

SEC NEWS DIGEST

Issue 2001-238

December 12, 2001

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

Following is a schedule of Commission meetings which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Open meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

CHANGE IN THE MEETING: CANCELLATION OF MEETING/ADDITIONAL MEETINGS

The open meeting scheduled for Thursday, December 13, 2001, has been cancelled, and rescheduled for Wednesday, December 19, 2001, at 10:00 a.m., in Room 1C30, the William O. Douglas Room. In addition to the open meeting scheduled for Wednesday, December 19, 2001, the Commission will hold a closed meeting on Tuesday, December 18, 2001, at 10:00 a.m.

CLOSED MEETING – TUESDAY, DECEMBER 18, 2001 – 10:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, December 18, 2001 will be: Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; and Formal orders of investigation.

OPEN MEETING – WEDNESDAY, DECEMBER 19, 2001 – 10:00 A.M.

The subject matter of the open meeting scheduled for Wednesday, December 19, 2001 will be:

1. The Commission will consider the Nasdaq Stock Market, Inc.'s request that the Commission interpret Section 28(e) of the Securities Exchange Act of 1934 to apply to riskless principal transactions in certain Nasdaq securities in light of recent amendments to Nasdaq's trade reporting rules.

For further information, please contact Catherine McGuire or Joseph Corcoran, Division of Market Regulation, at (202) 942-0073.

2. The Commission will consider whether to extend an order exempting broker-dealers from the requirement of Securities Exchange Act of 1934 Section 17(e)(1)(B) and Rule 17a-5(c) to regularly send certain financial information to their customers. To take advantage of the exemption, a broker-dealer must send its customers certain net capital information and must provide its customers instructions for obtaining the remainder of its required financial disclosures on its web site or by dialing a toll-free number for a paper copy. The current order (Exchange Act Release No. 42222, December 10, 1999) granted the exemption for two years as a pilot program ending December 31, 2001.

For further information, please contact Thomas K. McGowan, Assistant Director, Division of Market Regulation, at (202) 942-4886.

3. The Commission will consider whether to adopt amendments to the disclosure requirements under the Securities Exchange Act of 1934 applicable to annual reports filed on Forms 10-K and 10-KSB and to proxy and information statements. The amendments will enhance disclosure about equity compensation plans, including the number of outstanding options, warrants and rights, as well as the number of securities remaining available for future issuance. The amendments require registrants to provide information separately for plans that have not been approved by security holders.

For further information, please contact Mark A. Borges, Office of Rulemaking, Division of Corporation Finance, at (202) 942-2910.

4. The Commission will consider whether to adopt an amendment to Rule 135b under the Securities Act of 1933. The amendment will clarify that an Options Disclosure Document prepared pursuant to Rule 9b-1 under the Securities Exchange Act of 1934 is not a prospectus and therefore is not subject to liability under Section 12(a)(2) of the Exchange Act.

For further information, please contact Ray Be, Office of Rulemaking, Division of Corporation Finance, at (202) 942-2910.

5. The Commission will consider whether to propose an amendment to Rule 146 under the Securities Act of 1933. The proposed amendment provides a definition of the term "qualified purchaser" for purposes of Section 18(b)(3) of the Securities Act and thus posits an additional "covered security" preempting state securities registration and review.

For further information, please contact Marva Simpson, Office of Small Business Policy, Division of Corporation Finance, at (202) 942-2950.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

CHANGE IN THE MEETING: TIME CHANGE

The closed meeting scheduled for Thursday, December 13, 2001, following the 10:00 a.m. open meeting, has been changed to Friday, December 14, 2001 at 10:00 a.m.

SEC ISSUES FINANCIAL DISCLOSURE CAUTIONARY ADVICE

Today we are issuing cautionary advice to remind company management, auditors, audit committees, and their advisors that investors increasingly deserve and demand full transparency of accounting policies and their effects in the annual reports that public companies are required to file with us. Accordingly, the selection and application of a company's accounting policies used when preparing these reports must be appropriately reasoned.

We intend to consider new rules during the coming year to elicit more precise disclosures about the accounting policies that company management believes are most "critical" — important to the portrayal of a company's condition and results, and requiring management's most difficult, subjective or complex judgments.

The Commission's cautionary advice can be accessed on the SEC website at www.sec.gov/pdf/33-8040.pdf. (Press Rel. 2001-147)

ENFORCEMENT PROCEEDINGS

ALAN BOND INDICTED FOR DEFRAUDING CLIENTS IN TRADE ALLOCATION SCHEME

On December 7, the United States Attorney's Office for the Southern District of New York (USAO) indicted Alan Bond (Bond), charging him with investment advisory fraud, mail fraud, and false statements on Forms ADV. The indictment is based on allegations that Bond, through his money management firm, Albriond Capital Management, LLC (Albriond), defrauded clients through an unlawful trade allocation scheme. Bond had previously been indicted by the USAO and sued by the Securities and Exchange Commission (Commission) in December 1999 on a different scheme in which he allegedly received millions of dollars in brokerage commission kickbacks.

The new indictment alleges that from approximately March 2000 to July 2001, while trading for his own personal account and the accounts of three institutional clients, Bond

allocated the vast majority of profitable trades to his own personal account, while allocating the vast majority of unprofitable trades to client accounts. As a result of this scheme, Bond's clients allegedly lost nearly \$57 million, representing investment losses ranging from 64% to 73%. In contrast, during the same period, Bond allegedly personally gained approximately \$6.6 million, representing an investment return of approximately 5,487%.

In August 2001, based on the same allegations in the USAO's indictment, the Commission obtained an order temporarily restraining Bond and Albriond from violating the antifraud provisions of the securities laws and freezing their assets with an allowance for reasonable living expenses and attorneys fees. In October 2001, the Commission obtained an order preliminarily enjoining Bond and Albriond from violating the antifraud provisions of the securities laws and continuing the asset freeze, subject to certain provisions. The Commission is seeking permanent injunctions and civil money penalties against Bond and Albriond, and disgorgement from Bond. The Commission's case against Bond and Albriond is stayed pending resolution of the criminal case. [SEC v. Alan Brian Bond, Robert I. Spruill and Albriond Capital Management, LLC, Civil Action No. 99 Civ. 12092 (RO) (S.D.N.Y.)]; [U.S. v. Alan Bond, 01-CR-1140 (S.D.N.Y.)] (LR-17266)

SEC SETTLES CLAIMS INVOLVING INSIDER TRADING AGAINST SIX DEFENDANTS

The Commission today announced that on December 7, 2001, the Honorable Victor Marrero of the United States District Court for the Southern District of New York entered individual Final Judgments of permanent injunction and other relief against defendants John Freeman ("Freeman") of Brooklyn, New York, Lawrence Schwartz ("Schwartz") of New York, New York, Anthony Seminara ("Seminara") of Long Beach, New York, Bradley Burke ("Burke") of New York, New York, Benton Erskine ("Erskine") of Charleston, West Virginia, and Benjamin Cooper ("Cooper") of Bowling Green, Kentucky. These judgments settle the Commission's claims against these six defendants in a civil action filed by the Commission on March 14, 2000, alleging that from 1997 through January 2000, these six defendants and others engaged in a widespread insider trading scheme that produced over \$8 million in illegal profits from trading in the securities of 23 public companies.

At the time of the unlawful conduct alleged in the Complaint, Freeman was a part-time word processor who was assigned by the temporary agency where he worked to two Wall Street investment banking firms, Goldman Sachs & Co. Inc., and Credit Suisse First Boston Corporation. According to the Complaint, as a temporary employee at the two firms, Freeman was able to gain access to material nonpublic information regarding numerous merger and acquisition transactions. Freeman and two individuals he met in an Internet chat room, Erskine and James Cooper, launched the insider trading scheme. Erskine traded in advance of sixteen transactions. James Cooper tipped his brother, Benjamin Cooper, who traded in advance of fourteen transactions. Schwartz, a friend of Freeman and one of the most active traders, purchased securities in advance of twenty-two transactions. Seminara, a co-worker of Freeman, traded in advance of eight transactions. Burke, who worked with Freeman as a temporary employee at Credit

Suisse, provided information he received at Credit Suisse to Freeman to pass to Freeman's network of tippees.

Without admitting or denying the allegations in the Complaint, the defendants consented to the entry of Final Judgments that permanently enjoin them from future violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5, and with the exception of Burke, violations of Section 14(e) of the Exchange Act and Rule 14e-3. Freeman was ordered to pay disgorgement in the amount of \$3,734,795 with pre-judgment interest in the amount of \$429,658.84, but payment was waived for the amount exceeding \$22,000 based upon his sworn Statement of Financial Condition. Schwartz was ordered to pay disgorgement in the amount of \$786,402 with pre-judgment interest in the amount of \$97,689, and a civil penalty of \$786,402 plus postjudgment interest within eighteen months. Burke was ordered to pay disgorgement in the amount of \$345,066 with pre-judgment interest in the amount of \$63,247.72, but payment of the full amount was waived based upon his sworn Statement of Financial Condition. Erskine was ordered to pay disgorgement in the amount of \$363,464 with pre-judgment interest in the amount of \$41,813.68, but payment was waived for the amount exceeding \$25,000 based upon his sworn Statement of Financial Condition. Seminara was ordered to pay disgorgement in the amount of \$40,748 with pre-judgment interest in the amount of \$7,468.77, but payment was waived for the amount exceeding \$25,000 based upon his sworn Statement of Financial Condition. Cooper was ordered to pay disgorgement in the amount of \$149,623 with pre-judgment interest in the amount of \$18,310.56, but payment was waived for the amount exceeding \$11,000 based upon his sworn Statement of Financial Condition. Civil penalties were not imposed against defendants Freeman, Burke, Erskine, Seminara, and Cooper based upon each defendant's sworn Statement of Financial Condition. Each of the six defendants previously pleaded guilty in parallel criminal proceedings filed by the United States Attorney's Office for the Southern District of New York. [SEC v. John Freeman, James Cooper, Benton Erskine, Anthony Seminara, Norman Lehrman, Linda Karlsen, Timothy Siemers, Norman Grossman, Lawrence Schwartz, Michael Akva, Robert Fricker, Richard Zelman, Bradley Burke, Benjamin Cooper, Chad L. Conner, Deon Benson, Gordon K. Allen, Jr., Jon Geibel, and William H. Borders II, 00 Civ. 1963 (VM) (Southern District of New York)] (LR-17267)

INVESTMENT COMPANY ACT RELEASES

MMA PRAXIS MUTUAL FUNDS AND MMA COMMUNITY DEVELOPMENT INVESTMENTS, INC.

An order has been issued on an application filed by MMA Praxis Mutual Funds (Praxis Funds) and MMA Community Development Investments, Inc. (MMA-CDI) exempting applicants from Section 17(a) of the Investment Company Act and permitting certain transactions under Section 17(d) of the Act and Rule 17d-1 under the Act. The order permits the Praxis Funds to invest indirectly in community development programs by investing in notes issued by MMA-CDI. (Rel. IC-25315 – December 11)

BLUE CROSS AND BLUE SHIELD OF KANSAS, INC.

A notice has been issued giving interested persons until January 7, 2002, to request a hearing on an application filed by Blue Cross and Blue Shield of Kansas, Inc. for an order under Sections 6(b) and 6(e) of the Investment Company Act exempting an escrow account established by the applicant from all provisions of the Act, except Section 9 and Sections 36 through 53 of the Act, and the rules and regulations under those sections. (Rel. IC-25316 – December 11)

SECURITY BENEFIT LIFE INSURANCE COMPANY, ET AL.

An order has been issued on an application filed by Security Benefit Life Insurance Company (Security Benefit) and First Security Benefit Life Insurance and Annuity Company of New York (First Security Benefit), SBL Variable Annuity Account XIV (Separate Account), and Security Distributors, Inc. granting exemptions under Section 6(c) of the Investment Company Act of 1940 (Act) from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder, to the extent necessary to permit the recapture, under specified circumstances, of certain credit enhancements applied to the contract value under (a) two flexible premium deferred variable annuity contracts issued by Security Benefit through the Separate Account (Contracts), and (b) contracts offered by Security Benefit and First Security Benefit that are substantially similar in all material respects to the Contracts. (Rel. IC-25317 – December 11)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-8 Z TEL TECHNOLOGIES INC, 601 S. HARBOUR ISLAND BLVD, SUITE 220,
TAMPA, FL
33602 (813) 273-6261 - 4,025,254 (\$6,178,764.89) COMMON STOCK. (FILE
333-74554 - DEC. 05) (BR. 7)

S-8 DIGITAL DESCRIPTOR SYSTEMS INC, 2010F CABOT BLVD WEST, LANGHORNE, PA

19047 (215) 752-0963 - 6,560,831 (\$393,650) COMMON STOCK. (FILE 333-74556 - DEC. 05) (BR. 3)

S-3 AFFILIATED MANAGERS GROUP INC, TWO INTERNATIONAL PLACE, 23RD FL, BOSTON, MA 02110 (617) 747-3300 - 750,000,000 (\$750,000,000) PREFERRED STOCK. (FILE 333-74558 - DEC. 05) (BR. 7)

S-8 QUEST RESOURCE CORP, 701 EAST MAIN STREET, P.O. BOX 100, BENEDICT, KS 66714 ((31) 6)6-98-2 - 250,000 (\$200,000) COMMON STOCK. (FILE 333-74560 - DEC. 05) (BR. 3)

S-3 MEDTOX SCIENTIFIC INC, 402 WEST COUNTY ROAD D, ST PAUL, MN 55112 (612) 636-7466 - 261,259 (\$2,873,849) COMMON STOCK. (FILE 333-74562 - DEC. 05) (BR. 1)

S-1 OM GROUP INC, 50 PUBLIC SQ, STE 3500, CLEVELAND, OH 44113 (216) 781-0083 - \$237,595,750 COMMON STOCK. (FILE 333-74566 - DEC. 05) (BR. 2)

S-4 DPL INC, PO BOX 8825, DAYTON, OH 45401 (513) 224-6000 - 400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-74568 - DEC. 05) (BR. 2)

S-8 SOUTHTRUST CORP, 420 N 20TH ST, BIRMINGHAM, AL 35203 (205) 254-5000 - 27,866 (\$586,300.64) COMMON STOCK. (FILE 333-74570 - DEC. 05) (BR. 7)

S-4 EASTMAN KODAK CO, 343 STATE ST, ROCHESTER, NY 14650 (716) 724-4000 - 200,000 (\$1,466,000) COMMON STOCK. (FILE 333-74572 - DEC. 05) (BR. 5)

S-3 RF MICRO DEVICES INC, 7628 THORNDIKE ROAD, GREENSBORO, NC 27409 (336) 678-5555 - 1,159,171 (\$28,515,606) COMMON STOCK. (FILE 333-74578 - DEC. 05) (BR. 5)

S-8 CELANESE AG, FRANKFURTERSTRASSE III, D-61476, KRONBERG TAURUS, I8 00000 (496) 930-5450 - 2,000,000 (\$36,190,000) COMMON STOCK. (FILE 333-74580 - DEC. 05) (BR. 6)

S-4 CHESAPEAKE ENERGY CORP, 6100 N WESTERN AVE, OKLAHOMA CITY, OK 73118 (405) 848-8000 - 250,000,000 (\$250,000,000) STRAIGHT BONDS. (FILE 333-74584 - DEC. 05) (BR. 4)

S-8 PLAYTEX PRODUCTS INC, PO BOX 7016, DOVER, DE 19903 (203) 341-4000 - 2,000,000 (\$19,880,000) COMMON STOCK. (FILE 333-74586 - DEC. 05) (BR. 2)

S-8 AMERICAN IR TECHNOLOGIES INC, 3080 W POST ROAD, LAS VEGAS, NV 89118 (702) 368-4571 - 5,000,000 (\$377,000) COMMON STOCK. (FILE 333-74588 -

DEC. 05) (BR. 1)

S-3 SCIENTIFIC GAMES CORP, 750 LEXINGTON AVE, NEW YORK, NY 10022
(302) 737-4300 - 5,447,588 (\$37,315,977.80) COMMON STOCK. (FILE 333-
74590
- DEC. 05) (BR. 3)

S-8 ABRAXAS PETROLEUM CORP, 500 N LOOP 1604 E STE 100, SAN ANTONIO, TX
78232
(210) 490-4788 - 410,089 (\$512,611) COMMON STOCK. (FILE 333-74592 -
DEC. 05) (BR. 4)

S-8 INTERNATIONAL FUEL TECHNOLOGY INC, 7777 BONHOMME, SUITE 1920, ST.
LOUIS,
MO 63105 (314) 727-3333 - 2,500,000 (\$1,225,000) COMMON STOCK. (FILE
333-74596 - DEC. 05) (BR. 4)

S-8 HYPERDYNAMICS CORP, 9700 BISSONNET SUITE 1700, HOUSTON, TX 77036
(713) 660-9771 - 3,500,000 (\$1,680,000) COMMON STOCK. (FILE 333-74608
-
DEC. 05) (BR. 8)

S-8 ZORAN CORP \DE\, 3112 SCOTT BOULEVARD, SUITE 255, SANTA CLARA, CA
95054
(408) 919-4111 - 1,400,000 (\$47,977,500) COMMON STOCK. (FILE 333-74610
-
DEC. 05) (BR. 5)

S-8 MEDICINES CO/ MA, ONE CAMBRIDGE CTR, CAMBRIDGE, MA 02142 (617) 225-
9099
- 1,250,000 (\$12,725,000) COMMON STOCK. (FILE 333-74612 - DEC. 05)
(BR. 1)

S-8 ABRAXAS PETROLEUM CORP, 500 N LOOP 1604 E STE 100, SAN ANTONIO, TX
78232
(210) 490-4788 - 5,000,000 (\$6,250,000) COMMON STOCK. (FILE 333-74614
-
DEC. 05) (BR. 4)

S-3 ANNALY MORTGAGE MANAGEMENT INC, 1500 HARBOR ST, WEEHAWKEN, NJ 07087
(201) 223-1900 - \$500,000,000 COMMON STOCK. (FILE 333-74618 - DEC. 05)
(BR. 5)

S-8 FIRST AMERICAN FINANCIAL CORP, 1 FIRST AMERICAN WAY, SANTA ANA, CA
92707
(714) 558-3211 - 3,000,000 (\$45,900,000) COMMON STOCK. (FILE 333-74620
-
DEC. 05) (BR. 1)

S-8 QWEST COMMUNICATIONS INTERNATIONAL INC, 1801 CALIFORNIA ST, DENVER,
CO
80202 (303) 992-1400 - 12,000,000 (\$141,240,000) COMMON STOCK. (FILE
333-74622 - DEC. 05) (BR. 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
AAMES CAPITAL CORP	CA									X	10/25/01	
AAMES CAPITAL CORP	CA									X	11/26/01	
AAMES FINANCIAL CORP/DE	DE									X	10/01/30	
ALABAMA GAS CORP	AL									X	12/11/01	
ALLIANT TECHSYSTEMS INC	DE					X		X			12/10/01	
ALLOY INC	DE							X			09/28/01	AMEND
ALLOY INC	DE		X					X			11/26/01	
ALLURE COSMETICS LTD	DE					X		X			11/30/01	
AMC ENTERTAINMENT INC	DE					X		X			12/27/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-D	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-1	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001 C	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-A	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-B	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-D	DE					X		X			12/06/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2001-1	DE					X		X			12/06/01	
AMERIGON INC	CA							X			11/27/01	
AMORTIZING RESI COLLATERAL TR MORT PAS THRU CER SER 2001-BC1	DE	X									10/25/01	
AMORTIZING RESIDENTIAL COL TR MOR P	DE	X									11/26/01	

AS THR CER SER 2001-BC2					
APPLERA CORP	DE		X	X	12/12/01
ARAMARK CORP	DE	X		X	11/30/01
ARCH WIRELESS COMMUNICATIONS INC	DE		X	X	12/06/01
ARCH WIRELESS INC	DE		X	X	12/06/01
ASD GROUP INC	DE	X		X	10/05/01
ASSET BACKED CERTIFICATES SERIES 20 01-2	DE	X			11/25/01
ASTRALIS PHARMACEUTICALS LTD	CO		X	X	11/02/01 AMEND
ATRIX LABORATORIES INC	DE		X	X	12/07/01
ATWOOD OCEANICS INC	TX		X		12/11/01
AUTOFUND SERVICING INC	NV		X		12/31/01
AUTOFUND SERVICING INC	NV		X		12/31/01 AMEND
AVATEX CORP	DE		X		12/07/01
AVISTA CORP	WA		X	X	12/03/01
AVNET INC	NY			X	X 12/10/01
AZUL HOLDINGS INC	DE		X		12/10/01
BANC ONE FINANCIAL SERVICES HOME EQ UNITY LOAN TRUST 1999-1	NY	X			11/25/01
BCT INTERNATIONAL INC /	DE		X		11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	X			11/25/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000-1	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-2	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-5	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-6	DE	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-7	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-7	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-8	NY	X			11/26/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THRO CERT SERIES 2001-3	NY	X			11/25/01
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THRO CERT SERIES 2001-4	NY	X			11/26/01
BEAR STEARNS AS BCK SEC TR 2001-AC1 AS-BCK CER SER 2001-AC1	DE	X			11/26/01
BEAR STEARNS ASSET BACKED SEC INC I RWIN HOME EQ LO TR 2001-2	DE	X			11/26/01
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE		X	X	11/30/01
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE		X	X	12/07/01
BEAR STEARNS ASSET BACKED SECURITIE S TRUST 2001-2	NY	X			11/25/01

BICO INC/PA	PA		X		12/06/01	
BIG BUCK BREWERY & STEAKHOUSE INC	MI		X	X	12/07/01	
BIOTRANSPLANT INC	DE		X	X	12/05/01	
BOISE CASCADE CORP	DE		X	X	12/05/01	
BOTTOMLINE TECHNOLOGIES INC /DE/	DE				X	12/10/01
BRE PROPERTIES INC /MD/	MD		X	X	12/07/01	
BRESLER & REINER INC	DE		X	X	11/26/01	
CAMELOT CORP	CO		X		12/11/01	
CAPITAL PROPERTIES INC /RI/	RI		X	X	12/06/01	
CHASE FUNDING INC	NY		X	X	11/26/01	
CHASE FUNDING INC	NY		X	X	12/10/01	
CHASE MORTGAGE FINANCE CORP	DE		X	X	11/26/01	
CHEVY CHASE BANK FSB	MD			X	12/11/01	
CITY HOLDING CO	WV		X	X	11/27/01	
CLEVELAND CLIFFS INC	OH				X	12/10/01
COASTAL CARIBBEAN OILS & MINERALS LTD	DO		X	X	11/27/01	
COLLAGENEX PHARMACEUTICALS INC	DE		X	X	12/10/01	
CORPORATE ASSET BACKED CORP	DE			X	12/03/01	
COTTAGE INVESTMENTS INC	NV		X		12/10/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE		X	X	11/25/01	
CSK AUTO CORP	DE		X	X	12/07/01	
CV THERAPEUTICS INC	DE		X	X	12/04/01	
CYTOMEDIX INC	DE		X	X	12/10/01	
D&E COMMUNICATIONS INC	PA		X	X	12/03/01	
DAN RIVER INC /GA/	GA		X	X	12/10/01	
DECISIONLINK INC	DE		X	X	12/06/01	
DELPHI AUTOMOTIVE SYSTEMS CORP	DE			X	12/10/01	
DELTA FUNDING CORP HOME EQUITY LOAN ASSET BK CERT SER 2001-2	NY	X			11/25/01	
DEMAND FINANCIAL INTERNATIONAL LTD	NV		X	X	12/06/01	
DENTAL RESOURCES INC	MN		X	X	12/11/01	
DEVON ENERGY CORP/DE	DE		X		12/11/01	
DIGITAL COMMERCE INTERNATIONAL INC	DE		X		03/19/01	AMEND
DIGITAL COMMERCE INTERNATIONAL INC	DE		X		06/19/01	AMEND
DIMON INC	VA		X	X	12/07/01	
DIVINE INC	DE	X		X	12/05/01	
DYNAMIC HEALTH PRODUCTS INC	FL	X		X	11/30/01	
DYNAMIC REALITY INC	NV		X	X	12/06/01	
EDGE PETROLEUM CORP	DE		X		12/06/01	
EGL INC	TX		X	X	12/10/01	
EMPYREAN BIOSCIENCE INC	WY		X	X	12/07/01	
ENERGEN CORP	AL				X	12/11/01
ENTERGY ARKANSAS INC	AR		X		12/10/01	
ENTERGY CORP /DE/	DE		X		12/10/01	
EVERGREEN RESOURCES INC	CO		X	X	12/10/01	
EVISION USA COM INC	CO	X	X	X	11/28/01	
EXHAUST TECHNOLOGIES INC	WA		X		11/30/01	
FERRO CORP	OH	X		X	09/07/01	AMEND
FIBERCORE INC	NV		X		12/06/01	
FINANCIAL ASSET SEC CORP ASSET BACKED CERTS SERIES 2001 FF1	NY	X			11/26/01	
FINANCIALCONTENT INC	DE		X		11/26/01	

FIRST HORIZON ASSET SECURITIES INC	DE		X	X	11/30/01	
GAMA COMPUTER CORP	DE			X	10/01/01	AMEND
GAMA COMPUTER CORP	DE		X	X	11/07/01	AMEND
GE CAPITAL COMMERCIAL MORT CORP COM	DE		X	X	12/10/01	
MRT PS THR CRT SE 2001-3						
GL ENERGY & EXPLORATION INC			X	X	12/06/01	
GLOBAL EXPRESS CAPITAL REAL ESTATE			X	X	11/15/01	
INVESTMENT FUND I LLC						
GLOBALSANTAFE CORP			X	X	12/05/01	
GMACM MORTGAGE LOAN TRUST MORT PASS	NY	X			11/25/01	
THR CERT SERIES 2001-J1						
GREENE COUNTY BANCSHARES INC	TN		X	X	12/07/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE		X	X	11/30/01	
GROUP 1 SOFTWARE INC	DE	X		X X	12/04/01	
GTECH HOLDINGS CORP	DE			X X	12/10/01	
HADRO RESOURCES INC	NV	X			12/05/01	AMEND
HALLIBURTON CO	DE		X	X	12/07/01	
HAUSER INC	DE		X	X	12/07/01	
HEALTH & NUTRITION SYSTEMS INTERNAT	FL		X		12/03/01	
IONAL INC						
HERSHA HOSPITALITY TRUST	MD	X			12/07/01	
HOMESIDE LENDING INC	FL		X	X	12/01/01	
HORIZON PCS INC	DE		X	X	12/10/01	
IMAGE SYSTEMS CORPORATION	MN		X		12/07/01	
IMPAC SECURED ASSETS CORP MORT PASS	CA	X			11/25/01	
THR CERT SER 2001-2						
INFORTE CORP	DE			X	12/10/01	
INTERPUBLIC GROUP OF COMPANIES INC	DE		X	X	12/10/01	
IRWIN HOME EQUITY LN TR HM EQ LN-BK	NY	X			11/26/01	
TERM NT SER 2001-1						
ISIS PHARMACEUTICALS INC	DE		X	X	11/26/01	
JDS UNIPHASE CORP /CA/	DE			X X	09/24/01	
JOSHUA TREE CONSTRUCTION INC	NV			X X	11/20/01	
JUPITER MEDIA METRIX INC	DE		X	X	12/07/01	
KAMAX CORP	CT	X			09/25/01	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE		X	X	12/11/01	
KENT FINANCIAL SERVICES INC	DE		X		12/04/01	
KEYSTONE AUTOMOTIVE INDUSTRIES INC	CA		X	X	11/26/01	AMEND
KROGER CO	OH		X	X	12/11/01	
LABONE INC/	MO			X	09/14/01	AMEND
LACLEDE STEEL CO /DE/	DE		X	X	11/30/01	
LAHAINA ACQUISITIONS INC	CO		X		12/31/01	AMEND
LAHAINA ACQUISITIONS INC	CO		X		12/31/01	AMEND
LANDMARK SYSTEMS CORP	VA		X	X	12/06/01	
LANTE CORP	DE		X	X	12/09/01	
LEVEL JUMP FINANCIAL GROUP INC	FL		X	X	12/06/01	
LEXINGTON CORPORATE PROPERTIES TRUS	MD			X	11/28/01	AMEND
T						
LIFECCELL CORP	DE		X	X	12/10/01	
LINCOLN NATIONAL CORP	IN		X	X	12/07/01	
LIPID SCIENCES INC/	AZ	X		X X	11/29/01	
LIQUIDIX INC	FL			X	09/26/01	AMEND
LOEWEN GROUP INC		X		X	12/04/01	
MACKENZIE INVESTMENT MANAGEMENT INC	DE			X	12/10/01	

MATRITECH INC/DE/	DE		X	X	12/10/01	
MAXUS REALTY TRUST INC	MO	X			09/27/01	AMEND
MAXUS REALTY TRUST INC	MO	X			09/28/01	AMEND
MAXUS REALTY TRUST INC	MO		X		11/28/01	
MBNA CORP	MD		X		12/11/01	
MEDIX RESOURCES INC	CO		X		12/05/01	
MELLON BANK N A	MA		X		12/11/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY		X		12/11/01	
MELLON PREMIUM FINANCE LOAN OWNER T RUST	DE		X		12/11/01	
MELLON RESIDENTIAL FUNDING CORP MOR PAS THR CER SER 01 HEIL1	NY	X			11/26/01	
MERRILL LYNCH DEPOSITOR INC	DE		X	X	11/15/01	
MERRILL LYNCH DEPOSITOR INC	DE		X	X	12/01/01	
MERRILL LYNCH DEPOSITOR INC	DE		X	X	12/01/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES BLS-1	DE		X	X	12/01/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES FRD 1	NY		X	X	11/01/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES NAI-1	DE		X	X	12/01/01	
MERRILL LYNCH DEPOSITORS INC	DE		X	X	12/07/01	
MERRILL LYNCH MORT INV INC MOR PAS THR CERT SER 2001-S1	NY	X			11/26/01	
MERRILL LYNCH MORT INV INC MORT PAS THR CER CDMC SER 2001-A1	NY	X			11/25/01	
METROMEDIA FIBER NETWORK INC	DE		X	X	12/10/01	
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY	X			11/26/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE		X	X	12/06/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC TRUST 2001-AM1	DE		X		11/26/01	
MYKPOLIS CORP	DE		X	X	11/29/01	
NATIONAL STEEL CORP	DE		X	X	12/11/01	
NB&T FINANCIAL GROUP INC	OH			X	12/10/01	
NEOTHERAPEUTICS INC	DE		X	X	11/19/01	
NETNATION COMMUNICATIONS INC	DE		X		12/12/01	
NETTAXI INC	NV		X	X	12/07/01	
NTL INC/DE/	DE		X	X	12/10/01	
OCEANEERING INTERNATIONAL INC	DE			X	12/10/01	
OHIO VALLEY BANC CORP	OH		X		12/11/01	
OPTICAL CABLE CORP	VA		X		11/26/01	
OPTION ONE MORT ACC CORP ASSET BACK ED CERT SER 2001-4	DE	X			11/25/01	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2001-1	DE	X			11/25/01	
OPTION ONE MORT LN TRUST ASSET BACK CERTS SER 2001-3	DE	X			11/25/01	
OSI PHARMACEUTICALS INC	DE		X	X	11/26/01	
PACIFICORP /OR/	OR		X	X	09/30/01	
PANHANDLE ROYALTY CO	OK	X		X	10/01/01	AMEND
PARAGON TECHNOLOGIES INC	PA		X		12/11/01	

PARAGON TRADE BRANDS INC	DE		X	X	12/03/01	
PAXAR CORP	NY		X		11/28/01	
PEGASUS SATELLITE COMMUNICATIONS INC	DE		X	X	12/10/01	
PEOPLES BANCORP OF NORTH CAROLINA INC	NC		X	X	12/10/01	
PERKINELMER INC	MA			X	11/13/01	AMEND
PIXELWORKS INC	OR		X	X	12/10/01	
PNC MORT ACCEPT CORP COMMERC MORT PASS THR CERT SER 1999 CM1	MO		X	X	12/10/01	
PROCTER & GAMBLE CO	OH		X		12/31/01	
PROGRESSIVE CORP/OH/	OH		X	X	12/06/01	
PROXYMED INC /FT LAUDERDALE/	FL			X	12/10/01	
PXRE GROUP LTD			X	X	12/10/01	
QUICKLOGIC CORPORATION	CA		X	X	11/28/01	
R TEC TECHNOLOGIES INC	NJ		X		11/30/01	
RADIOLOGIX INC	DE		X	X	12/07/01	
REALITY WIRELESS NETWORKS INC	NV	X	X		11/21/01	
REGENCY CENTERS LP	FL			X	12/10/01	
REINSURANCE GROUP OF AMERICA INC	MO		X	X	12/10/01	
RESIDENTIAL ACCREDIT LOANS INC	DE			X	11/30/01	
RESIDENTIAL ACCREDIT LOANS INC	DE			X	11/30/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE		X	X	11/26/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS INC	DE		X	X	12/11/01	
RHINO ENTERPRISES GROUP INC	NV		X		12/11/01	
RIBOZYME PHARMACEUTICALS INC	CO		X	X	11/29/01	
ROADWAY CORP	DE	X		X	11/30/01	
RYANS FAMILY STEAKHOUSES INC	SC	X			12/05/01	
SAFECO CORP	WA		X		12/11/01	
SALOMON BROTHERS MORT SEC INC SALOMON HOME EQU LN TR 2001-1	DE	X			11/26/01	
SALOMON BROTHERS MORTGAGE SECURITIES VII INC	DE		X	X	12/07/01	
SAN HOLDINGS INC	CO		X	X	12/11/01	
SAUER DANFOSS INC	DE		X		12/10/01	
SAXON ASSET SECURITIES CO	VA		X	X	11/30/01	
SCHULER HOMES INC	DE		X	X	12/10/01	
SCP POOL CORP	DE		X	X	12/31/01	
SEQUOIA MORTGAGE TRUST 5	DE		X		11/26/01	
SNAP2 CORP	NV	X	X	X	11/26/01	AMEND
SSB VEHICLE SECURITIES INC	DE		X	X	12/10/01	
ST MARY LAND & EXPLORATION CO	DE	X		X	12/31/01	
STERLING CHEMICAL INC	DE			X	X	11/20/01
STERLING CHEMICALS HOLDINGS INC /TX	DE			X	X	11/20/01
STILLWATER MINING CO /DE/	DE		X		12/05/01	
STRUCTURED ASS SEC COR A R COL TR MORT PASS THR CRT SER 01-BC6	DE	X			11/25/11	
STRUCTURED ASSET SEC CORP MOR PASS THR CERT SER 2001-9	DE	X			11/25/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-2	NY	X			11/25/01	

STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-5	DE	X				11/25/01	
STRUCTURED ASSET SECURITIES CORP MO R PAS THR CER SR 2001-BC4	DE	X				11/25/01	
STRUCTURED ASSET SECURITIES CORP MO R PAS THR CERT SER 2001-6	DE	X				11/25/01	
STRUCTURED ASSET SECURITIES CORP MO RT PAS THR CE SE 2001-BC3	DE	X				11/26/01	
STRUCTURED ASSET SECURITIES CORP MO RT PASS THR CERT SER 01 1	DE	X				11/26/01	
SUN POWER CORP			X	X	X	12/10/01	
TECH DATA CORP	FL			X	X	12/04/01	
TELIGENT INC	DE			X	X	11/30/01	
TEREX CORP	DE			X		12/10/01	
TIDALWAVE HOLDINGS INC	FL				X	12/07/01	
TRANSWORLD HEALTHCARE INC	NY				X	09/27/01	AMEND
TTI HOLDINGS OF AMERICA CORP	DE	X				10/05/01	
UPDATE COM INC	DE			X	X	12/05/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP1	DE	X				11/26/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP2	DE	X				11/26/01	
UNITED BANKSHARES INC/WV	WV			X	X	12/10/01	
URANIUM STRATEGIES INC	NV		X		X	12/06/01	
UROMED CORP	MA			X	X	12/07/01	
USA VIDEO INTERACTIVE CORP	WY			X	X	11/27/01	
USA VIDEO INTERACTIVE CORP	WY			X	X	11/29/01	
USA VIDEO INTERACTIVE CORP	WY			X	X	12/03/01	
USX CORP	DE			X	X	12/10/01	
VENTAS INC	DE			X	X	12/05/01	
VICINITY CORP	DE			X	X	12/10/01	
VIRAGEN EUROPE LTD	DE				X	09/28/01	AMEND
VIRAGEN INC	DE				X	09/28/01	AMEND
WASHINGTON MUTUAL MORTGAGE SECURITI ES CORP	DE				X	12/06/01	
WASHINGTON MUTUAL MSC MORTGAGE PASS THRO CERT SER 2001-MS14	DE				X	11/29/01	
WEBTECH INTERNATIONAL INVESTORS GRO UP INC	DE				X	12/10/01	AMEND
WEBVAN GROUP INC	DE		X		X	12/05/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-5	NY	X				11/26/01	
WELLS FARGO ASSET SECURITIES CORP	DE			X	X	12/11/01	
WELLSFORD REAL PROPERTIES INC	MD			X	X	12/10/01	
WESTERN POWER & EQUIPMENT CORP	DE		X			12/07/01	
WESTERN POWER & EQUIPMENT CORP	DE		X	X		12/11/01	AMEND
WHX CORP	DE			X	X	12/10/01	
ZEROS & ONES INC	NV			X	X	12/09/01	
3DO CO	DE			X	X	12/10/01	