

sec news digest

Issue 77-103
(SEC Docket, Vol. 14, No. 3 - June 7)

JUN 1 1977

May 27, 1977

DECISIONS IN ADMINISTRATIVE PROCEEDINGS

U.S. SECURITIES AND EXCHANGE COMMISSION

CONSOLIDATED BUSINESS SERVICES, INC.,
ROBERT S. MAUCK SANCTIONED

The Commission has instituted public administrative proceedings against Consolidated Business Services, Inc., a registered investment adviser in Columbus, Ohio and its president, Robert S. Mauck, and simultaneously accepted a combined offer of settlement from the respondents and has issued findings and an order imposing remedial sanctions. The order for proceedings alleged, among other things, that from September, 1973 thru June, 1976, Consolidated failed to comply with the custody and possession rules of the Investment Advisers Act of 1940. Furthermore, the order alleged that Consolidated failed to comply with the bookkeeping provisions of the Advisers Act and made loans to clients from a commingled account consisting of only clients' funds without disclosing these loans to clients who had an interest in said account. The Commission has imposed a sanction upon Consolidated in the form of a censure. A sanction in the form of a suspension for four calendar weeks is imposed upon Robert S. Mauck. Furthermore, Mauck, as a showing of good faith, undertakes to contribute an amount of \$700 to be applied as a credit against his clients' accounts. (Rel. IA-587)

NOTICE OF COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted pursuant to provisions of the Government in the Sunshine Act. In general, the Commission expects to follow a schedule of holding closed meetings on Tuesdays, and open meetings on Thursday mornings. Meetings on Wednesday, and if necessary on Thursday afternoons, will be either open or closed according to the requirements of agenda items under consideration. The Commission will not normally meet on Mondays or Fridays.

Visitors are welcome at all open meetings, insofar as seating is available.

Meetings will be held in the Commission Meeting Room on the eighth floor of the Commission's headquarters building at 500 North Capitol Street, Washington, D.C. All visitors are required to sign in and obtain passes at the Reception Desk in the lobby. Persons wishing to photograph or tape record Commission meetings must obtain permission in advance from the Secretary of the Commission.

Closed Meeting - Wednesday, June 1, 1977 - 10:00 a.m.

The subject matter of the June 1 closed meeting will be: (1) Formal Orders of Investigation; (2) Institution of injunctive actions; (3) Settlement of injunctive actions; (4) Referral of investigative files to Federal, State or Self Regulatory authorities; (5) Application for re-entry to the securities industry by disqualified persons; (6) Regulatory matters arising from or bearing enforcement implications; (7) Other litigation matters; (8) Freedom of Information Act Appeal.

Open Meeting - Thursday, June 2, 1977 - 10:00 a.m.

(1) Request for approval of application of Channel Companies, Inc. for an order exempting it from the reporting provisions pursuant to Section 12(h) of the Securities Exchange Act of 1934, for the period ending January 31, 1978, when its responsibilities thereunder would be suspended because it has only one shareholder.

(2) Request for approval of application of The Kansas City Star Company for an order exempting the company from the reporting provisions pursuant to Section 12(h) of the Securities Exchange Act of 1934, for the period ending December 31, 1977, when its responsibilities thereunder would otherwise be suspended.

(3) Recommendation concerning Commission authorization to the Division of Corporation Finance (a) to declare effective, pursuant to delegated authority, post-effective amendments to registration statements on Form S-16 which involve a plan of distribution of the common stock of Minnesota Mining and Manufacturing Company that contemplates the

writing of call options; and (b) to issue an interpretive letter, in the form of a Commission Release, stating that the delivery of shares subject to Rule 145(d) under the Securities Act of 1933 can be made by the seller of an exchange-listed call-option (written on Burroughs Corporation stock) without thereby violating the brokers' transaction requirement of Rule 144(f).

(4) Recommendation concerning Commission affirmation of the Division of Corporation Finance's denial, pursuant to delegated authority, of an extension of time to file Form 10-K annual report for fiscal year ended December 31, 1976, due March 31, 1977 with regard to United American Life Insurance Company.

(5) Recommendation concerning Commission affirmation of the Division of Corporation Finance's denial, pursuant to delegated authority, of an extension of time to file Form 10-K annual report for fiscal year ended December 31, 1976, due March 31, 1977 with regard to Chicken Unlimited Enterprises, Inc.

(6) Recommendation concerning proposed Commission adoption of new Rule 264 under the Regulation A exemption from the registration requirements of the Securities Act of 1933 to permit an order abandoning inactive filings as well as the delegation of this authority to the Regional Administrators.

(7) Proposal concerning Commission incorporation into a rule, the provisions of an order which has been granted on a relatively frequent basis, exempting certain persons from the status of interested person under the Investment Company Act and the Investment Advisers Act.

(8) Recommendation concerning appeal from staff's denial of access to certain records regarding Howard R. Hughes, Summa Corporation, and Hughes Air West with regard to the Freedom of Information Act Appeal of Abe Rosenberg.

(9) Proposed amendment to Commission's Conduct Regulations regarding procedures for law firms to obtain waivers of imputation of disqualification where the firm would be disqualified from participating in a particular matter before the Commission because a partner or an associate of the firm is personally disqualified by virtue of his or her previous employment with the government.

(10) Proposed transmission to the Congress of letter of comment expressing Commission views with respect to H.R. 557, 766, 1035, 1180 and 2301, bills which would require certain reporting by individuals engaged in lobbying activities.

(11) Application of Willard G. Berge for permission to be employed as a registered representative of a broker-dealer, in a non-supervisory, non-proprietary capacity, with adequate supervision.

(12) Proposed Rule and Form for withdrawal by Transfer Agents of registration with the Commission.

(13) Consideration of letter of comment to the President and the Congress on the Sixth Annual Report of the Securities Investor Protection Corporation.

(14) Proposed amendments to New York Stock Exchange Rule 405 - the "Know Your Customer Rule."

(15) Proposed delegation of authority to the Division of Market Regulation to exchange notices and reports of examination with bank regulatory agencies.

(16) Consideration of publication for comment proposed Exchange Act Rule 15b7-1 establishing qualification standards for brokers, dealers and associated persons; proposed rescission of Rule 15b8-1 concerning qualifications for SECO brokers, dealers and associated persons; proposed amendments to Rule 15b10-4 relating to supervision; proposed adoption of Form U-5 (notice of termination form); and proposed preliminary comments on National Association of Securities Dealers proposed amendments to qualifications requirements.

(17) Proposed affirmation of Duty Officer approval of extension of time for self regulatory organizations to file initial plans for allocation of regulatory responsibilities pursuant to Exchange Act Rule 17d-2 and approval of publication of notice of filing of six allocation plans.

(18) Proposed affirmation of Duty Officer approval of publication for comment on the National Securities Clearing Corporations plan for the consolidation of its three operating divisions.

(19) Proposed rescission by the New York Stock Exchange of a policy which prohibited direct communications by member organizations between the trading floors of different national securities exchanges.

Closed Meeting - Thursday, June 2, 1977 - 2:30 p.m.

The subject matter of the June 2 closed meeting will be: (1) Opinion.

TRADING SUSPENSIONS

TRADING SUSPENDED IN THREE COMPANIES

The SEC announced the single ten-day suspension of over-the-counter trading in the securities of TR-3 Chemical Corporation (TR-3), a Nevada corporation located in Anaheim, California, for the period beginning on May 26 and terminating at midnight (EDT) on June 4, 1977. The Commission ordered the suspension at the request of the company in view of the lack of adequate and accurate public information about the company's operations and financial condition. On April 12 TR-3 filed a Chapter XI Bankruptcy Petition in the U.S. District Court for the Central District of California. The petition states that TR-3 is unable to meet obligations as they fall due. The Orange County, California District Attorney's Office announced that Thomas Nevitt, TR-3's president, was arraigned May 6 on a felony complaint charging him with several counts of selling TR-3 securities without qualification as required by California law. (Rel. 34-13571)

The SEC also announced the single ten-day suspension of exchange and over-the-counter trading in the securities of Westamerica Automotive Corp. (Westamerica), a California corporation located in South Gate, California, for the period beginning on May 26 and terminating at midnight (EDT) on June 4, 1977. The Commission ordered the suspension because the company failed to comply with the reporting provisions of the Exchange Act by its failure to file with the Commission an annual report on Form 10-K for fiscal year ended December 31, 1976 and a quarterly report on Form 10-Q for quarter ended March 31, 1977, thus resulting in the lack of current adequate and accurate public information concerning the company's operations and financial condition. (Rel. 34-13572)

The SEC announced a third single ten-day suspension of exchange and over-the-counter trading in the securities of Escalade, Inc. (Escalade), a Delaware corporation located in Evansville, Indiana, for the period beginning on May 26 and terminating at midnight (EDT) on June 4, 1977. The Commission ordered the suspension at the request of the company in view of the lack of adequate and accurate public information about Escalade's financial condition. (Rel. 34-13573)

COURT ENFORCEMENT ACTIONS

WESTCO FINANCIAL CORPORATION, OTHERS INDICTED

The Denver Regional Office announced the return of an indictment by a federal grand jury in Denver, Colorado on May 12 charging Westco Financial Corporation, a registered broker-dealer of Denver, Charles Julius Johnson, an officer and director of Westco, also of Denver, with violating the antifraud provisions and the broker-dealer reporting provisions of the securities laws and Milford A. Sims of Cody, Wyoming with violating the antifraud provisions of the securities laws. The indictment charges in four counts that in the offer and sale of limited partnership interests in a land development the defendants violated the antifraud provisions of the securities laws. The indictment further charges that defendants Westco and Charles Julius Johnson, in the offer and sale of the securities of Westco and the securities of two other corporations of which Johnson was an officer and director and which were associated and affiliated with Westco, violated the antifraud provisions of the securities laws. In addition, the indictment charges that Westco, aided and abetted by Johnson, filed an annual report on Form X-17A-5 for 1974 which contained false and misleading information concerning Westco's financial condition. (U.S. v. Westco Financial Corporation, et al., D. Colo., CR-77-158). (LR-7942)

SEC FILES COMPLAINT AND PRELIMINARY INJUNCTION TO ENJOIN SHAREHOLDERS MEETING

The SEC announced the filing of a civil injunctive complaint in the U.S. District Court for the District of Columbia against Falstaff Brewing Corporation (Falstaff), Paul Kalmanovitz, chairman of the Falstaff board of directors, James S. McClellan, a former director of Falstaff and Ferdinand J. Gutting, formerly Falstaff's president and chairman of its board of directors. In connection with the filing of the complaint, the Commission has filed a motion for preliminary injunction seeking to enjoin Falstaff from convening its annual shareholders meeting presently scheduled to be held on June 7 in San Francisco, California and prohibiting the solicitation and voting of proxies in connection therewith. Simultaneously with the filing of the Commission's complaint,

without admitting or denying the allegations of the complaint, McClellan and Gutting have consented to the entry of orders enjoining them from future violations of the antifraud and reporting provisions of the securities laws. (SEC v. Falstaff Brewing Corporation, et al., D.D.C., Civil Action No. 77-0894). (LR-7943)

HOLDING COMPANY ACT RELEASES

NEW ENGLAND ELECTRIC SYSTEM

An order has been issued authorizing New England Electric System, a registered holding company, to issue and sell a \$27,500,000 unsecured note to an insurance company. (Rel. 35-20050 - May 26)

TRUST INDENTURE ACT RELEASES

THE STANDARD OIL COMPANY

A notice has been issued giving interested persons until June 20 to request a hearing on an application of The Standard Oil Company, an Ohio corporation, (Applicant), pursuant to Section 310(b)(1)(ii) of the Trust Indenture Act of 1939, declaring that the trusteeships of Citibank N.A. under an indenture with Applicant and an indenture with Sohio/BP Trans Alaska Pipeline Finance Inc. (which name has since been changed to Sohio/BP Trans Alaska Pipeline Capital Inc.), a Delaware corporation, is not so likely to involve a material conflict of interest as to make it necessary to disqualify Citibank from acting as trustee under one of such indentures. (Rel. TI-471)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed by Midwest Stock Exchange, Incorporated (SR-MSE-77-7) to effect a change in the execution procedure for dual issue odd-lot market orders from a next sale basis to a last sale basis. (Rel. 34-13570)

SECURITIES ACT REGISTRATIONS

(S-11) CENTURY PROPERTIES FUND XII

3000 Sand Hill Rd., Menlo Park, Cal. 94025 - 15,000 limited partnership units at \$1,000 per unit and 15,000 units of nonrecourse promissory notes at \$1,000 per unit, to be offered for sale by Fox & Carskadon Financial Corp., the general partner of the partnership, through Fox & Carskadon Securities Corp., 3000 Sand Hill Rd., Menlo Park, Cal. 94025. The minimum investment is \$5,000 in either partnership units or promissory notes, or \$2,000 in partnership units provided that a minimum of \$3,000 in promissory notes is purchased (or vice versa). The partnership has been formed to acquire from nonaffiliated persons income producing property with emphasis on shopping centers, industrial buildings and commercial properties which may be under construction or have operating histories. (File 2-58978 - May 10)

(S-1) TPC COMMUNICATIONS, INC.

445 Melwood St., Pittsburgh, Pa. 15213 - 225,000 shares of common stock and 225,000 common stock purchase warrants, to be offered for sale in units, each consisting of a share of common stock, and a common stock purchase warrant exercisable between 30 days and six months after the date of the prospectus at a price of \$4.25 per share, and eighteen months after the date of the prospectus at a price of \$4.75 per share. The units are proposed to be offered for sale at \$4.25 per unit through underwriters headed by L. C. Wegard & Co., Inc., U.S. Highway 130 & Levitt Parkway, Willingboro, N.J. 08046. The company provides television production services including television commercials, sports productions, industrial productions broadcast television program production and post-production services, and proprietary programming, as well as duplication and distribution services. (File 2-58989 - May 11)

(S-6) MULTIPLE MATURITY TAX-EXEMPT BOND TRUST, SIXTH SERIES

900 National City Bank Bldg., Cleveland, Ohio 44114 - \$10 million of units of beneficial interest. The Fund, a unit investment trust, will be created under a trust agree-

ment among Prescott, Ball & Turben, as sponsor, State Street Bank and Trust Company, as trustee, and Standard & Poor's Corp., as evaluator, on the date of the deposit of the municipal bonds in the portfolio of the Fund. The Fund will consist of two separate trusts formed for the purpose of gaining interest income free from Federal income taxes while at the same time giving investors the choice of investing in a trust consisting of a portfolio of municipal bonds with a medium-term fixed maturity or in a trust consisting of a portfolio of municipal bonds with various long-term maturities. (File 2-58990 - May 11)

(S-14) EG&G, INC.

45 William St., Wellesley, Mass. - 549,473 shares of common stock, proposed to be offered in exchange for the outstanding common stock of Princeton Applied Research Corporation, Princeton, N.J., at the rate of 0.587 EG&G shares for each Princeton share. EG&G provides a variety of scientific and technically oriented products, custom equipment, systems and related or specialized services to government and industrial customers. (File 2-59038 - May 17)

(S-16) CUMMINS ENGINE COMPANY, INC.

1460 N. National Rd., Columbus, Ind. 47201 - a maximum of 637,900 shares of common stock, issuable upon conversion of the company's outstanding shares of \$7.50 cumulative convertible preference stock, to be offered for sale after conversion by purchasers headed by The First Boston Corporation, 20 Exchange Pl., New York, N.Y. 10005. Cummins, with its subsidiaries and affiliates, manufactures and sells diesel engines and parts. (File 2-59093 - May 23)

(S-16) BUTLER INTERNATIONAL, INC.

East 81 State Highway 4, Paramus, N.J. 07652 - 170,427 shares of common stock, which may be offered for sale from time to time by certain stockholders at prices current at the time of sale. The company is engaged in motor carrier, aviation, and contract technical services. (File 2-59098 - May 24)

(S-6) MUNICIPAL INVESTMENT TRUST FUND,
THIRTEENTH INTERMEDIATE TERM SERIES

\$20 million of units of beneficial interest, to be offered for sale through underwriters headed by Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10006. The Fund, a unit investment trust, is to be created by a trust agreement among Merrill Lynch, Bache Halsey Stuart Inc. and Reynolds Securities Inc., as sponsors, The Bank of New York, as trustee, and Standard & Poor's Corp., as evaluator. The Fund's primary objective is providing tax exempt income through investment in a fixed portfolio of interest-bearing, intermediate term state, municipal and public authority bonds. (File 2-59101 - May 24)

(S-B) BRITISH COLUMBIA HYDRO AND POWER AUTHORITY (ISSUER)

970 Burrard St., Vancouver, British Columbia, Canada and PROVINCE OF BRITISH COLUMBIA (GUARANTOR) - \$175 million of bonds, Series EN, due 2007, unconditionally guaranteed as to principal, premium, if any, and interest by the Guarantor, to be offered for sale through underwriters represented by Kuhn Loeb & Co. Inc., 40 Wall St., New York, N.Y., Salomon Brothers, One New York Plaza, New York, N.Y., The First Boston Corp., 20 Exchange Pl., New York, N.Y., A. E. Ames & Co. Inc., Two Wall St., New York, N.Y., and McLeod, Young, Weir, Inc., 63 Wall St., New York, N.Y. The issuer is engaged principally in the generation, transmission and distribution of electricity and the distribution of gas. (File 2-59102 - May 24)

(S-1) ITT FINANCIAL CORPORATION

212 South Central Ave., St. Louis, Mo. 63105 - \$50 million of investment notes, due 18 months to 8 years from date of issuance, to be offered for sale directly by the registrant to institutional purchasers. ITT Financial Corporation is a holding company, subsidiaries of which are engaged principally in the consumer and commercial finance business and in the life and accident and health insurance business. (File 2-59104 - May 25)

(S-1) DESIGN PROFESSIONALS FINANCIAL CORPORATION

50 California St., San Francisco, Cal. 94111 - 50,000 shares of common stock. Rights to subscribe for additional shares of common stock on the basis of two shares for each share held of record, plus an oversubscription privilege will be offered to stockholders of record at July 1. The subscription price is \$9.00 per share. Any unsold shares will be later offered by the company at a subscription price of \$10.00 per share to design professionals and other members of the public. The company is a holding company principally engaged, through a subsidiary, in writing professional liability insurance for design professionals. (File 2-59108 - May 25)

(S-6) AMERICAN INCOME TRUST, SERIES 3

215 North Main St., West Bend, Wis. 53095 - \$4,725,000 of units, to be offered for sale at net asset value (\$1,050 maximum). The Trust is the third of a series of unit investment trusts proposed to be created under a trust indenture among B. C. Ziegler and Company, as sponsor, First Wisconsin Trust Company, as trustee, and Interactive Data Services, Inc., as evaluator. The Trust's primary objective is providing a high level of current income through investment in a fixed portfolio of intermediate to long-term debt obligations of corporations and other entities. (File 2-59111 - May 25)

(S-7) THE KANSAS POWER AND LIGHT COMPANY

818 Kansas Ave., Topeka, Kan. 66601 - \$40 million of first mortgage bonds, due 2007, proposed to be offered for sale through underwriters headed by Dean Witter & Co. Inc., 130 Liberty St., New York, N.Y. 10006 and Merrill Lynch, Pierce, Fenner & Smith Inc., One Liberty Plaza, 165 Broadway, New York, N.Y. 10006. The company is an operating public utility company primarily engaged in the business of producing and selling electricity and purchasing and selling natural gas. (File 2-59116 - May 25)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within 10 days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds 5%. The following companies or individuals filed Schedule 13D's during the period May 9 - 12, 1977. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner. (Continuation of acquisition reports from News Digest of May 26th).

<u>OFFER TO PURCHASE BY:</u>	<u>ISSUER & NUMBER OF SHARES TO BE PURCHASED</u>	<u>DATE FILED</u>
Altair Corporation	Harvest Industries, Inc. (Los Angeles, Calif.) Common Stock - 622,000 shs. for cash at \$5.25 net per share	5-9-77
NBS, Inc.	Needham, Harper & Steers, Inc. (New York City) Common Stock - All outstanding shares (Offer to Exchange on a share for share basis.)	5-9-77
Nationale-Nederlanden U.S. Corp. (Wholly-owned subsidiary of Nationale-Nederlanden N.V. (Netherlands))	Security Life & Accident Co. (Denver, Colo.) Series A Common Stock - All outstanding shares for cash at \$25.00 net per share	5-11-77
California-Western States Life Insurance Co. (Wholly-owned subsidiary of American General Insurance Co.)	National Public Service Insurance Co. (Seattle, Wash.) Capital Stock - All outstanding shares for cash at \$70.00 net per share	5-12-77

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events: ^{*/}

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Section (in ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

<u>COMPANY</u>	<u>ITEM NO.</u>	<u>DATE</u>
HEALTH CHEM CORP	3	05/04/77
HOWARD BROTHERS DISCOUNT STORES INC	2	04/77

NEWS DIGEST, May 27, 1977

RECENT BK FILINGS CONT.

II INC	1	03/31/77
KAISER ALUMINUM & CHEMICAL CORP	1	05/02/77
KAISER CEMENT & GYPSUM CORP	1	05/02/77
MICHIGAN RIVET CORP	2,5	04/77
NORTH CAROLINA TELEPHONE CO	5	04/29/77
NORTHWEST TELEPRODUCTIONS INC	2,6	05/02/77
OAKRIDGE HOLDINGS INC	2,3,7,8,13,14	12/76 *
QONAAR CORP	4	04/77
SCIENTIFIC EXPLORATION INC	1	05/09/77
SHIRLEY OF ATLANTA INC	2,5	05/77
SOUTHWEST LEASING CORP	5,6	03/23/77
SUPERCRETE LTD	11	04/29/77 *
TECHNICAL TAPE INC	5	03/29/77
AGWAY INC EMPLOYEE INCENTIVE THRIFT PLAN	7	04/77 *
COACHES OF AMERICA INC	2	03/77
HEALTHGARDE CORP	5	04/77
HEALTHGARDE CORP	5	04/77
LEADVILLE CORP	3	05/09/77
MCCULLCCH OIL CORP	NO ITEMS	05/12/77
MCLOUTH STEEL CORP	2,6	05/04/77
NEWPORT GENERAL CORP	5	05/77
NUTRITION WORLD INC	5	05/04/77
OLD STONE MORTGAGE & REALTY TRUST	5	05/12/77
PARK CHEMICAL CO	11	05/77 *
RH MEDICAL SERVICES INC	5	05/13/77
SULLIVAN COUNTY HARNESS RACING ASSOCIATI	5,6	04/28/77
SYSTEMS ENGINEERING LABORATORIES INC	5,6	04/25/76
UNITED STATES ENERGY CORP	13	04/77 *
VALMONT INDUSTRIES INC	5	05/09/77
VIRGINIA DATA CENTER INC	2	03/77
ACCELERATORS INC	1,14	05/05/77 *
ACE INDUSTRIES INC	13,14	05/77 *
ALLERGAN PHARMACEUTICALS INC	2,5,6	05/77
ALPINE GEOPHYSICAL ASSOCIATES INC	5	04/26/77
AMERICAN QUASAR PETROLEUM CO	7	04/77 *
AMTEL INC	2	05/09/77
ANALOGIC CORP	5	05/01/77
ANGELES CREST DEVELOPMENT CO INC	2,6	05/02/77
ARGENT CORP	2	05/02/77
ARMAC ENTERPRISES INC	5	05/77
ASAMERA OIL CORP LTD	1,5	05/02/77
BANCAL TRI STATE CORP	5,6	05/11/77
BANCSHARES OF NORTH CAROLINA INC	5,6	05/10/77
BROOKS FASHION STORES INC	4,6	05/06/77
CALTRAN SYSTEMS INC	2,14	04/77 *
CANDEL OIL LTD	4,6	04/28/77
CARDIFF INDUSTRIES INC	12	05/09/77 *
CARLSBERG INCOME PROPERTIES LTD	2	04/77
CARLSBERG MOBILE HOME PROPERTIES LTD 72	2,14	04/77 *
CASTLE INDUSTRIES INC TEXAS	5	04/12/77
CCI CORP	5	04/20/77
CHASE MANHATTAN MORTGAGE & REALTY TRUST	2	05/06/77
CHATEAU DE VILLE INC	13	05/77 *
CHESAPEAKE INDUSTRIES INC	4	05/13/77
CHESAPEAKE LIFE INSURANCE CO	11,14	05/77 *
CIBOLA LIFE INSURANCE CO	1	03/31/76
CIBOLA LIFE INSURANCE CO	12	05/31/76 *
CIBOLA LIFE INSURANCE CO	2,5	03/14/77
COLONY BEACH INC	1,6	05/07/77
CONTINENTAL INVESTORS LIFE INSURANCE CO	1,5,6	04/29/77
COOPER JARRETT INC	NO ITEMS	05/03/77
COUNTRY KITCHEN INTERNATIONAL INC	1	05/17/77
DATATRON INC	5	05/14/77
DAY MINES INC	11	05/77 *
DECAIR CORP	13	05/77 *
DEXTER CORP	2,5,6	05/09/77
DIXILYN CORP	1	05/05/77
DUDDYS INC	3	05/06/77
EASON OIL CO	1	05/04/77
EDWARDS ENGINEERING CORP	2,6	05/12/77
FARMLAND INDUSTRIES INC	2,6	05/02/77
FIRST KANSAS FINANCIAL INC	5,6	05/02/77
FIRST MELVILLE BANCORP INC	4,6	04/12/77

RECENT 8K FILINGS CONT.

FLORIDA EAST COAST RAILWAY CO	5	05/77
FLUID POWER PUMP CO	4,6	05/01/77
GAY GIBSON INC	3,4	03/18/77
GRANGER ASSOCIATES	5,6	05/77
GREAT LAKES DREDGE & DOCK CO	11,14	04/77 *
HI TECH INDUSTRIES INC	5,6	05/01/77
INTERNATIONAL BANKNOTE CO INC	5	05/11/77
INTERNATIONAL STRETCH PRODUCTS INC	2,6	05/05/77
INTERSTATE COMPUTER SERVICES INC	12,14	03/77 *
JASON EMPIRE INC	1	04/28/77
KAR PRODUCTS INC	1,2	05/13/77 *
KENAI DRILLING LTD	2,5,6	05/03/77
KRAFT INC	5	05/20/77
LEE ENTERPRISES INC	13	04/28/77 *
LINCOLN NATIONAL CORP	5	05/12/77
MACRODYNE INDUSTRIES INC	5	04/77
MARINE EXPLORATION CO INC	4	04/77
METRIDATA COMPUTING INC	5	05/10/77
MODINE MANUFACTURING CO	5	05/18/77
MYLES LEE CORP	13	04/77 *
NATIONWIDE HOMES INC	2,6	05/12/77
NATIONWIDE REAL ESTATE INVESTORS	5,6	05/16/77
NORIN CORP	5	05/11/77
OCCIDENTAL PETROLEUM CORP	5	05/18/77
PAPERCRRAFT CORP	NO ITEMS	04/27/77
PENN DIXIE INDUSTRIES INC	2,5,6	05/06/77
PIONEER INDUSTRIES INC	2,6	05/03/77
PRO FAC COOPERATIVE INC	2,6	05/09/77
PUEBLO INTERNATIONAL INC	2,5,6	05/08/77
QUASAR MICROSYSTEMS INC	7,14	02/77 *
RAGAN BRAD INC	5,6	05/16/77
REAL ESTATE EQUITIES CORP	2,5,6	03/77
RORER GROUP INC	2,5,6	04/77
RUSCO INDUSTRIES INC	13	05/10/77 *
SAMBOS RESTAURANTS INC	5	05/77
SCHOLL INC	5	05/77
SCOTTISH INNS OF AMERICA INC	2	05/13/77
SOUTHWESTERN GROUP FINANCIAL INC	2	05/77
SPIRAL METAL CO INC	2,5,6	05/09/77
STAR LITE INDUSTRIES	9,11,14	04/77 *
STARR BROADCASTING GROUP INC	4	05/05/77
STARR BROADCASTING GROUP INC	2	05/02/77

/ The Form 8-K was amended (Rel. 34-13156, January 13, 1977) effective for all events which occur subsequent to February 28, 1977. Those companies above which are noted with an asterisk () have filed pursuant to the old Form 8-K; the captions of the item numbers under which such reports are filed are as follows:

- | | |
|--|--|
| <i>Item 1. Changes in Control of Registrant</i> | <i>Item 9. Options to Purchase Securities</i> |
| <i>Item 2. Acquisition or Disposition of Assets</i> | <i>Item 10. Extraordinary items, other material charges and credits and capital restatements</i> |
| <i>Item 3. Legal Proceedings</i> | <i>Item 11. Submission of Matters to a Vote of Security Holders</i> |
| <i>Item 4. Changes in Securities</i> | <i>Item 12. Changes in Registrant's Certifying Accountant</i> |
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