

SEC NEWS DIGEST

Issue 99-115

June 16, 1999

ENFORCEMENT PROCEEDINGS

SEC CHARGES COMPANY INSIDER AND TWO FRIENDS WITH INSIDER TRADING

On June 15, the Commission filed a complaint in the United States District Court for the District of Columbia charging Brian Patrick Burns, Jr. and two longtime friends, Albert Joseph Pandolfi and Stanley Alvin Pandolfi, with insider trading in advance of an April 1998 public announcement that Neurex Corp., a California biopharmaceutical company, would be acquired by Elan Corporation, plc. The complaint alleges that Burns, then an in-house attorney with Neurex, learned of the possible acquisition of his company on the morning of April 27, 1998. Later that day, Burns tipped Albert Pandolfi about the acquisition and recommended that Albert's father, Stanley Pandolfi, purchase Neurex stock. Both of the Pandolfis purchased Neurex common stock and call options on April 27, 1998. The Neurex acquisition was publicly announced before the opening of the market on April 29, 1998. As a result of the announcement, the price of Neurex's stock opened on April 29 at \$ 28.50, up \$8.75 from the previous day's close. Both Albert and Stanley Pandolfi sold their Neurex securities later that day, realizing total illicit profits of \$83,663. Burns did not purchase any Neurex securities prior to the announcement. The Commission alleges that as a result of the conduct described above, the defendants violated Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and is seeking permanent injunctions, disgorgement, and monetary penalties against the defendants.

All three defendants have consented to entry of final judgments permanently enjoining them from future violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder, without admitting or denying the facts alleged in the complaint. Pursuant to the terms of their settlement agreements, Albert and Stanley Pandolfi will pay disgorgement in the amounts of \$15,000 and \$25,000 respectively, and based upon their demonstrated financial inability to pay additional amounts, \$43,663 in disgorgement will be waived and no civil penalties will be imposed. Burns has agreed to pay \$43,663 in disgorgement, representing the remainder of his tippees' profits; \$4,540 in prejudgment interest; and a civil penalty of \$43,663.

The Commission gratefully acknowledges the assistance provided by The American Stock Exchange in the investigation of this matter. [SEC v. Brian Patrick Burns, Jr., Albert Joseph Pandolfi and Stanley Alvin Pandolfi, Civil Action No. 99CV1546, GK, D.D.C.] (LR-16187)

SEC CHARGES FORMER PRICEWATERHOUSE COOPERS MANAGER FOR TIPPING FRIENDS ABOUT ACQUISITION OF PINKERTON'S, INC.

On June 15, the Commission filed an amended complaint in SEC v. Heden et al., an insider trading case pending in the U.S. District Court for the Southern District of New York, to add David Drescher as a defendant. The amended complaint alleges that Drescher, a former manager at PricewaterhouseCoopers' New York office, provided material, nonpublic information to two Swedish friends about the imminent acquisition of California based Pinkerton's, Inc., by Securitas AB, a Swedish security services company. At the time of his tips, Drescher was working on the PricewaterhouseCoopers team advising Securitas in connection with the deal. On February 22, 1999, Securitas and Pinkerton announced that Securitas intended to acquire Pinkerton through a cash tender offer. The complaint alleges that the Drescher and the other defendants who traded violated Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder. The Commission is seeking injunctive relief, disgorgement, and civil penalties against all defendants.

The complaint alleges that on a series of occasions, Drescher provided two Swedish college friends with information that enabled them to determine the identity of the acquiror, the target, and the date of the announcement. The complaint also alleges that on Friday, February 19, 1999, Drescher's friends and their tippees purchased a total of 14,400 shares of Pinkerton common stock at approximately \$17 per share. On the morning of the next trading day, Monday, February 22, 1999, Securitas and Pinkerton announced Securitas' plans to acquire Pinkerton through a \$29 per share cash tender offer. Pinkerton's stock price rose 69% to close at \$28.56 that day. The trading defendants sold their entire Pinkerton holdings that day.

On May 21, 1999, U.S. District Judge Shira Scheindlin issued a preliminary injunction continuing an asset freeze of most of the proceeds from the defendants' Pinkerton stock sales. The preliminary injunction froze over \$373,000 of sales proceeds, including approximately \$175,000 in allegedly unlawful profits. [SEC v. Goran Heden, et al., Civil Action No. 99 Civ. 1418, SDNY, SAS] (LR-16188)

SEC CHARGES CHRISTOPHER WOLF FOR DEFRAUDING CUSTOMERS IN STOCK SALES TOTALING \$7 MILLION

The Commission today filed a complaint against Christopher Wolf for orchestrating fraudulent sales of stock in Auxer Industries, Inc. (Auxer) from a New York City branch office of Vision Investment Group, Inc. (Vision), a now defunct, registered broker-dealer. The complaint, filed in the Eastern District of New York alleges as

follows: From July through December, 1995, Wolf, who owned and operated the New York City branch office even though he was never registered with Vision, and other salespeople under his direction, defrauded Vision customers by making material misrepresentations about Auxer and baseless predictions about its stock price and purchasing Auxer stock for customer accounts without authorization. Wolf and others also failed to disclose that they received compensation for selling Auxer stock and that customer orders were being filled with Wolf's stock held in Vision accounts. Total proceeds from the fraudulent sales were approximately \$7 million. As a result of this conduct, Wolf violated Sections 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder. The complaint seeks permanent injunctive relief; disgorgement of Wolf's ill-gotten gains plus prejudgment interest; and civil penalties. For more information, please contact Daniel J. Goldstein, Assistant Regional Director, at (212) 748-8066. [SEC v. Christopher Wolf, USDC, EDNY, 99 Civ. 3377] (LR-16189)

SEC SUES DAVID HOUGE FOR FRAUD INVOLVING FOUR MICROCAP SECURITIES

The Commission today brought fraud charges against David Houge alleging his participation in manipulating three micro-cap companies: Auxer Industries, Inc. (Auxer), Legend Sports, Inc. (Legend), and Orlando Super Card, Inc. (Orlando) and the fraudulent sale of a private placement for City Services, Inc. (City Services).

The Commission's complaint, filed in the United States District Court for the Eastern District of New York, alleges as follows: For each of Auxer, Legend and Orlando, Houge controlled the supply of the stocks; raised the price through orchestrated trades; and paid undisclosed cash compensation to retail salespeople. For City Services, Houge similarly paid undisclosed compensation to retail salespeople. In the scheme related to Auxer, Houge also violated the registration provisions by using nominees for a purported offering under Rule 504 of Regulation D. Houge also acted as an undisclosed control person of First National Equity Corp., a now-defunct registered broker-dealer, and as an officer of City Services. Total proceeds from the stock sales were approximately \$7 million for Auxer; \$6 million for Legend; \$4 million for Orlando; and \$1 million for City Services. As a result, Houge violated Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933; Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder; and is liable as a controlling person under Section 20(a) of the Exchange Act for First National's violations of Section 15(c) of the Exchange Act and Rules 10b-3 and 15c1-2 thereunder. The complaint seeks permanent injunctive relief; disgorgement of Houge's ill-gotten gains plus prejudgment interest; and an officer and director bar. Simultaneously with the filing of the complaint, the Commission also filed a Partial Final Judgment on Consent, in which Houge, without admitting or denying the allegations of the complaint, consented to the entry of all the injunctive relief sought in the complaint and an officer and director bar. [SEC v. David E. Houge, 99-CIV-3378, USDC, EDNY] (LR-16190)

INVESTMENT COMPANY ACT RELEASES

PAINWEBBER GROUP, INC., ET AL.

A notice has been issued giving interested persons until July 6, 1999, to request a hearing on an application filed by PaineWebber Group, Inc. (PWG), et al., for an exemption from Sections 17(a) and 12(d)(3) of the Investment Company Act and for an order under Section 17(d) and Rule 17d-1 under the Act to permit certain joint transactions. The order would permit: (a) General Electric Company (GE), General Electric Capital Services, Inc., General Electric Capital Corporation, and GE Financial Assurance Holdings, Inc. (collectively, GE Issuers) to sell to and repurchase (i.e., prepay) from certain registered investment companies advised by affiliates of PWG (PWG Funds) commercial paper issued by the GE Issuers; (b) PWG Funds to purchase municipal obligations insured by the Financial Guaranty Insurance Company (FGIC), a subsidiary of GE, and/or insurance policies issued by FGIC on municipal obligations; and (c) PWG Funds to purchase in the secondary market common stock and other securities issued by GE and its subsidiaries. (Rel. IC-23871 - June 15)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGES

MBS Clearing Corporation filed a proposed rule change (SR-MBSCC-99-02) under Section 19(b)(1) of the Securities Exchange Act. The proposed rule change: (i) implements the net-out report, (ii) modifies financial reporting by participants, (iii) modifies certain special provisions applicable to non domestic participants, (iv) requires additional assurances from MBSCC participants, and (v) clarifies MBSCC's role as agent in a liquidation. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41516)

The Depository Trust Company filed a proposed rule change (SR-DTC-99-14) under Section 19(b)(1) of the Exchange Act. Under the proposed rule change, DTC will establish an automated foreign tax reclaim service that will assist its participants in preparing forms that are required to reclaim tax that is withheld on income payments on non-U.S. securities. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-41525)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The National Securities Clearing Corporation filed a proposed rule change (SR-NSCC-99-08) to amend its By-Laws and temporarily waive certain provisions of its shareholders agreement in order to

increase the size of its Board of Directors. The Commission has approved the proposed rule change on an accelerated basis. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41520)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change filed by the Philadelphia Stock Exchange to enhance the Exchange's Automated Options Market System and to employ trade reporting terminals in certain options (SR-Phlx-99-11) has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of June 14. (Rel. 34-41524)

A proposed rule change filed by the Chicago Stock Exchange relating to membership dues and fees (SR-CHX-99-02) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of June 21. (Rel. 34-41526)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-3 OLYMPIC CASCADE FINANCIAL CORP, 1001 FOURTH AVENUE, STE 2200, SEATTLE, WA 98154 (312) 751-8833 - 145,000 (\$447,083) COMMON STOCK. (FILE 333-80247 - JUN. 09) (BR. 7)

S-4 TELESPECTRUM WORLDWIDE INC, 443 SOUTH GULPH RD, KING OF PRUSSIA, PA 19406 (610) 878-7400 - 17,693,855 (\$36,990,466) COMMON STOCK. (FILE 333-80251 - JUN. 09) (BR. 8)

S-3 POSSIS MEDICAL INC, 9055 EVERGREEN BLVD N W, MINNEAPOLIS, MN 55433 (612) 780-4555 - 952,030 (\$9,996,315) COMMON STOCK. (FILE 333-80291 - JUN. 09) (BR. 5)

S-8 TELEGLOBE INC, 1000 RUE DE LA GAUEHETIERE OUEST, ST LAURENT, QUEBEC CANADA H4T 1, A8 (212) 868-7272 - 750,000 (\$22,125,000) COMMON STOCK. (FILE 333-80323 - JUN. 09) (BR. 7)

S-3 GREAT ATLANTIC & PACIFIC TEA CO INC, 2 PARAGON DR, MONTVALE, NJ 07645 (201) 573-9700 (FILE 333-80347 - JUN. 10) (BR. 2)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website. <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ABATIX ENVIRONMENTAL CORP	DE					X					05/20/99	
ABATIX ENVIRONMENTAL CORP	DE		X							X	06/01/99	
ABR INFORMATION SERVICES INC	FL	X								X	06/07/99	
ADVANCE PARADIGM INC			X							X	03/31/99	AMEND
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-1	NV									X	06/15/99	
ADVANTA AUTOMOBILE RECEIVABLES TRUS T 1997-2	NV									X	06/15/99	
ADVANTA AUTOMOBILE RECEIVABLES 1998 -1	DE									X	06/15/99	
ADVANTA BUSINESS SERVICES CORP	DE					X	X				06/10/99	
ADVANTA LEASING RECEIVABLES CORP IV	NV					X	X				06/10/99	
ADVANTA LEASING RECEIVABLES CORP V	NV					X	X				06/10/99	
ADVANTA MORTGAGE CONDUIT SERVICES I NC	DE	X								X	06/03/99	
ALEXANDRIA REAL ESTATE EQUITIES INC	MD					X	X				06/08/99	
ALLAIRE CORP	DE									X	04/12/99	AMEND
AMB PROPERTY CORP	CA					X					06/15/99	
AMERICAN EXPRESS CENTURION BANK	UT					X	X				06/15/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP	DE					X	X				06/15/99	
AMERICAN EXPRESS RECEIVABLES FINANC ING CORP II	DE					X	X				06/15/99	
AMERICAN XTAL TECHNOLOGY	CA	X						X			05/28/99	
ANNTAYLOR INC	DE							X	X		06/11/99	
APPLIED VOICE RECOGNITION INC /DE/	DE	X						X			05/28/99	
ASSOCIATES FIRST CAPITAL CORP	DE					X	X				06/15/99	
AT HOME CORP	DE	X						X			05/28/99	
ATMI INC	DE	X						X			05/31/99	
AUTOMOTIVE PERFORMANCE GROUP INC	DE	X						X			04/02/99	AMEND
AVISTA CORP	WA					X					06/15/99	
AXENT TECHNOLOGIES INC	DE							X			03/30/99	
BANK OF AMERICA CORP /DE/	DE					X	X				06/09/99	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE					X	X				06/14/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
BARRETT BUSINESS SERVICES INC	MD				X	X					05/31/99	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE				X	X					06/15/99	
BECTON DICKINSON & CO	NJ				X						06/14/99	
BELMONT BANCORP	OH	X									06/14/99	
BIOCONTROL TECHNOLOGY INC	PA				X						04/08/99	AMEND
BMC SOFTWARE INC	DE		X			X					03/30/99	AMEND
BRIGHTSTAR INFORMATION TECHNOLOGY G ROUP INC	DE		X			X					05/28/99	
BROTHERS GOURMET COFFEES INC	DE				X						05/20/99	
BUSH INDUSTRIES INC	DE				X	X					03/31/99	
CADENCE DESIGN SYSTEMS INC	DE		X			X					05/25/99	AMEND
CALCOMP TECHNOLOGY INC	DE		X			X					05/31/99	
CALL NOW INC	FL			X		X					06/01/99	
CAPITAL ONE MASTER TRUST	VA				X	X					06/15/99	
CAREDATA COM INC	DE					X					03/31/99	AMEND
CD RADIO INC	DE				X	X					06/15/99	
CEC RESOURCES LTD	AO		X			X					03/31/99	AMEND
CENTERPOINT PROPERTIES TRUST	MD		X			X					06/16/99	
CENTRAL GARDEN & PET COMPANY	DE				X	X					06/11/99	
CENTURA SOFTWARE CORP	CA		X			X					06/07/99	
CENTURY PENSION INCOME FUND XXIII	CA		X			X					06/01/99	
CENTURY PENSION INCOME FUND XXIV	CA		X			X					06/01/99	
CEPHALON INC	DE				X	X					06/01/99	
CHASE FUNDING INC	NY				X	X					06/15/99	
CHECKFREE HOLDINGS CORP \GA\	DE				X	X					06/15/99	
CHEMFAB CORP	DE				X	λ					06/14/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1995-2	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-1	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1996-2	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-1	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-2	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-3	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1997-4	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-1	MD				X						05/31/99	
CHEVY CHASE AUTO RECEIVABLES TRUST 1998-2	MD				X						05/31/99	
CHEVY CHASE HOME LOAN TRUST 1996-1	MD				X						05/31/99	
CHEVY CHASE HOME LOAN TRUST 1997-1	MD				X						05/31/99	
CHRYSLER FINANCIAL CO LLC	MI				X	X					06/11/99	
CIRCUIT CITY CREDIT CARD MASTER TRU ST	DE				X	X					06/15/99	
CIT GROUP INC	DE				X	X					06/14/99	
CITIBANK SOUTH DAKOTA N A	DE	X									05/25/99	
CLASSNOTES TRUST 1997-1	NJ				X	X					01/01/98	
CNB BANCORP INC /NY/	NY	X									06/01/99	
COMPUTER ASSOCIATES INTERNATIONAL I NC	DE		X			X					06/14/99	
CONCUR TECHNOLOGIES INC	WA		X			X					06/01/99	
CONSOLIDATED GRAPHICS INC /TX/	TX				X	X					06/02/99	
CONTISECURITIES ASSET FUNDING CORP	DE				X	X					06/11/99	
CORPORATE ASSET BACKED CORP	DE				X	X					06/14/99	
CORPORATE OFFICE PROPERTIES TRUST	MD				X	X					06/14/99	

NAME OF ISSUER	STATE		BK ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
CRIIMI MAE FINANCIAL CORP	MD							X				06/15/99	
CRYSTAL GAS STORAGE INC	LA							X				06/14/99	
D & K HEALTHCARE RESOURCES INC	DE		X						X			06/01/99	
DAIMLER BENZ AUTO GRANTOR TRUST 199	DE							X	X			05/20/99	
7 A													
DAIMLER BENZ VEHICLE OWNER TRUST 19	DE							X	X			05/20/99	
98-A													
DAIMLER BENZ VEHICLE TRUST 1996-A	DE							X	X			05/20/99	
DATA PROCESSING RESOURCES CORP	CA		X						X			06/09/99	
DESIGN AUTOMATION SYSTEMS INC	TX		X						X			03/31/99	AMEND
DIRECT CONNECT INTERNATIONAL INC	DE							X				06/15/99	
DUPONT E I DE NEMOURS & CO	DE							X				06/14/99	
DYNEGY INC	DE							X	X			06/14/99	
EAGLEMARK INC	NV							X	X			05/31/99	
EAGLEMARK INC	NV							X	X			06/30/99	
EG&G INC	MA		X						X			05/28/99	
ELMERS RESTAURANTS INC	OR								X			02/18/99	AMEND
ELMERS RESTAURANTS INC	OR		X									02/18/99	AMEND
ENDOCARE INC	DE							X	X			06/07/99	
ENERGY EAST CORP	NY							X	X			06/14/99	
EPIGEN INC /DE	DE		X									06/14/99	
EQCC RECEIVABLES CORP	DE							X	X			06/09/99	
EUROGAS INC	UT								X			03/31/99	AMEND
EXPRESS SCRIPTS INC	DE							X				04/01/99	AMEND
FARM FAMILY HOLDINGS INC	DE							X				06/11/99	AMEND
FARMER MAC MORTGAGE SECURITIES CORP	DE		X									06/15/99	
FAVORITE BRANDS INTERNATIONAL INC	DE								X			06/01/99	
FIRST ALLIANCE MORTGAGE CO /DE/	CA								X			06/10/99	
FIRST BANKING CO OF SOUTHEAST GEORG	GA							X	X			06/15/99	AMEND
IA													
FIRST CAPITAL RESOURCES COM INC	NV								X			04/16/99	AMEND
FIRST CHESAPEAKE FINANCIAL CORP	VA							X	X			06/08/99	
FIRSTWORLD COMMUNICATIONS INC	DE							X				06/15/99	
FLEET CREDIT CARD MASTER TRUST II	NY		X									06/15/99	
FLIR SYSTEMS INC	OR								X			03/30/99	AMEND
FLIR SYSTEMS INC	OR							X	X			06/02/99	
FNANB CREDIT CARD MASTER TRUST								X	X			06/15/99	
FORCENERGY INC	DE							X				06/01/99	
FRANKLIN RESOURCES INC	DE							X				06/15/99	
GARDEN STATE NEWSPAPERS INC	DE								X			03/31/99	AMEND
GB HOLDINGS INC	DE							X				06/01/99	
GB PROPERTY FUNDING CORP	DE							X				06/01/99	
GENERAL CABLE CORP /DE/	DE		X						X			05/28/99	
GHS INC	DE		X	X				X	X			05/27/99	AMEND
GREATE BAY HOTEL & CASINO INC	NJ							X				06/01/99	
GREATER COMMUNITY BANCORP	NJ		X						X			04/01/99	AMEND
GRISTEDES SLOANS INC /DE	DE							X	X			06/09/99	
GROUP MAINTENANCE AMERICA CORP	TX							X	X			05/14/99	
HALLWOOD ENERGY CORP	TX							X	X			06/08/99	
HELLER FINANCIAL COMMERCIAL MORT AS	DE		X						X			05/27/99	
SET CORP SER 1999-PH-1													
HFB FINANCIAL CORP	TN							X	X			05/28/99	
HICKORY TECH CORP	MN		X						X			06/15/99	
HILITE INDUSTRIES INC	DE		X						X			06/01/99	
HRPT PROPERTIES TRUST	MD								X			06/14/99	
HUMPHREY HOSPITALITY TRUST INC	VA							X	X			06/11/99	
IA CORP	DE							X	X			06/15/99	
ILLINOVA CORP	IL							X	X			06/14/99	
IMTEK OFFICE SOLUTIONS INC	DE		X						X			10/01/97	
IMTEK OFFICE SOLUTIONS INC	DE		X						X			11/01/97	

NAME OF ISSUER	STATE CODE	BK ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
IMTEK OFFICE SOLUTIONS INC	DE		X				X			07/22/98	AMEND
INDIANA ENERGY INC	IN				X	X				06/11/99	
INDUSTRIAL RUBBER PRODUCTS INC	MN	X								06/15/99	AMEND
INFOUSA INC	NE				X	X				05/28/99	
INTEGRATED SECURITY SYSTEMS INC	DE		X		X	X				05/21/99	
INTUIT INC	DE		X			X				05/03/99	AMEND
JACKSONVILLE BANCORP INC	TX				X	X				06/10/99	
JANEX INTERNATIONAL INC	CO				X					06/04/99	
JCP RECEIVABLES INC	DE					X				06/15/99	
JONES APPAREL GROUP INC	PA		X			X				06/15/99	
JWGENESIS FINANCIAL CORP /	FL		X							06/01/99	
KEY PLASTICS LLC	MI		X			X				06/14/99	AMEND
LIBERTY HOUSING PARTNERS LTD PARTNE RSHIP	MA		X			X				05/28/99	
LINDATECH INC	DE				X		X			06/11/99	
LOCKHEED MARTIN CORP	MD				X					06/11/99	
MACE SECURITY INTERNATIONAL INC	DE				X					06/01/99	
MACERICH CO	MD	X								06/15/99	
MAHONING NATIONAL BANCORP INC	OH		X			X				06/06/99	
MALLON RESOURCES CORP	CO	X								06/14/99	
MARINE SHUTTLE OPERATIONS INC						X				06/10/99	AMEND
MARKET FACTS INC	DE	X				X				06/02/99	
MATHSOFT INC	MA				X	X				06/11/99	
MAXWELL SHOE CO INC	DE		X		X	X				04/08/99	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE					X				05/31/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST						X	^			05/31/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II						X				05/31/99	
MBNA CORP	MD				X					05/31/99	
MDC HOLDINGS INC	DE				X					06/03/99	
MECON INC	DE		X			X				03/31/99	AMEND
MEDICAL DEVICE ALLIANCE INC	NV		X							06/10/99	
MELLON BANK CREDIT CARD MASTER TRUS T					X	X				06/09/99	
MELLON RESIDENTIAL FUNDING CORP HOM E EQ INSTAL LOAN TRU 99-1	DE				X	X				05/25/99	
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CBI	DE				X	X				04/26/99	
MESA AIR GROUP INC	NV		X			X				06/09/99	
METRIS MASTER TRUST	DE					X				06/15/99	
METRIS RECEIVABLES INC	DE					X				06/15/99	
MICHIGAN CONSOLIDATED GAS CO /MI/	MI				X					06/04/99	
MINNESOTA POWER INC	MN				X					06/10/99	
MOBIL CORP	DE					X				06/14/99	
MOBIL OIL CORP EMPLOYEE STOCK OWNER SHIP PLAN TRUST	NY					X				06/14/99	
MONSTERDAATA COM INC	DE					X				04/02/99	AMEND
MORGAN STANLEY AIRCRAFT FINANCE	DE				X					06/14/99	
NASHUA CORP	DE				X					06/08/99	
NATIONAL GOLF PROPERTIES INC	MD					X				03/31/99	AMEND
NBC ACQUISITION CORP	DE		X			X				06/04/99	
NEBRASKA BOOK CO	KS		X			X				06/04/99	
NEW WORLD COFFEE MANHATTAN BAGEL IN C	DE				X					06/07/99	
NEXTEL COMMUNICATIONS INC	DE				X	X				06/15/99	
NHANCEMENT TECHNOLOGIES INC	DE				X					06/03/99	
NORWEST ASSET SECURITIES CORP	DE				X	X				04/08/99	AMEND
OFFICELAND INC			X			X				03/29/99	
OGLEBAY NORTON CO /NEW/	DE				X					06/09/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
PACIFIC GAS & ELECTRIC CO	CA					X					06/10/99	
PAINWEBBER MORTGAGE ACCEPTANCE COR PORATION IV	DE					X	X				06/11/99	
PALADYNE CORP	DE	X					X				05/31/99	
PATERSON ENERGY INC	DE					X					05/24/99	
PE CORP	NY		X								06/14/99	
PENNRock FINANCIAL SERVICES CORP	PA		X								06/08/99	
PEOPLES BANK CREDIT CARD MASTER TRU ST	CT	X									03/31/99	AMEND
PERRIGO CO	MI					X					06/14/99	
PG&E CORP	CA					X					06/10/99	
PG&E FUNDING LLC	DE			X			X				06/11/99	AMEND
PH GROUP INC	OH							X			04/01/99	AMEND
PHARMACEUTICAL FORMULATIONS INC	DE					X					06/15/99	
PLATINUM TECHNOLOGY INTERNATIONAL I NC	DE	X						X			05/28/99	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1999-7	DE		X								05/27/99	
PP&L RESOURCES INC	PA					X					06/14/99	
PREFERRED NETWORKS INC	DE	X	X	X							05/28/99	
PROFFITTS CREDIT CARD MASTER TRUST	NV					X	X				06/15/99	
PROPERTY CAPITAL TRUST INC	MD	X						X			05/28/99	
PRUDENTIAL BANK & TRUST CO /GA/ PS PARTNERS III LTD	GA					X	X				03/31/99	
PS PARTNERS III LTD	CA					X	X				06/10/99	
PUROFLOW INC	DE					X					06/03/99	
QWEST COMMUNICATIONS INTERNATIONAL INC	DE					X	X				06/13/99	
RALCORP HOLDINGS INC /MO	MO		X								06/14/99	
RALCORP HOLDINGS INC /MO	MO		X								06/14/99	AMEND
RALCORP HOLDINGS INC /MO	MO					X	X				06/14/99	AMEND
READING ENTERTAINMENT INC	DE							X			05/28/99	AMEND
REALAMERICA CO/NEW	DE	X		X	X	X	X				06/02/99	
RECOVERY NETWORK INC	CO					X					06/10/99	
REPLIGEN CORP	DE		X					X			03/09/99	AMEND
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				06/09/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				06/09/99	
RIVIERA HOLDINGS CORP	NV					X	X				06/15/99	
SAGA SYSTEMS INC /DE/ SANTA FE SNYDER CORP	DE					X	X				06/14/99	
SDC INTERNATIONAL INC \DE\ SEARS CREDIT ACCOUNT MASTER TRUST I I	DE					X					05/31/99	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				06/15/99	
SIEBERT FINANCIAL CORP	NY					X	X				05/28/99	
SOFTWARE PUBLISHING CORP HOLDINGS I NC	DE							X			06/08/99	
SOUTHERN UNION CO	DE					X					06/15/99	
SOUTHWEST INDUSTRIAL PRODUCTS INC	MN					X					11/15/98	
SPATIALIGHT INC	NY					X		X			06/14/99	
SPECTRUM PHARMACEUTICAL CORP	FL	X									06/11/99	AMEND
STANDARD MICROSYSTEMS CORP	DE		X								06/01/99	
STERIGENICS INTERNATIONAL INC	CA					X	X				06/10/99	
STRYKER CORP	MI					X	X				06/04/99	
SUBURBAN LODGES OF AMERICA INC	GA		X					X			06/01/99	
SUMMIT DESIGN INC	DE					X	X				06/11/99	
SUNSHINE MINING & REFINING CO	DE					X					06/11/99	
SUPERIOR BANK FSB AFC MORTGAGE LN A SSET BK CERT SER 1999 1	IL					X	X				06/14/99	
SUPERIOR SERVICES INC	WI					X					06/11/99	
SUPREME INTERNATIONAL CORP	FL							X			03/29/99	AMEND

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
SUPREME INTERNATIONAL CORP	FL								X		03/29/99	AMEND
SURETY CAPITAL CORP /DE/	DE				X						06/03/99	AMEND
TAB PRODUCTS CO	DE		X					X			06/01/99	
TAYLOR ANN STORES CORP	DE				X	X					06/11/99	
THEGLOBE COM INC	DE				X	X					06/15/99	
THERMO VISION CORP	DE				X	X					06/15/99	
THOMAS & BETTS CORP	TN				X	X					06/14/99	
THRUSTMASTER INC	OR				X	X					06/09/99	
TIER TECHNOLOGIES INC	CA				X	X					05/20/99	
TII INDUSTRIES INC	DE				X	X					05/25/99	
TRANSLATION GROUP LTD	DE		X								05/28/99	
TRISM INC /DE/	DE				X	X					06/10/99	
U S DIGITAL COMMUNICATIONS INC	NV				X						06/14/99	
UNITED PAN EUROPE COMMUNICATIONS NV					X	X					06/02/99	
UNITED TENNESSEE BANKSHARES INC	TN				X	X					06/11/99	
UNITED VENTURE CAPITAL FUND INC	CO		X								05/18/99	
UROQUEST MEDICAL CORP	DE				X	X					06/15/99	
USAA FEDERAL SAVINGS BANK					X						04/30/99	
VANDERBILT MORT & FINANCE PASS THRO	TN				X	X					05/27/99	
UGH CERT SERIES 1999B												
VANGUARD AIRLINES INC /DE/	DE		X								05/20/99	
VERITAS SOFTWARE CORP /DE/	DE		X				X				05/28/99	
WESTELL TECHNOLOGIES INC	DE				X	X					06/11/99	
WILD OATS MARKETS INC	DE		X				X				05/29/99	
WILSHIRE FINANCIAL SERVICES GROUP I	DE		X		X	X					06/15/99	
NC												
WORLD FINANCIAL NETWORK NATIONAL BA	OH				X	X					06/15/99	
NK												
XL CAPITAL LTD					X						06/15/99	
XOOM INC	DE				X	X					06/15/99	
YAMAHA MOTOR RECEIVABLES CORP	DE				X						06/15/99	
7TH LEVEL INC	DE				X	X					06/01/99	