

SEC NEWS DIGEST

Issue 2001-48

March 12, 2001

RULES AND RELATED MATTERS

TECHNICAL AMENDMENTS TO INSTRUCTIONS FOR REGISTRATION FORM FOR CERTAIN INVESTMENT COMPANY SECURITIES

The Commission has issued a release that adopts technical amendments to the instructions to Form 24F-2, the form under the Investment Company Act that most investment companies use to calculate and pay registration fees for the securities they issue. The technical amendments to the instructions explain more clearly where investment company issuers should look to find the correct rate to use in calculating registration fees, and the correct interest rate applicable to late payments of registration fees. The amendments will be effective March 12, 2001. FOR FURTHER INFORMATION, CONTACT: Penelope W. Saltzman at (202) 942-0690 (Rel. 33-7959, IC-24886)

ENFORCEMENT PROCEEDINGS

IN THE MATTER OF THE ROCKIES FUND, INC., ET AL.

The Rockies Fund, Inc. (Rockies Fund or Fund), headquartered in Colorado Springs, Colorado, elected to be regulated as a Business Development Company under the Investment Company Act of 1940 (ICA). In an initial decision, Judge Brenda P. Murray found that in 1994 and 1995, Stephen G. Calandrella, the Fund's president, and Charles M. Powell and Clifford C. Thygesen, the Fund's independent directors, violated Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 by making material misrepresentations as to the value of Premier Concepts, Inc., a major Fund asset. The misrepresentations occurred in eight filings that the Fund made on Form 10-Q and Form 10-K with the Commission. The decision concluded that because of the misrepresentations, the Fund violated Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1, and 13a-13, and that Mr. Calandrella, Mr. Powell, and Mr. Thygesen aided and abetted or caused the violations. Judge Murray also found that Mr. Calandrella and John C. Power violated Section 10(b) of the Exchange Act and Rule 10b-5 by manipulating the buying and selling of Premier shares, and that Mr. Calandrella violated Section 57(k)(1)

of the ICA by not disclosing to the Fund's independent directors that the Fund's purchase of Premier shares was to settle legal charges leveled against him. Judge Murray ordered that:

- (1) The Rockies Fund, Mr. Calandrella, Mr. Powell, Mr. Thygesen, and Mr. Power shall cease and desist from committing or causing any violations or any future violations of Section 10(b) of the Exchange Act and Rule 10b-5;
- (2) The Rockies Fund shall cease and desist from violations of Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1, and 13a-13;
- (3) Mr. Calandrella shall cease and desist from violations of Section 57(k)(1) of the ICA and from aiding and abetting violations of Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1, and 13a-13; and
- (4) Mr. Powell and Mr. Thygesen shall cease and desist from aiding and abetting violations of Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1, and 13a-13.

In addition, Judge Murray permanently barred Mr. Calandrella from serving or acting as an employee, officer, director, member of an advisory board, investment adviser or depositor of, or principal underwriter for a registered investment company or affiliated person, and ordered him to pay a civil penalty of \$500,000. She ordered the same bar to Mr. Powell and Mr. Thygesen with the right to reapply after three years, and she ordered each of them to pay a civil penalty of \$160,000. (Initial Decision No. 181; File No. 3-9615)

TODD LASCOLA PLEADS GUILTY TO DEFRAUDING INVESTORS

The Commission announced that on February 26, 2001, Todd J. LaScola, the sole owner of CPI Investment Management, Inc. (CPI), a registered investment advisor, and principal and co-owner of CPA Advisors Network, Inc. (CPA), a registered broker-dealer, plead guilty to one count of embezzlement, three counts of mail fraud and five counts of wire fraud in a plea agreement with the U.S. Attorney's Office in Providence, Rhode Island. On November 16, 2000, LaScola was charged in a 55-count indictment that alleged, among other things, that LaScola received illegal commissions for improperly investing approximately \$6 million of a pension fund account owned by the International Brotherhood of Electrical Workers (IBEW), a client of CPI, in speculative and illiquid promissory notes. The indictment alleged that when the IBEW demanded reimbursement for the promissory note investments, LaScola improperly directed funds from various CPI client and CPA customer securities accounts to the IBEW's account without the clients' and customers' knowledge or consent.

On December 29, 1998, the Commission filed a complaint in federal district court, captioned SEC v. Todd J. LaScola, et al., CA No. 98-610T, alleging that LaScola had

violated the general and investment adviser antifraud provisions of the federal securities laws. The Commission's allegations arose from the same underlying conduct described in the criminal indictment. On June 23, 2000, the Commission's motion for summary judgment against LaScola was granted and he was permanently enjoined from further violations of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 206(1) and 206(2) of the Investment Advisors Act of 1940. The judgment also directed LaScola to pay disgorgement in the amount of \$6,329,825 plus prejudgment interest and to pay a civil monetary penalty of \$100,000. On July 7, 2000, the Court also granted the Commission's Motion to Dismiss its Complaint against CPI, whose corporate charter had lapsed, and CPA, which is currently controlled by a Securities Investors Protection Corporation Trustee.

On February 22, 2001, the Commission instituted an administrative proceeding against LaScola based on the entry of the injunction. The Commission seeks to bar LaScola from association with any broker, dealer and investment adviser. [SEC v. Todd J. LaScola, et al., USDC for the District of Rhode Island, 98-610-T] (LR-16928)

PRELIMINARY INJUNCTION ORDERED AGAINST GLOBAL TELELINK SERVICES, INC., GLOBAL CONTACT CORPORATION AND ROBERT SMITH III

The Commission announced that the Honorable Marvin Shoob, United States District Judge for the Northern District of Georgia, entered an Order of Preliminary Injunction and other relief against Global Telelink Services, Inc. (GTS), Global Contact Corporation (Global Contact) and Robert Smith III (Smith). The Court's order appointed a Receiver for GTS and Global Contact and froze the assets of all defendants. Without admitting or denying the allegations of the complaint, GTS, Global Contact and Smith consented to the entry of the preliminary injunction and other relief. The Commission's complaint alleges that GTS, Global Contact and Smith operated a Ponzi scheme that raised more than \$10 million from more than 300 mostly elderly investors.

The Commission's complaint alleges that the GTS scheme was based upon purported investments in customer owned, telephone gateway switches offered and sold in units, involving a switch, lease/back agreement and buy/back agreement, that constitute securities. The complaint further alleges that the Global Contact scheme was based upon purported investments in workstations in purported telephone call centers, offered and sold in units, involving a call center workstation, lease/back agreement and buy/back agreement, that constitute securities. No registration statement was filed with the Commission in connection with either of these securities. The complaint alleges that GTS

and Global Contact devised and controlled both investments and directly made all payments to investors. Investors were not told that GTS and Global Contact had no operating revenues and that all payments to investors in either scheme were made with money raised from subsequent investors. Nor were they told that neither GTS nor Global Contact had operating revenues, were both losing money and were both dependent on revenue from new investors to sustain their operations. The complaint also alleges that GTS had looted a sinking fund established to buy back the GTS switches at the end of their lease terms in order to purchase a telephone call center and to pay the operating expenses of GTS and Global Contact, without telling GTS investors that the sinking fund was to be used for those purposes.

The complaint seeks permanent injunctions against defendants GTS, Global Contact and Smith to prevent future violations of Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint also seeks an accounting, disgorgement and prejudgment interest as well as civil penalties from the defendants. [SEC v. Global Telelink Services, Inc., Global Contact Corporation and Robert Smith III, Civil Action File No. 1 01-CV-0632, NDGa.] (LR-16929)

SEC SUES FT. LAUDERDALE INTERNET COMPANY AND ITS OFFICERS FOR FRAUDULENT OFFERING AS UNITED STATES ATTORNEY SIMULTANEOUSLY UNSEALS INDICTMENT AGAINST THE INDIVIDUAL DEFENDANTS

The Commission announced that on March 9 it filed a complaint and obtained an emergency asset freeze in connection with an alleged offering fraud being conducted by a purported Ft. Lauderdale, Florida Internet company and two of its officers. At the same time, the United States Attorney's Office for the Southern District of Florida announced the arrests of the two individual defendants on charges of securities fraud and other crimes.

The SEC's complaint, against Families On Line Corporation, its chief executive officer, Mark Thurman, and its chief operating officer, Robert Fiene, alleges that the defendants raised \$3.9 million from approximately 410 investors – primarily fundamentalist Christians. According to the complaint, between July 1999 and December 2000, the defendants raised investor funds in unregistered securities transactions by using, among other things, a fake letter from a Christian based television network, false claims of projected subscribers and profits, and complete fabrications about an impending initial public offering and supposed SEC approval of the offering. The SEC's complaint also alleges that the defendants failed to disclose to investors that both Thurman and Fiene are convicted felons. According to the SEC's complaint, Thurman and Fiene stole at least \$1.8 million of investor funds for their personal uses, including purchases made at stores specializing in drug paraphernalia and adult novelty items.

Upon the SEC's motion, the United States District Court of the Southern District of Florida entered an order temporarily freezing the assets of all of the defendants and

granting other emergency relief. [SEC v. Families On Line Corporation, et al., Case No. 01-6369-CIV-LENARD, S.D. Fla.] (LR-16930)

INVESTMENT COMPANY ACT RELEASES

ALLIANZ LIFE INSURANCE COMPANY OF NORTH AMERICA, ET AL

A notice has been issued giving interested persons until March 28 to request a hearing on an application filed by Allianz Life Insurance Company of North America (Allianz Life), Allianz Life Variable Account A (Allianz Account A), Allianz Life Variable Account B (Allianz Account B), Preferred Life Insurance Company of New York (Preferred Life) and Preferred Life Variable Account C (together with Allianz Account A and Allianz Account B, Separate Accounts) (collectively, Applicants) Applicants request an order under Section 26(b) of the Investment Company Act approving the substitution of shares of the Franklin Templeton Variable Insurance Products Trust's (Trust) Templeton Pacific Growth Securities Fund with shares of the Trust's Templeton International Securities Fund held by the Separate Accounts Applicants also request an order under section 17(b) of the Act exempting them from Section 17(a) of the Act to the extent necessary to permit certain in-kind redemptions of portfolio securities in connection with the substitution and the consolidation of certain subaccounts by certain of the Separate Accounts following the substitution. (Rel. IC-24889 - March 9)

INVESTMENT ADVISERS ACT RELEASES

BEAR CREEK INC.

A notice has been issued giving interested persons until April 3, 2001, to request a hearing on an application filed by Bear Creek Inc. for an order under Section 202(a)(11)(F) of the Investment Advisers Act. The order would declare Bear Creek Inc to be a person not within the intent of Section 202(a)(11) of the Advisers Act, which defines the term "investment adviser." (Rel. IA-1931 - March 9)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and granted accelerated approval to Amendment Nos. 3 and 4 thereto submitted under Section 19(b)(1) of the Securities Exchange Act of 1934 by the Chicago Board Options Exchange (SR-CBOE-00-37) to amend its minor rule violation plan. (Rel. 34-44045)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The proposed rule change (SR-CBOE-00-51) filed by the Chicago Board Options Exchange to amend its rules relating to the adoption of generic listing standards applicable to listing Index Portfolio Receipts and Index Portfolio Shares has become effective, as amended, under Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of March 12. (Rel. 34-44046)

APPROVAL OF PROPOSED AMENDMENTS TO THE CONSOLIDATED TAPE ASSOCIATION PLAN

The Commission approved the seventh charges amendment to the Second Restatement of the Consolidated Tape Association Plan (SR-CTA-01-01) to modify Network B ticker charges. (Rel. 34-44047)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-NASD-01-13) submitted by the National Association of Securities Dealers, through its wholly owned subsidiary, NASD Regulation, Inc., to amend the definitions of "broker" and "dealer" in the by-laws of NASD Regulation has been filed and become immediately effective under Rule 19b-4 of the Securities Exchange Act of 1934. (Rel. 34-44052)

DELISTINGS GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the Common Stock, no par value, and Warrants to Purchase Common Stock expiring July 20, 2004 of 3Dshopping.com, effective at the opening of business on March 9, 2001. (Rel. 34-44053)

An order has been issued granting the application of the New York Stock Exchange to strike from listing and registration the Common Stock, 01 par value, of Frontier Insurance Group, Inc., effective at the opening of business on March 12, 2001. (Rel. 34-44058)

An order has been issued granting the application of the Philadelphia Stock Exchange to strike from listing and registration call and put options contracts issued by The Options Clearing Corporation with respect to certain underlying securities, effective at the opening of business on March 12, 2001. (Rel. 34-44059)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec> In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-10 TEMBEC INDUSTRIES INC, HIGHWAY 101 W, P O BOX 1100,
TIMMINS ONTARIO CANADA, A6 P4N 7 (705) 268-1462 - 250,000,000
(\$250,000,000) STRAIGHT BONDS. (FILE 333-13232 - MAR. 05) (BR. 6)
- SB-2 VILLAGE XI ACQUISITION CORP, 580 VILLAGE BLVD, SUITE 140,
WEST PALM BEACH, FL 33409 (561) -68-9-16 - 10,000,000 (\$1,000,000)
COMMON STOCK. (FILE 333-56518 - MAR. 05)
- S-11 UNITED MORTGAGE TRUST, 5740 PROSPECT SUITE 1000, DALLAS, TX 75206
(214) 237-9305 - 5,750,000 (\$115,000,000)
COMMON SHARES OF BENEFICIAL INTEREST. (FILE 333-56520 - MAR. 05) (BR.
8)
- S-8 ULTICOM INC, 1020 BRIGGS RD, MTH LAUREL, NJ 08054 (882) 956-664 -
600,000 (\$10,074,000) COMMON STOCK. (FILE 333-56522 - MAR. 05) (BR. 7)
- S-8 KMART CORP, 3100 W BIG BEAVER RD, TROY, MI 48084 (248) 643-1000 -
18,000,000 (\$166,500,000) COMMON STOCK. (FILE 333-56534 - MAR. 05)
(BR. 2)
- S-3 AMERISOURCE HEALTH CORP/DE, PO BOX 959, VALLEY FORGE, PA 19482
(610) 296-4480 - 300,000,000 (\$300,000,000) COMMON STOCK. (FILE 333-
56538
- MAR. 05) (BR. 1)
- S-8 PAGELAB NETWORK INC, 43 MAIN ST SE, MINNEAPOLIS, MN 55414 (612) 362-
9224
- 450,000 (\$252,000) COMMON STOCK. (FILE 333-56540 - MAR. 05) (BR. 9)
- S-8 KELLOGG CO, ONE KELLOGG SQ, P O BOX 3599, BATTLE CREEK, MI 49016
(616) 961-2000 - 26,000,000 (\$687,180,000) COMMON STOCK. (FILE 333-
56542 -
MAR. 05) (BR. 4)
- S-8 EXTENSITY INC, 2200 POWELL ST, STE 400, EMERYVILLE, CA 94608
(510) 594-5700 - 1,927,971 (\$15,712,261.70) COMMON STOCK. (FILE 333-
56544
- MAR. 05) (BR. 3)

S-8 INTERLAND INC, 34 PEACHTREE ST NW, STE 1400, ATLANTA, GA 30303
(404) 720-8301 - 7,560,000 (\$12,625,200) COMMON STOCK. (FILE 333-56548
-
MAR. 05) (BR. 1)

S-3 TRICO MARINE SERVICES INC, 250 N AMERICAN COURT, HOUMA, LA 70363
(504) 851-3833 - 99,065,049 (\$126,315,049) COMMON STOCK. (FILE 333-
56550 -
MAR. 05) (BR. 5)

SB-2 NEBO PRODUCTS INC, 12382 GATEWAY PARKPLACE, STE 300, DRAPER, UT
84020
(801) 495-2150 - 3,006,666 (\$3,006,666.50) COMMON STOCK. (FILE 333-
56552 -
MAR. 05) (NEW ISSUE)

S-3 CHEQUEMATE INTERNATIONAL INC, 124 FERRY STREET S W, ALBANY, OR 97321
(310) 306-6666 - 28,410,518 (\$8,467,457.11) COMMON STOCK. (FILE 333-
56554
- MAR. 05) (BR. 9)

S-8 INFOCURE CORP, 1765 THE EXCHANGE, STE 450, ATLANTA, GA 30339
(770) 221-9990 - 465,000 (\$1,889,063) COMMON STOCK. (FILE 333-56556 -
MAR. 05) (BR. 3)

S-3 INTERLEUKIN GENETICS INC, 100 NE LOOP 410, STE 820, SAN ANTONIO, TX
78216 (210) 349-6400 - 2,186,441 (\$5,116,272) COMMON STOCK. (FILE
333-56558 - MAR. 05) (BR. 1)

S-8 RSA SECURITY INC/DE/, 36 CROSBY DRIVE, BEDFORD, MA 01730 (781) 301-
5000
- 107,720 (\$3,828,368.80) COMMON STOCK. (FILE 333-56560 - MAR. 05)
(BR. 3)

SB-2 ISONICS CORP, 5906 MCINTYRE STREET, GOLDEN, CO 80403 (303) 279-7900
-
3,150,000 (\$4,500,000) COMMON STOCK. (FILE 333-56562 - MAR. 05) (BR.
2)

S-8 HARRINGTON FINANCIAL GROUP INC, 722 EAST MAIN ST, P O BOX 968,
RICHMOND,
IN 47375 (913) 451-1566 - 150,000 (\$1,066,665) COMMON STOCK. (FILE
333-56564 - MAR. 05) (BR. 7)

S-3 WINTRUST FINANCIAL CORP, 727 N BANK LANE, LAKE FOREST, IL 60045
(847) 615-4096 - 155,433 (\$2,331,495) COMMON STOCK. (FILE 333-56566 -
MAR. 05) (BR. 7)

S-8 MIRANT CORP, 1155 PERIMETER CENTER WEST, SUITE 100, ATLANTA, GA
30338
(678) -57-9-50 - 1,300,000 (\$32,240,000) COMMON STOCK. (FILE 333-56574
-
MAR. 06) (BR. 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov> In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADVANCED SYSTEMS INTERNATIONAL INC	NV				X			X			02/05/01	
ALLEGHENY ENERGY INC	MD					X		X			03/08/01	
ALPHACOM INC	NV					X		X			03/05/01	
ALTERRA HEALTHCARE CORP	DE					X		X			03/05/01	
ANADARKO PETROLEUM CORP	DE			X							03/09/01	
ARGOSY GAMING CO	DE		X						X		02/22/01	
ASPEON INC	DE				X	X		X			01/24/01	AMEND
ASPEON INC	DE					X		X			03/07/01	
ASSISTED LIVING CONCEPTS INC	NV					X		X			03/02/01	
AVIS GROUP HOLDINGS INC	DE	X				X		X			03/01/01	
BAIL CORP	CO	X							X		03/03/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	X									02/26/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000-1	DE	X									02/26/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X		X			03/08/01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X		X			03/08/01	
BIOTRANSPLANT INC	DE					X		X			01/23/01	
BLACK HAWK GAMING & DEVELOPMENT CO INC	CO					X		X			02/27/01	
BLUE MOON INVESTMENTS						X					03/09/01	
BOLDER TECHNOLOGIES CORP	DE					X		X			03/09/01	
BROADCOM CORP	CA							X	X		03/06/01	
BROADWING INC	OH					X					03/09/01	
BY GEORGE HOLDING CORP	GA	X	X			X	X	X			04/30/00	
CADDO ENTERPRISES INC						X					03/09/01	
CENDANT CORP	DE		X			X		X			03/01/01	
CENTRAL CAPITAL VENTURE CORP	DE					X					02/05/01	
CHEC FUNDING LLC	DE					X		X			03/09/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
CITIGROUP INC	DE								X		03/07/01	
COACHMEN INDUSTRIES INC	IN								X	X	03/09/01	
COMMON GOAL HEALTH CARE PARTICIPATI NG MORTGAGE FUND L P	DE					X					01/19/01	
COMSHARE INC	MI					X	X				02/16/01	
CORPORATE EXECUTIVE BOARD CO	DE					X	X				03/08/01	
COUSINS PROPERTIES INC	GA	X									03/09/01	
CSX TRADE RECEIVABLES CORP	DE					X	X				02/26/01	
CWMBS INC	DE					X	X				02/27/01	
CYPRESS SEMICONDUCTOR CORP /DE/	DE					X					03/01/01	
DBS HOLDINGS INC	NV					X	X				03/09/01	
DEAN FOODS CO	DE					X					03/09/01	
DIVA ENTERTAINMENT INC	DE						X				07/31/00	AMEND
DUKE CAPITAL CORP	DE						X				12/31/00	
DYNAGEN INC	DE		X			X	X				02/23/01	
DYNAMIC HEALTHCARE TECHNOLOGIES INC	FL					X					03/02/01	
DYNAMIC HEALTHCARE TECHNOLOGIES INC	FL					X					03/05/01	
E-MEDSOFT COM	NV					X	X				02/20/01	AMEND
E-MEDSOFT COM	NV				X		X				03/08/01	
ELINE MUSIC COM INC	NV						X				03/09/01	AMEND
ELOT INC	VA					X					03/08/01	
EMPORIA SYSTEMS	NV				X						03/01/01	
ENCHIRA BIOTECHNOLOGY CORP	DE					X	X				03/09/01	
ENTERPRISES SOLUTIONS INC	NV					X	X				12/31/00	
EOP OPERATING LTD PARTNERSHIP	DE					X	X				02/22/01	
EQUITY OFFICE PROPERTIES TRUST	MD					X	X				02/22/01	
ESYNCH CORP/CA	DE		X								12/31/00	
EXPRESS SCRIPTS INC	DE	X									03/08/01	
FAN ENERGY INC	NV				X	X	X				02/01/01	AMEND
FEDERAL SIGNAL CORP /DE/	DE								X		03/09/01	
FIFTH THIRD BANCORP	OH					X	X				03/08/01	
FIRST PLACE FINANCIAL CORP /DE/	DE						X				12/22/00	AMEND
GAYLORD ENTERTAINMENT CO /DE	DE					X					03/09/01	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X				02/26/01	
GENUITY INC	DE					X	X				03/09/01	
GENZYME CORP	MA					X					01/01/01	
GRANITE BROADCASTING CORP	DE					X	X				03/06/01	
HANOVER COMPRESSOR CO /	DE					X					03/09/01	
HARVEY ENTERTAINMENT CO	CA					X	X				03/07/01	
HEALTH MANAGEMENT ASSOCIATES INC	DE					X					03/09/01	
HEALTHCENTRAL COM	DE					X					02/23/01	
HECLA MINING CO/DE/	DE					X	X				02/27/01	
HORMEL FOODS CORP /DE/	DE		X				X				02/24/01	
HOUSEHOLD AUTOMOTIVE TRUST 2001-1	DE						X				03/09/01	
IES UTILITIES INC	IA					X	X				03/06/01	
INDYMAC ABS INC	DE					X	X				07/24/00	
INFINITE GRAPHICS INC	MN				X		X				03/05/01	
INGENUUS CORP	DE				X		X				03/05/01	
INNKEEPERS USA TRUST/FL	MD					X	X				03/09/01	
INNOFONE COM INC	NV					X	X				03/01/01	
INTEGRATED SECURITY SYSTEMS INC	DE						X				02/27/01	
JUNUM INC	DE		X								03/01/01	
KOPIN CORP	DE		X								03/07/01	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
KSL RECREATION GROUP INC	DE		X					X			12/22/00	AMEND
LAS VEGAS GAMING INC	NV					X					02/22/01	
LCA VISION INC	DE					X	X				03/07/01	
LEHMAN ABS CORP	DE					X	X				03/08/01	
LIBERTY DIGITAL INC	DE					X	X				02/23/01	
LIONBRIDGE TECHNOLOGIES INC /DE/	DE					X	X				03/08/01	
LOG POINT TECHNOLOGIES INC	CO				X			X			03/08/01	
LOWES COMPANIES INC	NC					X	X				03/09/01	
MATHSOFT INC	MA					X					01/26/01	
MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST	NY					X	X				03/09/01	
META GROUP INC	DE						X	X			03/09/01	
MEXCO ENERGY CORP	CO						X				01/12/01	
MORTGAGE PASS THROUGH CERTIFICATES SERIES 2001-1	DE						X	X			03/01/01	
MRO SOFTWARE INC	MA						X				03/07/01	
MYWEB INC COM	NV			X	X		X				03/05/01	
NABORS INDUSTRIES INC	DE					X	X				03/08/01	
NET 1 L P	DE					X	X				11/14/00	
NET 2 L P	DE						X	X			11/14/00	
NETWORTHUSA COM INC	FL		X								02/22/01	
ODYSSEY MARINE EXPLORATION INC	NV	X						X			02/28/01	
OHIO & SOUTHWESTERN ENERGY CO	CO							X			03/07/01	
OLD KENT FINANCIAL CORP /MI/	MI								X		03/09/01	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2001-1	DE	X									02/26/01	
PACIFIC GAS & ELECTRIC CO	CA						X				03/09/01	
PEOPLES ENERGY CORP	IL	X									01/26/01	
PG&E CORP	CA						X				03/09/01	
PHH CORP	MD		X			X	X				03/01/01	
PROXIM INC /DE/	DE					X	X				03/09/01	
PYR ENERGY CORP	DE					X	X				03/08/01	
READERS DIGEST ASSOCIATION INC	DE					X					12/31/01	
REALCO INC /NM/	NM		X								02/26/01	
REGENCY CENTERS CORP	FL					X					02/12/01	
RENAL CARE GROUP INC	DE						X	X	X		03/09/01	
RICHMOND COUNTY FINANCIAL CORP	DE					X					02/27/01	
SAGEMARK COMPANIES LTD	NY					X					03/07/01	
SEACOR SMIT INC	DE					X	X				03/08/01	
SEQUA CORP /DE/	DE					X	X				03/09/01	
SIGNAL APPAREL COMPANY INC	IN					X	X				01/23/01	
SNYDER COMMUNICATIONS INC	DE					X	X				03/06/01	
SPECIALTY LABORATORIES						X	X				03/01/01	
SPIEKER PROPERTIES INC	MD					X	X				02/22/01	
SPIEKER PROPERTIES L P	CA					X	X				02/22/01	
STAMPS COM INC	DE					X					03/12/01	
STARBASE CORP	DE		X					X			02/23/01	
STEWART ENTERPRISES INC	LA						X				03/08/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE	X									02/26/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE	X									02/26/01	
STRUCTURED ASSET SECURITIES CORP	DE					X	X				03/09/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
STRUCTURED ASSET SECURITIES CORP MO RT PASS THR CERT SER 01 1	DE	X									02/26/01	
SUNRISE ASSISTED LIVING INC	DE		X				X	X			02/23/01	
SUNTERRA CORP	MD				X		X				02/23/01	
SYNERGY BRANDS INC	DE		X								02/28/01	
SYS	CA	X									03/09/01	
TELLABS INC	DE				X						03/08/01	
TENDER LOVING CARE HEALTH CARE SERV ICES INC/ NY	DE							X			02/26/01	
TIMKEN CO	OH				X						03/08/01	
TRINITY INDUSTRIES INC	DE				X		X				03/09/01	
TRUE NORTH COMMUNICATIONS INC	DE							X			03/09/01	
TUSCARORA INC	PA				X		X				03/09/01	
U S REALTEL INC	DE							X			03/09/01	
UNITED DOMINION INDUSTRIES LIMITED					X		X				02/16/01	AMEND
UNITED DOMINION REALTY TRUST INC	VA				X						02/01/01	
VISUAL DATA CORP	FL		X					X			02/27/01	
VITRIA TECHNOLOGY INC	DE				X		X				02/28/01	
WADE COOK FINANCIAL CORP	UT				X						02/28/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-01	NY	X									02/26/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-02	NY	X									02/26/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-03	NY	X									02/26/01	