

# sec news digest

Issue 89-201

October 20, 1989

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## INVESTMENT COMPANY ACT RELEASES

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CHARTER FUND, INC.; THE CONSTELLATION GROWTH FUND, INC.;  
BIRR, WILSON MONEY FUND

Orders have been issued declaring that Charter Fund, Inc.; The Constellation Growth Fund, Inc.; and Birr, Wilson Money Fund have ceased to be investment companies. (Rel. IC-17170; IC-17171; IC-17174 - Oct. 17)

CAPITAL PRESERVATION TREASURY NOTE TRUST;  
INDUSTRIAL INDEX FUND

Notices have been issued giving interested persons until November 13 to request a hearing on an application filed by Capital Preservation Treasury Note Trust; and Industrial Index Fund for orders declaring that they have ceased to be investment companies. (Rel. IC-17172; IC-17173 - Oct. 17)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the common stock, \$1.00 par value, of ARMADA CORPORATION. (Rel. 34-27363)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until November 8 to comment on the application of BIG O TIRES, INC. to withdraw its common stock, \$.02 par value, from listing and registration on the Boston Stock Exchange. (Rel. 34-27364)

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## SELF-REGULATORY ORGANIZATIONS

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APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change filed by: The Boston Stock Exchange (SR-BSE-89-4) to amend rules relating to crosses, fictitious transactions, and transactions in privileges. (Rel. 34-27362); and The Philadelphia Stock Exchange (SR-Phlx-89-39) that provides procedures governing the commencement of trading on the Phlx when an opening is arranged in an ITS security ahead of another market center. (Rel. 34-27366)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 NEEDLE IN A HAYSTACK INC, 3999 PARKWAY LN, HILLIARD, OH 43026 (614) 876-8014 - 40,000 (\$240,000) COMMON STOCK. 800,000 (\$800,000) COMMON STOCK. 800,000 (\$2,400,000) COMMON STOCK. 4,000 (\$26,400) WARRANTS, OPTIONS OR RIGHTS. 160,000 (\$320,000) COMMON STOCK. UNDERWRITER: SAMARAH & CO. (FILE 33-31359-C - OCT. 03) (BR. 1 - NEW ISSUE)
- N-2 KEMPER PREMIER TRUST, 120 S LASALLE ST, CHICAGO, IL 60603 (800) 537-6006 - 50,000 (\$500,000) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-31371 - OCT. 10) (BR. 16 - NEW ISSUE)
- N-2 INEFFICIENT MARKET FUND INC, 1345 AVENUE OF THE AMERICAS, NEW YORK, NY 10105 (212) 698-5349 - 5,750,000 (\$69,000,000) COMMON STOCK. UNDERWRITER: SMITH BARNEY HARRIS UPHAM & CO. (FILE 33-31373 - OCT. 10) (BR. 17 - NEW ISSUE)
- S-18 TRANSGLOBAL HOLDINGS INC, 12 BEHRENDT DR, PEARL RIVER, NY 10965 (914) 735-7265 - 1,000,000 (\$60,000) COMMON STOCK. 1,000,000 (\$100,000) COMMON STOCK. 2,000,000 (\$1,000,000) COMMON STOCK. 1,000,000 WARRANTS, OPTIONS OR RIGHTS. 2,000,000 WARRANTS, OPTIONS OR RIGHTS. (FILE 33-31374-NY - OCT. 10) (BR. 8 - NEW ISSUE)
- S-18 SPRATLIN HOMES INC, 1900 CENTURY PL NE STE 320, ATLANTA, GA 30345 (404) 636-8983 - 50,000 (\$700,000) PREFERRED STOCK. 10,000,000 (\$800,000) COMMON STOCK. 10,000,000 (\$1,400,000) COMMON STOCK. 5,000,000 (\$500) WARRANTS, OPTIONS OR RIGHTS. 5,000,000 (\$425,000) COMMON STOCK. UNDERWRITER: FIRST ALLIANCE SECURITIES INC. (FILE 33-31488-A - OCT. 03) (BR. 5 - NEW ISSUE)
- S-18 MERGER VENTURES CORP, 3167 NW 47TH TERRACE STE 214, LAUDERDALE LAKES, FL 33319 (305) 486-4870 - 10,000 (\$60,000) COMMON STOCK. 6,000,000 (\$180,000) WARRANTS, OPTIONS OR RIGHTS. 6,000,000 (\$360,000) WARRANTS, OPTIONS OR RIGHTS. 6,000,000 (\$1,500,000) WARRANTS, OPTIONS OR RIGHTS. 12,000,000 (\$5,100,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-31489-A - OCT. 05) (BR. 11 - NEW ISSUE)
- F-6 UNILEVER PLC/ADR/, 23 WALL STREET, C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10015 (212) 587-6018 - 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-31534 - OCT. 12) (BR. 99 - NEW ISSUE)
- S-8 ARGONAUT GROUP INC, 1800 AVE OF THE STARS STE 1175, LOS ANGELES, CA 90067 (213) 553-0561 - 17,000 (\$1,147,500) COMMON STOCK. (FILE 33-31547 - OCT. 11) (BR. 9)
- S-1 GEHL CO, 143 WATER STREET, WEST BEND, WI 53095 (414) 334-9461 - 1,750,635 (\$26,259,525) COMMON STOCK. 549,365 (\$8,240,475) COMMON STOCK. UNDERWRITER: BAIRD ROBERT W & CO INC, BLUNT ELLIS & LOEWI INC. (FILE 33-31571 - OCT. 13) (BR. 3 - NEW ISSUE)

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## ACQUISITIONS OF SECURITIES

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Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000)/%OWNED	CUSIP/PRIOR%	FILING STATUS	
ALGOREX CORP JAFFIN GEORGE M	COM	13D	9/28/89	740 N/A	01586710 N/A	UPDATE
ARISTECH CHEM CORP HUNTSMAN JON M ET AL	COM	13D	10/12/89	2,721 8.3	04042210 7.3	UPDATE
BROWN ROBERT C & CO INC CAPITALCORP INC	COM	14D-1	10/16/89	1,361 52.9	11516710 52.9	UPDATE
CHILE FD INC SOROS GEORGE	COM	13D	10/ 4/89	346 6.4	16883410 0.0	NEW
CLARION CAP CORP FIRST CITY DEVEL CORP ET AL	COM	13D	10/ 6/89	760 80.4	18051810 78.7	UPDATE
DATAPRODUCTS CORP CRESCOTT INV ASSOC ET AL	COM	13D	10/16/89	1,532 7.6	23810710 7.7	UPDATE
FIRST ESSEX BANCORP INC ANSTALT HOFIN ET AL	COM	13D	10/ 4/89	435 6.7	32010310 0.0	NEW
ISI SYS INC MEMOTEC DATA ET AL	COM	14D-1	10/17/89	4,000 59.6	44990610 0.0	NEW
INTELOGIC TRACE INC EDELMAN ASHER B. ET AL	COM	13D	10/12/89	2,384 16.3	45815910 14.2	UPDATE
ITALY FD INC FRIENDLY PARTNERS ET AL	COM	13D	10/12/89	0 0.0	46539510 8.0	UPDATE
LOCKHEED CORP DEL SIMMONS HAROLD C. ET AL	COM	13D	10/16/89	6,745 11.3	53982110 11.1	UPDATE
MCGILL MFG INC BORMAN BURTON ET AL	COM	13D	10/12/89	0 0.0	58052610 7.0	UPDATE
MIRACLE RECREATION EQUIP CO AHRENS NANCY	COMMON STOCK	13D	10/ 3/89	517 53.2	60461610 54.3	UPDATE
MIRACLE RECREATION EQUIP CO AHRENS PAUL W	COMMON STOCK	13D	10/ 3/89	0 0.0	60461610 N/A	UPDATE
MIRACLE RECREATION EQUIP CO BRENTON NATL BK DES MOINES	COMMON STOCK	13D	10/ 3/89	399 41.0	60461610 0.0	NEW
NATIONAL TRANSACTION NETWORK BCE VENTURE CAPITAL	COM	13D	10/ 5/89	6,600 32.9	63822130 0.0	RYSION
NATIONAL TRANSACTION NETWORK DOUBLEDAY NELSON	COM	13D	10/ 5/89	6,537 35.0	63822130 0.0	NEW
NUVISION INC KELSO MANAGEMENT CO ET AL	COM	13D	10/10/89	142 5.1	67092310 0.0	NEW
POP RADIO CORP HERITAGE MEDIA CORP	COM	13D	10/12/89	1,821 52.3	73281710 52.0	UPDATE
PRIME MED SVCS INC TEXAS AMERICAN ENERGY	COM	13D	10/11/89	0 0.0	74199110 N/A	UPDATE
RECOGNITION EQUIP INC HURLEY THOMAS M	COM	13D	10/16/89	500 5.4	75623110 0.0	NEW
RECOGNITION EQUIP INC PROSPECT GRP	COM	13D	10/16/89	1,432 15.5	75623110 13.4	UPDATE
RECOGNITION EQUIP INC VANOUREK ROBERT A	COM	13D	10/16/89	500 5.4	75623110 0.0	NEW

## ACQUISITIONS REPORTS CONT.

ST PAUL BANCORP INC HICKORY FURNITURE CO ET AL	COM 13D	10/ 6/89	1,076 9.0	79284810 0.0	NEW
SUN COAST PLASTICS INC SUN COAST/LB ASSOC ET AL	COM 13D	9/30/89	2,517 14.9	86667010 29.6	UPDATE
TELERATE INC CALIF PUB EMP RET ET AL	COM 13D	10/ 6/89	4,465 4.7	87949610 0.0	NEW
TIGERA GROUP INC CITICORP CAPITAL INV ET AL	COM 13D	9/25/89	5,047 24.1	88690710 23.0	UPDATE
TRIANGLE HOME PRODS INC DEL BRADCO SUPPLY CORP ET AL	COM 13D	10/ 4/89	93 7.7	89585810 6.5	UPDATE
VALLEN CORP CODE J M WAYNE	COM 13D	10/ 6/89	76 5.2	91926010 5.5	UPDATE
WILLIAMS A L CORP WILLIAMS ARTHUR L JR	COM 13D	10/ 2/89	4,254 6.3	96946810 6.3	UPDATE
ZENITH LABS INC HEINE SECURITIES CORP ET AL	COM 13D	10/ 5/89	2,070 41.2	98936510 0.0	NEW
ATI MED INC ATLANTIC FINL FED	COM 13D	9/20/89	20 0.4	00207510 9.7	RYSION
ALZA CORP; BID ELECTRO SYS GOLDMAN SACHS & CO	UNITS 13D	10/13/89	479 11.6	02261540 12.7	UPDATE
AMERICAN EQUINE PRODS PHARMACIA DEVELOPMENT CO INC	COM 13D	10/11/89	5,000 37.4	02599010 13.0	UPDATE
BANNER INDS INC PASKE INVESTMENTS ET AL	COM 13D	9/21/89	6,814 51.3	06654510 47.8	UPDATE
C TEC CORP PARENTE CHARLES E	CL B 13D	9/26/89	4,130 44.7	12650420 43.5	UPDATE
C TEC CORP SORDONI ANDREW J III ET AL	CL B 13D	9/26/89	5,047 54.6	12650420 52.7	UPDATE
CACI INTL INC PAPSOV PARTNERSHIP ET AL	CL A 13D	10/12/89	880 8.6	12719030 7.5	UPDATE
CASPEN OIL INC KNOX JACK D	COM PAR \$0.50 13D	7/27/89	794 5.3	14762110 0.0	NEW
CEL-SCI CORP KERSTEN GEERT	COM 13D	9/29/89	4,094 13.7	15083710 11.8	UPDATE
CLIFFS DRILLING CO WEIL JOHN B	COM 13D	10/ 4/89	263 9.0	18699010 7.1	UPDATE
COMMUNICATIONS SYS INC GABELLI INTL LTD	COM 13D	10/13/89	433 10.1	20390010 8.9	UPDATE
CYPRESS FD INC NAV CORP ET AL	COM 13D	10/16/89	1,975 29.1	23278710 29.1	UPDATE
D & N FINL CORP RCM PRTN LTD ET AL	COM 13D	10/ 5/89	359 9.8	23286410 8.9	UPDATE
ENZO BIOCHEM INC DAVIS J MORTON	COM 13D	9/29/89	1,052 9.3	29410010 8.9	UPDATE
FLEET AEROSPACE INC FLEET AEROSPACE CORP	COM 13D	10/16/89	5,175 88.4	33889310 0.0	NEW

ACQUISITIONS REPORTS CONT.

FOREMOST CORP OF AMER ROTTSCHAER MARY CAROLINE	COM	13D	7/17/89	893 7.4	34546910 0.0	NEW
GRADCO SYS INC DESAI CAPITAL MGMT INC ET AL	COM	13D	9/22/89	136 2.1	38411110 7.5	UPDATE
GREAT AMERICAN COMMUNICATION AMERICAN FINANCIAL CORP ET AL	COM	13D	10/17/89	24,437 77.8	38990610 73.1	UPDATE
HORIZON FINL SVCS INC RCM PARTNERS LTD PRT	COM	13D	9/29/89	50 3.1	44099110 5.5	UPDATE
IMMUCOR INC DIAGNOSTICS TRANSFUSION	COM	13D	10/12/89	580 14.6	45252610 0.0	NEW
JOHNSON PRODS INC DEL JOHNSON GEORGE E	COM	13D	10/ 2/89	0 0.0	47916910 0.0	NEW
MAJOR RLTY CORP SAMELSON REAL ESTATE PTN ET AL	COM	13D	10/12/89	1,858 25.3	56084010 23.9	UPDATE
MARINE TRANS LINES INC INTREPID ACQUISITION ET AL	COM	13D	10/13/89	1,751 59.6	56844610 29.1	UPDATE
METRO CABLE CORP TELE-COMMUNICATIONS INC	COM	13D	9/29/89	0 0.0	59190420 N/A	UPDATE
NORTH WEST TELECOMMUNICATION GAMCO INVESTORS INC ET AL	COM	13D	10/ 6/89	323 5.7	66330810 0.0	NEW
PITCAIRN GRP LP PITCAIRN FEDDOR U	UTS LTD PRTNSHP INT	13D	9/27/89	135 23.0	72430099 28.5	UPDATE
RTI INC WELT GROUP	COM	13D	9/12/89	605 9.2	74973910 9.2	UPDATE
SERVICE RESOURCES CORP RODNEY PATRICK J	COM	13D	10/11/89	227 9.9	81760610 10.2	UPDATE
SKIPPERS INC NATIONAL PIZZA CO	COM	13D	10/15/89	402 15.0	82999110 9.4	UPDATE
SMITH LABS INC GARZA JOEL ROCHA ET AL	COM	13D	10/16/89	415 3.1	83214010 4.8	UPDATE
SPELLING ENTMT INC DEL SPELLING AARON	CL A	13D	9/29/89	10,450 31.6	84780810 31.6	UPDATE
SUDBURY INC SUTER PLC ET AL	COM	13D	10/13/89	889 6.9	86463510 5.9	UPDATE
TANDY BRANDS INC DESAI CAPITAL MGMT INC ET AL	COM	13D	9/20/89	950 19.3	87537910 36.5	UPDATE
TECHNOLOGY 80 INC MARKUS DUANE A	COM	13D	10/13/89	131 8.5	87899010 7.5	UPDATE
WORLDCORP INC DALY EDWARD J ESTATE	COM	13D	10/11/89	0 0.0	98190410 24.2	UPDATE
WORLDCORP INC DALY VIDLET JUNE ET AL	COM	13D	10/11/89	4,466 34.8	98190410 24.3	UPDATE
WORLDCORP INC THOMPSON KENNETH ET AL CO-TRST	COM	13D	10/11/89	1,821 14.2	98190410 0.0	NEW

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ACAP CORP	DE				X					09/14/89	AMEND
ACCESS HEALTHNET INC	DE	X	X			X	X			10/26/89	
AEROMETALS INC	DE				X		X			08/18/89	
AFC FINANCIAL CORP	DE				X					10/13/89	
AIRGAS INC	DE		X							10/02/89	
ALLECO INC	MD				X					10/13/89	
ALLERTECH INC	CO				X		X			07/31/89	
AMERAGE CORP /DE/	DE	X					X			09/29/89	
AMERICAN FIRST CORP	OK		X				X	X		09/30/89	
ATREITES DEVELOPMENT CORP	FL				X					10/11/89	
AVCO FINANCIAL SERVICES INC	DE						X			10/10/89	
AVX CORP	DE				X		X			09/27/89	
BANC ONE OHIO CORP	OH				X					10/13/89	
BANKERS TRUST NEW YORK CORP	NY				X		X			10/17/89	
BEAR STEARNS COMPANIES INC	DE				X		X			10/16/89	
BIO MONITOR INC	DE				X					10/01/89	
BLACK HAWK HOLDINGS INC	IA		X				X			10/09/89	
BMR FINANCIAL GROUP INC	GA		X				X			10/06/89	
BOSTON CAPITAL TAX CREDIT FUND LTD PARTN	DE		X				X			09/29/89	
BUFFTON CORP	DE		X				X			09/29/89	
CARE MED CENTERS INC	FL				NO ITEMS					10/02/89	
CARLYLE REAL ESTATE LTD PARTNERSHIP IX	IL		X				X			10/01/89	
CARLYLE REAL ESTATE LTD PARTNERSHIP VII	IL		X							10/01/89	
CATERPILLAR FINANCIAL SERVICES CORP	DE				X		X			10/16/89	
CENTRAL LIFE ASSURANCE CO	IA				X		X			10/01/89	
CENTRAL MAINE POWER CO	ME				X					10/16/89	
CENTURY GROUP LTD	CO				X	X	X			09/15/89	
CFS FINANCIAL CORP	VA				X		X			08/16/89	
CITIZENS INC	CO				NO ITEMS					12/01/89	AMEND
COLUMBIA SAVINGS & LOAN ASSOCIATION/CA/	CA				X		X			09/25/89	
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA						X			09/25/89	AMEND
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA						X			09/25/89	AMEND
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA						X			09/25/89	AMEND
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA						X			09/25/89	AMEND
CONSOLIDATED RESOURCES HEALTH CARE FUND	GA						X			09/25/89	AMEND
CONSOLIDATED STORES CORP /DE/	DE				X					10/11/89	
CONTINENTAL CAPITAL RESOURCES INC	TX					X	X			09/28/89	
CONVERSION INDUSTRIES INC						X	X			10/17/89	
COOKER RESTAURANT CORP /OH/	OH					X				07/07/89	
DIAGNOSTEK INC	DE					X				10/16/89	
DIVI HOTELS NV					NO ITEMS					10/16/89	
DOSKOCIL COMPANIES INC	DE		X				X			10/02/89	
EAGLE INDUSTRIES INC /DE/	DE		X				X			09/29/89	
ELECTRONIC ASSEMBLY SERVICES INC	GA			X						10/09/89	AMEND
EMPIRE FINANCIAL CORP/CO	CO		X				X			09/29/89	
EMPIRE ORR INC/NY	NY			X			X			10/03/89	
ESPERO ENERGY CORP	DE		X			X				09/29/89	
ETC TECHNOLOGIES CORP	DE		X				X			10/16/89	



RECENT 8K FILINGS CONT.

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
NATIONAL ENVIRONMENTAL GROUP INC	MO					X		X		10/10/89	
NATIONAL PIZZA CO/KS	KS				X			X		09/21/89	AMEND
NATIONAL PROPERTY INVESTORS II	CA	X						X		09/27/89	
NATIONWIDE CELLULAR SERVICE INC	DE					X		X		10/02/89	
NORDSON CORP	OH		X					X		09/29/89	
NORTHWEST ILLINOIS BANCORP INC	DE		X							10/11/89	
ONE LIBERTY PROPERTIES INC	MO				X					10/16/89	
PEACHTREE EQUITIES INC	CO					X		X		10/03/89	
PLY GEM INDUSTRIES INC	DE					X		X		09/15/89	
PNB FINANCIAL GROUP	CA	X								10/18/89	
PROVIDENT BANKSHARES CORP	DE				X					10/10/89	
PUBLIC SERVICE CO OF NEW HAMPSHIRE	NH					X				10/16/89	
PUBLIC SERVICE ELECTRIC & GAS CO	NJ					X				10/18/89	
QUANTUM CHEMICAL CORP	VA							X		08/01/89	AMEND
RAYCOMM TRANSWORLD INDUSTRIES INC	DE					X		X		10/03/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE		X					X		09/27/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE		X					X		09/28/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE		X					X		09/29/89	
REALMARK PROPERTY INVESTORS LIMITED PART	DE		X					X		09/29/89	
RESIDENTIAL FUNDING MORTGAGE SECURITIES	DE						X	X		09/25/89	
RIVER FOREST BANCORP INC	MN	X						X		10/03/89	
ROADMASTER INDUSTRIES INC	DE				X			X		10/10/89	
SBT BANCORP INC	DE					X				10/03/89	
SCFC AUTOMOBILE LOAN TRUST 1989-1	IL					X				10/15/89	
SEAGATE TECHNOLOGY INC	DE		X					X		10/12/89	
SERVAN CORP	DE					X				10/17/89	
SERVICE AMERICA CORP	DE					X				10/17/89	
SHEARSON MURRAY REAL ESTATE FUND III LTD	TX					X		X		09/29/89	
SHEARSON MURRAY REAL ESTATE FUND V LTD	TX					X		X		09/29/89	
SHOWBOAT INC	NV					X				09/21/89	AMEND
SMITH BARNEY MORTGAGE CAPITAL TRUST 10	DE					X		X		09/29/89	
SOFTGUARD SYSTEMS INC	NV	X								10/13/89	
SOUTH CAROLINA FEDERAL CORP	DE					X		X		10/17/89	
SOUTHEAST FIRST CAPITAL CORP	DE				X					02/17/89	
SOUTHMARK CRCA HEALTH CARE FUND VII LP	DE							X		09/25/89	AMEND
SOUTHMARK CRCA HEALTH CARE FUND VIII L P	DE							X		09/25/89	AMEND
SOUTHMARK CRCA HEALTH CARE INCOME FUND I	DE							X		09/25/89	AMEND
SOUTHWEST REALTY LTD	TX		X			X		X		10/01/89	
SPRINGWOOD RESOURCES INC	NV					X				10/06/89	
STANSBURY MINING CORP	UT	X				X		X		10/03/89	
STAT MEDICAL SERVICES INC	FL					X				10/10/89	
STATEWIDE BANCORP	NJ					X				10/13/89	
STONEHENGE CAPITAL CORP	CO		X			X				10/01/89	
SUBURBAN BANKSHARES INC /VA/	VA					X				09/15/89	
TACITUS VENTURES INC	DE	X						X		10/10/89	
TAMARAC VENTURES LTD	NV	X				X	X			09/23/89	
TARDIS INC	CO					X		X		10/05/89	
TECHE BANCSHARES INC	LA				X			X		10/11/89	
TEXAS AMERICAN BANCSHARES INC	TX					X				10/12/89	
TEXAS AMERICAN ENERGY CORP	DE							X		09/12/89	AMEND
TEXAS TORTILLA BAKERY INC	DE					X		X		10/06/89	
TEXCEL INTERNATIONAL INC	DE				X			X		08/14/89	
TEXCEL INTERNATIONAL INC	DE				X			X		09/01/89	
TEXCEL INTERNATIONAL INC	DE				X			X		09/25/89	
TODD SHIPYARDS CORP	NV					X				10/13/89	
TORD CO/DE	DE					X				10/03/89	
TRANSACT INTERNATIONAL INC	CT		X							10/02/89	
UNITED INVESTORS INCOME PROPERTIES	MO		X					X		10/02/89	
UNITED TECHNOLOGIES CORP /DE/	DE					X		X		10/03/89	
UNLIMITED FRONTIERS ORGANIZATION INC	CO					X		X		10/05/89	
VALUE LINE INC	NY					X				10/10/89	
VENTURE FUNDING CORP	CO					X				10/10/89	
XTRA CORP /DE/	DE		X							10/02/89	