

sec news digest

Issue 94-190

October 5, 1994

COMMISSION ANNOUNCEMENTS

NOTICE TO ALL EDGAR FILERS

As a result of difficulties the SEC is experiencing in obtaining adequate funding for its fiscal year 1995 operations (which began October 1), the agency is alerting all SEC registrants and third party filers of the possibility that the SEC's electronic filing system (EDGAR) will be forced to cease operations as of 8:00 a.m., Tuesday, October 11.

Current EDGAR filers planning to make electronic submissions on or after October 11 are urged to either file before this deadline or plan to file in paper in the event the system is forced to shut down. Filers should note that Monday, October 10 is a Federal holiday; consequently, the last opportunity to file electronically will be Friday, October 7.

The agency is continuing to work with the Congress and the Administration in its efforts to obtain full funding. As soon as the funding problem is resolved, a notice will be posted removing the possibility of an EDGAR shutdown.

Inquiries may be directed to the Office of EDGAR Filer Support at (202) 942-8900.

ENFORCEMENT PROCEEDINGS

CEASE AND DESIST PROCEEDINGS INSTITUTED AGAINST DAVID LIEBERMAN, RICHARD GROSS AND VINCENT PAOLANO AND CONTEMPORANEOUS PUBLIC ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST GROSS AND PAOLANO

The Commission announced that it instituted cease and desist proceedings pursuant to Section 8A of the Securities Act against David H. Lieberman, an attorney, and Richard M. Gross and Vincent A. Paolano, two stockbrokers, to determine whether the respondents violated Sections 5(a) and 5(c) of the Securities Act. The Commission also ordered the

institution of contemporaneous public administrative proceedings, pursuant to Sections 15(b) and 19(h) of the Exchange Act against Gross and Paolano.

The Order for Proceedings alleges that, commencing in or about 1989 and continuing through 1991, Lieberman, Gross and Paolano offered or sold, or participated in the offer or sale of, a total of approximately 32.5 million restricted shares of Sani-Tech stock to the public while no registration statement was filed or in effect and while no exemption from registration was available.

A hearing will be held to determine whether the allegations are true, to afford the respondents an opportunity to establish defenses to the allegations, to determine whether a cease and desist order should issue, and to determine what remedial action, if any, is appropriate and in the public interest or for the protection of investors against Gross and Paolano pursuant to Sections 15(b) and 19(h) of the Exchange Act. (Rel. 33-7097)

ADMINISTRATIVE PROCEEDING INSTITUTED AGAINST HOWARD BORRIS AND CO., INC. (d/b/a BORRIS/NII AND CO., INC.) AND HOWARD BORRIS

On September 28, the Commission issued an Order pursuant to Sections 203(e), 203(f) and 203(k) of the Investment Advisers Act of 1940 (Advisers Act) instituting an administrative proceeding against Howard M. Borris and Co., Inc. (d/b/a Borris/Nii and Co., Inc.) (Adviser), a registered investment adviser located in Beverly Hills, California, and Howard M. Borris (Borris), its President. The Division of Enforcement alleges that from 1983 through 1992, Borris failed to cause the Adviser to fully comply with its recordkeeping obligations under Sections 204 and 206(4) of the Advisers Act and Rules 204-1(b)(2), 204-2(a)(3), (b)(4) and (c)(2) and 206(4)-2(a)(5) thereunder. The Order provides that a hearing will be held within 60 days of the date of the Order to determine if the allegations made by the Division of Enforcement are true, and if so, to determine what, if any, remedial sanctions are appropriate in this case. (Rel. IA-1444)

ROBERT GILLINGS BARRED

The Commission announced that it has accepted the Offer of Settlement of Robert P. Gillings in a pending administrative proceeding. Pursuant to the Offer, the Commission issued an Order that bars Gillings from association with any Commission-regulated entity, with a right to reapply after five years to become associated with any such entity in a non-supervisory, non-proprietary capacity.

From August 1987 to January 1990, Gillings was a registered representative of J.T. Moran & Co., Inc., a now-defunct "boiler room" broker-dealer. In connection with his activities at Moran, on March 16, 1994, Gillings was enjoined on consent from violating the antifraud provisions and from aiding and abetting violations of the credit extension provisions of the federal securities laws.

The Commission's complaint alleged that Gillings and others executed and/or facilitated a series of sham trades designed to generate illusory paper trading profits for Moran, which, in turn, falsely inflated Moran's net capital position (SEC v. John T. Moran. et al., 92 Civ. 5209, SDNY).

On May 6, 1992, Gillings was convicted pursuant to a felony information charging him with one count of mail fraud in connection with his employment at Moran (United States v. Robert P. Gillings, 91 Cr. 25, EDNY). For more information see Litigation Release Nos. 13312 and 14065. (Rel. 34-34718)

COMMISSION SUSTAINS NASD DISCIPLINARY ACTION AGAINST FUNDCLEAR, INC. AND THOMAS BRADLEY

The Commission has sustained the disciplinary action taken by the National Association of Securities Dealers, Inc. (NASD) against member firm FundCLEAR, Inc. of New York, New York, and Thomas A. Bradley, the firm's president, chief financial officer and financial and operations principal. The Commission found that the firm, through Bradley, on repeated occasions in late 1991 and early 1992, conducted a securities business with insufficient net capital, failed to send the required telegraphic notice of the firm's net capital deficiency, and filed inaccurate monthly reports of the firm's financial condition. The NASD had censured FundCLEAR and Bradley and fined them \$5,000. The NASD also ordered Bradley to requalify as a FINOP.

The Commission determined that FundCLEAR and Bradley were not entitled to include in net capital \$22,500 represented by two promissory notes payable to FundCLEAR, because the notes were secured by a bank money market account that was neither held in FundCLEAR's name nor under the firm's exclusive control. (Rel. 34-34735)

PUBLIC ADMINISTRATIVE PROCEEDINGS INSTITUTED AGAINST CORPORATE SECURITIES GROUP, INC., MATTHEW ZAHN, DR. GEORGE RIZOS AND CANDIA MAUSSER; CEASE AND DESIST PROCEEDINGS INSTITUTED AGAINST DR. GEORGE RIZOS AND CANDIA MAUSSER

The Commission announced that it instituted public administrative proceedings against Corporate Securities Group, Inc., a registered broker-dealer; Matthew Zahn, a registered representative; Dr. George M. Rizos, an unregistered individual; and Candia L. Mausser, a registered representative; and instituted cease and desist proceedings against Dr. George M. Rizos and Candia L. Mausser.

The Order Instituting Proceedings alleges that Mausser made material misstatements and omissions of fact in connection with an offering of Goldstar Corporation securities, in violation of the antifraud provisions of the federal securities laws.

The Order also alleges that Mausser effected sales of Goldstar securities without the approval of Corporate Securities, in violation of Section 15(a) of the Exchange Act.

The Order further alleges that Rizos aided and abetted and caused Mausser's violations of Section 15(a) by arranging for the Mausser to participate in an offering of Goldstar securities and assisting Mausser in selling the securities, and that Zahn and Corporate Securities failed reasonably to supervise Mausser with a view to preventing Mausser's violations of Section 15(a) of the Exchange Act.

A hearing will be scheduled to determine whether the allegations are true, and if so, what remedial action, if any, is appropriate; and whether a cease and desist order should issue against Rizos and Mausser. (Rel. 34-34737)

ORDER MAKING FINDINGS AND IMPOSING REMEDIAL SANCTIONS AGAINST ROBERT WESTON

The Commission entered an Order Making Findings and Imposing Remedial Sanctions (Order) in connection with previously instituted public administrative proceedings against Robert Weston (Weston) of West Long Branch, New Jersey. Weston submitted, and the Commission accepted, an Offer of Settlement (Offer) whereby, without admitting or denying any of the findings except as to jurisdiction, which are admitted, he consented to the entry of an Order. The Order contains findings which state that from December 1989 through May 1990, Weston willfully violated the antifraud provisions of the federal securities laws by distributing offering memoranda containing false and misleading statements of material fact concerning commissions and by failing to disclose his actual compensation arrangements. In addition, Weston failed to close the offering and promptly return investor funds after the offering failed to sell the specified minimum number of units by the specified offering closing date.

Based upon the Order and Weston's consent, the Commission barred Weston from association with any broker, dealer, municipal securities dealer, investment company or investment adviser with a right to re-apply after one year. (Rel. 34-34738; IA-1445)

ORDER INSTITUTING PROCEEDINGS, MAKING FINDINGS, AND IMPOSING REMEDIAL SANCTIONS AGAINST SHARON ALFONSO AND GLENIS VILA

The Commission entered an Order Instituting Proceedings Pursuant to Sections 17A(c) and 21C of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (Order) against Sharon N.

Alfonso (Alfonso) and Glenis M. Vila (Vila), the former control affiliates of Universal Transfers, Inc. (UTI), a Deerfield Beach, Florida based transfer agent. The Commission simultaneously accepted the Respondents' offers of settlement whereby they consented to the entry of an Order making findings, without admitting or denying the findings, that during various periods from at least January 18, 1990 through the present, the Respondents willfully violated and willfully aided and abetted and caused violations of the transfer agent recordkeeping, internal audit reporting, and disclosure provisions, Sections 17(a), 17(f), and 17A(d) of the Securities Exchange Act of 1934, and various rules thereunder.

The Order provides for bars against Alfonso and Vila from association with any transfer agent, broker, dealer, municipal securities dealer, investment company or investment adviser and allows Vila to reapply after two years, and enters a cease and desist order against Vila. The Commission determined that the Respondents do not have the financial ability to pay an administrative penalty. (Rel. 34-34739)

ADMINISTRATIVE PROCEEDINGS INSTITUTED AS TO LOUIS FOX

The Commission announced that on September 28 it instituted proceedings pursuant to Rules 2(e) of the Commission's Rules of Practice as to Louis Fox. Simultaneously, the Commission accepted Fox's settlement offer to consent to the entry of the Order without admitting or denying the findings. The Order as to Fox finds that he was permanently enjoined from violations of the antifraud provisions of the federal securities laws. The Final Judgment of Permanent Injunction was entered after the Commission filed a complaint on September 27, 1994, alleging that Fox failed to cause an accounting firm to disclose in its audit report included in the 1991 Form 10-K for PNF Industries, Inc. that it was not independent in conducting the audit of PNF's financial statements for fiscal year 1991. The Commission found that in conducting the audit of PNF's 1991 financial statements, Fox failed to apply generally accepted auditing standards and engaged in improper professional conduct, by, among other things, allowing PNF to account improperly for a business combination. The Order requires that Fox be denied the privilege of appearing or practicing before the Commission as an accountant. (Rel. 34-34740; AAE Rel. 606)

ADMINISTRATIVE PROCEEDINGS INSTITUTED AS TO JEFFREY PEARLMAN

The Commission announced that on September 28 it instituted proceedings pursuant to Rule 2(e) of the Commission's Rules of Practice as to Jeffrey R. Pearlman. Simultaneously, the Commission accepted Pearlman's settlement offer to consent to the entry of the Order without admitting or denying the findings. The Order finds that Pearlman was permanently enjoined by consent from violations of Rule 13b2-1 under the Securities

Exchange Act of 1934. The Final Judgment of Permanent Injunction was entered following the filing of a complaint by the Commission on September 27, 1994, alleging that Pearlman caused PNF Industries, Inc. to increase improperly a payable to a related party by causing PNF to record improperly a consulting fee and personal expenses of the related party as company expenses. The complaint alleged that the increased payable offset amounts owed by the related party to PNF for common stock warrant conversions and other common stock issuances. The Order requires that Pearlman be denied the privilege of appearing or practicing before the Commission, provided, however, that after three years Pearlman may apply to resume practice before the Commission upon certain showings. (Rel. 34741; AAE Rel. 607)

THE CHASE MANHATTAN BANK, N.A. SANCTIONED

The Commission announced the settlement of an enforcement action brought jointly with the Office of the Comptroller of the Currency (OCC) against The Chase Manhattan Bank, N.A. (Chase). Chase, without admitting or denying the findings, consented to the entry of a joint Order requiring it to permanently cease and desist from committing and causing any violations and future violations of Sections 17A and 17(f)(1) of the Exchange Act and Rules 17Ad-12 and 17f-1 thereunder and to pay a civil penalty of \$100,000.

The Order indicates that, as a transfer agent registered with the OCC, Chase's practices regarding the cancellation and destruction of cancelled certificates were inadequate. In addition, when Chase learned that certain cancelled certificates had resurfaced, Chase failed to file timely Missing/Lost/Stolen/Counterfeit Securities Reports on Form X-17F-1A. (Rel. 34-34784)

WILLIAM KILPATRICK SENTENCED

The Commission and the U.S. Attorney for Colorado, Henry S. Salono, announced that on September 21, 1994, U.S. District Court Judge Zita Weinshienk sentenced William A. Kilpatrick to 51 months imprisonment, \$82,500 fine, \$229,000 restitution, and three years supervised release.

Kilpatrick had been convicted on June 8, 1994 by a federal jury of eleven felony counts, including conspiracy, wire fraud, conspiracy to commit bank fraud, mail fraud, false statements to the SEC, and obstruction of justice. The conduct involved a sophisticated international scheme to manipulate the penny stock of his company, United Financial Operations, between February 1 and June 30, 1988. [U.S. v. William S. Kilpatrick, Criminal Action No. 93-CR-299, USDC, Colorado] (LR-14278)

COMPLAINT FILED IN "BOILER ROOM" SCHEME

The Commission announced that on September 30 in United States District Court for the Northern District of Texas, a complaint was filed against Gary S. Williky (Williky) and James J. Romano (Romano). The complaint charges the defendants with violating the antifraud provisions of the federal securities laws. In addition to permanent injunctive relief, the complaint seeks an order of accounting, disgorgement and the imposition of civil money penalties from each defendant.

Between April 1991 and June 1993, Williky and Romano allegedly engaged in a "boiler room" sales operation through Chelsea Street Securities, Inc. (Chelsea), a former registered broker-dealer. The complaint alleges that the defendants and salesmen under their control, made material misrepresentations and omissions to Chelsea customers and engaged in manipulative sales practices.

Defendant Williky also filed a Stipulation and Consent in which he consented to an order permanently enjoining him from engaging in further violations of the antifraud provisions of the federal securities laws and the entry of an Order directing him to pay disgorgement and a civil penalty in amounts to be determined by the parties, or by the Court. [SEC v. GARY S. WILLIKY AND JAMES J. ROMANO, USDC/ND/TX/Dallas Division; 3 :94-CV-2088-G] (LR-14280)

COMPLAINT FILED AGAINST ROYAL AMERICAN MANAGEMENT, CHARLES BAZARIAN AND OTHERS

The Commission today announced the filing of a complaint in the Western District of Oklahoma, against Royal American Management, Inc. (Royal American), its controlling officer, Charles J. Bazarian (Bazarian), its employees, Harry Bazarian (H. Bazarian), Richard K. Gerson (Gerson), and Derek V. States (States), and others including Anthony Escobar (Escobar) and Robert L. Gunther (Gunther), officers of Omnet Corporation and Remington Financial Group, Inc. , and Gary V. Lewellyn (Lewellyn), president of Performance Nutrition, Inc.

The Commission's complaint alleges that Bazarian and Royal American, a public relations and marketing company, controlled a majority of the outstanding shares of Omnet, Performance Nutrition and Remington and that the defendants, fraudulently increased the price of each stock through the dissemination of false and misleading press releases, and the preparation and dissemination of false financial statements for the companies. The complaint alleges that from December 1991 through approximately August 1992, the defendants made false and misleading statements concerning purported acquisitions, beneficial contracts and infusions of capital into the companies, and concerning the financial condition, operations and business prospects of the companies. Further, the complaint alleges that the defendants failed to disclose Bazarian's

control of the companies, his background of criminal convictions and his injunction for violations of the federal securities laws. According to the complaint, Bazarian profited by over \$7 million from the sale of stock in the three companies to the public. The Commission also alleges that Royal American, Bazarian, H. Bazarian, Gerson and States distributed and sold Omnet, Performance Nutrition and Remington common stock without being registered as a broker or dealer.

The Commission seeks permanent injunctions against the defendants, enjoining each of them from further violations of antifraud and securities registration provisions of the securities laws and enjoining Royal American, Bazarian, H. Bazarian, Gerson and States from violations of the Commission's broker-dealer registration provisions. In addition, the Commission seeks orders for a sworn accounting, disgorgement of all wrongfully obtained profits, civil penalties and, against Bazarian and Lewellyn, barring them from acting as an officer or director of any public company. [SEC v. ROYAL AMERICAN MANAGEMENT, INC., ET AL., USDC/WD/Oklahoma, Civil Action No. 94-1619-T] (LR-14281)

SEC SUES UNREGISTERED BROKER-DEALER AND INVESTMENT ADVISER FOR FRAUD

The Commission today announced the filing of a complaint in the Eastern District of Washington naming Lloyd D. Pankey (Pankey) and Continental Investment Services, Inc., (CISI) alleging violations of the anti-fraud and provisions of the Securities Act of 1933, the Securities Exchange Act of 1934 and the Investment Advisers Act of 1940. In addition the Commission alleges the defendants operated as unregistered broker-dealers and investment advisers.

Pankey and CISI are alleged to have made untrue and misleading statements concerning and Oregon based start-up company, Brendon Marshall Inc., (BMI) to investors, prospective investors and advisory clients, including statements about the expected profit, the use of funds being raised, the financial condition of the guarantors and the risks. The defendants failed to disclose they took no reasonable steps to learn about the history of the company or its principals or what would happen with the funds being raised. [SEC v. Continental Investment Services, Inc. and Lloyd D. Pankey, Civ. No. 94-0350-AAM, EDW] (LR-14282)

CRIMINAL ACTION AGAINST PATRICK IMESON

The Commission and the United States Attorney for the District of Oregon, Kristine Olson Rogers, today announced that on September 24 an Indictment was returned against Patrick W. M. Imeson (Imeson) alleging violations of the antifraud provisions of the Securities Exchange Act of 1934 and criminal contempt for violations of a court ordered injunction.

The Indictment charges that the Court's prior Injunction obtained by the SEC in 1987 permanently enjoined Imeson from violating the antifraud provisions of the Exchange Act by causing securities brokers to extend credit, by failing to pay for securities purchases.

The Indictment further charges that Imeson caused brokerage firms in the United States and Canada to purchase securities in the form of common stock on his behalf by falsely representing to those firms that he would timely and properly pay for the purchases, when Imeson in fact knew that he would not make the payment. It also charges that Imeson paid for securities purchases with checks drawn on accounts he knew to have insufficient funds; checks drawn on a closed account; and checks drawn on an account over which he had no signatory authority. [U.S. v. Patrick W. M. Imeson, Criminal Action No. 94-266-JO, D. Or.] (LR-14283)

COMPLAINT FILED AGAINST KENNETH MITCHELL WIGGINS, JR. AND WIGGINS & COMPANY, INC.

On September 30, the Commission filed a civil injunctive action against Kenneth Mitchell Wiggins, Jr. (Wiggins) and Wiggins & Company, Inc. (Wiggins & Co.) of Kent, Washington (collectively, Defendants) alleging violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint alleges that the Defendants violated Sections 17(a), 10(b) and Rule 10b-5 by making material misrepresentations and omissions of fact in the offer and sale of securities in the form of promissory notes coupled with assignments of fractionalized interests in three 19th Century Peruvian Gold Bonds.

The Commission's complaint alleges that from March 1987 through June 1992, Wiggins, through Wiggins & Co., raised about \$950,000 from 19 investors. When soliciting investors, the Defendants misrepresented and failed to state material facts concerning their ownership and control of the bonds and their right to assign interests in the bonds. Further, the Defendants represented to investors that the three Peruvian bonds, issued in 1875, would be worth \$28,000,000 to \$300,000,000 million in 1991, when in fact the bonds' interest ceased accruing in 1880 and the bonds are worth only a total of \$3,000. [SEC v. KENNETH MITCHELL WIGGINS, JR. AND WIGGINS & COMPANY, INC., Civil Action No. C-94-1455WD, W.D. Wa.] (LR-14285)

HOLDING COMPANY ACT RELEASES

EUA COGENEX CORPORATION

An order has been issued authorizing a proposal by EUA Cogenex Corporation (Cogenex), a wholly-owned non-utility subsidiary of Eastern Utilities Associates (EUA), a registered holding company.

Cogenex proposes to form and finance a new subsidiary, EUA Cogenex Canada, to consult and provide energy management and demand-side management services to institutional customers in Canada. Additionally, Cogenex proposes to provide consulting services worldwide. (Rel. 35-26135; International Series Rel. 722)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-4 NORWEST CORP, NORWEST CTR, SIXTH & MARQUETTE, MINNEAPOLIS, MN 55479
(612) 667-1234 - 1,465,000 (\$15,705,527) COMMON STOCK. (FILE 33-55533 - SEP. 19) (BR. 2)
- S-8 FURON CO, 29982 IVY GLENN DR, LAGUNA NIGUEL, CA 92677 (714) 831-5350 -
200,000 (\$3,562,500) COMMON STOCK. (FILE 33-55535 - SEP. 19) (BR. 5)
- S-3 MICHAELS STORES INC, 5931 CAMPUS CIRCLE DR, IRVING, TX 75063
(214) 714-7000 - 901,066 (\$38,633,204.75) COMMON STOCK. (FILE 33-55537 - SEP. 19) (BR. 2)
- S-8 TURNER BROADCASTING SYSTEM INC, ONE CNN CENTER, 100 INTERNATIONAL BLVD,
ATLANTA, GA 30303 (404) 827-1700 - 5,000,000 (\$92,800,000) COMMON STOCK.
(FILE 33-55539 - SEP. 19) (BR. 7)
- S-8 STORAGE EQUITIES INC, 600 N BRAND BLVD, SUITE 300, GLENDALE, CA 91203
(818) 244-8080 - 1,150,000 (\$17,034,375) COMMON STOCK. (FILE 33-55541 - SEP. 19) (BR. 6)
- S-8 SOUTHTRUST CORP, 420 N 20TH ST, BIRMINGHAM, AL 35203 (205) 254-5000 -
3,450,000 (\$72,450,000) COMMON STOCK. (FILE 33-55543 - SEP. 19) (BR. 1)
- S-8 FHP INTERNATIONAL CORP, 9900 TALBERT AVE, FOUNTAIN VALLEY, CA 92708
(714) 963-7233 - 100,000 (\$2,681,250) COMMON STOCK. (FILE 33-55545 - SEP. 20) (BR. 6)
- SB-2 VIDEO SENTRY CORP, 6365 CARLSON DRIVE, EDEN PRAIRIE, MN 55346
(612) 934-9900 - 1,840,000 (\$6,900,000) COMMON STOCK. (FILE 33-83786-C - SEP. 08) (BR. 9 - NEW ISSUE)
- SB-2 EIA TECHNOLOGIES, 1171 RAILROAD ST, CORONA, CA 91720 (909) 273-1144 -
805,760 (\$4,747,550) COMMON STOCK. 50,000 (\$100)
WARRANTS, OPTIONS OR RIGHTS. 784,596 (\$2,979,735) COMMON STOCK.
UNDERWRITER: SPELMAN & CO INC. (FILE 33-83898-LA - SEP. 09) (BR. 8 - NEW ISSUE)
- SB-2 PHILLIPS R H INC, 26836 COUNTY RD, SUITE 12A, ESPARTO, CA 95627
(916) 662-3215 - 1,600,000 (\$8,900,000) COMMON STOCK. 1 (\$100)
WARRANTS, OPTIONS OR RIGHTS. 160,000 (\$840,000) COMMON STOCK. (FILE 33-83914-LA - SEP. 12) (BR. 11 - NEW ISSUE)

REGISTRATIONS

- S-8 PRODUCERS ENTERTAINMENT GROUP LTD, 9150 WILSHIRE BLVD, STE 205, BEVERLY HILLS, CA 90212 (310) 285-0400 - 300,000 (\$450,000) COMMON STOCK. (FILE 33-84052 - SEP. 16) (BR. 12)
- S-8 HBO & CO, 301 PERIMETER CTR N, ATLANTA, GA 30346 (404) 393-6000 - 2,910 (\$55,028.10) COMMON STOCK. (FILE 33-84056 - SEP. 16) (BR. 10)
- S-8 DISCOUNT AUTO PARTS INC, 4900 FRONTAGE RD S, LAKELAND, FL 33801 (813) 687-9226 - 40,000 (\$860,000) COMMON STOCK. (FILE 33-84058 - SEP. 16) (BR. 1)
- S-8 SEA GALLEY STORES INC, 7116 220TH ST SW, MOUNTLAKE TERRACE, WA 98043 (206) 775-0411 - 3,675,724 (\$402,032.31) COMMON STOCK. (FILE 33-84060 - SEP. 16) (BR. 12)
- S-8 GATEWAY 2000 INC, 610 GATEWAY DR P O BOX 2000, NORTH SIOUX CITY, SD 57049 (605) 232-2000 - 536,172 (\$1,999,921.56) COMMON STOCK. (FILE 33-84116 - SEP. 16) (BR. 2)
- S-8 GATEWAY 2000 INC, 610 GATEWAY DR P O BOX 2000, NORTH SIOUX CITY, SD 57049 (605) 232-2000 - 20,000 (\$307,688) COMMON STOCK. (FILE 33-84118 - SEP. 16) (BR. 2)
- S-8 GATEWAY 2000 INC, 610 GATEWAY DR P O BOX 2000, NORTH SIOUX CITY, SD 57049 (605) 232-2000 - 6,066,060 (\$14,437,222.80) COMMON STOCK. (FILE 33-84120 - SEP. 16) (BR. 2)
- S-8 GATEWAY 2000 INC, 610 GATEWAY DR P O BOX 2000, NORTH SIOUX CITY, SD 57049 (605) 232-2000 - 546,000 (\$1,299,480) COMMON STOCK. (FILE 33-84122 - SEP. 16) (BR. 2)
- S-8 GATEWAY 2000 INC, 610 GATEWAY DR P O BOX 2000, NORTH SIOUX CITY, SD 57049 (605) 232-2000 - 1,937,208 (\$28,040,117.20) COMMON STOCK. (FILE 33-84124 - SEP. 16) (BR. 2)
- S-1 CAMPBELL STRATEGIC ALLOCATION FUND LP, 210 W PENNSYLVANIA AVE, C/O CAMPBELL & CO INC - CT TWRS BLDG, BALTIMORE, MD 21204 (410) 296-3301 - 26,367 (\$25,000,005.60) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: PAINEWEBBER INC. (FILE 33-84126 - SEP. 16) (BR. 12)
- S-3 LEGENT CORP, 575 HERNDON PKWY, HERNDON, VA 22070 (703) 708-3000 - 499,998 (\$11,937,452.25) COMMON STOCK. (FILE 33-84128 - SEP. 16) (BR. 10)
- SB-2 CONTINENTAL WASTE INDUSTRIES INC, 67 WALNUT AVE STE 103, CLARK, NJ 07066 (908) 396-0018 - 1,898,144 (\$18,625,538) COMMON STOCK. UNDERWRITER: JAMES RAYMOND & ASSOCIATES INC. (FILE 33-84130 - SEP. 16) (BR. 8)
- S-1 COMMUNITY INVESTORS BANCORP INC, 119 S SANDUSKY AVE, BUCYRUS, OH 44820 (419) 562-7055 - 1,124,125 (\$11,241,250) COMMON STOCK. UNDERWRITER: WEBB CHARLES & CO. (FILE 33-84132 - SEP. 16) (BR. 1 - NEW ISSUE)
- S-8 DEWOLFE COMPANIES INC, 80 HAYDEN AVE, LEXINGTON, MA 02173 (617) 863-5858 - 300,000 (\$975,000) COMMON STOCK. (FILE 33-84136 - SEP. 19) (BR. 5)
- S-8 INTERACTIVE MEDICAL TECHNOLOGIES LTD, 2139 PONTIUS AVE, LOS ANGELES, CA 90025 (310) 312-9652 - 150,000 (\$304,687.50) COMMON STOCK. (FILE 33-84138 - SEP. 19) (BR. 4)

REGISTRATIONS CONT.

S-1 TRANSPORT CORPORATION OF AMERICA INC, 10700 LYNDAL AVE SOUTH,
BLOOMINGTON, MN 55420 (612) 884-8854 - 2,472,500 (\$32,142,500)
COMMON STOCK. (FILE 33-84140 - SEP. 19) (BR. 4 - NEW ISSUE)

F-6 ARAN ENERGY PLC/ADR/, 1251 AVE OF THE AMERICAS,
C/O MORGAN STANLEY TRUST CO, NEW YORK, NY 10020 (212) 703-7599 -
30,000,000 (\$1,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE
33-84144 - SEP. 19) (NEW ISSUE)