

# SEC NEWS DIGEST

Issue 99-242

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## COMMISSION ANNOUNCEMENTS

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### SEC CHARGES NEW YORK PENSION FUND MANAGER IN \$6.9 MILLION KICKBACK SCHEME

The Commission announced that it has sued New York pension fund manager Alan B. Bond for fraudulently receiving more than \$6.9 million in kickbacks from brokerage firms in connection with his management of the pension and investment funds of such notable clients as the National Basketball Association, the Washington Metropolitan Transit Authority and the City University of New York. Bond, Harvard educated and a frequent guest on PBS's Wall Street Week With Louis Rukeyser, used the kickbacks to purchase more than 75 luxury and antique automobiles and a large home and beachfront condominium in Florida.

Also today, the United States Attorney for the Southern District of New York has criminally charged Bond, and the second defendant in this lawsuit, Robert I. Spruill, for conduct alleged in the SEC's complaint.

The SEC's complaint, filed today in the U.S. District Court for the Southern District of New York, alleges that, from September 1993 through November 1998, Bond received over \$6.9 million in commission kickbacks from three brokerage firms. Bond directed trades to these firms through his former money management business, Bond, Procope Capital Management. The kickbacks he received were siphoned off the investment returns of his clients in the form of mark-ups on principal trades in the over-the-counter market. According to the complaint, Bond dictated to the brokerage firms the amount of the mark-up on each trade and the firms, in turn, kicked back 57-80% of the mark-ups to Bond. In most cases, the kickbacks were funneled through dummy corporations set up by Spruill, who worked as a broker at these firms. Bond then instructed the firms not to report the mark-ups on his clients' trade confirmations and account statements. "By choosing to direct trades to these firms, Bond was not only deciding when he received a kickback, he was also deciding how much the kickbacks would be, while at the same time preventing his clients from detecting the scheme," said Valerie Caproni, Pacific Regional Director of the SEC.

The SEC's complaint further alleges that most of the money in this scheme went to finance Bond's extremely lavish personal lifestyle. In addition to the 75 cars and the two real estate properties in Florida, Bond frequently went on shopping sprees at stores like Saks Fifth Avenue and Tiffany & Co, running up American Express bills of \$200,000 to \$470,000 a month. He spent several hundred thousand dollars remodeling and furnishing his various homes. He also hired an interior designer to decorate the warehouse that he used to store his classic cars. Bond also used some of the illicit payments to purchase gratuities for the trustees and employees of his pension fund clients. The gratuities included limousine service, overnight stays in posh New York City hotels, expensive clothing and tickets to sporting events.

According to the complaint, Bond had approximately 25 clients and over \$600 million under management. The clients were mostly union and government pension funds. Bond's largest client was a retirement fund for Washington, DC's transit workers. Ms. Caproni said, "Although Mr. Bond's clients were mostly pension funds, the victims are human. Mr. Bond's scheme affected the life savings and financial security of thousands of working people, such as police officers, fire fighters, teachers and bus drivers. People who believed that their pension fund adviser was investing for their benefit, not his. We bring this case as a way of saying to all investment professionals: 'If you're thinking of lining your own pockets with other people's money, don't. We will find you and stop you.'"

As part of its lawsuit, the SEC charged Bond with violating, and Spruill with aiding and abetting violations of, the antifraud provisions of the federal securities laws. Bond was also charged with filing false documents with the SEC. The lawsuit is seeking permanent injunctions, disgorgement of all ill-gotten gains plus prejudgment interest and civil penalties against the defendants.

The SEC acknowledges the valuable assistance the United States Attorney's Office for the Southern District of New York and the United States Postal Inspection Service in bringing this case.

PERSONS TO CONTACT: SEC, Clifford C. Hyatt, (323) 965-3858  
U.S. ATTORNEY'S OFFICE, Marvin Smilon, (212) 637-2600  
(Press Rel. 99-173); [SEC v. Alan Brian Bond and Robert I. Spruill,  
Civil Action No. 99-12092, SDNY] (LR-16394)

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## **ENFORCEMENT PROCEEDINGS**

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### **FINAL REMAINING DEFENDANTS SETTLE SEC INSIDER TRADING CASE AND AGREE TO PAY ALMOST \$300,000 IN DISGORGEMENT AND CIVIL PENALTIES**

The Commission announced that on December 2 the United States District Court for the Southern District of Florida entered a

permanent injunction enjoining James Verrillo (J. Verrillo) of Lighthouse Point, Florida, and his father, Gerard Verrillo (G. Verrillo), of Syracuse, New York from violating Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934 (Exchange Act). In addition, the Court ordered J. Verrillo to disgorge \$220,548 in ill-gotten gains and prejudgment interest and to pay a civil penalty to the United States Treasury of \$46,367. The Court ordered G. Verrillo to disgorge \$16,980 in ill-gotten gains and prejudgment interest and to pay a civil penalty to the United States Treasury of \$3570. Both J. Verrillo and G. Verrillo consented to the entry of the order without admitting or denying the SEC's allegations.

The Verrillos were the last remaining defendants in a lawsuit the SEC filed on September 29, 1998, alleging insider trading involving the securities of Vacation Break U.S.A. (Vacation Break), a former Ft. Lauderdale company in the time-share business. The SEC's complaint charged four individuals with having violated the federal securities laws by trading on the basis of non-public information, or having provided non-public information, in advance of a merger with The Berkley Group, Inc. (Berkley Group) that Vacation Break announced on November 27, 1996. Following news of the merger, Vacation Break's stock, which was then traded on the NASDAQ, closed at about 56% higher than its price the prior day. [SEC v. Daniel Lambert, John Pape, James Verrillo and Gerard Verrillo, Civil Action No. 98-2280-CIV-KING, SD Florida] (LR-16392)

#### **BANKRUPTCY COURT APPROVES DISTRIBUTION PLAN IN ALLIANCE LEASING CORPORATION**

The Commission announced today that a federal bankruptcy court approved a plan to return money to defrauded investors in Alliance Leasing Corporation (Alliance). On December 15, United States Bankruptcy Judge Peter W. Bowie confirmed a reorganization plan proposed by David T. Cleary (the Chapter 11 Trustee appointed over Alliance) and a creditors' committee. Under the plan, the Trustee will distribute at least \$20 million to more than 1,600 individual investors throughout the United States within several weeks. The plan calls for the Trustee to make additional distributions to investors based on the Trustee's ability to recover investor funds through continued litigation.

The money which the Trustee will distribute to investors was originally frozen by court order on the request of the Commission as part of its civil enforcement action in this matter. On October 7, 1998, the Commission obtained a temporary restraining order from the United States District Court against Alliance and Prime Atlantic, Inc. (Prime) to stop the sales of fraudulent, unregistered equipment leasing investments to investors. In addition, United States District Judge Napoleon A. Jones, Jr. ordered that more than \$22 million in Alliance and Prime assets be frozen. The Commission has alleged that Alliance, Prime, and the principals of these companies violated the securities registration and antifraud provisions of the federal securities laws, and that Prime additionally violated the broker-dealer registration provisions. The Commission's action against the principals of Alliance and Prime continues. The

Commission's motion for summary judgment against these defendants is currently scheduled for hearing before Judge Jones in January 2000. [In re Alliance Leasing Corporation, Case No. 98-14142-B11, Bankr. S.D. Cal.; SEC v. Alliance Leasing Corporation and Prime Atlantic, Inc., Case No. 98 CV 1810J CGA, S.D. Cal.] (LR-16393)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY, ET AL.**

An order has been issued pursuant to Section 26(b) of the Investment Company Act to Great-West Life & Annuity Insurance Company, Retirement Plan Series Account (Separate Account), and One Orchard Equities, Inc. approving the substitution of shares of the Maxim INVESCO ADR Portfolio of the Maxim Series Fund, Inc. for shares of the Foreign Equity Portfolio of the Maxim Series Fund, Inc. currently held by the Separate Account. (Rel. IC-24197 - December 14)

### **SUNAMERICA ASSET MANAGEMENT CORP., ET AL.**

An order has been issued on an application filed by SunAmerica Asset Management Corp., et al. exempting applicants from Section 17(a) of the Investment Company Act. The order permits certain registered open-end management investment companies to settle claims that may arise under an insurance policy provided by an affiliated insurance company. (Rel. IC-24198 - December 15)

### **MBL VARIABLE CONTRACT ACCOUNT - 12**

An order has been issued under Section 8(f) of the Investment Company Act declaring that MBL Variable Contract Account - 12 has ceased to be an investment company. (Rel. IC-24199 - December 15)

### **ORDERS OF DEREGISTRATION UNDER THE INVESTMENT COMPANY ACT**

Orders have been issued under Section 8(f) of the Investment Company Act declaring that each of the following has ceased to be an investment company:

The Berwyn Fund, Inc.  
[File No. 811-9309]  
(Rel. IC-24201 - December 15, 1999)  
Chicorp Equity Trust  
[File No. 811-6299]  
(Rel. IC-24202 - December 15, 1999)  
CVO Greater China Fund  
[File No. 811-8760]  
(Rel. IC-24203 - December 15, 1999)

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## HOLDING COMPANY ACT RELEASES

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### CONECTIV, ET AL.

An order has been issued authorizing Conectiv, a registered holding company, and its subsidiaries (Applicants) to amend existing financing authority to : (a) extend the authorization period for certain financing transactions; (b) increase the amount of short term debt Conectiv is authorized to incur; (c) modify a condition on the issuance of securities; and (d) remove the borrowing limit for nonutility subsidiaries in the system money pool. The Commission has reserved jurisdiction over Conectiv's proposals to invest the proceeds of securities issuances in exempt wholesale generators and to increase the amount of long-term debt Conectiv is authorized to incur. The Commission has also reserved jurisdiction over Applicants' proposal to allow a new nonutility subsidiary to participate in the system money pool. (Rel. 35-27111)

### DOMINION RESOURCES, INC.

An order has been issued authorizing Dominion Resources, Inc. (DRI), a holding company exempt from registration under Section 3(a)(1) of the Public Utility Holding Company Act of 1935, as amended (Act) and Rule 2 under the Act, to engage in various financing activities in connection with its proposal to acquire by merger, Consolidated Natural Gas Company (CNG), a registered holding company under the Act. Specifically, DRI proposes to: (1) amend, renew, and extend its universal shelf registration through December 31, 2002 (Authorization Period) and to issue additional securities; (2) maintain its and its current subsidiaries', and CNG's existing credit facilities and financing arrangements through the Authorization Period; (3) maintain its and its subsidiaries' and CNG's existing guarantee and credit support arrangements; (4) issue 45.5 million shares of common stock under dividend reinvestment and stock-based management incentive and employee benefit plans; (5) amend certain provisions of CNG's omnibus financing order; and (6) use financing proceeds to invest in exempt wholesale generators and foreign utility companies. (Rel. 35-27112)

### DOMINION RESOURCES, INC., ET AL.

An order has been issued authorizing Dominion Resources, Inc. (DRI), a holding company exempt from registration under Section 3(a)(1) of the Public Utility Holding Company Act of 1935, as amended (Act) and Rule 2 under the Act, to acquire by merger, Consolidated Natural Gas Company, a registered holding company under the Act. DRI will register with the Commission as a public utility holding company under Section 5 of the Act. DRI also proposes to form a service company and engage in related transactions. (Rel. 35-27113)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change submitted by the National Association of Securities Dealers (SR-NASD-99-48) amending the Exchange's audit committee requirements. (Rel. 34-42331)

The Commission approved a proposed rule change submitted by the American Stock Exchange (SR-Amex-99-38) amending the Exchange's audit committee requirements. (Rel. 34-42232)

The Commission approved a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-99-39) amending the Exchange's audit committee requirements. (Rel. 34-42233)

### ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the Pacific Exchange (SR-PCX-99-33) relating to the composition of the PCX Board of Governors. (Rel. 34-42235)

### PROPOSED RULE CHANGES

The National Association of Securities Dealers, through its wholly-owned subsidiary, NASD Regulation, filed a proposed rule change (SR-NASD-99-63) to revise NASD Rule 2260 to allow members to give proxies in the absence of written instructions from beneficial owners. Publication of the proposal is expected in the Federal Register during the week of December 20. (Rel. 34-42238)

The Chicago Stock Exchange filed a proposed rule change (SR-CHX-99-20) relating to minimum net capital and excess net capital requirement for members. Publication of the proposal is expected in the Federal Register during the week of December 20. (Rel. 34-42239)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-1 VERSATA INC, 2101 WEBSTER ST, OAKLAND, CA 94612 - \$57,500,000  
COMMON STOCK. (FILE 333-92451 - DEC. 10)
- S-8 EL SITIO INC, AVENIDA BELGRANO 846, 1092 BUENOS AIRES, ARGENTINA, -  
3,240,000 (\$42,120,000) COMMON STOCK. (FILE 333-92459 - DEC 10) (BR. 3)
- S-8 FREEMARKETS INC, 22ND FLOOR, ONE OLIVER PLAZA, 210 SIXTH AVENUE,  
PITTSBURGH, PA 15222 (412) 434-0500 - 15,160,180 (\$224,217,173)  
COMMON STOCK. (FILE 333-92465 - DEC. 10) (BR. 8)
- S-8 CROSSROADS SYSTEMS INC, 9390 RESEARCH BOULEVARD SUITE II-300, AUSTIN, TX  
78759 (512) 349-0300 - 4,318,923 (\$358,211,473.60) COMMON STOCK. (FILE  
333-92467 - DEC. 10) (BR. 3)
- S-8 MALLINCKRODT INC /MO, 675 MCDONNELL BLVD, PO BOX 5840, ST LOUIS, MO  
63134 (314) 654-2000 - 40,000,000 (\$40,000,000)  
CONVERTIBLE PREFERRED SHARE OF BENEFICIAL INTEREST. (FILE 333-92469 -  
DEC. 10) (BR. 1)
- S-8 MEDICAL ADVISORY SYSTEMS INC, 8050 SOUTHERN MARYLAND BLVD, OWINGS, MD  
20736 (301) 855-8070 - 650,000 (\$3,522,000) COMMON STOCK (FILE 333-92471  
- DEC. 10) (BR. 1)
- S-8 CREE RESEARCH INC /NC/, 4600 SILICON DR, DURHAM, NC 27703 (919) 361-5709  
- 300,000 (\$19,425,000) COMMON STOCK. (FILE 333-92477 - DEC 10) (BR 5)
- S-8 CREE RESEARCH INC /NC/, 4600 SILICON DR, DURHAM, NC 27703 (919) 361-5709  
- 4,800,000 (\$310,800,000) COMMON STOCK. (FILE 333-92479 - DEC. 10)  
(BR. 5)
- S-4 BLOUNT INC, 4520 EXECUTIVE PK DR, MONTGOMERY, AL 36116 (205) 244-4000 -  
325,000,000 (\$325,000,000) STRAIGHT BONDS. (FILE 333-92481 - DEC. 10)  
(BR. 6)
- S-8 NABORS INDUSTRIES INC, 515 W GREEN RD STE 1200, HOUSTON, TX 77067  
(281) 874-0035 - 10,250 (\$125,050) COMMON STOCK. (FILE 333-92483 -  
DEC. 10) (BR. 4)
- S-8 RSA SECURITY INC/DE/, 36 CROSBY DRIVE, BEDFORD, MA 01730 (781) 301-5000  
- 668,994 (\$26,787,737) COMMON STOCK (FILE 333-92487 - DEC. 10) (BR. 3)
- S-8 NUR MACROPRINTERS LTD, 5 DAVID NAVON STREET, MOSHAV MAGSHIMIM,  
PETAH-TIKVA ISRAEL, L3 (011) 972-3908 - 1,998,697 (\$12,308,330)  
COMMON STOCK. (FILE 333-92491 - DEC. 10) (BR 5)
- S-8 HUTTIG BUILDING PRODUCTS INC, 14500 S OUTER FORTY RD, SUITE 400,  
CHESTERFIELD, MO 63006 (314) 216-2600 - 300,000 (\$1,518,750) COMMON STOCK.  
(FILE 333-92495 - DEC. 10) (BR. 6)
- S-8 HUTTIG BUILDING PRODUCTS INC, 14500 S OUTER FORTY RD, SUITE 400,  
CHESTERFIELD, MO 63006 (314) 216-2600 - 100,000 (\$506,250) COMMON STOCK.  
(FILE 333-92497 - DEC. 10) (BR. 6)
- S-8 HUTTIG BUILDING PRODUCTS INC, 14500 S. OUTER FORTY RD, SUITE 400,  
CHESTERFIELD, MO 63006 (314) 216-2600 - 1,500,000 (\$7,593,750)  
COMMON STOCK. (FILE 333-92499 - DEC. 10) (BR. 6)
- S-8 SEARS ROEBUCK & CO, 3333 BEVERLY RD B-5 317A, HOFFMAN ESTATES, IL 60179  
(847) 286-2500 - 5,000,000 (\$155,781,250) COMMON STOCK. (FILE 333-92501 -  
DEC. 10) (BR 2)
- S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94043 (415) 944-6000 -  
1,211,527 (\$19,723,660) COMMON STOCK. (FILE 333-92503 - DEC 10) (BR 3)

S-8 IXL ENTERPRISES INC, 1900 EMERY STREET, ATLANTA, GA 30318 (800) 573-5544  
(FILE 333-92505 - DEC. 10) (BR. 3)

S-8 APPLIED MICRO CIRCUITS CORP, 6290 SEQUENCE DR, SAN DIEGO, CA 92121  
(619) 450-9333 - 7,600,000 (\$674,483,598.75) COMMON STOCK. (FILE  
333-92507 - DEC. 10) (BR. 5)

S-3 COMCAST CORP, 1500 MARKET STREET, PHILADELPHIA, PA 19102 (215) 665-1700  
- 69,830,280 (\$3,201,281,899) COMMON STOCK. (FILE 333-92509 - DEC. 10)  
(BR. 7)

S-8 DURASWITCH INDUSTRIES INC, 234 S EXTENSION ROAD, MESA, AZ 85210  
(480) 833-3131 - 968,824 (\$9,560,585.60) COMMON STOCK (FILE 333-92511 -  
DEC. 10) (BR. 9)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94043 (415) 944-6000 -  
400,000 (\$23,488,000) COMMON STOCK. (FILE 333-92513 - DEC. 10) (BR. 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94043 (415) 944-6000 -  
100,000 (\$5,872,000) COMMON STOCK. (FILE 333-92515 - DEC. 10) (BR. 3)

S-8 INTUIT INC, 2535 GARCIA AVE, MOUNTAIN VIEW, CA 94043 (415) 944-6000 -  
8,900,000 (\$522,608,000) COMMON STOCK. (FILE 333-92517 - DEC. 10) (BR. 3)

S-3 BIONX IMPLANTS INC, 279B GREAT VALLEY PKWY, MALVERN, PA 19355  
(610) 296-0919 (FILE 333-92519 - DEC. 10) (BR. 5)

S-8 MEDSCAPE INC, 134 WEST 29TH STREET, NEW YORK, NY 10001 (212) 760-3100 -  
6,237,877 (\$22,005,188.40) COMMON STOCK. (FILE 333-92521 - DEC. 10)  
(BR. 6)

S-4 U S WEST INC /DE/, 1801 CALIFORNIA STREET, SUITE 390, DENVER, CO 80202  
(303) 672-2700 - 1,150,000,000 (\$1,150,000,000) STRAIGHT BONDS (FILE  
333-92523 - DEC. 10) (BR. 7)

S-8 ADEPT TECHNOLOGY INC, 150 ROSE ORCHARD WAY, SAN JOSE, CA 95134  
(408) 432-0888 - 1,000,000 (\$6,438,000) COMMON STOCK (FILE 333-92525 -  
DEC. 10) (BR. 5)

S-3 SAWTEK INC \FL\, 1818 SOUTH HIGHWAY 441, P O BOX 609501, APOPKA, FL  
32703 (407) 886-8860 - 4,600,000 (\$211,600,000) COMMON STOCK. (FILE  
333-92527 - DEC. 10) (BR. 7)

S-3 SALTON INC, 550 BUSINESS CENTER DR, C/O KENSINGTON CENTER,  
MOUNT PROSPECT, IL 60056 (708) 803-4600 - 957,304 (\$30,424,318)  
COMMON STOCK. (FILE 333-92529 - DEC. 10) (BR. 2)

S-8 SHOPNOW COM INC, 411 FIRST AVE S, STE 200 N, SEATTLE, WA 98104  
(206) 223-1996 - 4,000,000 (\$85,760,000) COMMON STOCK. 500,000  
(\$6,000,000) WARRANTS, OPTIONS OR RIGHTS. 157,536 (\$22,055.04)  
COMMON STOCK. (FILE 333-92533 - DEC. 10) (BR. 8)

S-8 TRM CORP, 5208 N E 122ND AVENUE, PORTLAND, OR 97230 (503) 257-8766 -  
500,000 (\$3,031,250) COMMON STOCK. (FILE 333-92535 - DEC. 10) (BR. 8)

S-8 BEN & JERRYS HOMEMADE INC, 30 TECHNOLOGY DRIVE, SUITE #1, S. BURLINGTON,  
VT 05403 (802) 651-9600 - 200,000 (\$5,231,250) COMMON STOCK. (FILE  
333-92537 - DEC 10) (BR. 4)

S-8 AUTODESK INC, 111 MCINNIS PKWY, SAN RAFAEL, CA 94903 (415) 507-5000 -  
1,500,000 (\$48,234,000) COMMON STOCK. (FILE 333-92539 - DEC. 10) (BR. 3)

S-4 OAK TECHNOLOGY INC, 139 KIPER CT, SUNNYVALE, CA 94086 (408) 737-0888 -  
10,580,000 (\$51,679,474.22) COMMON STOCK. (FILE 333-92541 - DEC 10)  
(BR. 5)

S-3 WASTE SYSTEMS INTERNATIONAL INC, 420 BEDFORD STREET, SUITE 300,  
LEXINGTON, MA 02173 (781) 862-3000 - 6,681,365 (\$29,651,897.87)  
COMMON STOCK. (FILE 333-92543 - DEC. 10) (BR. 4)

S-1 SELECTICA INC, 2890 ZANKER ROAD, SAN JOSE, CA 95134 - \$75,000,000  
COMMON STOCK. (FILE 333-92545 - DEC 10)



S-8 BEN & JERRYS HOMEMADE INC, 30 TECHNOLOGY DRIVE, SUITE #1, S BURLINGTON,  
VT 05403 (802) 651-9600 - 50,000 (\$1,269,680) COMMON STOCK (FILE  
333-92547 - DEC. 10) (BR. 4)

S-8 UNIONBANCORP INC, 122 W MADISON ST, OTTAWA, IL 61350 (815) 434-3900 -  
50,000 (\$770,500) COMMON STOCK (FILE 333-92549 - DEC 10) (BR 7)

SB-2 AMERICAS SHOPPING MALL INC, 10 HENRY STREET, TETERBORO, NJ 07608  
(201) 462-0970 - 5,157,310 (\$14,544,148) COMMON STOCK. (FILE 333-92555 -  
DEC. 10) (NEW ISSUE)

S-8 BLYTH INDUSTRIES INC, 100 FIELD POINT RD, GREENWICH, CT 06830  
(203) 661-1926 - 1,000,000 (\$24,718,750) COMMON STOCK. (FILE 333-92557 -  
DEC. 10) (BR. 6)

S-1 MODUS MEDIA INTERNATIONAL HOLDINGS INC, 690 CANTON STREET, WESTWOOD, MA  
02090 (781) 407-2000 - \$150,000,000 COMMON STOCK. (FILE 333-92559 -  
DEC. 10) (NEW ISSUE)

S-4 VISTA ENERGY RESOURCES INC, 550 WEST TEXAS AVE, STE 700, MIDLAND, TX  
79701 (915) 570-5045 - 8,296,123 (\$84,857,014) COMMON STOCK. (FILE  
333-92561 - DEC. 10) (BR. 4)

S-3 MEDICONSULT COM INC, 1330 AVENUE OF THE AMERICAS, 17TH FLOOR, NEW YORK,  
NY 10019 (212) 841-7300 - 2,415,228 (\$24,079,823) COMMON STOCK (FILE  
.333-92563 - DEC. 10) (BR. 9)

S-8 NABISCO INC, 7 CAMPUS DR, P O BOX 311, PARSIPPANY, NJ 07054  
(201) 682-5000 - \$43,778,124 COMMON STOCK. (FILE 333-92565 - DEC 10)  
(BR. 4)

S-8 EARTH SCIENCES INC, 910 12TH ST, GOLDEN, CO 80401 (303) 279-7641 -  
1,469,864 (\$323,370 08) COMMON STOCK. (FILE 333-92567 - DEC 10) (BR. 4)

S-8 CLINTRIALS RESEARCH INC, 11000 WESTON PARKWAY, CARY, NC 27513  
(919) 462-2556 - 1,500,000 (\$5,578,500) COMMON STOCK (FILE 333-92569 -  
DEC. 10) (BR 1)

S-1 ONYX ACCEPTANCE CORP, 27051 TOWNE CENTRE DRIVE, FOOTHILL RANCH, CA 92610  
(949) 465-3500 - 23,000,000 (\$23,000,000) STRAIGHT BONDS (FILE 333-92573  
- DEC. 10) (BR. 7)

S-4 KING PHARMACEUTICALS INC, 0, 501 FIFTH ST, BRISTOL, TN 37620  
(423) 989-8000 - 9,653,719 (\$369,254,771) COMMON STOCK. (FILE 333-92575 -  
DEC 10) (BR. 1)

S-3 AFFYMETRIX INC, 3380 CENTRAL EXPRESSWAY, SANTA CLARA, CA 95051  
(408) 522-6000 - 150,000,000 (\$150,000,000) STRAIGHT BONDS (FILE  
333-92577 - DEC 10) (BR 1)

S-4 COSTAR GROUP INC, 7475 WISCONSIN AVE., SUITE 600, BETHESDA, MD 20814  
(301) 215-8300 - 2,242,000 (\$14,012,500) COMMON STOCK. (FILE 333-92579 -  
DEC. 10) (BR. 3)

S-1 COMSTAR NET INC, 2812 SPRING RD, STE 210, ATLANTA, GA 30339  
(770) 485-6000 (FILE 333-92581 - DEC. 10) (BR. 7)

S-3 CHRYSLER FINANCIAL CO LLC, 27777 FRANKLIN RD, 25TH FLOOR, SOUTHFIELD, MI  
48034 (248) 948-3067 - 10,000,000,000  
PASS-THROUGH MORTGAGE-BACKED CERTIFICATE (FILE 333-92583 - DEC. 10)  
(BR. 8)

S-8 ABSOLUTEUTURE COM, 10900 N.E. 8TH STREET, SUITE 1414, CENTRAL PLAZA,  
BELLEVUE, WA 98004 (425) -46-2-62 - 1,100,000 (\$275,000) COMMON STOCK.  
(FILE 333-92585 - DEC. 10) (BR. 9)

S-8 GOLD RESERVE INC, 601 WEST RIVERSIDE AVENUE SUITE 1940, HITEHORSE,  
SPOKANE, WA 99201 (509) 623-1500 - 300,000 (\$225,000) COMMON STOCK (FILE  
333-92587 - DEC. 10) (BR. 4)

## RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
ABOUT COM INC				X				X			11/30/99	
ACCREDO HEALTH INC	DE			X				X			12/03/99	
ADVANTA BUSINESS SERVICES CORP	DE					X	X				12/10/99	
ADVANTA BUSINESS SERVICES CORP	DE					X	X				12/10/99	
ADVANTA LEASING RECEIVABLES CORP IV	NV					X	X				12/10/99	
ADVANTA LEASING RECEIVABLES CORP IX	NV					X	X				12/10/99	
ADVANTA LEASING RECEIVABLES CORP V	NV					X	X				12/10/99	
ADVANTA LEASING RECEIVABLES CORP VI	NV					X	X				12/10/99	
II												
ADVANTAGE LEARNING SYSTEMS INC	WI					X	X				12/14/99	
ALYN CORP	DE					X					12/15/99	
AMB PROPERTY LP	DE			X			X				12/01/99	
AMERICAN BUSINESS PRODUCTS INC	GA					X	X				12/06/99	
AMERINET GROUP COM INC	DE			X		X	X				12/01/99	
AMR CORP	DE			X							12/15/99	
ANCHOR FINANCIAL CORP	SC					X	X				12/15/99	
ARXA INTERNATIONAL ENERGY INC	DE					X	X				12/13/99	
ASSISTED LIVING CONCEPTS INC	NV					X	X				12/11/99	
ASSOCIATES FIRST CAPITAL CORP	DE					X	X				12/16/99	
AUTHORISZOR INC	DE							X			11/23/99	
AVATEX CORP	DE					X	X				12/07/99	
AVAX TECHNOLOGIES INC	DE					X	X				11/26/99	
AZTAR CORP	DE					X	X				12/15/99	
BA MORTGAGE SECURITIES INC MORT PS THR CERT SER 1998-4	DE					X	X				05/25/99	
BA MORTGAGE SECURITIES INC PASS THR CERT SER 1998-5	DE					X	X				05/25/99	
BANC ONE CREDIT CARD MASTER TRUST	NY					X	X				12/15/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X				05/25/99	
BANK OF NEW YORK CO INC	NY					X	X				12/16/99	
BB&T CORP	NC					X					12/15/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X				12/06/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE							X			12/06/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BELDEN & BLAKE CORP /OH/	OH				X						12/14/99	
BENCHMARK ELECTRONICS INC	TX				X	X					12/14/99	
BESICORP LTD	NY				X						11/24/99	
BIGHUB COM INC	FL					X					11/05/99	AMEND
BIOGAN INTERNATIONAL INC	DE				X						12/29/99	
BLACK HAWK GAMING & DEVELOPMENT CO INC	CO				X	X					12/15/99	
BLUEFLY INC	NY				X	X					12/09/99	
BRAUN CONSULTING INC	DE	X				X					12/01/99	
BUILDERS TRANSPORT INC	DE				X	X					11/22/99	
C COR NET CORP	PA				X						12/08/99	
CAPSTEAD MORTGAGE CORP	MD				X	X					12/15/99	
CARDIODYNAMICS INTERNATIONAL CORP	CA				X	X					12/03/99	
CARMAX AUTO RECEIVABLES LLC	VA				X	X					12/15/99	
CBRL GROUP INC	TN				X						11/30/99	
CC MASTER CREDIT CARD TRUST	MD					X					12/15/99	
CC MASTER CREDIT CARD TRUST II	MD					X					12/15/99	
CENTRAL HUDSON GAS & ELECTRIC CORP	NY				X	X					12/15/99	
CH ENERGY GROUP INC	NY				X	X					12/15/99	
CHAMPION HOME EQUITY LOAN ASSET BAC KED NOTES SERIES 1999 3					X	X					11/30/99	
CHAMPION HOME EQUITY LOAN ASSET BAC KED NOTES SERIES 1999-2					X	X					11/30/99	
CHAMPION HOME EQUITY LOAN TRUST 199 9-1	DE				X	X					11/30/99	
CHASE FUNDING INC	NY				X	X					12/13/99	
CHL MORTGAGE PASS THROUGH TRUST 199 8 18	DE				X	X					05/25/99	
CHRYSLER FINANCIAL CO LLC PREMIER A UTO TRUST 1999-3	MI				X	X					12/16/99	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE				X	X					12/15/99	
CISCO SYSTEMS INC	CA				X	X					12/14/99	
CITY HOLDING CO	WV				X						11/14/99	
CLAIRES STORES INC	DE	X				X					12/01/99	
CLARUS CORP	DE					X					12/15/99	AMEND
COCA COLA ENTERPRISES INC	DE				X	X					12/14/99	
COLECCIONES DE RAQUEL INC	NV				X						12/10/99	
COMMONWEALTH EDISON CO	IL				X						12/15/99	
COMMSCOPE INC	DE				X	X					12/15/99	
COMMUNICATION INTELLIGENCE CORP	DE				X	X					12/08/99	
COMPOSITECH LTD	DE				X	X					12/06/99	
COMPX INTERNATIONAL INC	DE				X	X					12/15/99	
CONSECO FINANCE CORP	MN					X					12/10/99	
CONSECO INC	IN				X	X					12/15/99	
CONSOLIDATED GRAPHICS INC /TX/	TX				X	X					12/07/99	
CORPORATE ASSET BACKED CORP	DE					X					12/01/99	
CPI CORP	DE	X									12/15/99	
CSK AUTO CORP	DE		X			X					10/01/99	AMEND
CTC COMMUNICATIONS GROUP INC	DE				X						12/16/99	
CV REIT INC	DE				X	X					12/17/99	
CWABS INC	DE				X						11/30/99	
CWABS INC	DE				X	X					12/15/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1998-3	DE				X	X					05/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE				X	X					03/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE				X	X					04/25/99	
CWABS INC ASSET BACKED CERTIFICATES SERIES 1999-1	DE				X	X					05/25/99	

NAME OF ISSUER	STATE CODE	BK ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
CWMBS INC ASSET SEC TRUST 1998-A7	DE				X	X					05/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-2	DE				X	X					03/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-2	DE				X	X					04/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-2	DE				X	X					05/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-3	DE				X	X					03/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-3	DE				X	X					04/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-4	DE				X	X					04/25/99	
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 1999-4	DE				X	X					05/25/99	
CWMBS INC MORT PASS THRO CERT SER 1 998-12	DE				X	X					05/25/99	
CWMBS INC MORTGAGE PASS THR CERT TR UST 1999 1	DE				X	X					02/25/99	
CWMBS INC MORTGAGE PASS THR CERT TR UST 1999 1	DE				X	X					03/25/99	
CWMBS INC MORTGAGE PASS THR CERT TR UST 1999 1	DE				X	X					04/25/99	
CWMBS INC MORTGAGE PASS THR CERT TR UST 1999 1	DE				X	X					05/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER T SERIES 1998 8	DE				X	X					05/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998 6	DE				X	X					05/25/99	
CWMBS INC MORTGAGE PASS THROUGH CER TIFICATES SERIES 1998-9	DE				X	X					05/25/99	
CWMBS INC RESIDENTIAL ASSET SEC TRU ST 1998-A12	DE				X	X					05/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A15	DE				X	X					05/25/99	
CWMBS INC RESIDENTIAL ASSET SECURIT IZATION TRUST 1998-A8	DE				X	X					05/25/99	
CWMBS INC SERIES 1998 7	DE				X	X					05/25/99	
DATAMETRICS CORP	DE				X	X					12/04/99	
DEERE JOHN RECEIVABLES INC	DE				X	X					12/15/99	
DELTA FUNDING HOME EQUITY LOAN TR 9 9-3 HOME EQ AS BK SE 99-3	NY				X	X					11/30/99	
DELTA WOODSIDE INDUSTRIES INC /SC/	SC				X						12/09/99	
DISCOVER CARD MASTER TRUST I	DE				X	X					12/14/99	
DISCOVER CARD MASTER TRUST I	DE				X	X					12/15/99	
DISCOVER CARD TRUST 1993-B	DE				X	X					12/15/99	
DOCTORS HEALTH INC	MD				X						12/10/99	
DREAMLIFE INC	DE				X		X				12/03/99	AMEND
DSL NET INC	DE		X				X				12/01/99	
EFFICIENCY LODGE INC	GA		X				X				12/01/99	
EMERSON RADIO CORP	DE				X						12/07/99	
EPL TECHNOLOGIES INC	CO				X	X					12/13/99	
EQUITY OFFICE PROPERTIES TRUST	MD				X	X					12/14/99	
ESG RE LTD					X	X					12/16/99	
EUROTECH LTD	CA		X				X				11/30/99	
EXPRESS SCRIPTS INC	DE		X								12/16/99	
F&M BANCORP	MD				X	X					12/15/99	
FARMER MAC MORTGAGE SECURITIES CORP	DE	X									12/15/99	
FIRST BANK CORPORATE CARD MASTER TR UST	NY				X	X					12/16/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FIRST CHICAGO MASTER TRUST II	DE				X	X					12/15/99	
FIRST NBC CREDIT CARD MASTER TRUST						X					12/10/99	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC				X	X					12/09/99	
FIRST UNION DIRECT BANK N A					X	X					12/15/99	
FIRST UNION MASTER CREDIT CARD TRUS T					X	X					12/15/99	
FIRST USA BANK NATIONAL ASSOCIATION					X	X					12/15/99	
FIRST USA CREDIT CARD MASTER TRUST	DE				X	X					12/10/99	
FLEET BOSTON CORP	RI				X	X					12/01/99	
FLORIDINOS INTERNATIONAL HOLDINGS I NC	FL	X									12/01/99	
FMC CORP	DE				X						12/14/99	
FNANB CREDIT CARD MASTER TRUST					X	X					12/15/99	
FOREST GLADE INTERNATIONAL INC		X	X			X					08/30/99	AMEND
FRAGRANCENET COM INC	DE				X	X					12/13/99	
FSI INTERNATIONAL INC	MN	X				X					10/20/99	AMEND
GMAC MORTGAGE LOAN TRUST 1999-J2					X	X					11/30/99	
GREATER BAY BANCORP	CA				X	X					12/14/99	
GREENPOINT MORTGAGE SECURITIES INC	DE				X	X					12/14/99	
HARMAN INTERNATIONAL INDUSTRIES INC /DE/	DE				X						12/13/99	
HARVEY ELECTRONICS INC	NY				X	X					12/02/99	
HEALTH CARE PROPERTY INVESTORS INC	MD					X					11/04/99	AMEND
HEALTH CHEM CORP	DE				X						12/15/99	
HOMESEEEKERS COM INC	NV				X	X					12/08/99	
HORSESHOE GAMING HOLDING CORP	DE	X									12/01/99	
HUDSON UNITED BANCORP	NJ	X			X	X					11/30/99	
HUMAN GENOME SCIENCES INC	DE				X	X					12/13/99	
HYSEQ INC	NV				X						12/14/99	
I STORM INC	NV				X	X					07/01/98	
IEC ELECTRONICS CORP	DE	X									12/14/99	
IEXALT INC	NV	X	X	X	X	X	X	X	X		11/30/99	
ILLINOIS POWER CO	IL				X						12/16/99	
ILLINOVA CORP	IL				X						12/16/99	
IMPAC SECURED ASSETS CORP	CA					X					12/16/99	
IMRGLOBAL CORP	FL	X				X					12/16/99	
INDIANA ENERGY INC	IN				X	X					12/14/99	
INDIANA ENERGY INC	IN				X	X					12/15/99	
INDYMAC RAST 1998-A5	DE				X	X					05/25/99	
INNOVATIVE TECHNOLOGY SYSTEMS INC/F L	FL	X	X			X					12/14/99	
INSIGNIA SOLUTIONS PLC					X	X					12/10/99	
INTEGRATED ELECTRICAL SERVICES INC	DE				X	X					12/14/99	
INTEGRATED SURGICAL SYSTEMS INC	DE	X			X	X					12/14/99	
INTERACTIVE GAMING & COMMUNICATIONS CORP	DE	X									12/31/99	
INTERSYSTEMS INC /DE/	DE	X									04/26/99	
INVESTMENT PROPERTIES ASSOCIATES	NY	X				X					12/16/99	
ISONICS CORP	CA	X				X					12/01/99	
JACKSONVILLE BANCORP INC	TX				X	X					12/15/99	
JCP RECEIVABLES INC	DE				X	X					12/15/99	
K TEL INTERNATIONAL INC	MN				X						12/13/99	
KEYCORP /NEW/	OH				X						11/23/99	
KILROY REALTY CORP	MD				X	X					12/09/99	
KMART CORP	MI				X						12/06/99	
KULICKE & SOFFA INDUSTRIES INC	PA				X	X					12/13/99	
LATTICE SEMICONDUCTOR CORP	DF	X				X					06/15/99	AMEND
LCA VISION INC	DE	X									12/15/99	
LEATHER FACTORY INC	DE				X	X					11/22/99	
LIFEF/X INC	NV	X	X		X	X					12/15/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
LOEWEN GROUP INC					X	X					12/15/99	
LOG ON AMERICA INC			X			X					12/10/99	
LOG ON AMERICA INC			X			X					12/10/99	AMEND
MACROMEDIA INC	DE		X			X					12/01/99	
MAIL COM INC	DE				X	X					12/11/99	
MAPICS INC	GA				X	X					12/15/99	
MARK SOLUTIONS INC	DE				X						12/15/99	
MASON HILL HOLDINGS INC	DE	X	X			X					10/14/99	
MC INFORMATICS INC	CA					X					10/01/99	AMEND
MEAD CORP	OH				X	X					12/15/99	
MECON INC	DE				X	X					12/16/99	
MELLON RESIDENTIAL FUNDING CORP HOM	DE				X	X					11/26/99	
E EQ INSTAL LOAN TRU 99-1												
MERCANTILE CREDIT CARD MASTER TRUST	NY				X	X					12/10/99	
MERCK & CO INC	NJ				X	X					12/09/99	
MINDSPRING ENTERPRISES INC	DE	X									10/15/98	AMEND
MINNESOTA POWER INC	MN				X						12/16/99	
MLCC MORTGAGE INVESTORS INC	DE				X	X					12/14/99	
MMCA AUTO OWNER TRUST 1997-1	DE					X					11/30/99	
MMCA AUTO OWNER TRUST 1998-1	DE					X					11/30/99	
MMCA AUTO OWNER TRUST 1999-1	DE					X					11/30/99	
MORGAN STANLEY CAPITAL I INC COM MO					X	X					12/15/99	
R PA TH CER SER 1999 CAMI												
NBT BANCORP INC	DE				X	X					12/07/99	
NETMOVES CORP	DE				X	X					12/11/99	
NETOPTIX CORP	DE				X						12/14/99	
NETWORK EQUIPMENT TECHNOLOGIES INC	DE				X	X					12/14/99	
NEW CENTURY HOME EQUITY LOAN TRUST	DE				X	X					10/31/99	
SERIES 1999-NCB												
NEW ENGLAND ELECTRIC SYSTEM	MA				X						12/15/99	
NEW ENGLAND POWER CO	MA				X						12/15/99	
NEWGEN RESULTS CORP	DE	X				X					11/30/99	
NEWS COMMUNICATIONS INC	NV						X				12/15/99	
NEXTEL COMMUNICATIONS INC	DE				X	X					12/15/99	
NORWEST ASSET SECURITIES CORP	DE				X	X					12/16/99	
NTL INC/NY/	DE				X	X					12/03/99	
NTL INC/NY/	DE				X	X					12/13/99	
NUEVO ENERGY CO	DE				X	X					12/16/99	
NUI CORP	NJ				X						12/06/99	
NUTRITION FOR LIFE INTERNATIONAL IN	TX	X				X					12/01/99	
C												
ONEOK INC /NEW/	OK						X				12/15/99	
OPTEL INC	DE				X	X					12/10/99	
OSI PHARMACEUTICALS INC	DE	X				X					11/30/99	
OSICOM TECHNOLOGIES INC	NJ	X									12/01/99	
PACIFIC SOFTWORKS INC	CA	X	X		X						12/15/99	
PALOMAR MEDICAL TECHNOLOGIES INC	DE	X									12/16/99	
PATHMARK STORES INC	DE				X						12/16/99	
PATHOGENESIS CORP	DE				X	X					12/15/99	
PEDIATRIC SERVICES OF AMERICA INC	DE				X	X					12/14/99	
PERRIGO CO	MI				X						12/16/99	
PFIZER INC	DE	X									12/15/99	
PHOTOGEN TECHNOLOGIES INC	NV				X	X					12/15/99	
PHOTON DYNAMICS INC	CA	X	X		X	X					11/30/99	
PIERCE INTERNATIONAL INC	CO	X									11/05/99	
PLAYERS INTERNATIONAL INC /NV/	NV				X	X					12/13/99	
POLYCOM INC	DE	X				X					12/01/99	
POPMAIL COM INC	MN	X	X		X	X					12/03/99	
PP&L RESOURCES INC	PA				X						12/16/99	
PRIMIX	DE	X				X					12/03/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
PRISM FINANCIAL CORP	DE				X	X					12/12/99	
PROSPECT STREET HIGH INCOME PORTFOL IO INC	MD				X	X					12/10/99	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE	X		X	X						11/30/99	
QUIDEL CORP /DE/	DE	X				X					12/07/99	
RAILWORKS CORP	DE						X				11/05/99	AMEND
RATIONAL SOFTWARE CORP	DE	X				X					12/03/99	
RAZORFISH INC	DE	X		X	X						12/01/99	
REGIS CORP	MN					X					12/16/99	
RESIDENTIAL ASSET SECURITIZATION TR UST 1998-A9	DE				X	X					05/25/99	
RESPONSE USA INC	DE	X				X					10/15/99	AMEND
REXALL SUNDOWN INC	FL				X	X					12/14/99	
RICA FOODS INC	NV	X				X					12/07/99	
RIGHTCHOICE MANAGED CARE INC	MO					X					12/09/99	
RURAL ELECTRIC COOPERATIVE GRANTOR TRUST KEPCO SERIES 1997	DC	X									12/15/99	
SABRE HOLDING CORP	DE				X	X					12/15/99	
SAGENT TECHNOLOGY INC	DE	X				X					11/30/99	
SAKS CREDIT CARD MASTER TRUST	NV				X	X					12/15/99	
SANTA FE SNYDER CORP	DE					X					12/16/99	
SANTA FE SNYDER CORP	DE					X					12/16/99	AMEND
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL				X						12/15/99	
SEARS DC CORP	DE				X						12/15/99	
SEARS ROEBUCK & CO	NY				X						12/15/99	
SEARS ROEBUCK ACCEPTANCE CORP	DE				X						12/15/99	
SELLER & MASTER SERVICER RESIDENTIA L ASS SEC TR 1998-A6	DE				X	X					05/25/99	
SENSAR CORP /NV/	NV				X						12/14/99	
SHOREWOOD PACKAGING CORP	DE				X						12/16/99	
SIEBEL SYSTEMS INC	DE	X				X					12/01/99	
SIGCORP INC	IN				X	X					12/15/99	
SJNB FINANCIAL CORP	CA				X						12/31/99	
SOLIGEN TECHNOLOGIES INC	WY				X	X					11/24/99	
SPECTRUMEDIX CORP	DE				X	X					12/08/99	
SPECTRX INC	DE				X	X					12/01/99	
SPINDLETOP OIL & GAS CO	TX	X									12/15/99	
SPORTS CLUB CO INC	DE	X				X					12/03/99	
STAFF LEASING INC	FL				X	X					12/09/99	
STEWART & STEVENSON SERVICES INC	TX	X									12/15/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE				X						11/25/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					11/27/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					11/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					11/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					11/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					12/01/99	
SUPERMARKETS GENERAL HOLDINGS CORP	DE					X					12/16/99	
TECHNICAL CHEMICALS & PRODUCTS INC	FL					X					12/15/99	
TGC INDUSTRIES INC	TX					X	X				12/14/99	
THEGLOBE COM INC	DE	X						X			11/30/99	
THOMAS & BETTS CORP	TN					X	X				12/14/99	
TIPPERARY CORP	TX					X					11/19/99	
TITAN CORP	DE					X	X				12/09/99	
TOYMAX INTERNATIONAL INC	DE	X						X			11/30/99	
TRIPACIFIC DEVELOPMENT CORP	NV	X	X								12/15/99	
TSI INTERNATIONAL SOFTWARE LTD	DE							X			09/30/99	AMEND
TURBODYNE TECHNOLOGIES INC	DE					X					12/31/99	AMEND
TYSON FOODS INC	DE					X	X				12/06/99	
UNICOM CORP	IL					X					12/15/99	
UNICOMP INC	CO	X									12/01/99	
UNIT CORP	DE					X	X				12/10/99	
US AIRWAYS GROUP INC	DE					X	X				12/16/99	
US AIRWAYS INC	DE					X	X				12/16/99	
USAA FEDERAL SAVINGS BANK						X					11/30/99	
USAA FEDERAL SAVINGS BANK						X					11/30/99	
VISX INC	DE					X	X				12/15/99	
WASTE TECHNOLOGY CORP	DE	X						X			12/10/99	
WCM CAPITAL INC	DE					X	X				12/15/99	
WEITZER HOMEBUILDERS INC	FL					X	X				11/30/99	AMEND
WHITTMAN HART INC	DE					X	X				12/12/99	
WILMINGTON TRUST CORP	DE					X					12/16/99	
WORLD FINANCIAL NETWORK NATIONAL BA NK	OH					X	X				12/15/99	
XETA CORP	OK	X						X			11/30/99	
3TEC ENERGY CORP	AL							X			11/24/99	AMEND
4FRONT TECHNOLOGIES INC	DE	X									12/01/99	