

sec news digest

Issue 93-115

LIBRARY

June 17, 1993

JUN 18 1993

U.S. SECURITIES

EXCHANGE COMMISSION

COMMISSION ANNOUNCEMENTS

WILLIAM MCLUCAS TO TESTIFY

William McLucas, Director of the Commission's Division of Enforcement, will testify today before the Subcommittee on Securities of the Committee on Banking, Housing, and Urban Affairs at 9:30 a.m. at the Dirksen Senate Office Building in Room 538. The subject matter is concerning private litigation under the federal securities laws.

ADMINISTRATIVE PROCEEDINGS

AMERICAN STOCK EXCHANGE ACTION AGAINST ALLAN MACE LEAVITT SET ASIDE

The Commission has set aside disciplinary action taken by the American Stock Exchange, Inc. against Allan Mace Leavitt of Lynn, Massachusetts. The Exchange found that Leavitt, a former salesman for Prudential-Bache Securities, Inc., engaged in a variety of improper sales practices. It imposed sanctions, including a five-year suspension. The Commission held that the Exchange should have dismissed the case on jurisdictional grounds.

By the time of the proceeding, Leavitt was no longer associated with any Exchange member firm. Under Exchange rules, the Exchange may retain disciplinary jurisdiction over former associates by sending a letter to that effect within one year following the employer's official notification to the Exchange that the individual's employment has ended. The Exchange's letter to Leavitt was over four months late. Citing the rule's plain language, the Commission rejected the Exchange's position that the one year period began only when the Exchange learned of a complaint by one of Leavitt's former customers. (Rel. 34-32441)

CIVIL PROCEEDINGS

FORMER POWER SECURITIES MANAGERS BEHRINGER AND JOHNSON ENJOINED

The Commission announced that on May 26, 1993 the U.S. District Court for the District of Colorado entered Final Judgments against defendants Mark Behringer and Rex Johnson. The Court permanently enjoined defendants Behringer and Johnson, both of whom were branch managers for the now defunct penny stock brokerage firm, Power Securities, from

violating Section 17(a) of the Securities Act of 1933, and Sections 10(b) and 15(c) of the Securities Exchange Act of 1934 and Rules 10b-5 and 15c1-2 thereunder. The Court also ordered Messrs. Behringer and Johnson to disgorge all income they received from Power Securities in 1988, but disgorgement was waived based upon their inability to pay.

Power Securities was a Denver-based penny stock brokerage firm that ceased operations in early 1989. From late 1987 through the end of 1988, Behringer was the manager of Power Securities' Las Vegas, Nevada branch office, and Johnson was the manager of Power Securities' Fort Collins, Colorado branch office. The Commission alleges in its complaint that defendants Behringer and Johnson, as well as other defendants, participated in fraudulent sales practices on behalf of Power Securities. The case is scheduled for trial against the remaining defendants later this year. [SEC v. Power Securities Corporation, et al., C.A. No. 90-S-1579, USDC, D. Colo., Honorable Daniel Sparr] (LR-13674)

PERMANENT INJUNCTION ENTERED AGAINST STEVEN NYGAARD

The Commission announced that on May 20, 1993 the Honorable Harvey E. Schlesinger, U.S. District Judge for the Middle District of Florida, entered a Final Judgment of Permanent Injunction and Other Relief against Steven Nygaard (Nygaard) of Virginia Beach, Virginia. Nygaard consented to the entry of a Permanent Injunction without admitting or denying the allegations of the Commission's complaint. The Final Judgment permanently enjoins Nygaard from violating the registration and antifraud provisions of the federal securities laws and orders Nygaard to disgorge \$8,807, plus prejudgment interest, but waives the payment of disgorgement and prejudgment interest based on Nygaard's inability to pay.

The Commission alleged in its complaint filed on June 3 that Nygaard, among others, fraudulently offered and sold unregistered securities in the form of interests in public pay telephone sale-leaseback contracts. On June 3, the Court issued an order that temporarily restrained, among others, Nygaard from further violations of the registration and antifraud provisions of the federal securities laws. On June 22, the Court entered a Preliminary Injunction against Nygaard, by consent. [SEC v. Bassam Haje, et al., Civil Action No. 92-510-CIV-J20] (LR-13673)

PROCEEDINGS AGAINST RON WHITTEN, ET AL.

The Commission announced that on June 7 Judge Stephen M. McNamee of the U.S. District Court for the District of Arizona issued a default judgement against Ron Whitten and Ron Whitten & Associates Inc. enjoining them from violating Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The Judgment also orders them to disgorge \$120,000 raised illegally plus prejudgment interest thereon of \$11,960.05 and ordering Whitten to pay a civil money penalty of \$120,000. According to the complaint, which was filed on December 16, 1992, from August 1991 through January 1992 the defendants sold \$120,000 worth of securities in Ron Whitten & Associates Inc. to several investors. In soliciting the investments, the Commission alleged, among other things, that the defendants misrepresented the safety and profitability of investing in the company, the status of joint ventures sponsored by the company and the engagement by the company of prominent accounting and laws firms. In addition, the funds raised were to be used for the personal expenses of Ron Whitten and others. [SEC v. Ron Whitten, et al., Civil Action No. CIV-92-2333-Phx-SMM, D. Arizona] (LR-13675)

ARTRA GROUP, INC. AND LORI CORPORATION CONSENT TO INJUNCTIONS

The Commission announced that on June 17 it filed a complaint in the U.S. District Court for the District of Columbia against Artra Group, Inc. (Artra) and its majority-owned subsidiary Lori Corporation (Lori), both of Northfield, Illinois. Simultaneous with the filing of the Commissions' complaint, Artra and Lori each filed a Consent to the entry of a Final Judgment of Permanent Injunction (Judgment). The Judgments would order each company to file its delinquent annual and quarterly reports and would enjoin each of them from failing to file timely periodic reports and from violating Section 13(a) of the Securities Exchange Act of 1934 and Rules 12b-25, 13a-1 and 13a-13 thereunder in the future. Artra and Lori, in their Consents, each admitted that they failed to file their most recent annual and quarterly reports on forms 10-K and 10-Q, filed late five periodic reports and failed to file one notification of late filing. Artra's common stock is listed and traded on the New York Stock Exchange, Inc. and Lori's is listed and traded on the American Stock Exchange, Inc. [SEC v. Artra Group, Inc. and Lori Corporation, Civil Action No. 93-1231, SSH, D.D.C., June 17] (LR-13676)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

UNLISTED TRADING PRIVILEGES SOUGHT

Notices have been issued giving interested persons until July 8 to comment on the applications of two exchanges for unlisted trading privileges in issues which are listed and registered on one or more other national securities exchange and are reported in the consolidated transaction reporting system. The exchanges and number of issues are as follows: Midwest Stock Exchange - 6 issues (Rel. 34-32474); and Philadelphia Stock Exchange - 7 issues (Rel. 34-32475).

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change (SR-CBOE-93-14) relating to fees for use of Exchange installed telephones. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32463)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change (SR-NASD-92-54) filed by the National Association of Securities Dealers to modify the operational rules, primarily those applicable to the market maker participants, governing the Nasdaq International Service. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32471)

PROPOSED RULE CHANGES

The American Stock Exchange filed a proposed rule change (SR-AMEX-93-18) relating to the position and exercise limits on its S&P MidCap Index (MID) options. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32472)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-93-26) to amend Article V, Section 1 of the NASD Rules of Fair Practice to provide callers to the NASD's toll-free number with information concerning NASD members and their associated persons. The NASD proposes to disclose through its toll-free number criminal indictments and informations, pending disciplinary actions, and final arbitration decisions involving public customers, in addition to that which is currently disclosed. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-32473)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-6 EQUITY INC FD SELECT TEN PORT 1993 AUTUMN SER DEF ASSET FDS, 450 LEXINGTON AVENUE, C/O DAVIS POLK & WARDWELL, NEW YORK, NY 10017 - INDEFINITE SHARES. (FILE 33-49663 - JUN. 10) (NEW ISSUE)
- S-8 IMPERIAL CHEMICAL INDUSTRIES PLC /ADR/, IMPERIAL CHEMICAL HOUSE, MILLBANK, LONDON SW1P 3JF ENGLAND, XO (212) 530-5630 - 200,000 (\$14,364,000) FOREIGN COMMON STOCK. (FILE 33-60372 - MAR. 31) (BR. 1)
- SB-2 BIO RESOURCES INC, 800 QUINTANA ROAD STE 2E, MORRO BAY, CA 93442 (805) 772-3288 - 10,100 (\$50,050) COMMON STOCK. (FILE 33-62708-LA - MAY. 13) (BR. 14 - NEW ISSUE)
- S-6 FIRST TRUST SPECIAL SITUATIONS TRUST SERIES 72, 1001 WARRENVILLE RD, C/O NIKE SECURITIES LP, LISLE, IL 60532 - INDEFINITE SHARES. UNDERWRITER: STIFEL NICOLAUS & CO INCDEPOSITOR: NIKE SECURITIES LP. (FILE 33-63668 - JUN. 02) (BR. 18 - NEW ISSUE)
- SB-2 AAK LTD, 1454 SOUTHWEST 11TH TERRACE, C/O JOHN KNIGHT, POMPANO BEACH, FL 33069 (305) 946-0753 - 6,000,000 (\$12,000,000) COMMON STOCK. (FILE 33-63782-A - JUN. 03) (BR. 14)
- S-3 NORTHERN INDIANA PUBLIC SERVICE CO, 5265 HOHMAN AVE, HAMMOND, IN 46320 (219) 853-5200 - 349,750,000 (\$349,750,000) STRAIGHT BONDS. (FILE 33-63870 - JUN. 04) (BR. 8)

REGISTRATIONS CONTINUED

- S-4 GBC FUNDING CORP, TWO GALLERIA TOWER STE 2200, 13455 NOEL RD, DALLAS, TX 75240 (609) 441-4517 - 21,000,000 (\$21,000,000) MORTGAGE BONDS. (FILE 33-63880 - JUN. 04) (BR. 11)
- S-8 ANIKA RESEARCH INC, 160 NEW BOSTON ST, WOBURN, MA 01801 (617) 938-9328 - 1,000,000 (\$3,250,000) COMMON STOCK. (FILE 33-63882 - JUN. 04) (BR. 4)
- S-8 CARTER HAMLEY HALE STORES INC /DE/, 3880 N MISSION RD, LOS ANGELES, CA 90031 (213) 227-2000 - 2,000,000 (\$32,380,000) COMMON STOCK. (FILE 33-63894 - JUN. 04) (BR. 1)
- SB-2 EMBREX INC/NC, 1035 SWABIA COURT, MORRISVILLE, NC 27560 (919) 941-5185 - 2,666,667 (\$23,661,169) COMMON STOCK. UNDERWRITER: FOLEY MUFSON HOME & CO, JOSEPH L YON & ROSS INC. (FILE 33-63896 - JUN. 04) (BR. 3)
- S-1 TIPPERARY CORP, 633 17TH ST STE 1550, DENVER, CO 80202 (303) 293-9379 - 3,162,500 (\$17,393,750) COMMON STOCK. 100,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 100,000 (\$660,000) COMMON STOCK. (FILE 33-63898 - JUN. 04) (BR. 3)
- S-8 IMAGEAMERICA INC, 109 WESTPARK DR STE 420, BRENTWOOD, TN 37027 (615) 373-5400 - 1,799,067 (\$9,103,279) COMMON STOCK. (FILE 33-63948 - JUN. 07) (BR. 5)
- S-3 QUICK & REILLY GROUP INC /DE/, 230 SOUTH COUNTY RD, PALM BEACH, FL 33480 (407) 655-8000 - 328,162 (\$8,532,212) COMMON STOCK. (FILE 33-63950 - JUN. 07) (BR. 12)
- S-4 FIFTH THIRD BANCORP, 38 FOUNTAIN SQ PLZ, CINCINNATI, OH 45263 (513) 579-5300 - 161,994 (\$6,500,009) COMMON STOCK. (FILE 33-63966 - JUN. 07) (BR. 1)
- S-1 CARDIAC SCIENCE INC, 9975 TOLEDO, IRVINE, CA 92718 (714) 587-0357 - 15,802,684 (\$13,875,009) COMMON STOCK. (FILE 33-63968 - JUN. 07) (BR. 8)
- S-2 ICC TECHNOLOGIES INC, 441 N FIFTH ST STE 102, PHILADELPHIA, PA 19123 (215) 625-0700 - 1,060,000 (\$3,511,251) COMMON STOCK. (FILE 33-63970 - JUN. 07) (BR. 9)
- S-8 SEQUENT COMPUTER SYSTEMS INC /OR/, 15450 SW KOLL PKWY, BEAVERTON, OR 97006 (503) 626-5700 - 1,350,000 (\$22,411,146) COMMON STOCK. (FILE 33-63974 - JUN. 07) (BR. 10)
- SB-2 DELTA OMEGA TECHNOLOGIES INC, 218 RUE BEAUREGARD STE A, LAFAYETTE, LA 70508 (318) 237-5091 - 325,000 (\$650,000) COMMON STOCK. (FILE 33-63980 - JUN. 08) (BR. 11)
- S-8 KCS ENERGY INC, 379 THORNALL ST, EDISON, NJ 08837 (908) 632-1770 - 175,000 (\$7,054,775) COMMON STOCK. (FILE 33-63982 - JUN. 08) (BR. 3)
- S-8 CARDIAC SCIENCE INC, 9975 TOLEDO, IRVINE, CA 92718 (714) 587-0357 - 700,000 (\$1,356,250) COMMON STOCK. (FILE 33-63986 - JUN. 08) (BR. 8)
- F-6 FUTUREMEDIA PUBLIC LIMITED CO, MEDIA HOUSE ARUNDEL RD, WALBERTON ARUNDEL, WEST SUSSEX, X0 - 25,000,000 (\$1,250,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-63988 - JUN. 08) (BR. 10)
- S-3 TEXACO CAPITAL INC, 32 LOCKERMAN SQ STE L-100, DOVER, DE 19901 (302) 674-1221 - 1,000,000,000 (\$1,000,000,000) STRAIGHT BONDS. (FILE 33-63996 - JUN. 07) (BR. 4)
- N-1A NAVELLIER SERIES FUND, 920 INCLINE WAY, BLDG 1, INCLINE VILLAGE, NV 89450 (702) 831-7800 - INDEFINITE SHARES. (FILE 33-64010 - JUN. 09) (BR. 16 - NEW ISSUE)

REGISTRATIONS CONTINUED

- S-4 INDEPENDENT ENTERTAINMENT GROUP INC, 15303 VENTURA BLVD STE 1000, SHERMAN OAKS, CA 91403 (818) 501-4633 - 2,702,400 COMMON STOCK. (FILE 33-64024 - JUN. 07) (BR. 7)
- SB-2 NETWORK IMAGING CORP, 500 HUNTMAR DRIVE, HERNDON, VA 22070 (703) 478-2260 - 1,044,768 (\$6,442,623) COMMON STOCK. (FILE 33-64046 - JUN. 08) (BR. 10)
- N-2 SCUDDER NEW ASIA FUND INC, 345 PARK AVE, NEW YORK, NY 10154 (212) 326-6200 - 1,725,000 (\$35,362,500) COMMON STOCK. (FILE 33-64048 - JUN. 08) (BR. 18)
- SB-2 LASERTECHNICS INC, 5500 WILSHIRE AVE NE, ALBUQUERQUE, NM 87113 (505) 822-1123 - 996,637 (\$1,275,695.36) COMMON STOCK. (FILE 33-64050 - JUN. 08) (BR. 3)
- S-3 CBI INDUSTRIES INC /DE/, 800 JORIE BLVD, OAK BROOK, IL 60522 (708) 572-7000 - 75,000,000 (\$75,000,000) STRAIGHT BONDS. UNDERWRITER: MERRILL LYNCH & CO. (FILE 33-64052 - JUN. 08) (BR. 9)
- S-8 AIRGAS INC, 100 MATSONFORD RD STE 550, RADNOR, PA 19087 (215) 687-5253 - 1,500,000 (\$45,187,500) COMMON STOCK. (FILE 33-64056 - JUN. 09) (BR. 10)
- S-8 AIRGAS INC, 100 MATSONFORD RD STE 550, RADNOR, PA 19087 (215) 687-5253 - 1,000,000 (\$30,125,000) COMMON STOCK. (FILE 33-64058 - JUN. 09) (BR. 10)
- S-3 STERLING FINANCIAL CORP /WA/, N 120 WALL ST, SPOKANE, WA 99201 (509) 458-2884 - 150,000 (\$1,931,250) COMMON STOCK. (FILE 33-64064 - JUN. 08) (BR. 1)
- S-8 BIOCIRCUITS CORP, 1324 CHESAPEAKE TERRACE, SUNNYVALE, CA 94089 (415) 343-1961 - 300,000 (\$1,650,000) COMMON STOCK. (FILE 33-64066 - JUN. 08) (BR. 8)
- S-8 CCP INSURANCE INC, 11825 N PENNSYLVANIA ST, CARMEL, IN 46032 (317) 573-6900 - 25,000 (\$589,062) COMMON STOCK. (FILE 33-64068 - JUN. 09) (BR. 9)
- S-8 THERMEDICS INC, 470 WILDWOOD ST, P O BOX 2999, WOBURN, MA 01888 (617) 938-3786 - 28,250 (\$420,360) COMMON STOCK. (FILE 33-64070 - JUN. 09) (BR. 8)
- S-8 INTERNATIONAL STANDARDS GROUP LIMITED, 3200 N MILITARY TRAIL STE 210, BOCA RATON, FL 33431 (407) 997-5880 - 104,000 (\$442,000) COMMON STOCK. (FILE 33-64072 - JUN. 09) (BR. 9)
- S-8 CCP INSURANCE INC, 11825 N PENNSYLVANIA ST, CARMEL, IN 46032 (317) 573-6900 - 25,000 (\$589,063) COMMON STOCK. (FILE 33-64074 - JUN. 09) (BR. 9)
- S-8 MICHAEL FOODS INC, 324 PARK NATL BANK BLDG, 5353 WAYZATA BLVD, MINNEAPOLIS, MN 55416 (612) 546-1500 - 150,000 (\$1,275,000) COMMON STOCK. (FILE 33-64076 - JUN. 09) (BR. 4)
- S-8 MICHAEL FOODS INC, 324 PARK NATL BANK BLDG, 5353 WAYZATA BLVD, MINNEAPOLIS, MN 55416 (612) 546-1500 - 525,200 (\$4,464,200) COMMON STOCK. (FILE 33-64078 - JUN. 09) (BR. 4)
- S-8 FILENET CORP, 3565 HARBOR BLVD, COSTA MESA, CA 92626 (714) 966-3400 - 50,000 (\$655,500) COMMON STOCK. (FILE 33-64080 - JUN. 09) (BR. 9)
- S-8 THIOKOL CORP /DE/, 2475 WASHINGTON BLVD, OGDEN, UT 84401 (801) 629-2052 - 1,000,000 (\$20,181,000) COMMON STOCK. (FILE 33-64082 - JUN. 07) (BR. 12)
- S-8 TRAVIS INDUSTRIES INC, 1130 SORRENTO VALLEY ROAD, SUITE 255, SAN DIEGO, CA 92121 (402) 331-2630 - 60,999,993 (\$1,067,499) COMMON STOCK. (FILE 33-64084 - JUN. 09) (BR. 6)

REGISTRATIONS CONTINUED

- S-8 AIRGAS INC, 100 MATSONFORD RD STE 550, RADNOR, PA 19087 (215) 687-5253 - 200,000 (\$6,025,000) COMMON STOCK. (FILE 33-64112 - JUN. 09) (BR. 10)
- S-8 AIRGAS INC, 100 MATSONFORD RD STE 550, RADNOR, PA 19087 (215) 687-5253 - 500,000 (\$15,062,500) COMMON STOCK. (FILE 33-64114 - JUN. 09) (BR. 10)
- S-3 GENETICS INSTITUTE INC, 87 CAMBRIDGE PK DR, CAMBRIDGE, MA 02140 (617) 876-1170 - 981,690 (\$31,045,946) COMMON STOCK. (FILE 33-64116 - JUN. 09) (BR. 4)
- S-8 COMMAND CREDIT CORP, 189 SUNRISE HWY, ROCKVILLE CENTRE, NY 11570 (516) 764-1117 - 3,500,000 (\$1,645,000) COMMON STOCK. (FILE 33-64118 - JUN. 09) (BR. 6)
- S-1 OLD YORK ROAD BANCORP INC, YORK AND EASTON ROADS, WILLOW GROVE, PA 19090 (215) 659-3400 - \$7,000,000 COMMON STOCK. (FILE 33-64120 - JUN. 04) (BR. 1)
- S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST, TEWKSBURY, MA 01876 (508) 640-6789 - 1,900,000 (\$41,578,359) COMMON STOCK. (FILE 33-64124 - JUN. 09) (BR. 7)
- S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST, TEWKSBURY, MA 01876 (508) 640-6789 - 800,000 (\$17,500,000) COMMON STOCK. (FILE 33-64126 - JUN. 09) (BR. 7)
- S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST, TEWKSBURY, MA 01876 (508) 640-6789 - 120,000 (\$2,625,000) COMMON STOCK. (FILE 33-64128 - JUN. 09) (BR. 7)
- S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST, TEWKSBURY, MA 01876 (508) 640-6789 - 300,000 (\$6,562,500) COMMON STOCK. (FILE 33-64130 - JUN. 08) (BR. 7)
- S-1 INTERNATIONAL TOURIST ENTERTAINMENT CORP, 470 W LAWNDALE DR BLDG D, SALT LAKE CITY, UT 84115 (801) 484-4300 - 3,500,000 (\$3,500,000) CONVERTIBLE DEBENTURES AND NOTES. 53,846 WARRANTS, OPTIONS OR RIGHTS. 53,846 (\$437,767) COMMON STOCK. UNDERWRITER: GENE MORGAN FINANCIAL. (FILE 33-64132 - JUN. 07) (BR. 12)
- S-4 INTERNATIONAL LEISURE ENTERPRISES INC /AZ/, 2777 E CAMELBACK, PHOENIX, AZ 85014 (602) 285-0980 - 514,000 (\$2,947,662) COMMON STOCK. 308,000 PREFERRED STOCK. (FILE 33-64134 - JUN. 09) (BR. 6)
- S-3 PACIFIC GAS & ELECTRIC CO, 77 BEALE ST, P O BOX 770000 MAIL CODE B7C, SAN FRANCISCO, CA 94177 (415) 973-2234 - 2,000,000,000 (\$2,000,000,000) MORTGAGE BONDS. (FILE 33-64136 - JUN. 09) (BR. 8)
- N-2 NUVEEN INSURED FLORIDA PREMIUM INCOME MUNICIPAL FUND 2, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 820 (\$41,000,000) PREFERRED STOCK. (FILE 33-64146 - JUN. 09) (BR. 18)
- N-2 NUVEEN WASHINGTON PREMIUM INCOME MUNICIPAL FUND, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 340 (\$17,000,000) PREFERRED STOCK. (FILE 33-64148 - JUN. 09) (BR. 18)
- N-2 NUVEEN INSURED NEW YORK PREMIUM INCOME MUNICIPAL FUND 2, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 640 (\$32,000,000) PREFERRED STOCK. (FILE 33-64150 - JUN. 09) (BR. 18)

REGISTRATIONS CONTINUED

- N-2 NUVEEN MASSACHUSETTS PREMIUM INCOME MUNICIPAL FUND, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 680 (\$34,000,000) PREFERRED STOCK. (FILE 33-64152 - JUN. 09) (BR. 18)
- N-2 NUVEEN VIRGINIA PREMIUM INCOME MUNICIPAL FUND, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 860 (\$43,000,000) PREFERRED STOCK. (FILE 33-64154 - JUN. 09) (BR. 18)
- N-2 NUVEEN MARYLAND PREMIUM INCOME MUNICIPAL FUND, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 880 (\$44,000,000) PREFERRED STOCK. UNDERWRITER: NUVEEN JOHN & CO. (FILE 33-64156 - JUN. 09) (BR. 18)
- N-2 NUVEEN MICHIGAN PREMIUM INCOME MUNICIPAL FUND 2, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 420 (\$21,000,000) PREFERRED STOCK. UNDERWRITER: NUVEEN JOHN & CO. (FILE 33-64158 - JUN. 09) (BR. 18)
- N-2 NUVEEN NEW JERSEY PREMIUM INCOME MUNICIPAL FUND 2, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 720 (\$36,000,000) PREFERRED STOCK. (FILE 33-64160 - JUN. 09) (BR. 18)
- N-2 NUVEEN PENNSYLVANIA PREMIUM INCOME MUNICIPAL FUND 2, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 1,040 (\$52,000,000) PREFERRED STOCK. UNDERWRITER: NUVEEN JOHN & CO. (FILE 33-64162 - JUN. 09) (BR. 18)
- N-2 NUVEEN INSURED CALIFORNIA PREMIUM INCOME MUNICIPAL FUND 2 IN, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 950 (\$47,500,000) PREFERRED STOCK. (FILE 33-64166 - JUN. 09) (BR. 18)
- N-2 NUVEEN INSURED CALIFORNIA PREMIUM INCOME MUNICIPAL FUND 2 IN, 333 WEST WACKER DR, CHICAGO, IL 60606 (312) 917-7810 - 950 (\$47,500,000) PREFERRED STOCK. UNDERWRITER: NUVEEN JOHN & CO. (FILE 33-64168 - JUN. 09) (BR. 18)

ACQUISITION OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

ACQUISITIONS

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
APPLIED INNOVATION INC NIELSEN ROGER P	COM 13D	6/ 6/93	192 5.4	03791610 0.0	NEW
APPLIED INNOVATION INC NIELSEN ROGER P	COM 13D	6/ 6/93	192 5.4	03791610 0.0	RVSION
CADIZ LD INC FIDELITY INTL LTD	COM 13D	12/18/92	580 7.9	12754910 0.0	NEW
CADIZ LD INC FIDELITY INTL LTD	COM 13D	12/18/92	580 7.9	12754910 0.0	RVSION
CADIZ LD INC FMR CORP	COM 13D	12/21/92	580 7.9	12754910 0.0	NEW
CADIZ LD INC FMR CORP	COM 13D	12/21/92	580 7.9	12754910 0.0	RVSION
CANADIAN PAC LTD CAISSE DE DEPOT DU QUEBEC	ORD PAR \$5 13D	5/28/93	24,764 7.8	13644030 8.1	UPDATE
CANADIAN PAC LTD CAISSE DE DEPOT DU QUEBEC	ORD PAR \$5 13D	5/28/93	24,764 7.8	13644030 8.1	RVSION
COCA COLA BOTTLING CO CONS COCA COLA CO	COM 13D	6/15/93	2,488 27.1	19109810 26.7	UPDATE
COCA COLA BOTTLING CO CONS COCA COLA CO	COM 13D	6/15/93	2,488 27.1	19109810 26.7	RVSION
COMMERCIAL FEDERAL CORP CAI CORP	COM 13D	6/16/93	1,250 9.9	20164710 7.9	UPDATE
COMMERCIAL FEDERAL CORP CAI CORP	COM 13D	6/16/93	1,250 9.9	20164710 7.9	RVSION
COMMUNICATION INTELLIGENCE PATTERSON JAMES O ET AL	COM 13D	6/14/93	817 4.7	20399510 5.3	UPDATE
COMMUNICATION INTELLIGENCE PATTERSON JAMES O ET AL	COM 13D	6/14/93	817 4.7	20399510 5.3	RVSION
COMPUTONE CORP BRISCO INVMT LTD	COM NEW 13D	3/31/93	13,711 43.0	20599410 3.9	UPDATE
COMPUTONE CORP BRISCO INVMT LTD	COM NEW 13D	3/31/93	13,711 43.0	20599410 3.9	RVSION
EAC INDS INC FRITZSCHE PETER B	COM 13D	3/25/93	470 20.8	26822610 17.4	UPDATE

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
EAC INDS INC FRITZSCHE PETER B	COM 13D	3/25/93	470 20.8	26822610 17.4	RVISION
GRIFFITH CONSUMERS CO MD MCMANMON THOMAS A	COM 13D	6/ 3/92	126 5.3	39830010 5.1	UPDATE
GRIFFITH CONSUMERS CO MD MCMANMON THOMAS A	COM 13D	6/ 3/92	126 5.3	39830010 5.1	RVISION
HARVEY GROUP INC SCHOENHEIMER PIERRE L	COM 13D	2/10/93	155 4.9	41766810 0.0	NEW
HARVEY GROUP INC SCHOENHEIMER PIERRE L	COM 13D	2/10/93	155 4.9	41766810 0.0	RVISION
HOLNAM INC HOLDERNAM INC ET AL	COM 13D	5/18/93	13,659 10.1	43642910 95.2	UPDATE
HOLNAM INC HOLDERNAM INC ET AL	COM 13D	5/18/93	13,659 10.1	43642910 95.2	RVISION
INTERNATIONAL MULTIFOODS COR ARCHER DANIELS MIDLAND	COM 13D	6/ 4/93	1,622 8.4	46004310 9.4	UPDATE
INTERNATIONAL MULTIFOODS COR ARCHER DANIELS MIDLAND	COM 13D	6/ 4/93	1,622 8.4	46004310 9.4	RVISION
JACLYN INC CHESTNOV ROBERT	COM 13D	6/15/93	1,362 50.9	46977210 52.4	UPDATE
JACLYN INC CHESTNOV ROBERT	COM 13D	6/15/93	1,362 50.9	46977210 52.4	RVISION
JACLYN INC GINSBURG ABE	COM 13D	6/15/93	1,358 50.8	46977210 51.2	UPDATE
JACLYN INC GINSBURG ABE	COM 13D	6/15/93	1,358 50.8	46977210 51.2	RVISION
JACLYN INC GINSBURG ALLAN	COM 13D	6/15/93	1,360 50.9	46977210 51.3	UPDATE
JACLYN INC GINSBURG ALLAN	COM 13D	6/15/93	1,360 50.9	46977210 51.3	RVISION
JACLYN INC GINSBURG HOWARD	COM 13D	6/15/93	1,358 50.8	46977210 50.4	UPDATE
JACLYN INC GINSBURG HOWARD	COM 13D	6/15/93	1,358 50.8	46977210 50.4	RVISION

ACQUISITIONS CONT.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/ XOUNED	CUSIP/ PRIOR%	FILING STATUS
INTERACTIVE NETWORK INC TELE-COMMUNICATIONS INC	COM 13D	6/11/93	2,612 30.0	47999210 9.0	UPDATE
INTERACTIVE NETWORK INC TELE-COMMUNICATIONS INC	COM 13D	6/11/93	2,612 30.0	47999210 9.0	RVISION
NATIONAL HERITAGE EVERGREEN HEALTHCARE ET AL	COM 13D	3/ 4/93	8,000 57.9	63634610 21.7	UPDATE
NATIONAL HERITAGE EVERGREEN HEALTHCARE ET AL	COM 13D	3/ 4/93	8,000 57.9	63634610 21.7	RVISION
NORTH LILY MINING CO INTL MAHOGANY CORP	COM 13D	12/31/92	1,604 7.6	66046110 7.8	UPDATE
NORTH LILY MINING CO INTL MAHOGANY CORP	COM 13D	12/31/92	1,604 7.6	66046110 7.8	RVISION
OUTLET COMMUNICATIONS INC SIMON WILLIAM E	CL A 13D	6/11/93	4,841 74.0	69011110 71.9	UPDATE
OUTLET COMMUNICATIONS INC SIMON WILLIAM E	CL A 13D	6/11/93	4,841 74.0	69011110 71.9	RVISION
PAY N SAVE INC ZRG CO INC ET AL	COM 13D	1/ 1/93	8,052 41.4	70432010 39.7	UPDATE
PAY N SAVE INC ZRG CO INC ET AL	COM 13D	1/ 1/93	8,052 41.4	70432010 39.7	RVISION
SHOWBIZ PIZZA TIME INC HALLWOOD GRP INC	COM NEW 13D	6/ 8/93	1,784 14.0	82538830 15.4	UPDATE
SHOWBIZ PIZZA TIME INC HALLWOOD GRP INC	COM NEW 13D	6/ 8/93	1,784 14.0	82538830 15.4	RVISION
SIERRA CAP REALTY TR VIII MASS ST TEACH & EMP RET SYS	PFD ADJ DIVID 13D	6/ 2/93	1,561 29.6	82699720 0.0	NEW
SIERRA CAP REALTY TR VIII MASS ST TEACH & EMP RET SYS	PFD ADJ DIVID 13D	6/ 2/93	1,561 29.6	82699720 0.0	RVISION
WTD INDS INC LEPPLA HOWARD E	COM NEW 13D	6/ 1/93	631 6.8	92934420 0.0	NEW
WTD INDS INC LEPPLA HOWARD E	COM NEW 13D	6/ 1/93	631 6.8	92934420 0.0	RVISION

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
AAON INC	NV					X				05/31/93	
ADVANCED MICRO DEVICES INC	DE					X				06/07/93	
AIRSHIP INTERNATIONAL LTD	NY	X					X			05/27/93	
ALLIED WASTE INDUSTRIES INC	DE						X			04/01/93AMEND	
ALLIED WASTE INDUSTRIES INC	DE	X					X			05/04/93	
ALPHA BETA TECHNOLOGY INC	MA					X				06/11/93	
AM INTERNATIONAL INC	DE					X				05/21/93AMEND	
AMC ENTERTAINMENT INC	DE	X					X			06/10/93	
AMERICAN CASCADE ENERGY INC	DE	NO ITEMS								03/03/93AMEND	
AMERICAN COMPLEX CARE INC	NY					X	X			06/04/93	
AMERICAN EXP REC FIN COR AME EXP MAS TR						X	X			06/07/93	
AMERICAN EXP REC FIN COR AMER EXP MAS TR						X	X			06/07/93	
AMERICAN INTEGRITY CORP	PA	X								06/09/93	
AMERICAN MEDICAL ELECTRONICS INC	MN					X	X			06/09/93	
AMERICAN RETIREMENT VILLAS PROPERTIES II	CA	X					X			06/08/93	
AMERICAN RICE INC	TX	X	X			X	X			05/26/93	
ANCHOR FINANCIAL CORP	SC					X				05/28/93	
APERTUS TECHNOLOGIES INC	MN					X	X			06/03/93	
APPLE COMPUTER INC	CA	X					X			06/09/93	
ARIZONA PUBLIC SERVICE CO	AZ					X				06/02/93	
ART GUARD INC	NV	X					X			05/21/93	
AZTEC MANUFACTURING CO	TX						X			04/05/93AMEND	
BANK OF AMER NT&SA SPNB HOME EQ LN ASST						X	X			02/16/93	
BAROID CORPORATION /DE	DE					X	X			06/07/93	
BARTON INDUSTRIES INC	OK					X				05/24/93	
BEAR STEARNS MORT SEC INC MORT PAS THR C							X			05/26/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE					X	X			05/25/93	
BEAR STEARNS MORT SEC INC MORT PASS THR	DE					X	X			05/25/93	
BEAR STEARNS MORT SEC INC MORT PASS THRO	DE					X	X			05/25/93	
BEI HOLDINGS LTD /DE/	DE	X					X			06/10/93	
BIOPLASTY INC	MN						X			02/19/93	
BOSTON CAPITAL TAX CREDIT FUND II LTD PA	DE					X	X			05/17/93	
BOSTON CAPITAL TAX CREDIT FUND II LTD PA	DE					X	X			05/29/93	
BRADFORD BANKSHARES INC	FL					X	X			06/04/93	
BRISTOL MYERS SQUIBB CO	DE						X			05/27/93	
BROWN DISC PRODUCTS CO INC	CO					X	X			06/30/93	