

# sec news digest

Issue 93-146

July 30, 1993

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## ADMINISTRATIVE PROCEEDINGS

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### ADMINISTRATIVE PROCEEDINGS AGAINST CAPITAL GENERAL CORPORATION, ET AL.

The Commission announced that on July 23 it ordered David R. Yeaman and Capital General Corporation (Capital General) to permanently cease and desist from committing or causing further violations of Sections 5(a) and (c) and 17(a) of the Securities Act of 1933 (Securities Act) and Sections 10(b) and 13(g) of the Securities Exchange Act of 1934 (Exchange Act), and Rules 10b-5, 12b-20 and 13d-1(c) thereunder. Krista Castleton was ordered to permanently cease and desist from committing or causing further violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. In addition, the Commission ordered the revocation of the registration of the common stock of Altara International, Inc. (Altara), Arrow Management, Inc. (Arrow), Atlas Equity, Inc. (Atlas), Dynamic Associates, Inc. (Dynamic), Energy Systems, Inc. (Energy Systems), Four Star Ranch, Inc. (Four Star), Panorama Industries, Inc. (Panorama), Partisan Corporation (Partisan), Quiescent Corporation (Quiescent), Saber, Inc. (Saber), Upsilon, Inc. (Upsilon), Vicuna, Inc. (Vicuna), Why Not, Inc. (Why Not), Xebec Galleon, Inc. (Xebec), Zebu, Inc. (Zebu), and Zeus Enterprises, Inc. (Zeus), pursuant to Section 12(j) of the Exchange Act. The Commission found that each of the issuers had filed a registration statement on Forms 10 that contained materially false and misleading statements in violation of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

Each of the respondents had submitted an Offer of Settlement consenting to the entry of the Order without admitting or denying the allegations in the Order. (Rels. 33-7008; 34-32669)

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## CIVIL PROCEEDINGS

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### C. DANIEL MCCLAIN SENTENCED

The Commission announced that on June 14, 1993 the Honorable Kim C. Hammond, Judge of the Circuit Court for the Seventh Judicial District in Flagler County, Florida, adjudicated C. D. McClain a/k/a C. Daniel McClain guilty of a one count information alleging he violated the Florida Communications Fraud Act, Florida Statutes §817.034(4)(a)1, a first degree felony. McClain was sentenced to two years of community control to be followed by eight years of supervised probation. The criminal information is based upon the same conduct underlying the Commission's complaint against McClain in SEC v. C. Daniel McClain, USDC, ND Ga, Civil Action No. 1:93-CV-782-ODE.

The Commission's complaint alleged that McClain engaged in the fraudulent sale of securities of International Trading, Inc. (ITI). ITI is a defendant in a pending suit by the Commission and is the subject of a permanent injunction in that case. ITI offering materials distributed by McClain promised investors that for each share of ITI stock they purchased for \$100, they would receive a warrant to be redeemed for \$200,000. The exorbitant proceeds were purported to be paid from a fortune accumulated by a deceased European "financier" and claimed to be in excess of \$1 trillion. The complaint alleges that the purported fortune does not exist. [State of Florida v. C. Daniel McClain, Circuit Court for Seventh Judicial Circuit for Flagler County, 93-0013.CFA] (LR-13716)

**ACTION FOR PRELIMINARY AND PERMANENT INJUNCTIONS FILED AGAINST JEFFREY NAVIN, BUS/LINE MEDIA, THE AMERICAN MUTUAL HOLDING CORP., DENNIS SANTIAGO AND MICHAEL SIMS**

On July 21, the Commission announced the filing of a complaint in the U.S. District Court for the Northern District of California seeking preliminary and permanent injunctions and other relief against Jeffrey Navin (Navin), Bus/Line Media (BLM), The American Mutual Holding Corp., (American Mutual), Dennis Santiago (Santiago), and Michael Sims (Sims) from violating, variously, the securities and broker-dealer registration and antifraud provisions of the federal securities laws.

The complaint alleges that from about January 1991 through May 1993 Navin and BLM, directly, and indirectly through American Mutual, Santiago and Sims fraudulently offered and sold unregistered investment contracts, raising roughly \$4.4 million from over 200 investors. The complaint further alleges that Navin and BLM misused investor funds pursuant to a Ponzi-like scheme and failed to disclose investment risks and BLM's poor financial condition.

Simultaneously with the filing of the complaint, American Mutual, Santiago and Sims, without admitting or denying the Commission's allegations, consented to be permanently enjoined from violating and/or aiding and abetting violations of Sections 5(a) and 5(c) of the Securities Act and Section 15(a) of the Exchange Act. The imposition of civil penalties against these defendants remains outstanding. [SEC v. Jeffrey Navin, Bus/Line Media, The American Mutual Holding Corp., Dennis Santiago and Michael Sims, Civil Action No. 93-2744 WHO, ND Cal.] (LR-13729)

**ASSETS OF EDDIE ANTAR FROZEN IN ENGLAND**

On July 28, the High Court of Justice, London, England, dismissed the application of Eddie Antar to set aside an injunction freezing assets hidden by Antar in London. The injunction, which has been in effect since July 8, 1992, was issued in connection with an action brought by the SEC to enforce the judgment granted by the U.S. District Court in 1990. [SEC v. Eddie Antar, High Court of Justice, Queen's Bench Division, 1992 S. No. 3024, London, England] (LR-13734)

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**INVESTMENT COMPANY ACT RELEASES**

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**SMITH BARNEY, HARRIS UPHAM & CO. INC.**

A conditional order has been issued on an application filed by Smith Barney, Harris Upham & Co. Inc. (Smith Barney) under Section 9(c) of the Investment Company Act exempting Smith Barney from Section 9(a) of the Act to the extent necessary to permit

Smith Barney to employ an individual who is subject to a securities-related injunction. (Rel. IC-19597 - July 28)

**FIRST PRAIRIE CASH MANAGEMENT, ET AL.**

A conditional order has been issued under Section 17(d) of the Investment Company Act and Rule 17d-1 thereunder to permit First Prairie Cash Management, et al. to deposit their uninvested cash balances into a joint trading account through which the cash will be invested in repurchase agreements and short-term money market instruments. (Rel. IC-19598 - July 28)

**AIM FUNDS GROUP, ET AL.**

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting AIM Funds Group, et al. from the provisions of Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d) of the Act and Rule 22c-1 thereunder. The order permits the applicants to issue separate classes of shares representing interests in various investment portfolios, to assess a contingent deferred sales charge (CDSC) on certain redemptions of shares and to waive or reduce the CDSC in certain instances. (Rel. IC-19599 - July 27)

**VAN KAMPEN MERRITT TRUST, ET AL.**

A conditional order has been issued under Section 6(c) of the Investment Company Act exempting applicants and certain other registered open-end management investment companies from Sections 2(a)(32), 2(a)(35), 18(f), 18(g), 18(i), 22(c), and 22(d), and Rule 22c-1 thereunder. The order permits the investment companies to issue multiple classes of shares representing interests in the same portfolio of securities, and assess and, under certain circumstances, waive a contingent deferred sales load on certain redemptions of shares. (Rel. IC-19600 - July 28)

**IBM INTERNATIONAL FINANCE, N.V., ET AL.**

A conditional order has been issued on an application filed by IBM International Finance, N.V., et al. under Section 6(c) of the Investment Company Act exempting applicants from the provisions of subparagraphs (a)(5), (a)(6) and (b) of Rule 3a-5 under the Act. The order permits IBM International Finance, N.V., an indirect wholly-owned finance subsidiary of certain operating companies, to rely on Rule 3a-5 under the Act and to engage in certain short-term borrowing, lending, and investing activities not included within Rule 3a-5. (Rel. IC-19602; International Series Rel. 569 - July 28)

**BERLINER HANDELS- UND FRANKFURTER BANK, ET AL.**

A notice has been issued giving interested persons until August 23, 1993 to request a hearing on an application filed by Berliner Handels- und Frankfurter Bank (the Bank) and BHF Finance (Delaware) Inc. (Finance) for an amended, conditional order under Section 6(c) of the Investment Company Act, exempting applicants from the provisions of subparagraphs (a)(1) and (a)(3) of Rule 3a-5 under the Act. The amended order would permit Finance to offer and sell its commercial paper in the United States and use the proceeds to finance the business activities of the Bank, its parent company, without Finance registering as an investment company under the Act. (Rel. IC-19603; International Series Rel. 570 - July 28)

## SELF-REGULATORY ORGANIZATIONS

### PROPOSED RULE CHANGE

The Philadelphia Stock Exchange filed a proposed rule change (SR-PHLX-93-28) to amend the PHLX's minor rule violation enforcement and reporting plan by placing nine Floor Procedure Advices (Advices) on a three-year rolling cycle. Under the proposed three-year rolling cycle, a violation of one of the nine Advices which occurs within three years of the first violation of that Advice will be treated as a second occurrence, and any violation of an Advice within three years of the previous violation of that Advice will be subject to the next highest fine. Publication of the proposal is expected in the Federal Register during the week of August 2. (Rel. 34-32678)

### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-CBOE-93-05) filed by the Chicago Board Options Exchange which amends CBOE Rule 24.9, "Terms of Index Option Contracts," to allow the CBOE to list and trade reduced-value long-term index option series (LEAPS) on the Financial-Times Stock Exchange 100 Stock Index (Index). In addition, the CBOE amended its rules to provide that the current index value of a reduced-value LEAP is one-tenth (1/10th) of the current index value of the related index option. Publication of the proposal is expected in the Federal Register during the week of August 2. (Rel. 34-32679)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-3 BALLY MANUFACTURING CORP, 8700 W BRYN MAWR AVE, CHICAGO, IL 60631 (312) 399-1300 - 285,000 (\$2,690,400) COMMON STOCK. (FILE 33-49815 - JUL. 23) (BR. 12)
- S-8 MCDONALDS CORP, ONE MCDONALD'S PLZ, OAK BROOK, IL 60521 (708) 575-3000 - 3,000,000 (\$146,430,000) COMMON STOCK. (FILE 33-49817 - JUL. 23) (BR. 12)
- S-8 HONEYWELL INC, HONEYWELL PLZ, 2701 4TH AVE S, MINNEAPOLIS, MN 55408 (612) 951-1000 - 7,500,000 (\$274,050,000) COMMON STOCK. (FILE 33-49819 - JUL. 23) (BR. 8)
- S-3 RESOURCE MORTGAGE CAPITAL INC/VA, 10500 LITTLE PATUXENT PKWY, SUITE 650, COLUMBIA, MD 21044 (410) 715-2000 - 500,000 (\$14,812,500) COMMON STOCK. (FILE 33-49821 - JUL. 23)

REGISTRATIONS CONTINUED

- F-6 GREAT WALL ELECTRONIC INTERNATIONAL LTD/ADR/, 111 WALL STREET, C/O CITIBANK NA, NEW YORK, NY 10019 (212) 657-7691 - 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-65914 - JUL. 12) (BR. 99 - NEW ISSUE)
- S-8 ILLINOIS POWER CO, 500 S 27TH ST, C/O HARRIS TRUST & SAVINGS BANK, DECATUR, IL 62525 (217) 424-6600 - 1,500,000 (\$38,250,000) COMMON STOCK. (FILE 33-66124 - JUL. 16) (BR. 8)
- S-4 MID ATLANTIC REALTY TRUST, 1302 CONCOURSE DR, STE 202, LINTHICUM, MD 21090 (410) 684-2000 - 2,844,201 (\$27,730,960) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-66226 - JUL. 16) (BR. 6)
- SB-2 IMAGING MANAGEMENT ASSOCIATES INC/CO/, 5143 W WOODMILL DR STE 23, WILMINGTON, DE 19808 (302) 633-6900 - 1,205,500 (\$1,060,840) COMMON STOCK. (FILE 33-66286 - JUL. 21) (BR. 6)
- S-1 RNI ENTERTAINMENT INC, 156 WEST 56TH ST, NEW YORK, NY 10019 (212) 977-9001 - 37,500,000 (\$37,500,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-66290 - JUL. 21) (BR. 12)
- S-8 CYBERNETICS PRODUCTS INC, 180 BROAD ST, CARLSTADT, NJ 07072 (201) 935-3000 - 675,000 (\$2,109,375) COMMON STOCK. (FILE 33-66292 - JUL. 21) (BR. 3)
- S-11 VINLAND PROPERTY TRUST, 10670 W CENTRAL EXPWY STE 300, DALLAS, TX 75231 (214) 692-4700 - 3,078,947 (\$2,505,859) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-66294 - JUL. 22) (BR. 5)
- S-8 COR THERAPEUTICS INC / DE, 256 EAST GRAND AVE STE 80, SOUTH SAN FRANCISCO, CA 94080 (415) 244-6800 - 1,225,000 (\$15,415,847) COMMON STOCK. (FILE 33-66296 - JUL. 22) (BR. 4)
- S-8 ADVANCED TECHNOLOGY LABORATORIES INC/, 6800 COLUMBIA CNTR, 701 FIFTH AVE, SEATTLE, WA 98104 (206) 682-6800 - 850,000 (\$13,812,500) COMMON STOCK. (FILE 33-66298 - JUL. 22) (BR. 8)
- S-3 RYAN MURPHY INC, 8774 YATES DR STE 100, WESTMINSTER, CO 80030 (303) 427-4567 - 3,300,000 (\$1,650,000) COMMON STOCK. (FILE 33-66300 - JUL. 22) (BR. 9)
- S-4 SOUTHERN CRESCENT FINANCIAL CORP, 1585 SOUTHLAKE PKWY, MORROW, GA 30260 (404) 968-6868 - 136,800 (\$1,905,624) COMMON STOCK. (FILE 33-66304 - JUL. 22) (BR. 2)
- S-8 PHARMACEUTICAL MARKETING SERVICES INC, 2394 E CAMELBACK RD, PHOENIX, AZ 85016 (609) 381-9800 - 120,000 (\$2,010,000) COMMON STOCK. (FILE 33-66306 - JUL. 22) (BR. 11)
- S-8 AMERICAN PHYSICIANS SERVICE GROUP INC, 1301 CAPITAL OF TEXAS HWY, AUSTIN, TX 78746 (512) 328-0888 - 1,400,000 (\$3,934,000) COMMON STOCK. (FILE 33-66308 - JUL. 22) (BR. 5)
- S-8 WINNERS CIRCLE INC, 1515 N FEDERAL HWY STE 310, BOCA RATON, FL 33432 (407) 362-9494 - 120,000 (\$240,000) COMMON STOCK. (FILE 33-66310 - JUL. 22) (BR. 8)
- S-8 GUARANTY NATIONAL CORP, 100 INVERNESS TERRACE E, ENGLEWOOD, CO 80112 (303) 790-8200 - 400,000 (\$8,375,000) COMMON STOCK. (FILE 33-66312 - JUL. 22) (BR. 10)
- S-3 WEST ONE BANCORP, 101 S CAPITOL BLVD, P O BOX 8247, BOISE, ID 83733 (208) 383-7000 - 2,000,000 (\$99,750,000) COMMON STOCK. (FILE 33-66314 - JUL. 22) (BR. 1)
- S-8 STEEL TECHNOLOGIES INC, 15415 SHELBYVILLE RD, LOUISVILLE, KY 40245 (502) 245-2110 - 675,000 (\$12,656,250) COMMON STOCK. (FILE 33-66316 - JUL. 22) (BR. 6)

REGISTRATIONS CONTINUED

- S-8 HAUSER CHEMICAL RESEARCH INC, 5555 AIRPORT BLVD, BOULDER, CO 80301 (303) 443-4662 - 79,981 (\$1,399,667) COMMON STOCK. (FILE 33-66318 - JUL. 22) (BR. 4)
- S-8 BIOMUNE SYSTEMS INC, 40 E S TEMPLE STE 310, SALT LAKE CITY, UT 84111 (801) 364-5850 - 5,000 (\$36,875) COMMON STOCK. (FILE 33-66320 - JUL. 22) (BR. 12)
- S-8 LOMAK PETROLEUM INC, 500 THROCKMORTON ST STE 2104, FORT WORTH, TX 76102 (817) 870-2601 - 1,000,000 (\$5,724,290) COMMON STOCK. (FILE 33-66322 - JUL. 22) (BR. 4)
- S-8 MONEY STORE INC /NJ, 2840 MORRIS AVE, UNION, NJ 07083 (908) 686-2000 - 475,000 (\$9,143,750) COMMON STOCK. (FILE 33-66332 - JUL. 21) (BR. 11)
- S-8 TECNOMATIX TECHNOLOGIES LTD, DELTA HOUSE, 16 MAGALIN AVE, MERZILYA 46733 ISRAEL, L3 (313) 471-6140 - 341,769 (\$5,758,813.05) FOREIGN COMMON STOCK. (FILE 33-66335 - JUL. 21) (BR. 10)
- S-3 FIRST REPUBLIC BANCORP INC, 388 MARKET ST, 2ND FL, SAN FRANCISCO, CA 94111 (415) 392-1400 - 17,000,000 (\$17,000,000) STRAIGHT BONDS. UNDERWRITER: OFFERMAN & CO. (FILE 33-66336 - JUL. 21) (BR. 2)
- S-1 PLASTIC SPECIALTIES & TECHNOLOGIES INC, 101 RAILROAD AVE, RIDGEFIELD, NJ 07657 (201) 941-2900 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 33-66338 - JUL. 21) (BR. 5)
- S-3 ALLEGHENY GENERATING CO, 12 EAST 49TH ST, NEW YORK, NY 10017 (212) 752-2121 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. (FILE 33-66344 - JUL. 21) (BR. 7)
- S-4 HAYNES INTERNATIONAL INC, 1020 WEST PARK AVE, PO BOX 9013, KOKOMO, IN 46904 (317) 456-6000 - 50,000,000 (\$50,000,000) STRAIGHT BONDS. (FILE 33-66346 - JUL. 21) (BR. 6)
- S-3 QUADRAX CORP /DE/, 300 HIGH POINT AVE, PORTSMOUTH, RI 02871 (401) 683-6600 - 32,427,268 (\$35,345,722) COMMON STOCK. (FILE 33-66348 - JUL. 21) (BR. 10)
- S-3 AGP & CO INC, 650 FIFTH AVE, NEW YORK, NY 10019 (212) 246-6370 - 749,337 (\$2,300,464) COMMON STOCK. (FILE 33-66358 - JUL. 21) (BR. 5)
- S-B ITALY REPUBLIC OF, ST OLAVES HOUSE, 9A IRONMONGER LANE, LONDON 3C2V BEY ENGLAND, X0 (000) 000-0000 - 10,000,000 (\$10,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT. (FILE 33-66360 - JUL. 21) (BR. 15 - NEW ISSUE)
- S-4 TAKECARE INC, 2300 CLAYTON RD STE 1000, CONCORD, CA 94520 (510) 246-1300 - 1,816,815 (\$82,665,082) COMMON STOCK. (FILE 33-66364 - JUL. 22) (BR. 9)
- S-8 METROPOLITAN BANCORP, 1520 4TH AVE, SEATTLE, WA 98101 (206) 625-1818 - 515,662 (\$6,832,521) COMMON STOCK. (FILE 33-66370 - JUL. 22) (BR. 1)
- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471 (403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66372 - JUL. 22) (BR. 3)
- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471 (403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66374 - JUL. 22) (BR. 3)
- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471 (403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66376 - JUL. 22) (BR. 3)
- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471 (403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66378 - JUL. 22) (BR. 3)

REGISTRATIONS CONTINUED

- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471  
(403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66380 - JUL. 22) (BR. 3)
- S-8 DRECO ENERGY SERVICES LTD, 3716 - 93 ST, EDMONTON ALBERTA CAN, A0 77471  
(403) 463-2065 - 20,000 (\$500,000) COMMON STOCK. (FILE 33-66382 - JUL. 22) (BR. 3)
- S-4 MAGMA GROUP INC, ONE MAGMA PLACE, 1401 S BRENTWOOD BLVD, ST LOUIS, MO 63144  
(314) 963-2500 - 410,000 (\$7,990,900) COMMON STOCK. (FILE 33-66384 - JUL. 22) (BR. 1)
- S-11 MID ATLANTIC REALTY TRUST, 1302 CONCOURSE DR, STE 202, LINTHICUM, MD 21090  
(410) 684-2000 - 4,778,000 (\$57,336,000) COMMON SHARES OF BENEFICIAL INTEREST.  
62,250,000 (\$62,250,000) CONVERTIBLE DEBENTURES AND NOTES. (FILE 33-66386 - JUL. 22)  
(BR. 6 - NEW ISSUE)
- S-8 NEXTEL COMMUNICATIONS INC/DE/, 201 ROUTE 17 NORTH, RUTHERFORD, NJ 07070  
(201) 438-1400 - 600,000 (\$18,975,000) COMMON STOCK. (FILE 33-66388 - JUL. 22)  
(BR. 7)
- S-8 NEXTEL COMMUNICATIONS INC/DE/, 201 ROUTE 17 NORTH, RUTHERFORD, NJ 07070  
(201) 438-1400 - 218,400 (\$6,906,900) COMMON STOCK. (FILE 33-66390 - JUL. 22) (BR. 7)
- S-3 NORM & HARDART CO /NV/, 1500 HARBOR BLVD, WEENAWKEN, NJ 07087 (201) 865-3800 -  
3,750,000 (\$18,867,187) COMMON STOCK. (FILE 33-66394 - JUL. 22) (BR. 1)
- S-8 ASPEN MARINE GROUP INC, 5836 OLD MILES FERRY PIKE, GREENBACK, TN 37742  
(615) 856-3806 - 370,000 (\$836,200) COMMON STOCK. (FILE 33-66428 - JUL. 22) (BR. 5)
- S-8 CAERE CORP, 100 COOPER CT, LOS GATOS, CA 95030 (408) 395-7000 - 500,000  
(\$3,000,000) COMMON STOCK. (FILE 33-66430 - JUL. 22) (BR. 9)
- S-1 UNIVISION NETWORK HOLDING LIMITED PARTNERSHIP, 1901 AVE OF THE STARS, STE 680,  
LOS ANGELES, CA 90067 (310) 556-7600 - 61,094,000 (\$34,075,906) STRAIGHT BONDS. (FILE  
33-66434 - JUL. 22) (BR. 7)
- S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403 (414) 636-1200 -  
2,200,000 (\$45,100,000) COMMON STOCK. (FILE 33-66436 - JUL. 22) (BR. 4)
- S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403 (414) 636-1200 -  
700,000 (\$14,350,000) COMMON STOCK. (FILE 33-66438 - JUL. 22) (BR. 4)
- S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403 (414) 636-1200 -  
500,000 (\$10,250,000) COMMON STOCK. (FILE 33-66440 - JUL. 22) (BR. 4)
- S-8 MODINE MANUFACTURING CO, 1500 DEKOVEN AVE, RACINE, WI 53403 (414) 636-1200 -  
620,000 (\$12,710,000) COMMON STOCK. (FILE 33-66442 - JUL. 22) (BR. 4)
- S-8 SNAP ON TOOLS CORP, 2801 80TH ST, KENOSHA, WI 53141 (414) 656-5200 - 200,000  
(\$7,675,000) COMMON STOCK. (FILE 33-66448 - JUL. 22) (BR. 10)

REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
ADVANCED TECHNOLOGY LABORATORIES INC/	33-66298	07/22/93
ALANCO INC	33-64234	07/21/93
ALANCO INC	33-64234	07/21/93
ALCO HEALTH DISTRIBUTION CORP /DE/	33-64342	07/19/93
ALCO HEALTH DISTRIBUTION CORP /DE/	33-64342	07/19/93

## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
AMERICAN HEALTHCARE MANAGEMENT INC	33-63552	07/21/93
AMERICAN HEALTHCARE MANAGEMENT INC	33-63552	07/21/93
AMERICAN HEALTHCARE MANAGEMENT INC	33-63552	07/21/93
AMERICAN HEALTHCARE MANAGEMENT INC	33-63552	07/21/93
AMERICAN OILFIELD DIVERS INC	33-63910	07/21/93
AMERICAN OILFIELD DIVERS INC	33-63910	07/21/93
AMERICAN OILFIELD DIVERS INC	33-63910	07/21/93
AMERICAN OILFIELD DIVERS INC	33-63910	07/21/93
AMERICAN PHYSICIANS SERVICE GROUP INC	33-66308	07/22/93
ARROW FINANCIAL CORP	33-66192	07/19/93
ASECO CORP	33-66250	07/20/93
ASPEN IMAGING INTERNATIONAL INC	33-66196	07/19/93
ASPEN IMAGING INTERNATIONAL INC	33-66198	07/19/93
ASPEN IMAGING INTERNATIONAL INC	33-66200	07/19/93
ASPEN MARINE GROUP INC	33-66428	07/22/93
ATLANFED BANCORP INC	33-66248	07/20/93
ATLANTIC COAST AIRLINES INC	33-62206	07/20/93
ATLANTIC COAST AIRLINES INC	33-62206	07/20/93
ATLANTIC COAST AIRLINES INC	33-62206	07/20/93
ATLANTIC COAST AIRLINES INC	33-62206	07/20/93
AUTOMOTIVE INDUSTRIES HOLDING INC	33-65502	07/22/93
BALTIMORE GAS & ELECTRIC CO	33-49801	07/20/93
BANK SOUTH CORP	33-66254	07/20/93
BIOMUNE SYSTEMS INC	33-66320	07/22/93
BLACKROCK CALIFORNIA INVESTMENT QUALITY	33-65456	07/22/93
BLACKROCK FLORIDA INVESTMENT QUALITY MUN	33-65462	07/22/93
BLACKROCK NEW JERSEY INVESTMENT QUALITY	33-65458	07/22/93
BLACKROCK NEW YORK INVESTMENT QUALITY MU	33-65460	07/22/93
BUILDERS WAREHOUSE ASSOCIATION INC	33-66212	07/19/93
CABLEVISION SYSTEMS CORP	33-61814	07/20/93
CABLEVISION SYSTEMS CORP	33-61814	07/20/93
CAERE CORP	33-66430	07/22/93
CAMDEN PROPERTY TRUST	33-63588	07/21/93
CAMDEN PROPERTY TRUST	33-63588	07/21/93
CAMDEN PROPERTY TRUST	33-63588	07/21/93
CAMDEN PROPERTY TRUST	33-63588	07/21/93
CCI CODED COMMUNICATIONS INC /BC	33-59046	07/19/93
CCI CODED COMMUNICATIONS INC /BC	33-59046	07/19/93
CCI CODED COMMUNICATIONS INC /BC	33-59046	07/19/93
CCI CODED COMMUNICATIONS INC /BC	33-59046	07/19/93
CCI CODED COMMUNICATIONS INC /BC	33-59046	07/19/93
CHICAGO & NORTH WESTERN HOLDINGS CORP	33-64634	07/21/93
CHICAGO & NORTH WESTERN HOLDINGS CORP	33-64634	07/21/93
CNI CORP	33-66274	07/20/93
COLONIAL DATA TECHNOLOGIES CORP	33-64988	07/19/93
COLUMBIA HOSPITAL CORP	33-49773	07/20/93
COLUMBIA HOSPITAL CORP	33-49773	07/20/93
COLUMBIA LABORATORIES INC	33-61098	07/23/93
COLUMBIA LABORATORIES INC	33-61098	07/23/93
COLUMBIA LABORATORIES INC	33-61100	07/23/93
COLUMBIA LABORATORIES INC	33-61100	07/23/93
COMTECH TELECOMMUNICATIONS CORP /DE/	33-66278	07/20/93
COR THERAPEUTICS INC / DE	33-66296	07/22/93
COUNTRYWIDE MORTGAGE INVESTMENTS INC /DE	33-63034	07/22/93
COUNTRYWIDE MORTGAGE INVESTMENTS INC /DE	33-63034	07/22/93
COVENTRY CORP	33-56642	07/19/93
COVENTRY CORP	33-56642	07/19/93
COVENTRY CORP	33-56642	07/19/93



## REGISTRATIONS EFFECTIVE:

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DISPOSITION DATE</u>
CYBERNETICS PRODUCTS INC	33-66292	07/21/93
DATA DESIGN LABORATORIES INC	33-63618	07/20/93
DATA DESIGN LABORATORIES INC	33-63618	07/20/93
DATA DESIGN LABORATORIES INC	33-63618	07/20/93
DATAWARE TECHNOLOGIES INC	33-63308	07/19/93
DIASENSE INC /PA/	33-56574	07/19/93
DIASENSE INC /PA/	33-56574	07/19/93
DIASENSE INC /PA/	33-56574	07/19/93
DONNELLEY R R & SONS CO	33-49809	07/22/93
DRECO ENERGY SERVICES LTD	33-66372	07/22/93
DRECO ENERGY SERVICES LTD	33-66374	07/22/93
DRECO ENERGY SERVICES LTD	33-66376	07/22/93
DRECO ENERGY SERVICES LTD	33-66378	07/22/93
DRECO ENERGY SERVICES LTD	33-66380	07/22/93
DRECO ENERGY SERVICES LTD	33-66382	07/22/93
EQUINOX SYSTEMS INC	33-66280	07/20/93
FCC NATIONAL BANK	33-40135	07/21/93
FCC NATIONAL BANK	33-40135	07/21/93
FIFTH THIRD BANCORP	33-63966	07/19/93
FIGGIE INTERNATIONAL INC /DE/	33-66208	07/19/93
FLEET CALL INC/DE	33-66388	07/22/93
FLEET CALL INC/DE	33-66390	07/22/93
FUNCO INC	33-66218	07/20/93
FUND AMERICA INVESTORS CORP	33-60662	07/23/93
FUND AMERICA INVESTORS CORP	33-60662	07/23/93
GEORGIA PACIFIC CORP	33-65208	07/23/93
GRANCARE INC	33-65092	07/22/93
GRANCARE INC	33-65092	07/22/93
GUARANTY NATIONAL CORP	33-66312	07/22/93
HAUSER CHEMICAL RESEARCH INC	33-66318	07/22/93
HONEYWELL INC	33-49819	07/23/93
INTELLICALL INC	33-66230	07/19/93
INTERCO INC	33-64912	07/20/93
INTERCO INC	33-64912	07/20/93
INTERFERON SCIENCES INC	33-63504	07/21/93
JAPAN EQUITY FUND INC	33-35932	07/17/93
LIGAND PHARMACEUTICALS INC	33-66186	07/19/93
LOMAK PETROLEUM INC	33-66322	07/22/93
MCDONALDS CORP	33-49817	07/23/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MDL INFORMATION SYSTEMS INC	33-55834	07/21/93
MEDIQ INC	33-61724	07/22/93
MEDIQ INC	33-61724	07/22/93
MEDIQ INC	33-61724	07/22/93
MESA INC	33-53706	07/19/93
MESA INC	33-53706	07/19/93
MESA INC	33-53706	07/19/93
MESA INC	33-53706	07/19/93
METROPOLITAN BANCORP	33-66370	07/22/93
MID ATLANTIC REALTY TRUST	33-66226	07/19/93
MODINE MANUFACTURING CO	33-66436	07/22/93
MODINE MANUFACTURING CO	33-66438	07/22/93
MODINE MANUFACTURING CO	33-66440	07/22/93
MODINE MANUFACTURING CO	33-66442	07/22/93
MONEY STORE INC /NJ	33-66332	07/21/93
MRS TECHNOLOGY INC	33-64220	07/22/93

## ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column - 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALLEN GROUP INC	COM		1,066	01763410	
GAMCO INVESTORS INC ET AL	13D	7/27/93	8.6	9.6	UPDATE
ARTEL COMMUNICATIONS CORP	COM		1,198	04300910	
RUBENSTEIN BARRY ET AL	13D	7/ 6/93	12.2	10.2	UPDATE
CALLOMAYS NURSERY INC	COM		380	13125510	
HAMBRO J O & PARTNERS ET AL	13D	6/20/93	8.0	0.0	NEW
CALLOMAYS NURSERY INC	COM		380	13125510	
HAMBRO J O & PARTNERS ET AL	13D	6/20/93	8.0	0.0	RVISION
COM TEK RES INC	COM		7,454	19978010	
FNI GROUP LTD	13D	4/30/93	9.9	0.0	NEW
COM TEK RES INC	COM		7,454	19978010	
FNI GROUP LTD	13D	4/30/93	9.9	0.0	RVISION
COM TEK RES INC	COM		4,000	19978010	
GLOBETIK HOLDINGS LTD	13D	4/30/93	5.7	0.0	NEW
COM TEK RES INC	COM		4,000	19978010	
GLOBETIK HOLDINGS LTD	13D	4/30/93	5.7	0.0	RVISION
FLEET CALL INC	CL A		36,496	33889810	
COMCAST CORP	13D	7/22/93	58.0	47.1	UPDATE
FLEET CALL INC	CL A		36,496	33889810	
COMCAST CORP	13D	7/22/93	58.0	47.1	RVISION

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
HDL COMMUNICATIONS DAY ROWLAND W II	COM	13D 4/ 1/93	17,203 42.0	40414610 0.0	NEW
HDL COMMUNICATIONS DAY ROWLAND W II	COM	13D 4/ 1/93	17,203 42.0	40414610 0.0	RVSION
HDL COMMUNICATIONS HORLICK ROBERT C	COM	13D 4/ 1/93	66,365 16.0	40414610 0.0	NEW
HDL COMMUNICATIONS HORLICK ROBERT C	COM	13D 4/ 1/93	66,365 16.0	40414610 0.0	RVSION
HERITAGE MEDIA CORP GOLDMAN SACHS & CO	CL A NEW	13D 7/23/93	1,800 15.8	42724120 14.5	UPDATE
INRAD INC WICKLIN WILLIAM FRED	COM	13D 7/ 8/93	190 13.0	45765610 7.5	UPDATE
INRAD INC WICKLIN WILLIAM FRED	COM	13D 7/ 8/93	190 13.0	45765610 7.5	RVSION
INTERFACE INC FIRST CAPITAL CO/CHICAGO ET AL	CL A	13D 6/22/93	1,133 6.6	45866510 0.0	NEW
INTERFACE INC FIRST CAPITAL CO/CHICAGO ET AL	CL A	13D 6/22/93	1,133 6.6	45866510 0.0	RVSION
INTERFACE INC FISHER INVESTMENTS INC	CL A	13D 6/30/93	910 5.3	45866510 5.3	UPDATE
LA PETITE ACADEMY INC BROZMAN JACK L	COM	13D 7/23/93	0 0.0	50375310 14.3	UPDATE
LA PETITE ACADEMY INC BROZMAN JACK L	COM	13D 7/23/93	0 0.0	50375310 14.3	RVSION
LA PETITE ACADEMY INC BROZMAN ROBERT F ESTATE OF	COM	13D 7/23/93	0 0.0	50375310 11.8	UPDATE
LA PETITE ACADEMY INC BROZMAN ROBERT F ESTATE OF	COM	13D 7/23/93	0 0.0	50375310 11.8	RVSION
LAWTER INTL INC TERRA DANIEL J	COM	13D 6/30/93	8,957 20.0	52078610 21.2	UPDATE
LAWTER INTL INC TERRA DANIEL J	COM	13D 6/30/93	8,957 20.0	52078610 21.2	RVSION
M A COM INC FISHER INVESTMENTS INC	COM	13D 7/20/93	1,191 4.9	55261810 5.0	UPDATE
MENTOR CORP MINN FIDELITY INTL LTD	COM	13D 7/19/93	972 9.1	58718810 7.9	UPDATE

## ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
MENTOR CORP MINN FMR CORP	COM 13D	7/19/93	972 9.1	58718810 7.9	UPDATE
MERCURY FIN CO TERRA DANIEL J	COM 13D	6/29/93	20,263 17.6	58939510 19.4	UPDATE
MERCURY FIN CO TERRA DANIEL J	COM 13D	6/29/93	20,263 17.6	58939510 19.4	RVISION
NATIONAL GYPSUM CO GOLDMAN SACHS & CO ET AL	COM 13D	7/19/93	4,070 20.3	63631710 0.0	NEW
PROGRESS FINL CORP 1993 SOP PARTNERS LP	COM 13D	5/24/93	200 6.7	74326610 0.0	NEW
RODMAN & RENSHAW CAP GROUP GELLER MARSHALL S	COM 13D	7/28/93	436 10.0	77487710 5.2	UPDATE
SAGE ALERTING SYS INC PEQUOT GEN PARTNERS ET AL	COM 13D	7/16/93	764 24.5	78799810 5.6	UPDATE
SUBURBFED FINL CORP WHALEN WAYNE W	COM 13D	7/28/93	62 7.0	86499310 5.5	UPDATE
TNT INC PH II HOLDINGS INC	COM NEW 13D	7/ 3/93	1,809 39.8	87244520 34.3	UPDATE