NASDAQ, touting false representations that the company's primary assets of ETA10 supercomputers had a value of \$20 million, misrepresenting the existence of contracts between Synvion and various corporations when no such contracts existed and misrepresenting that Synvion had a stock lease agreement with Prudential. The complaint also asserts, and the Court found, that the defendants misled investors as to the status of a 1996 North Carolina cease and desist order and that Neihart used investor funds for personal items. The Court also found that Neihart and Synvion sold 11 million shares of Synvion stock to 70 investors in six states since July 1997, and that since 1985 Neihart and Synvion (and its corporate predecessors) sold over 60 million shares of unregistered stock to approximately 1,500 investors in 36 states.

The complaint seeks preliminary and permanent injunctions against the defendants to prevent their future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 13(a) of the Securities Exchange Act of 1934 (Exchange Act) and Rules 10b-5, 13a-1 and 13a-13 thereunder. The complaint also seeks an accounting, disgorgement and prejudgment interest from the two defendants, as well as civil penalties from defendant Neihart. [SEC v. Ronnie R. Neihart and Synvion Corporation, Civil Action File No. 1:98-CV-3341-WBH, N.D.Ga.] (LR-15995)

LAS VEGAS MICROCAP STOCK PROMOTERS BARCLAY DAVIS AND LORETTA DAVIS SETTLE SEC CHARGES

On December 9, the Commission filed with the U.S. District Court in Washington, D.C. settlements with Barclay Davis (Davis), his wife Loretta Davis, and his company World Syndicators, Inc. (World Syndicators). The settlements were reached in connection with two related actions filed by the Commission. The Commission filed the first complaint on December 22, 1997, charging Davis, a Las Vegas, Nevada based penny stock promoter, and World Syndicators, an entity through which Davis conducted his business, with manipulating the market for the securities of three separate microcap companies: Systems of Excellence, Inc. (SOE); Combined Companies International Corp. (CCIC); and Bio-Tech Industries, Inc. (Bio-Tech) (formerly Twenty First Century Health, Inc.). The Commission filed the second complaint on September 24, 1998, charging, among others, Loretta Davis, the former president of Bio-Tech, with manipulating the market for the securities of Bio-Tech. The defendants, without admitting or denying the allegations in the Commission's complaints, consented to the entry of permanent injunctions prohibiting them from violating various provisions of the federal securities laws.

Davis and Loretta Davis also consented to orders permanently barring each from acting as an officer or director of a public company. In addition, the settlements require the settling defendants to disgorge their illegal profits, provided that except for surrendering certain assets to the Court-appointed Receiver, payment of the disgorgement amounts is waived in light of their demonstrated inability to pay. Davis also agreed to the surrender, into a criminal forfeiture suspense account, of approximately \$143,000 in cash that was frozen in a related SEC action, and the proceeds of a residence that he is obligated to sell. As an additional part of the settlement, Davis consented to the entry of a Commission Order barring him from participating in the offering of penny stock. [SEC v. Barclay Davis and World Syndicators, Inc., Civil Action No. 97-3056, GK, D.D.C.]; [SEC v. Bio-Tech Industries, Inc., et al., Civil Action No. 98-CV-2298, GK, D.D.C.] (LR-15996)

SETTLED INSIDER TRADING CASE AGAINST DUDLEY DWORZEN AND MYRON DWORZEN

The Commission announced that on December 9 it filed an insider trading complaint in the United States District Court for the District of Maryland against Dudley Dworzen, a former director of Allegiance Banc Corporation, and his uncle, Myron Dworzen. The complaint alleges that on April 22, 1996, Allegiance publicly announced a definitive agreement to be acquired by F&M National Corporation. Six days before the announcement, Dudley Dworzen, an Allegiance director who had been apprised of the confidential merger negotiations, engaged in a nineteen-minute telephone conversation with Myron Dworzen during which Myron asked if Allegiance was an acquisition target, and Dudley provided Myron with material, nonpublic information concerning the merger. Immediately after the call, Myron traded in Allegiance and then tipped his wife, who traded in Allegiance the following day.

The Commission's complaint seeks a permanent injunction against Dudley and Myron Dworzen for violations of the antifraud provisions of the Securities Exchange Act of 1934, disgorgement, prejudgment interest, and civil penalties. Simultaneously with the filing of the complaint, Dudley Dworzen consented, without admitting or denying the allegations of the complaint, to the entry of a final judgment permanently enjoining him from violating Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and ordering him to pay a \$7,312 ITSA penalty (representing a penalty on the illicit profits of Myron Dworzen and Myron's wife). Also simultaneously with the filing of the complaint, Myron Dworzen consented, without admitting or denying the allegations of the complaint, to the entry of a final judgment permanently enjoining him from violating Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, and ordering him to pay \$16,320, which comprises \$7,312 in disgorgement of his and his wife's illicit profits, \$1,696 in prejudgment interest thereon, and a \$7,312 ITSA penalty. [SEC v. Dudley Dworzen and Myron Dworzen, Civil Action No. 98-CV-4020, MJG, USDC, D. Md., Greenbelt Div.] (LR-15997)

INVESTMENT COMPANY ACT RELEASES

T. ROWE PRICE ASSOCIATES, INC., ET AL.

An order has been issued on an application filed by T. Rowe Price Associates, Inc., et al. granting an exemption from Sections 12(d)(1), 17(a)(1), 17(a)(3), 17(d), 18(f), and 21(b) of the Investment Company Act and Rule 17d-1 under the Act. The order permits certain registered investment companies to participate in a joint lending and borrowing facility. (Rel. IC-23590 - December 8)

DOW TARGET VARIABLE FUND LLC

A notice has been issued giving interested persons until December 29 to request a hearing on an application filed by Dow Target Variable Fund LLC (Applicant). The Applicant seeks an order pursuant to Section 6(c) of the Investment Company Act exempting the Applicant from the provisions of Section 12(d)(3) of the Act, to the extent necessary to permit the portfolios of Applicant to invest up to 10% of their total assets in securities of issuers that derive more than 15% of their gross revenues from securities related activities. (Rel. IC-23591 - December 8)

HOLDING COMPANY ACT RELEASES

ALABAMA POWER COMPANY

A supplemental order has been issued authorizing a proposal by Alabama Power Company (Alabama), Georgia Power Company (Georgia), Mississippi Power Company (Mississippi), and Savannah Electric and Power Company (Savannah), each an electric public utility subsidiary company of The Southern Company, a registered holding company. Alabama, Georgia, Mississippi and Savannah have been authorized, through various trusts, to issue \$500 million, \$310.75 million, \$75 million and \$40 million respectively, in aggregate liquidation amounts of preferred securities, through December 31, 2001. In addition, Alabama, Georgia, Mississippi and Savannah have been authorized to issue a related series of junior subordinated notes and guaranties through December 31, 2001. (Rel. 35-26949)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

The Depository Trust Company filed a proposed rule change (SR-DTC-98-22), which became effective upon filing, establishing DTC's fees and charges for various services provided. Publication of the

proposal is expected in the Federal Register during the week of December 14. (Rel. 34-40751)

The Depository Trust Company filed a proposed rule change (SR-DTC-98-23) to amend its fee schedule, which became effective upon filing. Publication of the proposal is expected in the Federal Register during the week of December 14. (Rel. 34-40752)

The Depository Trust Company filed a proposed rule change (SR-DTC-98-24) to modify its PTS Pending Transaction Inquiry function, which became effective upon filing. Publication of the proposal is expected in the Federal Register during the week of December 14. (Rel. 34-40753)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-3 TEXACO CAPITAL INC, 2000 WESTCHESTER AVENUE, WHITE PLAINS, NY 10650
(302) 674-1221 - 1,000,000 (\$1,000,000) STRAIGHT BONDS. (FILE 333-68217 -
DEC. 02) (BR. 4)
- S-8 NORTHEAST PENNSYLVANIA FINANCIAL CORP, 12 E BROAD ST, HAZLETON, PA 18201
(717) 459-3700 - 642,735 (\$8,455,983) COMMON STOCK. (FILE 333-68219 -
DEC. 02) (BR. 7)
- S-8 SMURFIT STONE CONTAINER CORP, JEFFERSON SMURFIT CENTRE,
8182 MARYLAND AVE, ST LOUIS, MO 63105 (314) 746-1100 - 14,956,173
(\$194,398,369) COMMON STOCK. (FILE 333-68221 - DEC. 02) (BR. 4)
- S-8 INTEGRATED AMERICA INC, ONE MANHATTANVILLE RD, PURCHASE, NY 10577
(914) 253-8000 - 175,000 (\$525,000) COMMON STOCK. (FILE 333-68223 -
DEC. 02) (BR. 1)
- S-8 ACCURIDE CORP, 2315 ADAMS LN, BOX 40, HENDERSON, KY 42420 (502) 826-5000
- 1,899 (\$9,495,000) COMMON STOCK. (FILE 333-68227 - DEC. 02) (BR. 4)
- S-8 HALL KINION & ASSOCIATES INC, 19925 STEVENS CREEK BLVD, STE 180,
CUPERTINO, CA 95014 (408) 863-5600 - 406,041 (\$2,918,419.69) COMMON STOCK.
(FILE 333-68229 - DEC. 02) (BR. 3)
- S-8 ARTERIAL VASCULAR ENGINEERING INC, 3576 UNOCAL PLACE, SANTA ROSA, CA
95403 (707) 525-0111 - 2,000,000 (\$61,875,000) COMMON STOCK. (FILE
333-68231 - DEC. 02) (BR. 5)

S-8 CONTINENTAL AIRLINES INC /DE/, 1600 SMITH STREET, DEPT HQSEO, HOUSTON, TX 77002 (713) 834-2950 - 15,000,000 (\$15,000,000) COMMON STOCK. (FILE 333-68233 - DEC. 02) (BR. 5)

S-8 ARTERIAL VASCULAR ENGINEERING INC, 3576 UNOCAL PLACE, SANTA ROSA, CA 95403 (707) 525-0111 - 3,000,000 (\$92,812,500) COMMON STOCK. (FILE 333-68235 - DEC. 02) (BR. 5)

S-4 BANKNORTH GROUP INC /NEW/ /DE/, 300 FINANCIAL PLAZA, P O BOX 5420, BURLINGTON, VT 05401 (802) 658-9959 - 8,500,000 (\$270,937,500) COMMON STOCK. (FILE 333-68237 - DEC. 02) (BR. 7)

N-2 PILGRIM AMERICA PRIME RATE TRUST, TWO RENAISSANCE SQ, 40 N CENTRAL STE 1200, PHOENIX, AZ 85004 (602) 417-8100 - 5,000,000 (\$47,968,750) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-68239 - DEC. 02) (BR. 17)

S-3 ALLTEL CORP, ONE ALLIED DR, LITTLE ROCK, AR 72202 (501) 905-8000 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-68243 - DEC. 02) (BR. 7)

S-8 ASSOCIATES FIRST CAPITAL CORP, 250 E CARPENTER FWY, IRVING, TX 75062 (972) 652-4000 - 1,000,000 (\$78,500,000) COMMON STOCK. (FILE 333-68245 - DEC. 02) (BR. 7)

S-8 LEHMAN BROTHERS HOLDINGS INC, AMERICAN EXPRESS TWR, 3 WORLD FINANCIAL CNTR, NEW YORK, NY 10285 (212) 526-7000 - 20,000,000 (\$960,000,000) COMMON STOCK. (FILE 333-68247 - DEC. 02) (BR. 7)

S-8 CELERITEK INC/CA, 3236 SCOTT BLVD, SANTA CLARA, CA 95054 (408) 986-5060 - 250,000 (\$750,000) COMMON STOCK. (FILE 333-68249 - DEC. 02) (BR. 5)

S-8 UNITRODE CORP, 7 CONTINENTAL BLVD, MERRIMACK, NH 03054 (603) 424-2410 - 1,428,618 (\$22,957,892) COMMON STOCK. (FILE 333-68251 - DEC. 02) (BR. 5)

S-8 HOMESTAKE MINING CO /DE/, 650 CALIFORNIA ST, 9TH FL, SAN FRANCISCO, CA 94108 (415) 981-8150 - 250,000 (\$2,750,000) COMMON STOCK. (FILE 333-68253 - DEC. 02) (BR. 4)

S-8 TIER TECHNOLOGIES INC, 1350 TREAT BLVD, SUITE 250, WALNUT CREEK, CA 94596 (925) 937-3950 - 1,000,000 (\$13,030,000) COMMON STOCK. (FILE 333-68255 - DEC. 02) (BR. 3)

S-3 K N ENERGY INC, 370 VAN GORDON ST, PO BOX 281304, LAKEWOOD, CO 80228 (303) 989-1740 - 63,600,000 (\$63,600,000) COMMON STOCK. (FILE 333-68257 - DEC. 02) (BR. 2)

S-8 POTTERS FINANCIAL CORP, 519 BROADWAY, P O BOX 1028, EAST LIVERPOOL, OH 43920 (330) 385-0770 - 48,820 (\$732,300) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-68259 - DEC. 02) (BR. 7)

S-8 TRANSPORTATION COMPONENTS INC, THREE RIVERWAY SUITE 630, HOUSTON, TX 77056 - 2,909,173 (\$13,469,471) COMMON STOCK. 669,894 (\$3,101,610) WARRANTS, OPTIONS OR RIGHTS. (FILE 333-68261 - DEC. 02) (BR. 5)

S-3 STM WIRELESS INC, ONE MAUCHLY, IRVINE, CA 92718 (714) 753-7864 - 1,221,294 (\$7,022,441) COMMON STOCK. (FILE 333-68263 - DEC. 02) (BR. 7)

S-8 UNISERVICE CORP/FL, 1900 GLADES RD, SUITE 351, BOCA RATON, FL 33431 (561) 347-6398 - 15,000 (\$51,562.50) COMMON STOCK. (FILE 333-68265 - DEC. 02) (BR. 9)

S-8 QWEST COMMUNICATIONS INTERNATIONAL INC, 700 QWEST TOWER, 555 SEVENTEENTH STREET, DENVER, CO 80202 (303) 992-1400 - 250,000 (\$10,265,625) COMMON STOCK. (FILE 333-68267 - DEC. 02) (BR. 7)

S-8 SGI INTERNATIONAL, 1200 PROSPECT ST STE 325, LA JOLLA, CA 92037 (619) 551-1090 - 1,045,250 (\$1,222,943) COMMON STOCK. (FILE 333-68269 - DEC. 02) (BR. 6)

- S-3 DENTAL MEDICAL DIAGNOSTIC SYSTEMS INC, 200 N WESTLAKE BLVD SUITE 202,
PO BOX 74, WESTLAKE VILLAGE, CA 91362 (805) 381-2700 - 100,000 (\$660,000)
COMMON STOCK. (FILE 333-68271 - DEC. 03) (BR. 5)
- S-8 HALF ROBERT INTERNATIONAL INC /DE/, 2884 SAND HILL RD, STE 200,
MENLO PARK, CA 94025 (650) 234-6000 - 50,000 (\$23,921,875) COMMON STOCK.
(FILE 333-68273 - DEC. 02) (BR. 8)
- S-8 MUSICLAND STORES CORP, 10400 YELLOW CIRCLE DR, MINNETONKA, MN 55343
(612) 931-8000 - 2,000,000 (\$28,125,000) COMMON STOCK. (FILE 333-68275 -
DEC. 03) (BR. 2)
- S-8 SYNCOR INTERNATIONAL CORP /DE/, 6464 CANOGA AVENUE, WOODLAND HILLS, CA
91367 (818) 757-4000 - 500,000 (\$11,125,000) COMMON STOCK. (FILE
333-68277 - DEC. 03) (BR. 1)
- S-8 LITTON INDUSTRIES INC, 21240 BURBANK BLVD, WOODLAND HILLS, CA 91367
(818) 598-5000 - 460,000 (\$28,262,400) COMMON STOCK. (FILE 333-68279 -
DEC. 03) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACKERLEY GROUP INC	DE				X	X					12/03/98	
AIMCO PROPERTIES LP	MD				X	X					11/02/98	AMEND
ALLIED WASTE INDUSTRIES INC	DE				X						12/07/98	
ALLIED WASTE INDUSTRIES INC	DE				X						12/08/98	
ALLMERICA FINANCIAL CORP	DE				X	X					12/07/98	
AMF BOWLING INC	DE				X						12/07/98	
AMF BOWLING WORLDWIDE INC	DE				X						12/07/98	
AMRESCO RESIDENTIAL SECS CORP MORT LOAN TRUST 1998-3	NY				X	X					10/25/98	
AMRESCO RESIDENTIAL SECURITIES CORP MORTGAGE LOAN TR 1998-1	NY				X	X					10/25/98	
AMRESCO RESIDENTIAL SECURITIES CORP MORTGAGE LOAN TR 1998-2	DE				X	X					10/25/98	
APARTMENT INVESTMENT & MANAGEMENT C O	MD				X	X					11/02/98	AMEND
ARIEL CORP	DE		X				X				11/23/98	
ATLANTA MARRIOTT MARQUIS II LIMITED PARTNERSHIP	DE				X	X					06/11/98	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ATLANTIC CITY ELECTRIC CO	NJ	X									12/07/98	
AUTONOMOUS TECHNOLOGIES CORP	FL		X								12/01/98	
AVANIR PHARMACEUTICALS	CA			X							11/20/98	
BANC ONE CREDIT CARD MASTER TRUST	NY				X						05/15/98	AMEND
BROOKDALE LIVING COMMUNITIES INC	DE		X								12/04/98	
BUTLER NATIONAL CORP	DE			X	X						10/26/98	
CACI INTERNATIONAL INC /DE/	DE		X			X					11/25/98	AMEND
CADIZ INC	DE				X						12/07/98	
CAFE ODYSSEY INC	MN		X			X					11/23/98	
CALCASIEU REAL ESTATE & OIL CO INC	LA		X								12/04/98	
CAPITAL AUTOMOTIVE REIT	MD				X						12/07/98	
CAPITAL ONE FINANCIAL CORP	DE			X	X						12/07/98	
CAROLINA FIRST BANCSHARES INC	NC				X	X					11/30/98	
CBQ INC	CO	X	X			X					11/19/98	
CEC RESOURCES LTD					X	X					12/01/98	
CENTENNIAL CELLULAR CORP	DE				X	X					11/29/98	
CENTEX HOME EQ CORP HOME EQ LOAN AS S BACK CERT SR 1991 1 TR	NY					X					11/25/98	
CENTEX HOME EQUITY LOAN TRUST 1998- 2	NY					X					11/25/98	
CENTEX HOME EQUITY LOAN TRUST 1998- 3	DE					X					11/25/98	
CENTRAL & SOUTH WEST CORP	DE				X	X					11/19/98	
CENTRAL PARKING CORP	TN				X	X					12/04/98	
CENTRAL POWER & LIGHT CO /TX/	TX				X	X					11/19/98	
CENTURY COMMUNICATIONS CORP	NJ			X	X						11/30/98	
CHASE FUNDING INC	NY				X	X					12/07/98	
CHASE FUNDING INC	NY				X	X					12/08/98	
CHEMTRAK INC/DE	DE			X							11/23/98	
CHESAPEAKE ENERGY CORP	OK				X	X					12/08/98	
CINCINNATI BELL INC /OH/	OH				X	X					11/30/98	
CINERGY CORP	DE			X	X						09/30/98	
CIT GROUP INC	DE				X						12/02/98	
CLAIBORNE LIZ INC	DE				X	X					12/04/98	
COCENSYS INC	DE				X	X					12/01/98	
COMMERCIAL ASSETS INC	MD		X								11/20/98	
CONNECTIV INC	DE	X									12/07/98	
CONSOLIDATED ECO SYSTEM INC	ID				X						11/23/98	
CONTINENTAL HERITAGE CORP	DE	X									11/27/98	
CORDANT TECHNOLOGIES INC	DE				X						12/07/98	
CROMPTON & KNOWLES CORP	MA		X								11/20/98	
CWABS INC	DE				X	X					11/10/98	
CWMBS INC	DE				X	X					11/25/98	AMEND
CWMBS INC	DE					X					11/25/98	AMEND
CYBEAR INC	DE	X				X					11/20/98	
CYBEROPTICS CORP	MN				X	X					12/07/98	
D&N FINANCIAL CORP	DE				X	X					12/01/98	
DEVELOPERS DIVERSIFIED REALTY CORP	OH				X	X					09/10/98	
DOLLAR TREE STORES INC	VA				X	X					12/04/98	
DOVER CORP	DE		X			X					11/23/98	
DYNAMIC MATERIALS CORP	DE		X			X					12/01/98	
EASTERN ENTERPRISES	MA				X						12/07/98	
EDNET INC	CO	X									12/04/98	
ELLIGENT CONSULTING GROUP INC	NV					X					09/21/98	AMEND
ENZON INC	DE				X						11/20/98	
EQCC RECEIVABLES CORP	DE				X	X					12/08/98	
EQUITABLE RESOURCES INC /PA/	PA		X								12/07/98	
EQUITY ONE ABS INC	DE				X	X					12/04/98	
ESOFT INC	CO				X	X					12/08/98	
ESSEX GAS CO	MA						X				12/03/98	

NAME OF ISSUER	STATE		BK ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
EUROWEB INTERNATIONAL CORP	DE		X									11/20/98	
EUROWEB INTERNATIONAL CORP	DE		X									11/20/98	AMEND
EVERCOM INC	DE					X						10/15/98	
FDIC REMIC TRUST 1996-C1	NY		X									11/25/98	
FINET HOLDINGS CORP	DE		X					X				05/19/98	AMEND
FIRST ALLIANCE MORTGAGE CO /DE/	CA					X	X					12/04/98	
FIRST NATIONAL BANK OF COMMERCE	LA					X	X					06/11/98	
FIRST UNION RESIDENTIAL SECURITIZAT ION TRANSACTIONS INC	NC							X				11/25/98	
FIRST UNION RESIDENTIAL SECURITIZAT ION TRANSACTIONS INC	NC							X				11/25/98	
FIRSTMARK CORP /ME/	ME					X	X					12/03/98	
FLEMING COMPANIES INC /OK/	OK					X						12/07/98	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X					11/25/98	
GENTA INCORPORATED /DE/	DE					X	X					12/07/98	
GIANT GROUP LTD	DE					X	X					12/04/98	
GILMAN & CIOCIA INC	DE		X				X					11/19/98	
GPU INC /PA/	PA					X	X					11/25/98	
GREATER BAY BANCORP	CA					X	X					11/18/98	
H E R C PRODUCTS INC	DE				X		X					10/27/98	AMEND
HALLIBURTON CO	DE					X	X					11/30/98	
HAWAIIAN ELECTRIC CO INC	HI						X					11/25/98	
HAWAIIAN ELECTRIC INDUSTRIES INC	HI						X					11/25/98	
HEALTH POWER INC /DE/	DE		X									12/07/98	
HEALTHCARE IMAGING SERVICES INC	DE					X	X					10/31/98	
HEALTHDESK CORP	CA						X					08/18/98	AMEND
HEALTHSTAR CORP /UT/	DE					X						11/16/98	
HEARST ARGYLE TELEVISION INC	DE					X	X					09/17/98	AMEND
HECLA MINING CO/DE/	DE					X	X					11/13/98	AMEND
HEILIG MEYERS CO	VA					X	X					12/08/98	
HELLER FUNDING CORP	DE					X	X					11/25/98	
HERITAGE COMMERCE CORP	CA					X						12/07/98	
HOLLINGER INTERNATIONAL INC	DE					X	X					12/04/98	
HOMESIDE MORTGAGE SECURITIES INC /D E/	NY							X				11/25/98	
HOMESIDE MORTGAGE SECURITIES INC /D E/	NY							X				11/25/98	
HOSPITALITY PROPERTIES TRUST	MD						X					12/04/98	
IMAGYN MEDICAL TECHNOLOGIES INC	DE		X				X					11/20/98	
IMN FINANCIAL CORP	DE					X	X					11/20/98	
IMPAC CMB TRUST SERIES 1998-2	CA		X									09/25/98	
IMPAC MORTGAGE HOLDINGS INC	MD					X	X					10/08/98	
IMPAC SECURED ASSETS CMN TRUST SERI ES 1998-1	CA		X									09/25/98	
IMPAC SECURED ASSETS CORP MPT CERTS SERIES 1998-3			X									10/26/98	
IMPERIAL CMB TRUST SERIES 1998-1	DE		X									09/25/98	
INFOSEEK CORP /DE/	DE		X	X		X	X					11/18/98	AMEND
INTELLIGROUP INC	NJ					X						11/25/98	
INTERLAKE CORP	DE					X	X					12/07/98	
INTERPUBLIC GROUP OF COMPANIES INC	DE		X									11/30/98	
IRVINE APARTMENT COMMUNITIES INC	MD					X	X					12/01/98	
IRWIN REVOLVING HOME EQUITY LOAN TR UST 1998-1								X				11/15/98	
IXC COMMUNICATIONS INC	DE					X	X					12/07/98	
JORDAN AMERICAN HOLDINGS INC	FL					X						12/03/98	
KELLOGG CO	DE					X						12/02/98	
LEHMAN ABS CORP	DE					X	X					12/08/98	
LEHMAN ABS CORP HOME EQUITY LOAN AS SET BACK CERT SER 1998-2	NY							X				11/25/98	
LEHMAN STRUCTURED SECURITIES CORP	DE		X									11/25/98	

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LEVEL 3 COMMUNICATIONS INC	DE						X					12/07/98	
LSI LOGIC CORP	DE						X	X				11/20/98	
MACERICH CO	MD							X				11/10/98	AMEND
MALLON RESOURCES CORP	CO	X										12/07/98	
MAPICS INC	GA							X				12/04/98	
MECH FINANCIAL INC	CT							X				12/07/98	
MELLON BANK CREDIT CARD MASTER TRUS T								X	X			12/09/98	
MERRILL LYNCH MOR INV GOLDEN NATL M OR LN AS CER 1998-GN3	DE	X										11/25/98	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE						X	X				11/25/98	
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE						X	X				11/25/98	
METROPOLITAN FINANCIAL CORP /OH/ METROPOLITAN MORTGAGE & SECURITIES CO INC	OH						X	X				11/24/98	
MID-STATE HOMES INC	FL						X	X				11/30/98	
MINDSPRING ENTERPRISES INC	DE						X	X				12/07/98	
MINUTEMAN INTERNATIONAL INC	IL		X					X				11/23/98	
ML EQ REAL ESTATE PORTFOLIO L P	DE		X					X				11/24/98	
MONSANTO CO	DE		X					X				12/04/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1995-C1	NY	X										11/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1996-C2	NJ	X										11/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1996-C3	NY	X										11/25/98	
MORGAN J P COMM MORT FN COR COM MT PS THRU CERT SER 1997-C4	NY	X										11/25/98	
MORGAN STANLEY CAPITAL I INC	DE							X				11/25/98	
MORTGAGE LENDERS NETWK HM EQ LN TR AST BCK NTS SER 1998-1 TR	NY							X				11/25/98	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 1998-C6	DE	X										11/15/98	
MOSSIMO INC	DE						X	X				11/30/98	
NATIONAL FINANCIAL AUTO FUNDING TRU ST	DE						X	X				10/31/98	
NATIONAL RURAL UTILITIES COOPERATIV E FINANCE CORP /DC/	DC							X				12/04/98	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE						X	X				11/16/98	
NAVISTAR FINANCIAL SECURITIES CORP	DE						X	X				11/25/98	
NEOPROBE CORP	DE						X	X				12/01/98	
NETAMERICA INTERNATIONAL INC	DE	X										10/06/98	
NETSCAPE COMMUNICATIONS CORP	DE						X	X				11/23/98	
NEW CENTURY FINANCIAL CORP	DE						X	X				11/24/98	
NEW WORLD COFFEE & BAGELS INC /	DE		X					X				09/30/98	
NEXTLINK COMMUNICATIONS INC / DE	DE						X					12/03/98	
NORWEST ASSET ACCEPTANCE CORP ASS B KED CERT SER 1998-HE1 TR	NY							X				11/25/98	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-10 TR	NY							X				11/25/98	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-11 TR	NY							X				11/25/98	
NORWEST ASSET SEC CORP MORT PASS TH R CERT SER 1998-25 TRUST								X				11/25/98	
NORWEST ASSET SEC CORP MORT PASS TH RU CERT SERI 1998-5 TRUST								X				11/25/98	

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NORWEST ASSET SEC CORP MORT PS THR CERT SER 1998-2 TRUST	NY								X		11/25/98	
NORWEST ASSET SEC CORP MORT PS THR CERT SER 1998-3 TRUST	NY								X		11/25/98	
NORWEST ASSET SEC CORP MORT PS THR CERT SER 1998-4 TRUST	NY								X		11/25/98	
NORWEST ASSET SECURITIES CORP	DE					X	X				11/19/98	
NORWEST ASSET SECURITIES CORP	DE					X	X				11/19/98	AMEND
NORWEST ASSET SECURITIES CORP	DE					X	X				12/03/98	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SER 1998-6 TR									X		11/25/98	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SER 1998-7 TR									X		11/25/98	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SER 1998-8 TR									X		11/25/98	
NORWEST ASSET SECURITIES CORP MOR P AS THR CERT SER 1998-9 TR									X		11/25/98	
NOVA CORP \GA\	GA								X		09/24/98	AMEND
NTS PROPERTIES IV	KY								X		12/08/98	AMEND
NTS PROPERTIES PLUS LTD	FL								X		12/08/98	AMEND
NTS PROPERTIES V	MD								X		12/08/98	AMEND
OPTION CARE INC/DE	DE				X			X			12/08/98	
ORA ELECTRONICS INC	DE					X					12/08/98	
ORYX TECHNOLOGY CORP	DE		X					X			11/24/98	
PACIFICORP /OR/	OR					X	X				12/07/98	
PAINWEBBER EQUITY PARTNERS ONE LTD PARTNERSHIP	VA		X					X			11/20/98	
PAINWEBBER EQUITY PARTNERS TWO LTD PARTNERSHIP	VA		X					X			11/20/98	
PAUL SON GAMING CORP	NV					X	X				11/25/98	
PELICAN PROPERTIES INTERNATIONAL CO RP	FL		X					X			11/25/98	
PENN TREATY AMERICAN CORP	PA					X	X				11/25/98	
PITNEY BOWES INC /DE/	DE					X					11/23/98	AMEND
PITTSTON CO	VA					X					12/04/98	
PLAINS RESOURCES INC	DE							X			07/30/98	AMEND
PLAINS RESOURCES INC	DE		X					X			11/23/98	
PMA CAPITAL CORP	PA					X	X				12/07/98	
POLYVISION CORP	NY		X			X	X				11/20/98	
PROLONG INTERNATIONAL CORP	NV		X					X			11/20/98	
PROVANT INC	DE		X					X			11/24/98	
PRUDENTIAL BANK & TRUST CO /GA/	GA					X	X				11/30/98	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE							X			11/25/98	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE							X			11/25/98	
PUBLIC SERVICE CO OF OKLAHOMA	OK					X	X				11/19/98	
PUBLIC STORAGE INC /CA	CA							X			12/07/98	
PUBLICARD INC	PA		X								12/07/98	
PYR ENERGY CORP	DE					X	X				12/07/98	
QUESTRON TECHNOLOGY INC	DE							X			09/24/98	AMEND
RAMTRON INTERNATIONAL CORP	DE					X					12/02/98	
RENTAL SERVICE CORP	DE					X	X				11/24/98	
RESIDENTIAL ASSET FUNDING CORP	NC					X	X				12/02/98	
RESIDENTIAL ASSET SECURITIES CORP	DE					X	X				11/18/98	AMEND
RIVERSIDE GROUP INC/FL	FL					X					10/30/98	AMEND
ROCKY MOUNTAIN INTERNET INC	DE		X			X	X				11/20/98	
RYANS FAMILY STEAKHOUSES INC	SC		X								12/02/98	
SALANT CORP	DE					X	X				11/30/98	
SAXON ASSET SECURITIES TRUST 1998 3	VA		X								11/25/98	

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SCHWEITZER MAUDUIT INTERNATIONAL IN C	DE					X						12/03/98	
SEAGRAM CO LTD	CA					X	X					12/06/98	
SECURITY CAPITAL GROUP INC/	MD					X	X					11/18/98	
SECURITY PACIFIC HOME EQUITY TRUST 1991-A	CA							X				11/25/98	
SHOLOGGE INC	TN					X	X					12/07/98	
SI DIAMOND TECHNOLOGY INC	TX					X	X					12/07/98	
SIERRA PACIFIC POWER CO	NV					X	X					12/07/98	
SIMON PROPERTY GROUP INC /DE/	DE					X	X					09/24/98	AMEND
SITEK INC	CO					X	X					11/30/98	
SMARTALK TELESERVICES INC	CA					X	X					12/07/98	
SMITH CHARLES E RESIDENTIAL REALTY INC	MD					X	X					12/02/98	
SOUTHWESTERN ELECTRIC POWER CO	DE					X	X					11/19/98	
SPG PROPERTIES INC/MD/	MD		X					X				09/24/98	AMEND
SPRINT SPECTRUM FINANCE CORP	DE		X									12/31/98	
SPRINT SPECTRUM L P	DE		X									12/31/98	
STELLELEX INDUSTRIES INC	DE		X									12/31/98	
STRUCTURED ASSET SEC CORP MORT PASS THR CERTS SERIES 1998-6	DE							X				11/25/98	
STRUCTURED ASSET SEC CORP MORT PASS THRO CERT SER 1998-1 TR	NY							X				11/30/98	
STRUCTURED ASSET SEC CORP MORT PASS THRO CERT SER 1998-2 TR	NY							X				11/25/98	
STRUCTURED ASSET SEC CORP MORT PASS THRO CERT SERIES 1998-4	DE							X				11/25/98	
STRUCTURED ASSET SECURITIES CORP MO PA TH CE SE 1998-3 TR								X				11/25/98	
SUMMIT DESIGN INC	DE					X	X					12/07/98	
SUPERIOR BANK FSB	IL		X				X					11/24/98	
TAITRON COMPONENTS INC	CA					X	X					12/02/98	
TANDYCRAFTS INC	DE					X	X					12/07/98	
TATONKA ENERGY INC	OK		X	X			X					04/14/98	AMEND
TELE COMMUNICATIONS INC /CO/	DE					X	X					11/23/98	
TELEFLEX INC	DE					X	X					12/07/98	
TIME WARNER INC/	DE					X						11/19/98	
TMP WORLDWIDE INC	DE							X				12/07/98	
TOROTEL INC	MO		X									12/07/98	
TOTAL CONTROL PRODUCTS INC	IL					X	X					11/22/98	
TREGA BIOSCIENCES INC	DE		X				X					11/23/98	
TRIDENT ROWAN GROUP INC	MD					X	X					10/01/98	
TRINITECH SYSTEMS INC	NY					X	X					11/24/98	
TUCSON ELECTRIC POWER CO	AZ					X						12/04/98	
U S RESTAURANT PROPERTIES INC	MD		X				X					08/07/98	AMEND
U S TIMBERLANDS CO LP	DE					X						12/01/98	
U S TIMBERLANDS KLAMATH FALLS LLC	DE					X						12/01/98	
UCFC FUNDING CORP	LA					X	X					11/16/98	
UCI MEDICAL AFFILIATES INC	DE							X				05/13/98	AMEND
UNION CARBIDE CORP /NEW/	NY		X									12/08/98	
UNION PLANTERS MORT FIN CORP MORT P ASS THR CERT SER 1998 1	NY					X	X					11/25/98	
UNIONBANCAL CORP	CA					X						12/07/98	
UNISOURCE ENERGY CORP	AZ					X						12/04/98	
UNITED MORTGAGE TRUST			X			X						12/01/98	
UNITED ROAD SERVICES INC						X						11/19/98	
USCI INC	DE					X						12/01/98	

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VINCAM GROUP INC	FL	X								X	12/03/98	
VISIONEER INC	DE				X	X					12/03/98	
WELLINGTON PROPERTIES TRUST	MD	X								X	11/20/98	
WEST TEXAS UTILITIES CO	TX				X	X					11/19/98	