

# sec news digest

Issue 89-191

October 5, 1989

## COMMISSION ANNOUNCEMENTS

### FOIA APPEAL OF PAUL J. DEZENBERG

The General Counsel sent a letter to Paul J. Dezenberg reversing the Freedom of Information Act Officer's determination to withhold information concerning The Continuum Corporation and remanding the request to the FOIA Officer for further consideration. The General Counsel determined that the documents may be withheld under Exemption 7(A), 5 USC 552(b)(7)(A), and the Commission's rule implementing that exemption, 17 CFR 200.80(b)(7). (Rel. FOIA-130)

## ADMINISTRATIVE PROCEEDINGS

### STOP ORDER PROCEEDINGS AGAINST FASPAQ, INC.

The Commission issued an Order Instituting Proceedings under Section 8(d) of the Securities Act of 1933 and Findings and Stop Order in connection with a registration statement on Form S-18 and post-effective amendment filed by Faspag, Inc. Faspag, without admitting or denying the allegations, consented to the Order which suspends the effectiveness of these filings. In the Order, the Commission found that the registration statement (1) falsely characterizes Faspag as a blank check offering by failing to disclose the existence of a pre-arranged merger agreement; and (2) falsely characterizes Faspag's initial public offering as a part-or-none (minimum-maximum) based on the existence of nominee shareholders to falsely close out the offering. The Commission further found that the post-effective amendment fails to disclose the (1) existence of a pre-arranged merger agreement; and (2) false closing of Faspag's initial public offering. (Rel. 33-6847)

### WILLIAM J. KELLEY BARRED

The Commission entered an Order Instituting Proceedings, Making Findings and Imposing Remedial Sanctions against William J. Kelley, formerly an associated person of an investment adviser and a broker-dealer in Indianapolis, Indiana. The Order instituted proceedings against Kelley and found that he was convicted on January 26, 1988 of one count of willfully filing a false income tax return under penalties of perjury and of eight counts of willfully and knowingly aiding and assisting in the preparation of false income tax returns. The Order bars Kelley from association with any broker, dealer, municipal securities dealer, investment company, or investment adviser with the right to reapply after three years. Kelley consented to the Commission's Order. (Rel. 34-27308)

### KAREN J. GALVIN SANCTIONED

The Commission issued an Order Instituting Proceedings and Opinion and Order under Rule 2(e) of its Rules of Practice against Karen L. Galvin, a Denver-based attorney. Galvin consented to the Order which finds, among other things, that she was permanently enjoined from violating certain of the antifraud provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934 in SEC v. Faspag, Inc., et al., Civ. No. 88-C-897J, D Utah. In this injunctive action, Galvin was charged with aiding and abetting a pre-arranged merger agreement in connection with a blank check offering by preparing a false and misleading post-effective amendment, which concealed the existence of that agreement. The Commission denied Galvin the privilege of appearing or practicing before it for 18 months. (Rel. 34-27309)

#### STEPHEN J. HALEY SANCTIONED

The Commission issued an Order Instituting Proceedings under Sections 15(b) and 19(h) of the Securities Exchange Act of 1934 Making Findings and Imposing Remedial Sanctions against Stephen T. Haley, formerly a licensed registered representative. Haley consented to the Order which finds, among other things, that he was permanently enjoined from violating certain of the antifraud provisions of the Securities Act of 1933 and the Exchange Act in SEC v. Faspag, Inc., et al., Civ. No. 88-C-897J, D Utah. In this injunctive action, Haley was charged with participating in a scheme to effect a pre-arranged merger agreement in connection with a blank check offering. The Order barred Haley from associating with any broker, dealer, municipal securities dealer, investment company, or investment adviser provided that he may reapply to become so associated in two years. (Rel. 34-27310)

#### COMMISSION ACCEPTS OFFER OF SETTLEMENT FROM PRUDENTIAL-BACHE SECURITIES, INC.

In public administrative proceedings under the Securities Exchange Act of 1934, Prudential-Bache Securities, Inc. (Registrant) submitted an Offer of Settlement which the Commission accepted.

Registrant, without admitting or denying the allegations in the Order Instituting Public Administrative Proceedings, Making Findings and Imposing Remedial Sanctions (Final Order), consented to findings that it violated various back office provisions of the securities laws, including Exchange Act Section 15(c)(3) and Rule 15c3-3(b)(4) (1)(D), Exchange Act Rule 15c3-3(e), Exchange Act Section 17(a) and Rule 17a-4, and Exchange Act Rule 17a-3(a)(2) and (11).

Registrant consented to a censure and the implementation and compliance with certain undertakings as outlined in the Final Order that will help prevent the recurrence of similar violations. (Rel. 34-27313)

#### PROCEEDINGS INSTITUTED AGAINST JOHN F. GARVAN

The Commission instituted public administrative proceedings against John F. Garvan who, from October 1981 to April 1987, was a registered representative with two successive Wisconsin broker-dealers registered with the Commission. The Order Instituting Proceedings alleges that Garvan, in the case of Wisconsin v. John F. Garvan, (Dane Co. Cir. Ct., Case No. 86 CF 579), was convicted of forgery and attempted theft based on his filing of a false insurance claim. The Order also alleges that in Wisconsin v. John F. Garvan, (Columbia Co. Cir. Ct., Case No. 87 CR 397), Garvan was convicted of felony welfare fraud. The Order further alleges that in Wisconsin v. John F. Garvan, (Columbia Co. Cir. Ct., Case No. 88 CR 174), Garvan was convicted of theft based on his conversion of funds, which were paid to him by investors, to his own use. A hearing will be scheduled to take evidence on the staff's allegations and to afford Garvan an opportunity to present any defenses thereto. (Rel. 34-27314)

#### PROCEEDINGS INSTITUTED AND SETTLED AGAINST WILLIAM E. PARODI, SR., OTHERS

The Commission issued an Order Instituting Proceedings against William E. Parodi, Sr., Frederick W. Parodi, and William F. Smith. The Parodis were employed as registered representatives by two brokerage firms' California branch offices and handled the accounts of small municipalities and local agencies. The Order finds that during their employment at the firms, the Parodis engaged in excessive and unsuitable trading in their customers' accounts and misrepresented and omitted to state material facts in connection with their trading in securities for those accounts. The Commission accepted the Parodis' Offers of Settlement in which they neither admit nor deny the Commission's findings and agree to be permanently barred from association with any broker, dealer, investment company, investment adviser, or municipal securities dealer.

The Order further finds that Smith, president and sales supervisor of First Investment Securities, Inc. of Little Rock, Arkansas, failed to supervise the Parodis with a view to preventing their violations. The Commission accepted Smith's Offer of Settlement wherein he neither admits nor denies the Commission's findings and agrees to be suspended for one-year from associating, in a supervisory capacity, with any broker, dealer, investment company, investment adviser, or municipal securities dealer, and to comply with certain undertakings. (Rel. 34-27299)

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## CRIMINAL PROCEEDINGS

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### CANDACE M. LACASTO FINED

The Denver Regional Office, the Salt Lake City Branch Office, and the U.S. Attorney for the District of Utah announced that on September 21 Candace M. LaCasto was fined \$2,500 by U.S. District Court Judge J. Thomas Greene. The fine will be reduced by \$500 if paid according to a Schedule established by the U.S. Probation Office.

LaCasto earlier pled guilty to a one-count misdemeanor information which charged that LaCasto committed securities fraud without knowledge of the applicable regulation. LaCasto admitted that, as an employee of Olympic Stock Transfer, she improperly transferred stock certificates for an entity known as Protecto Industries, Inc. Protecto was part of an FBI undercover operation that has resulted in the entry of guilty pleas to felony and misdemeanor informations by ten persons. Protecto was fraudulently made into a public vehicle, and fabricated assets of nearly \$1 million, and was listed in the National Daily Quotation Bureau, Inc.'s pink sheets. Protecto's stock was to be subject to manipulated trades. (U.S. v. Candace M. LaCasto, USDC DUT, Central Division, Criminal Action File No. 89-CR-0015G). (LR-12263)

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## INVESTMENT COMPANY ACT RELEASES

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### RNC CORPORATE CASH MANAGEMENT FUND, INC.

An order has been issued declaring that RNC Corporate Cash Management Fund, Inc. has ceased to be an investment company. (Rel. IC-17159 - Oct. 3)

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## HOLDING COMPANY ACT RELEASES

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### EASTERN UTILITIES ASSOCIATES

A supplemental order has been issued authorizing Eastern Utilities Associates, a registered holding company, to issue and sell, through May 31, 1990, up to 1,500,000 shares of common stock, par value \$5 per share, under an exception from the competitive bidding requirements of Rule 50 under subsection 50(a)(5). Jurisdiction is reserved as to the fees, expenses, terms, and conditions associated with the sale of the common stock. (Rel. 35-24962 - Sept. 29)

### OHIO POWER COMPANY

An order has been issued authorizing Ohio Power Company, subsidiary of American Electric Power Company, Inc., to issue and sell through December 31, 1990 up to \$100 million aggregate principal amount of its first mortgage bonds. The Bonds, which shall be offered in one or more series, will have maturities ranging from five to thirty years, with expected refunding protection for a period not to exceed five years. (Rel. 35-24963 - Sept. 29)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### WITHDRAWAL GRANTED

An order has been issued granting the application of LEE PHARMACEUTICALS to withdraw its common stock, \$.10 par value, from listing and registration on the Pacific Stock Exchange. (Rel. 34-27315)

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## SELF-REGULATORY ORGANIZATIONS

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### NOTICE OF PROPOSED RULE CHANGE

The Chicago Board Options Exchange filed a proposed rule change under Rule 19b-4 (SR-CBOE-88-24) to establish its authority to review significant business transactions of clearing firms which could increase market maker concentration and to impose financial or operational requirements if warranted. Publication of the proposal is expected to be made in the Federal Register during the week of October 2. (Rel. 34-27307)

## TEMPORARY APPROVAL OF PROPOSED RULE CHANGE

The Commission extended its temporary approval, on a pilot basis until March 31, 1990, of a proposed rule change filed by The Midwest Securities Trust Company (SR-MSTC-88-8) which allows Depository Delivery Instruction to be transmitted through its File Transmission Service. (Rel. 34-27311)

## IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The Depository Trust Company filed a proposed rule change which has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. The proposal (SR-DTC-89-19) sets forth the procedure for the payment of cash in lieu of baby bonds. (Rel. 34-27312)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 CONSERVATIVE SAVINGS CORP, 11207 WEST DODGE ROAD, OMAHA, NE 68154 (402) 334-8475 - 651,075 (\$9,277,818.75) COMMON STOCK. (FILE 33-31150 - SEP. 26) (BR. 1 - NEW ISSUE)
- S-1 FOOD 4 LESS SUPERMARKETS INC, 250 W FIRST ST STE 210, CLAREMONT, CA 91711 (714) 626-8776 - 150,000,000 (\$150,000,000) STRAIGHT BONDS. UNDERWRITER: DREXEL BURNHAM LAMBERT INC. (FILE 33-31152 - SEP. 26) (BR. 2 - NEW ISSUE)
- S-18 FINANCIAL SUBSCRIPTION CORP, 7810 FORTUNE DRIVE, SAN ANTONIO, TX 78250 (512) 680-0000 - 5,000 (\$5,000,000) WARRANTS, OPTIONS OR RIGHTS. (FILE 33-31177-FW - SEP. 21) (BR. 11 - NEW ISSUE)
- N-1A NATIONAL BALANCED BOND FUND INC, 600 THIRD AVENUE, NEW YORK, NY 10016 (800) 223-7757 - INDEFINITE SHARES. UNDERWRITER: NSR DISTRIBUTORS INC. (FILE 33-31243 - SEP. 26) (BR. 18 - NEW ISSUE)
- S-4 OWEGO NATIONAL FINANCIAL CORP, 203 MAIN STREET, OWEGO, NY 13827 (607) 687-0670 - 1,500 (\$2,874,600) COMMON STOCK. (FILE 33-31244 - SEP. 26) (BR. 1 - NEW ISSUE)
- S-4 WINLAND ELECTRONICS INC, 418 S SECOND ST, MANKATO, MN 56001 (507) 625-7231 - 922,500 (\$768,750) COMMON STOCK. (FILE 33-31246 - SEP. 26) (BR. 3 - NEW ISSUE)
- N-2 DREYFUS STRATEGIC MUNICIPAL BOND FUND INC, 767 FIFTH AVENUE, NEW YORK, NY 10153 (212) 238-4020 - 11,500,000 (\$115,000,000) COMMON STOCK. (FILE 33-31248 - SEP. 26) (BR. 18 - NEW ISSUE)
- S-8 TELLABS INC, 4951 INDIANA AVE, LISLE, IL 60532 (312) 969-8800 - 100,000 (\$1,087,500) COMMON STOCK. (FILE 33-31251 - SEP. 27) (BR. 7)
- S-18 NEOWEST CORP, 4582 SOUTH ULSTER ST PKWY STE 201, DENVER, CO 80237 (303) 721-9691 - 2,000,000 (\$1,000,000) COMMON STOCK. 6,000,000 COMMON STOCK. 2,000,000 (\$1,000,000) COMMON STOCK. 2,000,000 (\$1,100,000) COMMON STOCK. 2,000,000 (\$1,500,000) COMMON STOCK. (FILE 33-31252 - SEP. 27) (BR. 11 - NEW ISSUE)
- S-18 BOARDWALK CONVENTION HOTEL PARTNERS LTD, 2800 TWENTY EIGHTH ST, SANTA MONICA, CA 90405 (213) 450-9696 - 5,000,000 (\$5,000,000) MORTGAGE BONDS. (FILE 33-31253 - SEP. 27) (BR. 12 - NEW ISSUE)
- S-1 STARS TO GO INC, 4751 WILSHIRE BLVD STE 140, LOS ANGELES, CA 90010 (213) 930-9300 - 1,700,000 (\$332,000) COMMON STOCK. (FILE 33-31254 - SEP. 27) (BR. 12)
- S-8 TELLABS INC, 4951 INDIANA AVE, LISLE, IL 60532 (312) 969-8800 - 1,000,000 (\$10,875,000) COMMON STOCK. (FILE 33-31255 - SEP. 27) (BR. 7)
- S-8 RAMADA INC, 2390 EAST CAMELBACK RD, STE 400, PHOENIX, AZ 85016 (602) 273-4150 - 1,975,000 (\$26,909,375) COMMON STOCK. (FILE 33-31256 - SEP. 27) (BR. 12)
- S-3 GENERAL MOTORS ACCEPTANCE CORP, 3044 W GRAND BLVD, DETROIT, MI 48202 (313) 556-5000 (FILE 33-31257 - SEP. 27) (BR. 13)

- S-6 SEARS EQUITY INVESTMENT TRUST STRATEGIC SERIES 7, TWO WORLD TRADE CNTR,  
C/O DEAN WITTER REYNOLDS INC, NEW YORK, NY 10048 DEPOSITOR: DEAN WITTER REYNOLDS INC.  
(FILE 33-31258 - SEP. 28) (BR. 22 - NEW ISSUE)
- N-2 PATRIOT PREMIUM DIVIDEND FUND II, 211 CONGRESS STREET, BOSTON, MA 02110  
(617) 426-3310 UNDERWRITER: SALOMON BROTHERS INC, SMITH BARNEY HARRIS UPHAM & CO.  
(FILE 33-31285 - SEP. 26) (BR. 17 - NEW ISSUE)
- S-3 WESCO FINANCIAL CORP, 315 E COLORADO BLVD, PASADENA, CA 91101 (818) 449-2345 -  
30,000,000 (\$30,000,000) STRAIGHT BONDS. (FILE 33-31290 - SEP. 27) (BR. 12)
- S-2 1ST SOURCE CORP, 100 NORTH MICHIGAN ST, SOUTH BEND, IN 46601 (219) 236-2702 -  
9,000,000 (\$9,000,000) STRAIGHT BONDS. (FILE 33-31291 - SEP. 27) (BR. 1)
- S-3 CONSTAR INTERNATIONAL INC, ONE CENTRAL PLZ, CHATTANOOGA, TN 37402 (615) 267-2973 -  
862,500 (\$25,982,813) COMMON STOCK. (FILE 33-31293 - SEP. 24) (BR. 5)
- S-8 METCALF & EDDY COMPANIES INC, US HWY 22 WEST & STATION RD, BRANCHBURG, NJ 08876  
(201) 685-4500 - 714,875 (\$16,352,765) COMMON STOCK. (FILE 33-31309 - SEP. 27)  
(BR. 10)
- S-18 EPIC VENTURES CORP, 3191 DENVER BLVD, PUEBLO, CO 81008 (719) 543-2937 - 5,000,000  
WARRANTS, OPTIONS OR RIGHTS. 5,000,000 WARRANTS, OPTIONS OR RIGHTS. (FILE 33-31336 -  
SEP. 20) (BR. 12)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

NAME AND CLASS OF STOCK/OWNER	FORM	EVENT DATE	SHRS(000) / % OWNED	CUSIP / PRIOR%	FILING STATUS
ALEX BROWN INC BROWN ALEX PARTNERS	COM 13D	7/ 5/89	6,511 40.3	01390210 51.2	UPDATE
ALLIED SEC INC HOLMES NEAL H ET AL	COM 13D	9/ 1/89	188 38.5	01950910 38.5	UPDATE
AMERICAN MGMT SYS INC SELIN IVAN	COM 13D	9/29/89	861 8.6	02735210 8.5	UPDATE
AMERICAN TELEVISION&COMMUNIC CL A PTS INVESTORS ET AL	13D	9/26/89	1,560 8.0	03018710 6.7	UPDATE
BIG O TIRES INC GENERAL TIRE INC	COM 13D	9/22/89	7,000 45.0	08932410 0.0	NEW
BLUE RIDGE REAL ESTATE CO REA BROTHERS LTD ET AL	UNIT 13D	7/31/89	214 9.8	09600510 12.8	UPDATE
CALSTAR INC INTER-GOVT PHILATELIC ET AL	COM 13D	8/ 2/89	258 8.5	13135610 0.0	NEW
CALSTAR INC MURPHY JUDY ET AL	COM 13D	9/22/89	872 23.1	13135610 11.9	UPDATE
CALSTAR INC SHIFTAN RONALD	COM 13D	9/22/89	1,801 47.4	13135610 39.2	UPDATE

ACQUISITIONS REPORTS CONT.

CATALINA LTG INC WINDMERE CORP	COM	13D	9/29/89	901 19.8	14886510 15.2	UPDATE
COLOR SYS TECHNOLOGY INC GENERAL ELEC PENSION TR	COM PAR \$0.15	13D	9/26/89	1,097 20.3	19626620 11.2	UPDATE
COLUMBIA PICTURES ENTMT INC COCA COLA CO ET AL	COM	13D	10/ 2/89	53,826 48.6	19827410 48.6	UPDATE
CYPRESS FD INC NAV CORP ET AL	COM	13D	9/29/89	1,907 28.1	23278710 28.1	UPDATE
FILMSTAR INC ROBART PARTNERS L P ET AL	COM	13D	9/29/89	874 16.4	31729010 0.0	NEW
FIRST CTZNS FINL CORP GRIFFIN WILLIAM M ET AL	COM	13D	9/19/89	152 7.7	31958910 5.1	UPDATE
FOREMOST CORP OF AMER FREY DAVID G	COM	13D	7/17/89	0 991 8.2	34546910 18.2	UPDATE
FOREMOST CORP OF AMER FREY FOUNDATION	COM	13D	7/17/89	1,800 15.0	34546910 0.0	NEW
FURNISHINGS 2000 INC BRUNTON CORP ET AL	COM	13D	9/21/89	331 2.5	36110310 42.9	UPDATE
GRADCO SYS INC DALFORT CORP ET AL	COM	13D	9/27/89	288 4.4	38411110 8.1	UPDATE
HALL FRANK B & CO INC RELIANCE FINANCIAL SVCS CORP	COM	13D	9/28/89	42,862 100.0	40589110 0 100.0	UPDATE
HARLEY DAVIDSON INC GLAZER MALCOLM I ET AL	COM	13D	9/22/89	539 6.2	41282210 0.0	NEW
ILC TECHNOLOGY INC WALKER WIRT D III ET AL	COM	13D	9/25/89	250 11.3	44965410 10.3	UPDATE
INTERNATIONAL AMER HOMES INC SULLIVAN RICHARD A	COM	13D	10/ 2/89	692 9.5	45900410 9.5	UPDATE
INTERNATIONAL HLDG CAP CORP MARUWA KOYO CO	COM	13D	9/22/89	121 10.9	45964710 0.0	NEW
MATERIAL SCIENCIES CORP JMB/FH INVMT PRTSHP III ET AL	COM	13D	9/22/89	296 6.0	57667410 0.0	NEW
MEDIA GEN INC GAMCO INVESTORS INC ET AL	CL A	13D	9/28/89	2,405 9.5	58440410 8.4	UPDATE
NESTOR INC RELIANCE FINANCIAL SVCS CORP	COM	13D	9/28/89	1,231 20.5	64107410 20.0	UPDATE
ONBANCORP INC GRIFFIN WILLIAM M ET AL	COM	13D	9/25/89	316 5.2	68230310 0.0	NEW
PLESSEY CO P L C GENERAL ELEC CO PLC ET AL	DRD	13D	9/29/89	693,297 91.6	72911010 85.7	UPDATE
PRISM ENTMT CORP WALKER WIRT D III ET AL	COM	13D	8/ 8/89	262 11.9	74264310 10.3	UPDATE
QED EXPL INC POWELL NICHOLAS K	U	13D	10/ 2/89	269 12.2	74790220 9.9	UPDATE

ACQUISITIONS REPORTS CONT.

READING & BATES CORP SOUTH PAC BKG CORP ET AL	COM PAR \$0.05 13D	9/29/89	41,811 100.0	75528170 13.0	UPDATE
SOUTHERN HOSPITALITY CORP PALASH HARVEY M	COM 13D	4/11/89	500 8.4	84305720 0.0	NEW
SOUTHERN JERSEY BANCORP MCCORMICK CLARENCE D ET AL	COM 13D	6/ 8/89	179 14.6	84325610 23.9	UPDATE
SOUTHWARD VENTURES DEP TRST RUFFALO JAMES A JR	UNITS BEN INT 13D	9/22/89	121 3.8	84490210 0.0	NEW
SYNBIOTICS CORP H&Q LIFE SCIENCE VENTURES	COM 13D	9/27/89	489 10.6	87156610 0.0	NEW
UINTAH ENERGY CORP BOLAND MICHAEL J ET AL	COM 13D	8/16/89	4,662 17.3	90363810 0.0	NEW

**SIGNIFICANT NO-ACTION AND INTERPRETIVE LETTERS**

The following is a list of significant no-action and interpretative letters recently issued by the Division of Corporation Finance. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, Securities and Exchange Commission, Washington, DC 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, NW, Room 1024, Washington, DC, stating the name of the subject company, the Act and the Section of the Act to which it relates, and the public availability date. Copies cost 20 cents per page.

DIVISION OF CORPORATION FINANCE

<u>COMPANY</u>	<u>ACT/SECTION/ RULE OR RELEASE</u>	<u>DATE MAILED</u>	<u>PUBLIC AVAILABILITY DATE</u>
Alberta Energy Co., Ltd.	1934 Act - Rule 14d-10(e)	7/13/88	6/19/89
BP America	1933 Act - Section 5; 1940 Act - Section 7	6/5/89	6/5/89
CalFed Inc. 1989 Stock Incentive Plan	1934 Act - Section 16(b) and Rule 16b-3(d)(1)	6/23/89	6/23/89
Imperial Oil Limited	1934 Act - Rule 14d-10(e)	2/27/89	2/27/89
Jefferies & Company	1933 Act - Section 2(1); 1940 Act - Section 3(a)	6-19-89	6-19-89
Maryland Industrial Development Financing Authority	1933 Act - Section 3(a)(2) and Rule 131; 1934 Act - Sections 3(a)(10), 3(a)(12), and 3(a)(29) and Rule 3b-5; 1939 Act - Section 304(a)(4)(A)	6/8/89	6/8/89
Mississippi Chemical Corporation	1939 Act - Section 306(c)	6/23/89	6/23/89

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\* CALLS FROM THE PUBLIC \*  
\* \*  
\* Members of the public seeking information and/or material from the Commission continue to complain of being incorrectly referred to the wrong telephone number by Commission staff. The following information is furnished to assist you in directly calling the appropriate office: \*  
\* \*  
\* Consumer Affairs (272-7440) - Investor inquiries and complaint processing information. \*  
\* \*  
\* Freedom of Information Branch (272-7420) - Requests concerning FOIA, Privacy Act, Sunshine Act, confidential treatment matters, etc. \*  
\* \*  
\* Personnel Locator (272-2550) - Requests for names and phone numbers of Commission personnel. \*  
\* \*  
\* Public Affairs (272-2650) - Information about matters in the SEC News Digest, the Commission's operations, and calls from the press not directed to particular individuals, and other related matters. \*  
\* \*  
\* Public Reference (272-7450) - Requests for information on whether or not a document has been filed, etc. \*  
\* \*  
\* Publications Unit (272-7040) - Requests for forms, studies, directories, etc. \*  
\* \*  
\* Office of the Secretary (272-2600) - Requests for information on the Commission calendar. \*  
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