

C-2

SEC NEWS DIGEST

Issue 2001-68

April 9, 2001

COMMISSION ANNOUNCEMENTS

SEMIANNUAL REGULATORY AGENDA

The Commission has authorized the publication of its April 2001 Regulatory Flexibility Act Agenda. The agenda is a general announcement to the public intended to provide advance notice of rulemaking actions. The agenda, which will not be available to the public until its publication, has been submitted by the Commission to the Regulatory Information Service Center for inclusion in the Unified Agenda of Federal Regulations scheduled for publication in the *Federal Register* in April 2001. Public comments regarding the agenda and the individual agenda entries are due by June 29, 2001. (Rels. 33-7968, 34-44154, 35-27373, 39-2389, IC-24926, IA-1936, File No. S7-09-01)

ENFORCEMENT PROCEEDINGS

SEC CHARGES FRANK HARRIS, III, WITH SECURITIES FRAUD

On April 6, Judge Paul Brown, United States District Court for the Eastern District of Texas, Sherman Division, issued *Ex Parte* Orders Freezing Assets, Directing the Repatriation of Funds and Surrender of Passport, Requiring an Accounting, Requiring Preservation of Documents and Authorizing Expedited Discovery against Frank L. Harris, III. Harris was a registered representative at the Addison branch office of Josephthal and Co, Inc., a broker-dealer registered with the Commission. The Commission's complaint accuses Harris of diverting money from customer accounts to his own bank accounts, by falsely claiming that he was using their funds to purchase a security, by borrowing against securities in their accounts without authorization and by simply selling securities from their accounts without authorization. Harris' scheme started in at least January, 2000, and came to an abrupt halt in late March, when he failed to return to work. Harris is believed to have fled to Cancun, Mexico.

The Commission's complaint charges Harris with violating the antifraud provisions found in Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities and Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks preliminary and permanent

injunctions, disgorgement with prejudgment interest and a civil money penalty against Harris, as well as orders for an asset freeze, an accounting, the prohibition of the movement, alteration and destruction of books and records and expedited discovery. The Commission acknowledges the assistance of the Federal Bureau of Investigation in this matter. [SEC v. Frank L. Harris, III, et al., USDC, ED TX, Sherman Division, Civil Action No. CA 4:01CV117] (LR-16954)

JONATHAN SOLOW PERMANENTLY ENJOINED FROM SECURITIES VIOLATIONS AND ORDERED TO PAY \$25,000 CIVIL PENALTY

The Commission announced today that a judgment was entered on April 4 by the United States District Court for the Southern District of New York against Jonathan Solow, a defendant in *Securities and Exchange Commission v. Steven H. Schiffer, Joann R. Schulz, Gary S. Kramer, Jonathan Solow, Frank J. Cannata, and Peter G. Mintz*, 97 Civ. 5853 (RO) (S.D.N.Y.), a civil action brought by the Commission and currently pending in the District Court. The judgment permanently enjoins Solow from violating certain anti-fraud and anti-manipulation provisions of the federal securities laws. The judgment also orders Solow to pay a civil money penalty of \$25,000. Solow consented to the entry of the judgment without admitting or denying the allegations in the Commission's complaint, except as to jurisdiction.

The Commission's complaint includes the following allegations: Between May 1992 and August 1992, Solow and other defendants manipulated the price of the common stock of Phoenix Laser Systems, Inc. (Phoenix), a now-defunct company that was in the business of developing a laser workstation to perform eye surgery. As part of the scheme to manipulate the price of Phoenix common stock, which traded on the American Stock Exchange, Solow, at the direction of Phoenix's CEO, placed purchase orders for Phoenix common stock in nominee accounts. Solow was a Phoenix vice president at the time. These purchases often were executed at or near the end of the trading day, in a manner that increased the price of the stock. The end-of-day or "marking the close" purchases frequently caused Phoenix's daily closing price to be higher than it would have been in the absence of those purchases.

The Commission previously reached settlements with defendants Joann R. Schulz, Frank J. Cannata, and Peter G. Mintz. Accordingly, the entry of judgment against Solow resolves this litigation as to all living defendants. The Commission's motion for summary judgment against the two remaining defendants -- the estates of now-deceased defendants Steven H. Schiffer and Gary S. Kramer -- is pending before the Court. [SEC v. Steven H. Schiffer, Joann R. Schulz, Gary S. Kramer, Jonathan Solow, Frank J. Cannata, and Peter G. Mintz, 97 Civ. 5853, RO, SDNY] (LR-16955)

CORRECTION

Information was omitted from the summary for Litigation Release No. 16953, which appeared in the April 6th Digest. It should have read as follows:

SEC SETTLES CIVIL ACTION AGAINST TWO TENNESSEE BROKERS IN MID OCEAN LTD. INSIDER TRADING CASE

The Commission announced today that the United States District Court for the Middle District of Tennessee ordered two stockbrokers, Cristian K Blackman and Charles R. Roberts, to pay disgorgement and civil money penalties totaling almost \$450,000 based on allegations that they engaged in insider trading in stock or options of Mid Ocean Ltd. (Mid Ocean).

In November 1999, the SEC filed a complaint against Blackman and Roberts, both of whom formerly worked at the Nashville office of Morgan Keegan & Co. (Morgan Keegan), a brokerage firm, alleging that Blackman learned that Mid Ocean would be taken over shortly before a March 16, 1998 announcement that Exel Ltd., a Bermuda reinsurance company, would acquire Mid Ocean. The SEC alleged that Blackman tipped Roberts about Mid Ocean. The SEC's complaint further alleged that on March 13, 1998, Blackman purchased Mid Ocean call options and 3,000 shares of Mid Ocean stock, and Roberts purchased 1,000 shares of Mid Ocean stock. In addition, the complaint alleged that Blackman tipped his brother and three of his clients, and Roberts tipped twelve of his clients, about Mid Ocean.

The injunction against Blackman, which was entered March 16, 2001, and the injunction against Roberts, which was entered on March 26, 2001, prohibit them from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The court also ordered Blackman to disgorge \$141,558.50, representing the profits earned by Blackman, his brother and his three clients, plus pre-judgment interest. The court ordered Roberts, jointly and severally with Blackman, to disgorge \$175,850, representing the profits earned by Roberts and his twelve clients, plus pre-judgment interest. Finally, the court ordered Blackman and Roberts to pay civil penalties of \$78,125 and \$12,250, respectively, representing their own profits from trading in Mid Ocean stock or options.

Blackman and Roberts were the last remaining defendants in the SEC's action alleging insider trading in connection with Exel's takeover of Mid Ocean. Previously, the SEC settled its cases against Blackman's alleged tipper, and several others who allegedly traded or tipped others about the Mid Ocean takeover (See Litigation Release No. 16357, November 17, 1999). The SEC acknowledges the assistance of the American Stock Exchange in investigating this matter. [SEC v. Cristian K. Blackman, et al., Case No. Case No. 3:99-1072, Haynes, J., M.D. Tenn.] (LR-16953A)

INVESTMENT COMPANY ACT RELEASES

NUVEEN INVESTMENTS, ET AL.

An order has been issued on an application filed by Nuveen Investments, et al. for an exemption from Sections 12(d)(1)(A), (B) and (C) of the Investment Company Act and from Section 17(a) of the Act. The order permits certain registered unit investment trusts to acquire shares of registered management investment companies and unit investment trusts both within and outside the same group of investment companies. (Rel. IC-24930 – April 6)

SAFECO TAX- EXEMPT BOND TRUST, ET AL.

A notice has been issued giving interested persons until April 27 to request a hearing on an application filed by SAFECO Tax-Exempt Bond Trust, et al., for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit a series of SAFECO Tax-Exempt Bond Trust (Trust) to acquire all of the assets and assume all liabilities of another series of the Trust. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24931 – April 6)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

The Pacific Exchange filed a proposed rule change (SR-PCX-00-47) to adopt formal procedures for members to submit proposals to list option classes on the PCX. Publication of the proposal is expected in the Federal Register during the week of April 9. (Rel. 34-44149)

The National Association of Securities Dealers filed a proposed rule change (SR-NASD-01-17) to revise the fees associated with appeals of Nasdaq Listing Determinations. Publication of the proposal is expected in the Federal Register during the week of April 9. (Rel. 34-44153)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change (SR-PCX-00-36) filed by the Pacific Exchange relating to solicited options transactions has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of April 9. (Rel. 34-44150)

A proposed rule change (SR-ISE-01-09) filed by the International Securities Exchange proposing changes to certain Exchange fees has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of April 9. (Rel. 34-44151)

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change (SR-CBOE-00-14) and Amendment No. 1 thereto by the Chicago Board Options Exchange to increase position and exercise limits for Nasdaq 100 Index options, to expand the index hedge exemption, and to eliminate the near-term position limit restriction. (Rel. 34-44156)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 E COMMERCE WEST CORP, 152 SHERMAN ST, DEADWOOD, SD 57732 (605) 578-1299
- 2,500,000 (\$100,000) COMMON STOCK. (FILE 333-58080 - APR. 02) (BR. 5)
- S-8 MID ATLANTIC MEDICAL SERVICES INC, 4 TAFT COURT, ROCKVILLE, MD 20850 (301) 294-5140 - 2,000,000 (\$38,200,000) COMMON STOCK. (FILE 333-58082 - APR. 02) (BR. 1)
- S-8 ORTEC INTERNATIONAL INC, 3960 BROADWAY, BLDG 28, NEW YORK, NY 10032 (718) 326-4698 - 1,450,000 (\$8,859,500) COMMON STOCK. (FILE 333-58084 - APR. 02) (BR. 1)
- SB-2 PURE STEEL CUSTOM CYCLES INC, 4010 GRAND AVENUE, SUITE 16, PHOENIX, AZ 85019 (602) 841-2000 - 2,470,500 (\$2,470,500) COMMON STOCK. (FILE 333-58086 - APR. 02) (BR. 9 - NEW ISSUE)
- S-1 KEITH COMPANIES INC, 2955 RED HILL AVENUE, COSTA MESA, CA 92626 (714) 668-7001 - 2,300,000 (\$50,945,000) COMMON STOCK. (FILE 333-58088 - APR. 02) (BR. 6)

- S-8 UNICO INC, 24 LAKESIDE AVENUE, 333 LUDLOW STREET, POMPTON LAKES, FL 07442 (973) 839-7200 - 3,503,667 (\$324,874.20) COMMON STOCK. (FILE 333-58090 - APR. 02) (BR. 2)
- S-3 BENIHANA INC, 8685 NW 53RD TERRACE, MIAMI, FL 33166 (305) 593-0770 - 400,000 (\$4,537,500) COMMON STOCK. (FILE 333-58092 - APR. 02) (BR. 5)
- S-11 SECURITISATION ADVISORY SERVICES PTY LTD, LEVEL 8, 48 MARTIN PLACE, SYDNEY NEW S. WALES 2000 AUSTRALIA, SYDNEY, - 1,100,000,000 (\$1,100,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-58094 - APR. 02) (BR. 8)
- S-3 IMMUNE RESPONSE CORP, 5935 DARWIN COURT, CARLSBAD, CA 92008 (619) 431-7080 - 540,540 (\$1,351,350) COMMON STOCK. (FILE 333-58096 - APR. 02) (BR. 1)
- S-8 CHELL GROUP CORP, 14 METEOR DR, BLDG 18, ETOBOCOKE ONTARIO, A6 00000 (416) 675-6666 - 75,000 (\$150,000) COMMON STOCK. (FILE 333-58098 - APR. 02) (BR. 7)
- S-8 CREDENCE SYSTEMS CORP, 215 FOURIER AVE, FREMONT, CA 94539 (510) 657-7400 - 500,000 (\$11,015,625) COMMON STOCK. (FILE 333-58100 - APR. 02) (BR. 5)
- S-8 TELTONE CORP, 22121 20TH AVE SE, BOTHELL, WA 98021 (206) 487-1515 - 500,000 (\$109,400) COMMON STOCK. (FILE 333-58102 - APR. 02) (BR. 7)
- S-3 QUOKKA SPORTS INC, 525 BRANNAN STREET, GROUND FLOOR, SAN FRANCISCO, CA 94107 (415) 908-3800 - 155,831,259 (\$12,178,212.89) COMMON STOCK. (FILE 333-58106 - APR. 02) (BR. 5)
- S-3 GORMAN RUPP CO, 305 BOWMAN ST, PO BOX 1217, MANSFIELD, OH 44901 (419) 755-1011 - 90,000 (\$1,611,000) COMMON STOCK. (FILE 333-58108 - APR. 02) (BR. 5)
- S-3 GATEWAY INC, 610 GATEWAY DR, NORTH SIOUX CITY, SD 57049 (605) 232-2594 - 5,938,948 (\$97,042,410) COMMON STOCK. (FILE 333-58110 - APR. 02) (BR. 3)
- S-8 MERIT MEDICAL SYSTEMS INC, 1600 WEST MERIT PARK WAY, SOUTH JORDAN, UT 84095 (801) 253-1600 - LIMITED PARTNERSHIP CERTIFICATE. (FILE 333-58112 - APR. 02) (BR. 5)
- S-8 PAYSTAR COMMUNICATIONS CORP, 1110 W KETTLEMAN LN, STE 48, LODI, CA 95240 (209) 339-0484 - 4,041,000 (\$5,253,324) COMMON STOCK. (FILE 333-58114 - APR. 02) (BR. 9)

S-8 HEIDRICK & STRUGGLES INTERNATIONAL INC, C/O HEIDRICK & STRUGGLES
 INC, 233 S WACKER DR STE 4200, CHICAGO, IL 60606 (312) 496-1200 - 200,000
 (\$5,700,000) COMMON STOCK. (FILE 333-58118 - APR. 02) (BR. 8)

S-1 PEAK6 CORP, 209 SOUTH LASALLE STREET, SUITE 200, CHICAGO, IL 60604
 (312) 362-2401 - \$70,000,000 COMMON STOCK. (FILE 333-58120 - APR. 02)
 (NEW ISSUE)

S-8 NTL INC/DE/, 110 EAST 59TH STREET, 26TH FLOOR, NEW YORK, NY 10022
 (212) 906-8440 - 1,787,705 (\$43,617,189.94) COMMON STOCK. (FILE 333-
 58122
 - APR. 02) (BR. 37)

S-8 DECISIONLINK INC, 1181 GRIER DR STE B, LAS VEGAS, NV 89119
 (702) 361-9873 - 20,255,556 (\$3,638,910.64) COMMON STOCK. (FILE 333-
 58124
 - APR. 02) (BR. 5)

S-3 ENDOCARDIAL SOLUTIONS INC, 1350 ENERGY LANE, STE 110, ST PAUL, MN
 55108 (612) 644-7890 - 2,572,116 (\$8,770,516) COMMON STOCK. (FILE 333-58126
 -
 APR. 02) (BR. 5)

S-4 SBA COMMUNICATIONS CORP, ONE TOWN CENTER RD, THIRD FLOOR, BOCA
 RATON, FL 33486 (561) 995-7670 - 500,000,000 (\$500,000,000) STRAIGHT BONDS.
 (FILE 333-58128 - APR. 02) (BR. 6)

S-3 ULTIMATE FRANCHISE SYSTEMS INC, 2101 WEST STATE ROAD 434, SUITE 100,
 LONGWOOD, FL 32779 (407) 682-6363 - 30,000,000 (\$900,000) COMMON
 STOCK.
 (FILE 333-58132 - APR. 02) (BR. 4)

S-8 INTELLIGENT SYSTEMS CORP, 4355 SHACKLEFORD RD, NORCROSS, GA 30093
 (404) 381-2900 - 200,000 (\$756,000) COMMON STOCK. (FILE 333-58134 -
 APR. 02) (BR. 1)

S-3 MICROSTRATEGY INC, 8000 TOWERS CRESCENT DR, VIENNA, VA 22182
 (703) 848-8600 - 1,900,000 (\$76,000,000) COMMON STOCK. (FILE 333-58136
 -
 APR. 02) (BR. 3)

S-8 SUPERIOR TELECOM INC, 1790 BROADWAY, 15TH FL, NEW YORK, NY 10019
 (212) 757-3333 - 4,285,937 (\$14,357,889) COMMON STOCK. (FILE 333-58138
 -
 APR. 02) (BR. 6)

S-8 VERISITY LTD, 2041 LANDINGS DRIVE, MOUNTAIN VIEW, CA 94043
 (415) 434-1665 - 1,000,000 (\$5,950,000) FOREIGN COMMON STOCK. (FILE
 333-58142 - APR. 02) (BR. 3)

S-3 GLOBALNET INC, 1919 SOUTH HIGHLAND AVENUE STE 125 D, LOMBARD, IL
60148
(630) 652-1300 - 775,000 (\$705,250) COMMON STOCK. (FILE 333-58144 -
APR. 02) (BR. 9)

S-8 CONNETICS CORP, 3400 W BAYSHORE RD, PALO ALTO, CA 94303 (415) 843-
2800
- 1,040,740 (\$5,234,922.13) COMMON STOCK. (FILE 333-58146 - APR. 02)
(BR. 1)

S-3 PACIFIC AEROSPACE & ELECTRONICS INC, 430 OLDS STATION RD, WENATCHEE,
WA
98801 (509) 667-9600 - 4,036,978 (\$1,198,578.77) COMMON STOCK. (FILE
333-58148 - APR. 02) (BR. 5)

S-8 NUVOX INC /DE/, 16090 SWINGLEY ROAD SUITE 500, CHESTERFIELD, MI
63017
(636) 537-5700 - 20,946,207 (\$77,710,428) COMMON STOCK. (FILE 333-
58150 -
APR. 02) (BR. 7)

S-8 VERSANT CORP, 6539 DUMBARTON CIRCLE, FREMONT, CA 94555 (510) 789-
1500 -
500,000 (\$915,000) COMMON STOCK. (FILE 333-58152 - APR. 02) (BR. 3)

SB-2 CLICKESE COM INC, 575 ANTON BLVD., SUITE 300, COSTA MESA, CA 92626
(908) 508-0713 - \$2,000,000 COMMON STOCK. (FILE 333-58158 - APR. 02)

S-8 LNR PROPERTY CORP, 760 NW 107TH AVE, MIAMI, FL 33172 (305) 485-2000
-
500,000 (\$13,115,000) COMMON STOCK. (FILE 333-58160 - APR. 02) (BR. 8)

S-8 MERIT MEDICAL SYSTEMS INC, 1600 WEST MERIT PARK WAY, SOUTH JORDAN,
UT
84095 (801) 253-1600 - 236,921 (\$1,473,352) COMMON STOCK. (FILE 333-
58162
- APR. 02) (BR. 5)

S-3 TOYOTA AUTO FINANCE RECEIVABLES LLC, 19300 GRAMERCY PLACE,
C/O TOYOTA MOTOR CREDIT CORP, TORRANCE, CA 90509 (310) 787-1310 -
1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 333-58164 -
APR. 02) (NEW ISSUE)

S-8 FORTUNE BRANDS INC, 300 TOWER PARKWAY, LINCOLNSHIRE, IL 60069
(203) 698-5000 - 180,000 (\$6,119,100) COMMON STOCK. (FILE 333-58166 -
APR. 02) (BR. 6)

S-8 GENETRONICS BIOMEDICAL LTD, 11199 SORRENTO VALLEY RD, SAN DIEGO, CA
92121 (619) 597-6006 - 1,840,217 (\$2,124,769) COMMON STOCK. (FILE
333-58168 - APR. 02) (BR. 5)

S-8 BANC CORP, 17 NORTH 20TH STREET, BIRMINGHAM, AL 35203 (205) 326-2265
-
500,000 (\$2,500,000) COMMON STOCK. (FILE 333-58170 - APR. 02) (BR. 7)

S-8 DRUGSTORE COM INC, 13920 SE EASTGATE STE 300, BELLEVUE, WA 98005
 (425) 881-5131 - 10,300,162 (\$9,334,521.81) COMMON STOCK. (FILE 333-
 58174 - APR. 03) (BR. 1)

S-3 MOTOROLA INC, 1303 E ALGONQUIN RD, SCHAUMBURG, IL 60196 (847) 576-
 5000 - 2,000,000,000 (\$2,000,000,000) COMMON STOCK. (FILE 333-58176 - APR.
 02) (BR. 7)

S-8 BIOSYNTECH INC, 3675 PECOS MCLEOD, SUITE 1400, LAS VEGAS, NV 89121 -
 3,999,500 (\$4,561,063) COMMON STOCK. (FILE 333-58178 - APR. 03) (BR.
 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACE SECURITIES CORP HO EQ LO TR SE 2001-NC1 AS BA PA TH CE	DE		X							X	03/22/01	
AMERICAN BANKNOTE CORP	DE				X					X	04/05/01	
AMERIKING INC	DE					X					04/06/01	
AMERIPATH INC	DE					X		X			03/29/01	
AMORTIZING RESI COLLATERAL TR MORT PAS THRU CER SER 2001-BC1	DE	X									03/26/01	
ANADIGICS INC	DE		X							X	04/05/01	
APCO ARGENTINA INC/NEW						X			X		04/06/01	
AQUA VIE BEVERAGE CORP	WA					X					03/28/01	
ARVINMERITOR INC	IN					X					03/23/01	
ASSET SECURITIZATION CORP	NY					X					04/02/01	
AVT CORP	WA					X		X			04/02/01	
AXYN CORP	CO				X						03/12/01	

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NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BAB INC	DE	X									04/06/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	X									03/26/01	
BEASLEY BROADCAST GROUP INC	DE						X				04/06/01	AMEND
BINGO COM INC	FL				X	X					03/26/01	
BIRCH TELECOM INC /MO	DE				X	X					04/06/01	
BISASSIST INC	NV			X							03/15/01	AMEND
CADAPULT GRAPHIC SYSTEMS INC	DE				X						04/06/01	
CAPCO AMERICA SECURITIZATION CORP	DE				X						04/02/01	
CARNEGIE INTERNATIONAL CORP	CO		X								03/23/01	
CHEMICAL FINANCIAL CORP	MI							X			04/06/01	
COLUMBIA LABORATORIES INC	DE				X	X					04/05/01	
CONVERGE GLOBAL INC/CA	UT			X							04/06/01	AMEND
CPI CORP	DE				X						04/06/01	
CROGHAN BANCSHARES INC	OH				X						04/06/01	
CTN MEDIA GROUP INC	DE				X	X					12/31/00	
CYBEROPTICLABS INC	NY			X		X					03/29/01	
DADE BEHRING INC	DE				X	X					04/06/01	
DAIMLERCHRYSLER AUTO TRUST 2001-A					X	X					03/12/01	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE				X	X					04/03/01	
DEERBROOK PUBLISHING GROUP INC		X	X	X	X	X	X	X	X		02/19/01	
DIAL CORP /NEW/	DE	X									04/05/01	
DIAMOND OFFSHORE DRILLING INC	DE				X	X					04/06/01	
DIGI LINK TECHNOLOGIES INC/NJ/	DE		X								02/28/01	AMEND
DISCOVER CARD MASTER TRUST I	DE				X	X					03/30/01	
DISCOVER CARD MASTER TRUST I	DE				X	X					04/05/01	
DIVINE INC	DE				X						03/30/01	
DRUG EMPORIUM INC	DE		X				X				03/26/01	
DTM CORP /TX/	TX				X						03/30/01	
DYNACQ INTERNATIONAL INC	NV				X						03/22/01	
DYNEX CAPITAL INC	VA	X									04/02/01	
EAGLE SUPPLY GROUP INC	DE				X	X					04/05/01	
EMPIRE OF CAROLINA INC	DE				X	X					03/29/01	
EQUIVEST FINANCE INC	DE				X						04/05/01	
EXPEDIA INC	WA				X	X					04/02/01	
EXTREME NETWORKS INC	DE				X	X					04/05/01	
FERRELLGAS PARTNERS FINANCE CORP	DE				X	X					04/06/01	
FERRELLGAS PARTNERS L P	DE				X	X					04/06/01	
FILM ROMAN INC	DE				X	X					04/06/01	
FINANCIAL ASSET SECURITIES CORP	DE						X				04/06/01	
FNB CORP \VA\	VA		X								04/05/01	
FORD CREDIT AUTO RECEIVABLES TWO LL C	DE				X	X					04/06/01	
FUTUREONE INC /NV/	NV				X	X					04/06/01	
GLOBAL TELESYSTEMS INC	DE				X	X					04/05/01	
GRADCO SYSTEMS INC	NV			X		X					03/23/01	AMEND
GRAND COURT LIFESTYLES INC	DE				X	X					03/13/01	
GREENHOLD GROUP INC	FL	X	X				X				12/21/00	AMEND
HANCOCK FABRICS INC	DE				X	X					04/05/01	
HAWAIIAN AIRLINES INC/HI	HI				X						04/03/01	
HERITAGE BANKSHARES INC /VA	VA				X						04/06/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
IDEX CORP /DE/	DE					X					04/05/01	
INTERNATIONAL ABSORBENTS INC						X					03/27/01	
ISA INTERNATIONALE INC	DE		X								03/31/01	
JDA SOFTWARE GROUP INC	DE							X			02/05/01	AMEND
JUST TOYS INC	DE				X			X			03/28/01	
KNIGHT TRANSPORTATION INC	AZ									X	04/06/01	
LEINER HEALTH PRODUCTS INC	DE					X		X			04/06/01	
LENNAR CORP /NEW/	DE							X			04/04/01	
LIGHTPATH TECHNOLOGIES INC	DE							X		X	04/05/01	
LINCOLN NATIONAL CORP	IN									X	12/31/00	
LML PAYMENT SYSTEMS INC	Al					X		X			12/04/00	
LODESTAR HOLDINGS INC	DE					X					03/20/01	
MAGICINC COM						X					03/23/01	
MARKETING SERVICES GROUP INC	NV					X					04/05/01	
MARSH & MCLENNAN COMPANIES INC	DE									X	04/06/01	
MARVELL TECHNOLOGY GROUP LTD	DO					X		X			04/05/01	
METROCALL INC	DE					X		X			04/01/01	AMEND
MILLENIUM HOLDING GROUP INC /AZ/	NV				X			X			04/02/01	AMEND
MODERNGROOVE ENTERTAINMENT INC	NV	X	X					X	X		02/20/00	AMEND
MULTINET INTERNATIONAL CORP INC	NV					X					03/14/01	
NARA BANCORP INC	DE					X		X			02/02/01	
NOBLE INTERNATIONAL LTD	DE				X			X			04/06/01	AMEND
NUTRACEUTIX INC	DE					X		X			03/30/01	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2001-1	DE	X									03/26/01	
OUT TAKES INC	DE							X			04/06/01	
PACIFIC GAS & ELECTRIC CO	CA			X							04/06/01	
PACIFIC GAS & ELECTRIC CO	CA			X							04/06/01	AMEND
PAPER WAREHOUSE INC	MN					X		X			04/06/01	
PENN OCTANE CORP	DE		X								04/06/01	
PERMA FIX ENVIRONMENTAL SERVICES IN C	DE	X									03/21/01	
PG&E CORP	CA					X					04/06/01	
PG&E CORP	CA					X					04/06/01	AMEND
PG&E FUNDING LLC	DE					X					04/06/01	
PROGOLFTOURNAMENTS COM						X		X			03/28/01	
PROTECTIVE LIFE CORP	DE									X	04/06/01	
PSINET INC	NY					X		X			04/05/01	
PUBLIC STORAGE INC /CA	CA					X		X			04/05/01	
PUGET ENERGY INC /WA	WA					X					04/06/01	
PUGET SOUND ENERGY INC	WA					X					04/06/01	
REDDING BANCORP	CA		X								04/06/01	
SELIGMAN NEW TECHNOLOGIES FUND II I NC	MD									X	04/04/01	
SELIGMAN NEW TECHNOLOGIES FUND INC										X	04/04/01	
SMART & FINAL INC/DE	DE					X		X			04/05/01	
SOFTECH INC	MA					X					04/05/01	
SOFTECH INC	MA					X					05/30/01	
SOUTHERN CO	DE		X					X		X	04/02/01	
STRUCTURED SECURITIES ASSET CORP MO RT PAS THR CERT SER 01 4A	DE					X		X			03/30/01	
SURGILIGHT INC	DE		X					X			04/05/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SUSSEX BANCORP	NJ					X		X			04/02/01	
SYCAMORE NETWORKS INC	DE					X		X			04/06/01	
TEARDROP GOLF CO	DE		X	X							03/23/01	
TELTRAN INTERNATIONAL GROUP LTD	DE		X								03/27/01	
TICE TECHNOLOGY INC	DE				X			X			03/30/01	
TOYOTA MOTOR CREDIT CORP	CA					X					01/09/01	AMEND
TOYOTA MOTOR CREDIT CORP	CA					X					01/25/01	AMEND
TOYOTA MOTOR CREDIT RECEIVABLES CORP	CA					X					01/09/01	AMEND
TOYOTA MOTOR CREDIT RECEIVABLES CORP	CA					X					01/25/01	AMEND
TRANSOCEAN SEDCO FOREX INC	TX					X		X			03/30/01	
UPDATE COM INC	DE		X					X			03/29/01	
UNION BANKSHARES INC	VT					X					04/04/01	
UNITED NATURAL FOODS INC	DE					X		X			04/03/01	
UPTOWNER INNS INC	WV				X						03/30/01	
VALLEY NATIONAL BANCORP	NJ	X									04/04/01	
VISTEON CORP	DE					X		X			04/04/01	
VISX INC	DE					X		X			04/05/01	
WELLS FARGO ASSET SECURITIES CORP M	NY	X									03/26/01	
OR PAS THR CER SE 2001-01												
WELLS FARGO ASSET SECURITIES CORP M	NY	X									03/26/01	
OR PAS THR CER SE 2001-02												
WELLS FARGO ASSET SECURITIES CORP M	NY	X									03/26/01	
OR PAS THR CER SE 2001-03												
WESTERN DIGITAL CORP	DE					X		X			04/06/01	
WOLVERINE WORLD WIDE INC /DE/	MI							X	X		04/06/01	