

SEC NEWS DIGEST

Issue 99-106

June 3, 1999

COMMISSION ANNOUNCEMENTS

SEC TO HOST SMALL BUSINESS TOWN HALL MEETING IN KANSAS CITY, MO

On June 2, the Commission announced that a small business town hall meeting will be held at 3:00 p.m. on Wednesday, June 30, 1999 at the University of Missouri-Kansas City Pierson Auditorium at 5000 Holmes Road, Kansas City, Missouri. The small business town hall meetings, which are being conducted throughout the United States, convey basic information to small business about fundamental requirements that must be addressed when these businesses wish to raise capital through the public sale of securities. In addition, the Commission hopes to learn more about the concerns and problems facing small businesses in raising capital so that programs can be designed to meet their needs, consistent with the protection of investors.

Arthur Levitt, Chairman of the SEC and Brian Lane, Director of the Division of Corporation Finance, will be the featured speakers of the Kansas City Small Business Town Hall Meeting, which is being co-sponsored by the Greater Kansas City Chamber of Commerce, the University of Missouri-Kansas City Bloch School of Business and Public Administration, and the Kansas Technology Enterprise Corporation. Other speakers include Brad Douglas, Regional Advocate for Small Business for the Office of Advocacy, U.S. Small Business Administration; David Brant, Securities Commissioner for the State of Kansas; Mary Hosmer, Assistant Securities Commissioner for the State of Missouri; and Rich Bendis, President and CEO of the Kansas Technology Enterprise Corporation, among others. A question and answer period will follow the presentations. The co-sponsors are hosting a reception immediately following the town hall.

For further information, contact Barbara Jacobs in the Office of Small Business of the Division of Corporation Finance, at (202) 942-2950. Or, please send an e-mail message to the small business electronic mailbox at "e-prospectus@sec.gov".

There is no charge for the town hall meeting; however, we encourage you to make reservations by contacting the UMKC Central Ticket Office at (816) 235-2700 or 800-735-2966 (TT) for Missouri Relay. Alternatively, you may fax your reservation to (816) 235-2704. (Press Rel. 99-59)

SEC CHAIRMAN ARTHUR LEVITT, STATE OFFICIALS TO HOLD INVESTORS' TOWN MEETING IN WASHINGTON

Chairman Arthur Levitt will conduct an Investors' Town Meeting at the Seattle Center in Seattle, Washington, on Tuesday, June 22. The free program of practical tips for saving and investing wisely will also feature Washington's Director of Securities, Deborah R. Bortner, and other experts.

The SEC Chairman will discuss how to make informed investment decisions, monitor investments, and avoid costly mistakes. He will also point out the questions people should ask before they invest.

The Washington Investors' Town Meeting will be the first of two in the Pacific Northwest (the other will take place in Portland, Oregon, on Wednesday, June 23). The Washington town meeting will be the 30th such event in a national series to promote public understanding of the securities markets and awareness of the risks and rewards of investing. Americans have become increasingly responsible for their own financial security in recent years, especially with the shift from traditional pensions to self-directed 401(k) plans and other retirement accounts.

The Investors' Town Meeting will take place at the Seattle Center, 305 Harrison Street, Seattle, from 5:00 to 6:45 p.m., followed by four different seminars to choose from at both 8:00 and 9:00 p.m. The one-hour seminars will include sessions on stocks, bonds, mutual funds, and financial planning. Doors will open at 4:00 p.m. so attendees can browse through educational exhibits and pick up free brochures.

Admission is free, but reservations are recommended because seating is limited. To reserve a seat, call (888) 2263224 toll-free, or send an e-mail to secrsvp@dfi.wa.gov. Anyone who needs auxiliary aids to attend the town meeting, such as a sign language interpreter or material on tape, should call (360) 902-8731 by June 15. (Press Rel. 99-60)

SEC CHAIRMAN ARTHUR LEVITT, OREGON OFFICIALS TO HOLD INVESTORS' TOWN MEETING IN PORTLAND

Chairman Arthur Levitt will conduct an Investors' Town Meeting at the Oregon Convention Center in Portland on Wednesday, June 23. The free program of practical tips for saving and investing wisely will also feature Oregon Treasurer Jim Hill and Jim Harlan, Deputy Administrator of the Oregon Division of Finance and Corporate Securities.

The SEC Chairman will discuss how to make informed investment decisions, monitor investments, and avoid costly mistakes. He will also point out the questions people should ask before they invest.

The Portland Investors' Town Meeting will be the second of two in the Pacific Northwest (the other will take place in Seattle on

Tuesday, June 22). The Portland town meeting will be the 31st such event in a national series to promote public understanding of the securities markets and awareness of the risks and rewards of investing.

The Investors' Town Meeting will take place at the Oregon Convention Center, 777 NE Martin Luther King Jr. Blvd. in Portland, from 9:00 to 10:30 a.m., followed by four different seminars to choose from at both 11:00 a.m. and noon. The one-hour seminars will include sessions on stocks, bonds, mutual funds, and online investing. Doors will open at 8:00 a.m. so attendees can browse through educational exhibits and pick up free brochures.

Admission is free, but reservations are recommended because seating is limited. To reserve a seat, call (877) 823-7519 toll-free, or send an e-mail to town.meeting@state.or.us. Anyone who needs auxiliary aids to attend the town meeting, such as a sign language interpreter or material on tape, should call (360) 902-8731 by June 15. (Press Rel. 99-61)

STATEMENT BY CHAIRMAN ARTHUR LEVITT CONCERNING ABA PROPOSED PAY-TO-PLAY ETHICS RULE - JUNE 3, 1999

"I am pleased that the ABA Ethics Committee has recommended a strong rule for adoption by the House of Delegates and hope that the House will continue to demonstrate its commitment to end pay-to-play by adopting this proposal in August. We are convinced that such measures can be implemented without interfering with cherished constitutional privileges and I am glad the Ethics Committee has found its way to agreeing with us."

Pay-to-play is the practice of making political contributions to elected officials or to candidates for local office to influence the award of contracts to bring municipal bonds to market. Both municipal securities dealers and independent financial advisers have signed voluntary bans on the practice. Municipal Securities Rulemaking Board Rule G-37, in effect for five years, restricts pay-to-play activity by municipal securities dealers. Lawyers remain the only group of professionals retained to bring municipal securities to market that have failed to adopt effective proscriptions of pay-to-play.

The American Bar Association House of Delegates condemned the practice of pay-to-play by lawyers at its Annual Meeting in 1997 and at last year's annual meeting, directed the Standing Committee on Ethics and Professional Responsibility (Ethics Committee) to report to the House, by the 1999 Annual Meeting, a Model Rule addressing pay-to-play.

The Ethics Committee has recommended the House of Delegates add a new Rule 7.6 to the ABA Model Rules of Professional Conduct: "A lawyer or law firm shall not accept a governmental legal engagement or an appointment by a judge if the lawyer or law firm makes a political contribution or solicits political contributions for the purpose of obtaining or being considered for that type of legal

ENFORCEMENT PROCEEDINGS

CIVIL ACTION AGAINST EMANUEL PINEZ, ET AL.

The Commission announced today that Gilboa Peretz and his company PG Technologies were held in civil contempt for obstructing justice by making intentionally false and misleading statements at a hearing in federal district court. Specifically, Judge Patti Saris found that Peretz lied concerning the relationship between Pinez and a PG Technologies bank account that had been previously frozen by the Court. In addition, Judge Saris ordered Peretz and PG Technologies to pay \$15,000 which was released to them as a result of their false statements.

This action against Peretz and PG Technologies arises out of the emergency action filed against Pinez in February 1997 for insider trading in advance of the news that he was to be fired from Centennial Technologies Inc. and that the company had been the subject of a large scale financial fraud. On February 14, 1997, as part of the emergency relief, Judge Saris froze assets of any funds in the name of or in the direct or indirect control of Pinez. PG Technologies' account, which at the time contained approximately \$30,000, was immediately frozen because Pinez was a signatory on the account. PG Technologies requested a lift of the freeze and on February 24, at a hearing before Judge Saris and under oath, Peretz claimed that none of the \$30,000 came from Pinez. Based on Peretz' sworn statement, the court lifted the freeze. The funds were immediately expended, and PG Technologies is now bankrupt.

The Court found that the Commission presented persuasive documentary evidence that Peretz intentionally misled the court at that February 24 hearing. The court found that the \$30,000 was in fact money that had come from Pinez. In so finding, the Court also noted that PG Technologies had acknowledged in a "settlement agreement" with Centennial that it had previously purchased blank computer cards from Centennial at the instruction of Pinez, and that Pinez had provided the money for the payment.

The Court concluded that Peretz gave intentionally false and misleading testimony about the source of the money in the account "in order to interrupt and interfere with the Court's efforts to freeze Pinez's assets for the benefit for defrauded investors in Centennial." The Court noted that it would not have released the funds if it had been made aware of Pinez's involvement in PG Technologies. For further information, please see Litigation Release Nos. 15258 15295, and 15399. [SEC v. Emanuel Pinez, et al., Civil Action No. 97-10353-PBS, D. MA.] (LR-16170)

**FINAL JUDGMENT ENTERED AGAINST MICHAEL WHITNEY OF THE OSTRICH GROUP, INC.
FOR FRAUD AND BROKER-DEALER REGISTRATION VIOLATIONS**

The Commission announced that on May 20, 1999, the Honorable Gary L. Taylor of the United States District Court for the Central District of California entered a Final Judgment against defendant Michael S. Whitney (Whitney). The Final Judgment permanently enjoins Whitney from future violations of the antifraud and broker-dealer registration provisions of the federal securities laws, and orders him to pay disgorgement in the amount of \$25,439, plus prejudgment interest.

The complaint alleged that Whitney and his co-defendants, through The Ostrich Group, Inc. (Ostrich Group), raised approximately \$800,000 from the offer and sale of investment contracts for the sale and boarding of ostrich breeder birds to about 83 investors in several states. The principals of Ostrich Group misused investors' funds by transferring most of the funds to themselves and their family members instead of purchasing and boarding ostrich breeders. In addition, the defendants misrepresented the ostrich services provided by Ostrich Group and acted as unregistered broker-dealers in offering and selling interests in the ostrich investment program. [SEC v. Patrick L. Antrim, David Hudson III, Loretta Antrim and Michael S. Whitney, Civil Action No. 98-535 GLT, EEx, C.D. Cal.] (LR-16171)

**FORMER SENIOR OFFICER OF UNITED CITIES GAS COMPANY PAYS \$56,370.96 TO
SETTLE SEC CHARGES OF INSIDER TRADING**

On June 3, the Commission filed a civil complaint in the United States District Court for the District of Columbia alleging that Shirley Hawkins, a former Senior Vice President and Corporate Secretary of United Cities Gas Company (UCIT), illegally tipped her mother about a planned merger between her company and Atmos Energy Corporation. Upon receipt of this information, Hawkins' mother, Irene Chapman, immediately purchased UCIT stock. Mrs. Chapman also tipped her husband (Hawkins' step-father) and her son (Hawkins' brother), both of whom also immediately bought UCIT stock. On July 22, 1996, after Hawkins' relatives purchased UCIT stock, the merger was publicly announced. The announcement caused the price of UCIT common stock to rise from \$17.00 to \$20.00 per share, representing an increase of eighteen percent. Hawkins' three relatives invested a total of \$97,992 and made profits totaling \$25,267.50.

The Commission's complaint specifically alleges that on July 8, 1996, UCIT's Chairman told Hawkins about the upcoming merger and told her that the information was highly sensitive and confidential. Within 90 minutes of being told, Hawkins telephoned her mother and told her about the merger. Over the next two days, on July 9 and July 10, 1996, Irene Chapman purchased a total of 2,369 shares of UCIT common stock, representing a total investment of \$37,996. Her profits on the trades were \$9,383.75.

The Commission's complaint further alleges that Irene Chapman tipped her husband, David Chapman, and her son, Richard Maynard, about the

planned merger. On July 9 and 10, 1996, David Chapman purchased a total of 3,169 shares of UCIT stock, representing an investment of \$49,996 and profits of \$13,383.75. On July 11, 1996, Maynard purchased 625 UCIT shares and made profits of \$2,500.

Simultaneously with the filing of the Commission's complaint, Hawkins, without admitting or denying the Commission's allegations, consented to the entry of a civil injunction prohibiting her from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and ordering her to pay \$56,370.96, consisting of \$25,267.50 in disgorgement, representing the entire profits made by Irene Chapman, David Chapman and Maynard, plus prejudgment interest of \$5,835.96, and a penalty of \$25,267.50 pursuant to the Insider Trading Sanctions Act. [SEC v. Shirley Maynard Hawkins, Civil Action No. 99-CV-01407, D.D.C.] (LR-16172)

SELF-REGULATORY ORGANIZATIONS

DELISTING GRANTED

An order has been issued granting the application of the Pacific Exchange to strike from listing and registration ASD Group, Inc., Common Stock, \$.01 par value. (Rel. 34-41470)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-4 MAHASKA INVESTMENT CO, 222 FIRST AVENUE EAST, OSKALOOSA, IA 52577
(515) 673-8448 - 1,119,473 (\$19,660,745) COMMON STOCK. (FILE 333-79291 -
MAY 26) (BR. 7)

S-3 WESLEY JESSEN VISIONCARE INC, 333 EAST HOWARD AVE, DES PLAINES, IL 60018
(847) 294-3000 - 5,287,428 (\$178,781,159) COMMON STOCK. (FILE 333-79293 -
MAY. 26) (BR. 5)

S-8 AZTAR CORP, 2390 E CAMELBACK RD STE 400, PHOENIX, AZ 85016
(602) 381-4100 - 4,000,000 (\$28,500,000) COMMON STOCK. (FILE 333-79297 -
MAY. 26) (BR. 5)

S-8 WILSONS THE LEATHER EXPERTS INC, 7401 BOONE AVENUE NORTH, ST LOUIS PARK,
BROOKLYN PARK, MN 55428 (612) 391-4000 - 250,000 (\$2,757,813) COMMON STOCK.
(FILE 333-79301 - MAY. 26) (BR. 2)

S-3 FYI INC, 3232 MCKINNEY AVE, STE 900, DALLAS, TX 75204 (214) 953-7555 -
513,247 (\$17,170,916) COMMON STOCK. (FILE 333-79303 - MAY. 26) (BR 8)

S-8 COPE INC, GRUNDSTRASSE 14, 6343 ROTKREUZ, SWITZERLAND, HERMOSA BEACH, CA
90254 (310) 376-7721 - 400,000 (\$12,000,000) COMMON STOCK. (FILE
333-79313 - MAY. 26) (BR 5)

S-3 AMERADA HESS CORP, 1185 AVENUE OF THE AMERICAS, NEW YORK, NY 10036
(212) 997-8500 - 1,500,000,000 (\$1,500,000,000) STRAIGHT BONDS. (FILE
333-79317 - MAY. 26) (BR. 4)

S-8 FLORIDA BANKS INC, 4110 SOUTHPOINT BLVD, STE 212 SOUTHPOINT SQUARE II,
JACKSONVILLE, FL 32216 (904) 296-2329 - 200,000 (\$1,862,500) COMMON STOCK.
(FILE 333-79319 - MAY. 26) (BR. 7)

S-4 MASTEC INC, 3155 N W 77TH AVE, MIAMI, FL 33122 (305) 599-1800 -
2,000,000 (\$55,440,000) COMMON STOCK. (FILE 333-79321 - MAY 26) (BR. 6)

S-8 GAYLORD ENTERTAINMENT CO /DE, ONE GAYLORD DR, NASHVILLE, TN 37214
(615) 316-6000 - 500,000 (\$15,906,250) COMMON STOCK. (FILE 333-79323 -
MAY 26) (BR. 7)

S-8 EARTHWEB INC, 3 PARK AVE, NEW YORK, NY 10016 (212) 725-6550 - 1,700,000
(\$57,268,741) COMMON STOCK. (FILE 333-79331 - MAY. 26) (BR. 3)

S-8 PRIVATE BUSINESS INC, 214 OVERLOOK COURT, SUITE 120, BRENTWOOD, TN 37027
- 4,000,000 (\$40,000,000) COMMON STOCK. (FILE 333-79335 - MAY. 26)
(BR. 8)

S-8 CREATIVE COMPUTERS INC, 2555 WEST 190TH STREET, TORRENCE, CA 90504
(310) 787-4500 - 50,000 (\$1,536,000) COMMON STOCK (FILE 333-79337 -
MAY. 26) (BR. 2)

S-8 GREAT PEE DEE BANCORP INC, 515 MARKET STREET, CHERAW, SC 29520
(803) 537-7656 - 308,298 (\$3,735,994) COMMON STOCK. (FILE 333-79339 -
MAY. 26) (BR. 7)

S-8 PRIVATE BUSINESS INC, 214 OVERLOOK COURT, SUITE 120, BRENTWOOD, TN 37027
- 1,748,000 (\$9,334,320) COMMON STOCK. (FILE 333-79345 - MAY. 26) (BR. 8)

S-3 DII GROUP INC, 6273 MONARCH PARK PLACE, STE 200, NIWOT, CO 80503
(303) 652-2221 - 6,900,000 (\$232,443,750) COMMON STOCK. (FILE 333-79347 -
MAY. 26) (BR. 5)

S-4 PSINET INC, 510 HUNTMAR PARK DR, HERNDON, VA 20170 (703) 904-4100 -
6,662,000 (\$299,998,063) COMMON STOCK. (FILE 333-79351 - MAY 26) (BR. 3)

S-8 PCORDER COM INC, 5000 PLAZA ON THE LAKE, AUSTIN, TX 78746 (512) 684-1100
- 4,791,515 (\$157,970,500) COMMON STOCK. (FILE 333-79353 - MAY. 26)
(BR 2)

S-1 QUOTESMITH COM INC, 8205 SOUTH CASS AVE, SUITE 102, DARIEN, IL 60561
(630) 515-0170 - \$80,000,000 COMMON STOCK. (FILE 333-79355 - MAY. 26)

S-8 PEPSI BOTTLING GROUP INC, ONE PEPSI WAY, SOMERS, NY 10589 (914) 767-6000
- 200,000 (\$4,480,811) COMMON STOCK. (FILE 333-79357 - MAY. 26) (BR. 2)

S-8 SOUTHWALL TECHNOLOGIES INC /DE/, 1029 CORPORATION WAY, PALO ALTO, CA
94303 (415) 962-9111 - 400,000 (\$1,225,000) COMMON STOCK. (FILE 333-79359
- MAY 26) (BR 6)

S-4 RBF FINANCE CO, 901 THREADNEEDLE, HOUSTON, TX 77079 (281) 496-5000 -
800,000,000 (\$800,000,000) STRAIGHT BONDS. (FILE 333-79363 - MAY. 26)

S-8 PEPSI BOTTLING GROUP INC, ONE PEPSI WAY, SOMERS, NY 10589 (914) 767-6000
- 20,731,922 (\$20,731,921.58) OTHER SECURITIES INCLUDING VOTING TRUST.
(FILE 333-79365 - MAY. 26) (BR. 2)

S-1 OBIE MEDIA CORP, 4211 W 11TH AVE, EUGENE, OR 97402 (541) 686-8400 -
2,012,500 (\$25,719,750) COMMON STOCK. (FILE 333-79367 - MAY. 26) (BR. 9)

S-8 PEPSI BOTTLING GROUP INC, ONE PEPSI WAY, SOMERS, NY 10589 (914) 767-6000
- 15,000,000 (\$339,068,056) COMMON STOCK (FILE 333-79369 - MAY. 26)
(BR. 2)

S-4 AZTAR CORP, 2390 E CAMELBACK RD STE 400, PHOENIX, AZ 85016
(602) 381-4100 - 235,000,000 (\$235,000,000) STRAIGHT BONDS. (FILE
333-79371 - MAY. 26) (BR. 5)

S-1 NETIQ CORP, 5410 BETSY ROSS DR, SANTA CLARA, CA 95054 (408) 330-7000 -
\$46,000,000 COMMON STOCK. (FILE 333-79373 - MAY. 26)

S-8 PEPSI BOTTLING GROUP INC, ONE PEPSI WAY, SOMERS, NY 10589 (914) 767-6000
- 3,700,000 (\$84,828,125) COMMON STOCK (FILE 333-79375 - MAY. 27)
(BR. 2)

N-2 AMERICAN CAPITAL STRATEGIES LTD, 3 BETHESDA METRO CENTER, SUITE 860,
BETHESDA, MD 20814 (301) 951-6122 - 250,000,000 (\$250,000,000)
COMMON STOCK. (FILE 333-79377 - MAY. 27) (BR. 22)

S-4 ASCENT PEDIATRICS INC, 187 BALLARDVALE ST, WILMINGTON, MA 01887
(978) 658-2500 - 15,009,666 (\$43,152,790) COMMON STOCK. (FILE 333-79383 -
MAY. 27) (BR. 1)

S-3 COVOL TECHNOLOGIES INC, 3280 N FRONTAGE RD, LEHI, UT 84043
(801) 768-4481 - 2,026,484 (\$8,896,265) COMMON STOCK. (FILE 333-79385 -
MAY. 27) (BR. 4)

S-1 SONIC SOLUTIONS/CA/, 101 ROWLAND WAY STE 110, NOVATO, CA 94945
(415) 893-8000 - 1,800,000 (\$8,712,000) COMMON STOCK. (FILE 333-79387 -
MAY. 27) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADVANCED HEALTH CORP	DE		X						X		05/14/99	
ALBANY INTERNATIONAL CORP /DE/	DE								X		06/01/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ALL AMERICAN SEMICONDUCTOR INC	DE				X	X					06/01/99	
ALTEON INC /DE	DE				X						05/24/99	
ALTRIS SOFTWARE INC	CA	X			X						05/14/99	
AMERICAN BANKNOTE CORP	DE				X						05/28/99	
AMKOR TECHNOLOGY INC	DE	X				X					05/17/99	AMEND
AMTECH SYSTEMS INC	AZ				X	X					05/17/99	
APPLIED ANALYTICAL INDUSTRIES INC	DE					X					03/16/99	AMEND
ARMCO INC	OH	X	X								05/21/99	
ATLANTIC PHARMACEUTICALS INC	DE				X						05/18/99	
ATRIUM COMPANIES INC	DE	X			X						05/17/99	
AUTOCYTE INC	DE	X			X						05/17/99	
AWARE INC /MA/	MA				X	X					05/25/99	
AXONYX INC	NV				X	X					05/19/99	
BA MASTER CREDIT CARD TRUST /						X					05/15/99	
BIG CITY BAGELS INC	NY	X			X						05/21/99	
BIG ENTERTAINMENT INC	FL	X			X						05/18/99	
BROADCOM CORP	CA				X	X					05/31/99	
BRT REALTY TRUST	MA				X	X					05/18/99	
CARAUSTAR INDUSTRIES INC	NC					X					05/24/99	
CARBIDE GRAPHITE GROUP INC /DE/	DE				X	X					05/12/99	
CARLYLE REAL ESTATE LTD PARTNERSHIP XI	IL	X				X					05/14/99	
CARRIAGE SERVICES INC	DE				X	X					06/01/99	
CB RICHARD ELLIS SERVICES INC	DE				X	X					06/01/99	
CLARITI TELECOMMUNICATIONS INTERNAT IONAL LTD	DE					X					06/01/99	AMEND
DAILEY INTERNATIONAL INC	DE		X			X					05/28/99	
DIVOT GOLF CORP	DE					X					05/21/99	AMEND
DLJ COMMERCIAL MORTGAGE CORP	DE				X	X					05/28/99	
DUKE CAPITAL CORP	DE					X					03/29/99	
ELECTRO CATHETER CORP	NJ		X								06/01/99	
EQUALNET COMMUNICATIONS CORP	TX				X	X					05/24/99	
EQUITABLE RESOURCES INC /PA/	PA				X	X					06/01/99	
EXCO RESOURCES INC	TX					X					03/17/99	AMEND
FIRST ALLIANCE MORTGAGE LOAN TRUST 1999-1	NY				X	X					05/20/99	
FIRST COASTAL CORP	DE				X	X					05/18/99	
FPA MEDICAL MANAGEMENT INC	DE				X	X					05/17/99	
GENERAL DATACOMM INDUSTRIES INC	DE				X						06/30/99	AMEND
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE					X					05/17/99	
GREENPOINT CREDIT CORP	DE				X	X					05/27/99	
GREENPOINT FINANCIAL CORP	DE				X	X					05/27/99	
HAIN FOOD GROUP INC	DE	X			X	X					04/27/99	AMEND
HEALTH FITNESS CORP /MN/	MN	X				X					05/14/99	
IMMUNOGEN INC	MA	X									06/01/99	
INFONAUTICS INC	PA				X						05/26/99	
INTERACTIVE FLIGHT TECHNOLOGIES INC	DE	X				X					05/17/99	
INTERNATIONAL MICROCOMPUTER SOFTWARE E INC./CA/	CA				X	X					05/24/99	
JUST LIKE HOME INC	FL	X			X	X	X				04/30/99	
LENOX BANCORP INC	OH					X	X				05/27/99	
LOEWEN GROUP INC				X			X				06/01/99	
MACE SECURITY INTERNATIONAL INC	DE		X			X					05/17/99	
MEDICAL ACTION INDUSTRIES INC	DE					X					04/01/99	AMEND
MEDICAL INDUSTRIES OF AMERICA INC	FL				X		X				05/26/99	
MERRILL LYNCH & CO INC	DE					X					06/01/99	
MGM GRAND INC	DE				X	X					03/31/99	
MICROSTRATEGY INC	DE				X	X					05/27/99	
MOBILEMEDIA COMMUNICATIONS INC	DE				X						05/31/99	
MOBILEMEDIA CORP	DE				X						05/31/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
MULTI COLOR CORP	OH					X					06/01/99	
NORTH FACE INC	DE					X					05/21/99	
NORTHEAST DIGITAL NETWORKS INC	DE					X					06/01/99	
NORWEST ASSET SECURITIES CORP	DE					X	X				06/02/99	
PENN OCTANE CORP	DE					X					06/01/99	
PENNCORP FINANCIAL GROUP INC /DE/	DE					X	X				05/28/99	
PLENUM COMMUNICATIONS INC	MN					X	X				05/17/99	
QUITMAN BANCORP INC	GA							X			05/25/99	
RAYCHEM CORP	DE					X	X				05/19/99	
REYNOLDS METALS CO	DE					X					06/01/99	
SECURITY ASSOCIATES INTERNATIONAL I NC	DE					X					05/19/99	
SENECA FOODS CORP /NY/	NY		X								06/30/97	AMEND
SENECA FOODS CORP /NY/	NY		X								07/18/97	AMEND
SYNCRONYS SOFTCORP	NV		X								04/30/99	
TCI MUSIC INC	DE					X	X				05/21/99	AMEND
TIS MORTGAGE INVESTMENT CO	MD		X								02/02/99	AMEND
TITAN EXPLORATION INC	DE		X				X				05/20/99	
TOREADOR ROYALTY CORP	DE				X		X				05/24/99	
TSI INTERNATIONAL SOFTWARE LTD	DE		X				X				03/01/99	AMEND
ULTRADATA CORP	DE	X					X				05/17/99	
UNIFAB INTERNATIONAL INC	LA						X				02/05/98	AMEND
UNIFAB INTERNATIONAL INC	LA						X				07/24/98	AMEND
UNION NATIONAL BANCORP INC	MD					X					05/26/99	
VIANET TECHNOLOGIES INC	NV		X				X				06/01/99	
VSE CORP	DE					X					05/26/99	
WARREN S D CO /PA/	PA					X					05/14/99	
WPS RESOURCES CORP	WI			X							05/24/99	
5TH AVENUE CHANNEL CORP	FL						X				03/17/99	AMEND