

SEC NEWS DIGEST

Issue 2000-173

September 8, 2000

COMMISSION ANNOUNCEMENTS

PERIOD FOR ABROGATION OF CBOE FILING EXPIRES

On September 7, the Commission announced that it would take no action to abrogate a proposed fee rule submitted this past July by the Chicago Board Options Exchange (CBOE). Under the rule, the CBOE collects fees from market makers and specialists based on transactions occurring on the floor. Then, the CBOE transmits the collected funds to its specialists who use the funds to make marketing payments, including payments to attract order flow. Since the CBOE filing, the American Stock Exchange and the Philadelphia Stock Exchange have submitted similar proposed rule changes, and the Commission expects that the Pacific Stock Exchange will do so shortly.

Under Section 19(b)(3) of the Securities Exchange Act of 1934, fee filings are effective immediately upon filing with the Commission. The Commission, however, retains a discretionary right for 60 days to abrogate the filing and require the SRO to re-file for approval before the fee can be charged. Because no action has been taken, the proposed CBOE rule will remain in effect, as filed.

The Commission typically does not comment when the period for abrogation expires. Considering the current public debate over the CBOE's rule, however, the Commission believes it would be useful to the public to do so.

The core objections to the CBOE rule that have been expressed to the Commission are that: (1) payment for order flow that is funded through SRO-mandated fees is more problematic than other, currently existing order flow payment arrangements; (2) the proposed fees amount to a tax on market makers that will raise their cost of doing business and limit or eliminate their ability to improve existing quotes; and (3) implementation of the CBOE rule would force remaining markets to follow suit, leading to a proliferation of payment for order flow arrangements, possibly resulting in increased spreads in all markets. As such, opponents have urged the Commission to abrogate the proposed rule.

The Commission does not believe abrogation of the rule would serve the public interest. In short, abrogation of the CBOE's rule would interfere with one market's response to

the dynamic order flow patterns, without addressing other forms of payment for order flow and internalization in the options markets.

Under current circumstances, direct limitations on any one, or even all, forms of payment for order flow would most likely not address the Commission's continuing concerns with internalization in the options markets. Experience with these practices in the equities markets has made clear that numerous and various forms of reciprocal and other arrangements have developed. Restrictions on one practice may simply lead to the growth of other, less transparent, forms. Greater public disclosure of brokers' order routing decisions -- including a description of payment for order flow and internalization arrangements between brokers and market centers -- in conjunction with decimal pricing have the potential to fuel greater price competition in the equities and options markets. Greater scrutiny of brokers' best execution will help ensure that the benefits of this competition are garnered by investors.

The Commission's Office of Economic Analysis, together with the Office of Compliance Inspections and Examinations, is currently conducting a comprehensive study of the development of payment for order flow and internalization in the options markets, and any changes in market quality since multiple listings. The study will assist the Commission in determining whether regulatory action is needed to strengthen price competition and order interaction in the options markets. The study will be completed and made public this November.

Background

U.S. options markets are in the midst of profound and dynamic structural change. Well-funded, new market entrants are committing enormous capital to secure footholds in the options industry of the future. Established institutions are forming alliances aimed at preserving or enhancing their competitive positions.

More and more of the specialist firms are maintaining a presence on most or all options exchanges, each striving to become one-stop destinations for order flow providers. Trends toward consolidation and continued competition between specialist firms and options exchanges for brand name recognition will most likely remain strong. Heightened competition among markets and market participants for order flow, and the shifting order flow patterns it produces, shows no signs of abating. Payment for order flow in the options markets has emerged as a feature of this multi-faceted competition for market share.

While these developments continue to unfold, options exchanges are formulating responses based on their particular market characteristics. Among other things, the CBOE's approach is an outgrowth of its strategic commitment to sustaining multiple market makers in its trading pits.

In particular, the CBOE has imposed relatively restrictive percentage limitations on its specialists, which cap the specialists' share of order flow. One effect of these limitations

is that CBOE specialists have a smaller pool of funds, relative to their competitors on other exchanges, available for payment for order flow. As such, the CBOE perceives a competitive need to tap the resources of the crowd in order to create a competitive pool for payments. The CBOE's proposal is an effort to create such a pool.

Given this context, the delay resulting from abrogation of the CBOE's rule could interfere substantially with market forces shaping the options industry. Indeed, with order flow patterns shifting as rapidly and dynamically as we see today, delaying the CBOE's rule implementation raises the risk of market share gains or losses attributable to regulatory timing, a result the Commission is committed to avoiding. (Press Rel. 2000-125)

DATE OF CHAIRMAN LEVITT'S TESTIMONY CHANGED

Chairman Levitt's previously announced testimony of September 19 before the Senate Securities Subcommittee on auditor independence has been postponed to 9:30 a.m. on Thursday, September 28 in Room 538 of the Dirksen Senate Office Building.

ENFORCEMENT PROCEEDINGS

COMMISSION SUSTAINS NASD ACTION AGAINST MICHAEL MARKOWSKI AND JOSEPH RICCIO

On September 7, the Commission sustained a National Association of Securities Dealers, Inc. (NASD) disciplinary action against Michael J. Markowski of Miami Beach, Florida and Joseph F. Riccio of Palm Harbor, Florida. Markowski was chairman, chief executive officer, and majority shareholder of Global America, Inc., a former NASD member firm located in New York City. Riccio was the firm's trader. The NASD censured Markowski and Riccio and barred them in all capacities from association with any NASD member. In addition, it fined Markowski \$300,000 and Riccio \$250,000.

The Commission found that, during the period June 1990 to January 1991, respondents manipulated the market for the securities of Mountaintop Corporation. In 1990, Global underwrote an initial public offering of the securities. Thereafter, Global dominated and controlled the Mountaintop market. Respondents maintained high price levels for Mountaintop that did not reflect genuine demand, and they absorbed all unwanted securities into inventory, thereby preventing sales from depressing market prices. In addition, respondents published non-bona fide bids for Mountaintop.

The Commission also found that Markowski violated a restriction agreement between the NASD and Global that limited the size of the firm's inventory positions, and that Markowski improperly refused to submit to an NASD investigative interview at the time he was requested to do so. In sustaining the sanctions assessed by the NASD, the Commission emphasized the extremely serious nature of respondents' misconduct. It also noted that this is the second time

that Markowski has been disciplined for refusing to cooperate with an NASD investigation. (Rel. 34-43259, File No. 3-9671)

CEASE-AND-DESIST PROCEEDINGS SETTLED AGAINST ROLAND ACEVEDO

On September 7, the Commission entered an Order requiring Roland Acevedo to cease and desist from committing or causing any violations and any future violations of the antifraud provisions of the federal securities laws, barring Acevedo from participation in penny stock offerings, and ordering Acevedo to pay \$243.75 in disgorgement, plus prejudgment interest.

In his Offer of Settlement, Acevedo, without admitting or denying the findings, consents to the issuance of an Order by the Commission which finds that on or about December 11, 1995, Acevedo, directly or indirectly, paid or caused to be paid \$1,200 to a broker-dealer, which was undisclosed compensation for a previous purchase of 3,000 shares of LBU, Inc. f/k/a New Century Media Ltd. at approximately \$1.625 per share by the broker-dealer. (Rels. 33-7888, 34-43261, File No. 3-9158)

SANCTIONS, CEASE-AND-DESIST ORDER AND CIVIL PENALTY IMPOSED ON PHILIP LEHMAN AND TOWER EQUITIES, INC.

On September 7, the Commission entered a cease-and-desist Order and an Order making findings and imposing remedial sanctions against Philip A. Lehman of Clayton, Ohio, and Tower Equities, Inc., a broker-dealer and investment adviser owned by Lehman and based in Dayton, Ohio. The Order finds that, from February 1997 through December 1998, Tower Equities and Lehman misrepresented facts while offering and selling over \$10 million worth of investment contracts in Tower Venture 97-A, Ltd., Lifetime Assets, LLC, Baylor/Gavic, LLC and Wellington, LLC. According to the Order, the Respondents represented that Tower Venture would use investors' funds to make a one-time \$10 million payment to Credit Austerlitz Finances, Ltd., a European entity, in exchange for a \$30 million loan. The investors would not have to make any further payments on the loan. This loan transaction could not, and did not, exist. The Respondents also represented that Tower Venture would enter into a loan transaction with a European entity that would ultimately provide investors with returns as high as 33 percent annually and that Lifetime, Baylor and Wellington would enter into transactions with unidentified overseas entities which would result in a return to investors of as much as 100 percent in 25 days. The Respondents did not have any reasonable basis for these representations.

The Commission suspended Lehman for nine months from association with a broker-dealer, investment adviser and investment company, censured Tower Equities, ordered both respondents to cease and desist from further violations, and imposed a \$10,000 civil penalty against Lehman. (Rels. 33-7889, 34-43262, IA-1896, IC-24636, File No. 3-10024)

**DAVID HALL, WILLIAM A. HALL, WILLIAM Y. HALL AND ALAN SALEM SETTLE
CIVIL CASE FILED BY SEC**

The Commission announced today that David W. Hall, William A. Hall, William Y. Hall and Alan Salem agreed to settle charges alleging insider trading in the securities of Norand Corporation. On December 22, 1998, the Commission filed a complaint in the United States District Court for the Central District of California alleging that Robert Neprud, an assistant controller at Western Atlas, Inc., misappropriated confidential client information by tipping David W. Hall about Western Atlas's upcoming tender offer for Norand prior to the official announcement on January 22, 1997. The complaint also alleged that David Hall knew that Neprud was an executive at Western Atlas, the acquiring company, and knew that Neprud's disclosure violated duties he owed to Norand. The complaint alleges that David Hall purchased Norand stock and made \$48,000 in profits. The complaint further alleges that David Hall tipped his father, his brother, his cousin, Thomas Wagner, and Wagner's father-in-law, Alan Salem. On January 13 or 14, 1997, David Hall shared with both his father, William A. Hall, and his brother, William Y. Hall, neither of whom had ever bought stock before, the information he learned from Neprud.

According to the complaint, William A. Hall and William Y. Hall made total profits of \$173,283 and \$15,259, respectively, and Wagner made \$7,937 in trading profits. The complaint also alleged that Alan Salem purchased Norand and Western Atlas securities, making \$77,875 in profits, and caused four other individuals to trade, for total profits of approximately \$249,000.

David Hall consented, without admitting or denying the Commission's allegations, to a final judgment entered on February 1, 2000, permanently enjoining him from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder; and ordering Hall to pay disgorgement and prejudgment interest of \$60,000.

Salem consented, without admitting or denying the Commission's allegations, to a final judgment entered on June 1, 2000, permanently enjoining him from violating Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder; ordering him to pay \$191,914.42 in disgorgement and prejudgment interest; and ordering him to pay a \$121,525 civil penalty pursuant to Section 21A of the Exchange Act.

William A. Hall consented, without admitting or denying the Commission's allegations, to a final judgment entered on August 25, 2000, permanently enjoining him from violating Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder; and ordering him to pay \$30,000 in disgorgement and prejudgment interest, with additional amounts in disgorgement and penalty not assessed based on his inability to pay.

William Y. Hall consented, without admitting or denying the Commission's allegations, to a final judgment entered on July 3, 2000, permanently enjoining him from violating Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 thereunder; and ordering him to pay \$19,528.39 in disgorgement and prejudgment interest, with additional amounts in disgorgement and penalty not assessed based on his inability to pay.

In addition, on March 16, 2000, the Court granted the Commission's motion to withdraw without prejudice its action against Thomas Wagner. On December 22, 1998, simultaneous with the filing of the Commission's complaint, Neprud consented, without admitting or denying the Commission's allegations, to a final judgment permanently enjoining him from violating Sections 10(b) and 14(e) of the Exchange Act and ordering him to pay a \$60,000 civil penalty. See also LR-16009 and LR-16105. [SEC v. David W. Hall, et al., Civil Action No. 98-10325AAH, C.D.Cal., filed December 22, 1998] (LR-16691)

ORDER ISSUED IN CIVIL CASE AGAINST ROBERT SUTTON

The Securities and Exchange Commission announced today that on May 18, 2000, the United States District Court for the Northern District of Illinois ordered the entry of a permanent injunction against Robert H. Sutton, thus settling the Commission's securities fraud action against Sutton.

Without admitting or denying the Commission's allegations, Sutton consented to the entry of the judgment, which permanently enjoins him from violating Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 promulgated thereunder. Sutton also consented to the entry of the judgment that Sutton violated Section 13(b)(5) of the Exchange Act and Rule 13b2-1 promulgated thereunder by circumventing internal accounting controls and falsifying books, records and accounts. Sutton was ordered to pay a civil money penalty of \$15,000.

The complaint alleged that Sutton, at the time a plant controller for a unit of Material Sciences Corporation (MSC), a Delaware corporation based in Elk Grove Village, Illinois, violated the federal securities laws by making false entries in the company's inventory and accounts payable records. The complaint alleges that, as a result of Sutton's false entries, MSC overstated by 29% the net earnings disclosed in its financial statements for the fiscal year ended February 29, 1996. The complaint also alleges that the false entries caused MSC to overstate the net earnings disclosed on MSC's Forms 10-Q during fiscal years 1996 and 1997 by amounts ranging between 6.27% and more than 300%.

According to the complaint, between March 1, 1995, and February 28, 1997, Sutton intentionally fabricated the inventory work in process and entered these values into the general ledger of MSC's Laminates and Composites unit. According to the complaint, Sutton's fabrications falsely increased MSC's earnings by artificially inflating the book value of the unit's inventory on hand and understating the unit's cost of sales.

The complaint also alleges that from March 1, 1996, to February 28, 1997, Sutton intentionally made false ledger entries for the Laminates and Composites unit's accounts payable. According to the complaint, Sutton's improper entries falsely increased MSC's earnings by artificially deflating its liabilities. [SEC v. Robert H. Sutton, U. S. District

Court for the Northern District of Illinois, Civil Action No. 99 C 6342, N.D. Ill.,
September 27, 1999] (LR-16692, AAER-1299)

GIACCHETTO CONSENTS TO PERMANENT INJUNCTION

On September 5, the Honorable Lawrence M. McKenna, United States District Judge for the Southern District of New York, entered a Partial Judgment of Permanent Injunction By Consent Against Dana C. Giacchetto. In the underlying action, which was filed on April 3, 2000, the Commission alleged that Giacchetto, through his wholly owned investment advisory firm, The Cassandra Group, Inc., from September 1997 until late March 2000, unlawfully gained possession of at least \$20 million in client funds and diverted more than \$4 million to pay Cassandra's operating expenses, fund Giacchetto's own lavish lifestyle, and compensate other clients who had been defrauded earlier in the scheme. Giacchetto stole money by directing Brown & Company, a registered broker-dealer, to issue checks payable to particular Cassandra clients, drawing on funds held in those clients' Brown accounts, and to deliver the checks to Cassandra. Giacchetto then endorsed the checks himself, in his own name, and deposited the funds in Cassandra's corporate bank accounts. As Giacchetto paid complaining clients with funds stolen from other clients, Cassandra became a giant "asset-kiting" scheme. To conceal his diversion of funds, Giacchetto made a variety of misrepresentations to his clients. Giacchetto consented to the partial judgment without admitting or denying the Commission's allegations in its complaint.

The partial judgment permanently enjoins Giacchetto from violating Section 17(a) of the Securities Act, Section 10(b) of the Securities Exchange Act and Rule 10b-5 thereunder, and from violating and/or aiding and abetting violations of Sections 207, 204, 206(1), 206(2), and 206(4) of the Investment Advisers Act and Rules 204-2, 206(4)-2, and 206(4)-4 thereunder. The partial judgment also provides that the determination of the complaint's request for disgorgement of ill-gotten gains and prejudgment interest, and the payment of civil penalties by Giacchetto, if any, will be determined through future stipulation of the parties, or by determination of the Court. See also LR-16499. [SEC v. Dana C. Giacchetto and The Cassandra Group, Inc., 00 Civ. 2502, LMM, S.D.N.Y.] (LR-16693)

INVESTMENT COMPANY ACT RELEASES

XSOURCE, INC.

An order has been issued on an application filed by XSource, Inc. under Sections 6(c), 17(b) and 23(c) of the Investment Company Act granting exemptions from Sections 17(a), 18(d), 21(b), 23(a) through (c), and 30 of the Act; and under Section 17(d) of the Act and Rule 17d-1 under the Act permitting certain joint transactions. The order permits applicant to operate as a managerial strategic investment company. (Rel. IC-24634 - September 6)

PRUCO LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until September 28 to request a hearing on an application filed by Pruco Life Insurance Company, Pruco Life Flexible Premium Variable Annuity Account, Pruco Life Insurance Company of New Jersey, Pruco Life of New Jersey Flexible Premium Variable Annuity Account and Prudential Investment Management Services LLC (Applicants). The Applicants seek an order under Section 6(c) of the Investment Company Act granting exemptions from the provisions of Sections 2(a)(32), 22(c), and 27(i)(2)(A) of the Act and Rule 22c-1 thereunder, to permit the recapture of credits applied to purchase payments under certain variable annuity contracts. (Rel. IC-24635 - September 7)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The Options Clearing Corporation filed a proposed rule change (SR-OCC-00-06) under Section 19(b)(1) of the Exchange Act. Pursuant to the proposed rule change, OCC is reducing its clearing fees for established products effective September 1, 2000. Publication of the proposal is expected in the Federal Register during the week of September 11. (Rel. 34-3244)

CORRECTION

In some copies of the September 5 Digest an error appeared in the agenda for public hearings to be held on September 13. Panel 5 participant Jacqueline K. Wagner serves as General Auditor for General Motors Corporation.

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File Number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 UNITED MICROELECTRONICS CORP, NO 13 INNOVATION RD I,
SCIENCE BASED INDUSTRIAL PARK, HSINCHU TAIWAN ROC, (011) 886-3577 -
450,000,000 (\$1,237,500,000) FOREIGN COMMON STOCK. (FILE 333-12444 -
AUG. 28) (BR. 5 - NEW ISSUE)
- S-B UNITED MEXICAN STATES, 375 PARK AVENUE, SUITE 1905, NEW YORK, NY
10152
- 2,500,000,000 (\$2,500,000,000) STRAIGHT BONDS. (FILE 333-12460 -
AUG. 31) (BR. 99)
- S-8 ENGINEERED SUPPORT SYSTEMS INC, 1270 N PRICE RD, ST LOUIS, MO 63132
(314) 993-5880 - 100,000 (\$1,993,750) COMMON STOCK. (FILE 333-45020 -
SEP. 01) (BR. 6)
- S-8 ENGINEERED SUPPORT SYSTEMS INC, 1270 N PRICE RD, ST LOUIS, MO 63132
(314) 993-5880 - 600,000 (\$11,962,500) COMMON STOCK. (FILE 333-45022 -
SEP. 01) (BR. 6)
- S-8 RSA SECURITY INC/DE/, 20 CROSBY DR, BEDFORD, MA 01730 (781) 301-5000
-
4,432,072 (\$263,176,435) COMMON STOCK. (FILE 333-45024 - SEP. 01) (BR.
3)
- S-8 AWARE INC /MA/, 40 MIDDLESEX TURNPIKE, BEDFORD, MA 01730 (617) 276-
4000
- 3,100,000 (\$123,951,994) COMMON STOCK. (FILE 333-45026 - SEP. 01)
(BR. 5)
- S-3 ELTRAX SYSTEMS INC, 400 GALLERIA PARKWAY, SUITE 300, ATLANTA, GA
30339
45028
(770) 612-3500 - 3,648,788 (\$16,534,482.82) COMMON STOCK. (FILE 333-
- SEP. 01) (BR. 3)
- S-8 FIRST INTERNATIONAL BANCORP INC, 280 TRUMBULL ST, HARTFORD, CT 06103
(860) 727-0700 - 150,000 (\$1,138,500) COMMON STOCK. (FILE 333-45030 -
SEP. 01) (BR. 9)

S-3 LIBERTY PROPERTY TRUST, 65 VALLEY STREAM PKWY, STE 100, MALVERN, PA 19355 (610) 648-1700 - 314,709 (\$8,329,953.84) COMMON STOCK. (FILE 333-45032 - SEP. 01) (BR. 8)

S-8 CHART HOUSE ENTERPRISES INC, 640 N LASALLE ST, STE 295, CHICAGO, IL 60610 (619) 755-8281 - 400,000 (\$2,287,200) COMMON STOCK. (FILE 333-45034 - SEP. 01) (BR. 5)

S-8 FIRST INTERNATIONAL BANCORP INC, 280 TRUMBULL ST, HARTFORD, CT 06103 (860) 727-0700 - 75,000 (\$569,250) COMMON STOCK. (FILE 333-45036 - SEP. 01) (BR. 9)

S-8 BACH-HAUSER INC, 2080 E FLAMINGO RD, STE 112, LAS VEGAS, NV 89119 (702) 866-2500 - 1,050,000 (\$231,000) COMMON STOCK. (FILE 333-45038 - SEP. 01) (BR. 9)

S-8 RED HAT INC, 2600 MERIDIAN PARKWAY, DURHAM, NC 27713 (919) 547-0012 - 64,246 (\$46,258) COMMON STOCK. (FILE 333-45042 - SEP. 01) (BR. 3)

S-3 SILVERSTREAM SOFTWARE INC, TWO FEDERAL ST, BILLERICA, MA 01821 (978) 262-3000 - 286,373 (\$8,528,560.22) COMMON STOCK. (FILE 333-45044 - SEP. 01) (BR. 3)

S-8 SPECIALTY EQUIPMENT COMPANIES INC, 1245 CORPORATE BLVD, SUITE 401, AURORA, IL 60504 (603) 585-5111 - 250,000 (\$6,703,125) COMMON STOCK. (FILE 333-45048 - SEP. 01) (BR. 6)

S-8 LEXENT INC, 3 NEW YORK PLAZA, NEW YORK, NY 10004 (212) 981-0700 - 8,700,000 (\$101,094,000) COMMON STOCK. (FILE 333-45052 - SEP. 01) (BR. 7)

S-8 SYNOPSIS INC, 700 E MIDDLEFIELD RD, MOUNTAIN VIEW, CA 94043 (650) 962-5000 - 5,833,985 (\$211,341,238.35) COMMON STOCK. (FILE 333-45056 - SEP. 01) (BR. 3)

S-8 NEUBERGER BERMAN INC, 605 THIRD AVE, NEW YORK, NY 10158 (212) 476-9000 - 1,500,000 (\$81,468,750) COMMON STOCK. (FILE 333-45058 - SEP. 01) (BR. 7)

S-8 HA LO INDUSTRIES INC, 5980 W TOUHY AVE, NILES, IL 60714 (708) 647-2300 - 10,000,000 (\$49,700,000) COMMON STOCK. (FILE 333-45060 - SEP. 01) (BR. 2)

SB-2 HOME FINANCING CENTERS INC/MA, 1710 E DIVISION ST, EVANSVILLE, IN 47711 - 5,165,000 (\$2,582,500) COMMON STOCK. (FILE 333-45068 - SEP. 01) (BR. 9)

S-8 PEARSON PLC, 3 BURLINGTON GARDENS, BANK OF NEW YORK, LONDON UK W1X 1LE,

XO 00000 (442) 074-4120 - 1,532,510 (\$30,574,286.55) COMMON STOCK.
(FILE 333-45070 - SEP. 01) (BR. 9)

S-3 AVIRON, 297 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043 (650) 919-6500 -
1,038,235 (\$41,140,062) COMMON STOCK. (FILE 333-45072 - SEP. 01) (BR.
1)

S-8 LEGGETT & PLATT INC, NO 1 LEGGETT RD, CARTHAGE, MO 64836 (417) 358-
8131
- 500,000 (\$8,796,750) COMMON STOCK. (FILE 333-45074 - SEP. 01) (BR.
6)

S-8 LA JOLLA PHARMACEUTICAL CO, 6455 NANCY RIDGE DR, SAN DIEGO, CA 92121
(619) 452-6600 - 1,200,000 (\$7,800,000) COMMON STOCK. (FILE 333-45080
-
SEP. 01) (BR. 1)

S-8 ENERGY EAST CORP, PO BOX 12904, SUITE 2006A 20TH FLOOR, ALBANY, NY
12212
(518) 434-3014 - 1,350,000 (\$28,939,613) COMMON STOCK. (FILE 333-45082
-
SEP. 01) (BR. 2)

S-8 ENERGY EAST CORP, PO BOX 12904, SUITE 2006A 20TH FLOOR, ALBANY, NY
12212
(518) 434-3014 - 2,500,000 (\$53,591,875) COMMON STOCK. (FILE 333-45084
-
SEP. 01) (BR. 2)

S-1 DOUBLETWIST INC, 1999 HARRISON ST SUITE 1100, OAKLAND, CA 94612
(510) 628-0100 - \$86,250,000 COMMON STOCK. (FILE 333-45086 - SEP. 01)

S-8 BRIO TECHNOLOGY INC, 3430 WEST BAYSHORE RD, PALO ALTO, CA 94303
(650) 856-8000 - 6,000,000 (\$57,180,000) COMMON STOCK. (FILE 333-45090
-
SEP. 01) (BR. 3)

S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DRIVE, CHELMSFORD, MA 01824
(978) 262-2566 - 250,000 (\$13,140,625) COMMON STOCK. (FILE 333-45092 -
SEP. 01) (BR. 5)

S-8 4 KIDS ENTERTAINMENT INC, 1414 AVE OF THE AMERICAS, NEW YORK, NY
10019
(212) 758-7666 - 500,000 (\$10,343,750) COMMON STOCK. (FILE 333-45094 -
SEP. 01) (BR. 4)

S-8 HOLLYWOOD COM INC, 2255 GLADES RD, STE 237 W, BOCA RATON, FL 33431
(561) 998-8000 - 2,000,000 (\$15,064,000) COMMON STOCK. (FILE 333-45098
-
SEP. 01) (BR. 2)

S-8 HYCOR BIOMEDICAL INC /DE/, 7272 CHAPMAN AVE, GARDEN GROVE, CA 92641
(714) 895-9558 - 175,000 (\$1,225,000) COMMON STOCK. (FILE 333-45102 -
SEP. 01) (BR. 1)

S-3 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71,

DETROIT, MI 48265 (313) 556-5000 - 42,000,000 (\$3,029,460,000)
COMMON STOCK. (FILE 333-45104 - SEP. 01) (BR. 5)

S-3 CROSSWALK COM, 4100 LAFAYETTE CENTER DR, STE 110, CHANTILLY, VA
20151

(703) 968-4808 - 75,000 (\$112,500) COMMON STOCK. (FILE 333-45106 -
SEP. 01) (BR. 9)

S-8 CONVERGENT GROUP CORP, 6399 FIDDLERS GREEN CIRCLE, SUITE 600,
ENGLEWOOD,
CO 80111 (303) 774-8400 - 5,300,558 (\$25,840,220) COMMON STOCK. (FILE
333-45108 - SEP. 01) (BR. 8)

S-8 HYCOR BIOMEDICAL INC /DE/, 7272 CHAPMAN AVE, GARDEN GROVE, CA 92641
(714) 895-9558 - 300,000 (\$2,100,000) COMMON STOCK. (FILE 333-45110 -
SEP. 01) (BR. 1)

S-8 OPTA FOOD INGREDIENTS INC /DE, 25 WIGGINS AVE, BEDFORD, MA 01730
(617) 276-5100 - 250,000 (\$558,625) COMMON STOCK. (FILE 333-45114 -
SEP. 01) (BR. 4)

S-3 PLEXUS CORP, 55 JEWELERS PARK DR, NEENAH, WI 54957 (920) 722-3451 -
\$500,000,000 STRAIGHT BONDS. (FILE 333-45116 - SEP. 01) (BR. 5)

S-8 PMC SIERRA INC, 250 900 EAST HAMILTON AVE, CAMPBELL, CA 95008
(604) 415-6000 - 3,016,073 (\$299,181,845.94) COMMON STOCK. (FILE
333-45118 - SEP. 01) (BR. 5)

S-1 ILX LIGHTWAVE CORP, 31950 E FRONTAGE ROAD, PO BOX 6310, BOZEMAN, MT
59771 (406) 586-1244 - \$115,000,000 COMMON STOCK. (FILE 333-45120 -
SEP. 01) (NEW ISSUE)

S-4 EAST COAST POWER LLC, 711 LOUISIANA, SUITE 3200, HOUSTON, TX 77002
45124 (713) 753-6161 - 19,387,262 (\$19,387,262) STRAIGHT BONDS. (FILE 333-
- SEP. 01) (BR. 2)

S-8 CLEAR CHANNEL COMMUNICATIONS INC, 200 EAST BASSE ROAD, SAN ANTONIO,
TX
78209 (210) 822-2828 - 2,167,332 (\$90,806,226) COMMON STOCK. (FILE
333-45126 - SEP. 01) (BR. 2)

S-1 NUANCE COMMUNICATIONS, 1005 HAMILTON CT, MENLO PARK, CA 94025
45128 (650) 847-0000 - 3,450,000 (\$420,348,000) COMMON STOCK. (FILE 333-
-
SEP. 01) (BR. 3)

S-1 TURNSTONE SYSTEMS INC, 2220 CENTRAL EXPRESSWAY, SANTA CLARA, CA
95050
45130 (650) 404-0200 - 4,600,000 (\$268,272,000) COMMON STOCK. (FILE 333-
-
SEP. 01) (BR. 7)

S-1 VYVO INC, 20400 STEVENS CREEK BLVD, 8TH FL, CUPERTINO, CA 95014
45132 (408) 863-2300 - 4,600,000 (\$144,900,000) COMMON STOCK. (FILE 333-
-
SEP. 01) (BR. 7)

S-8 CHESTER BANCORP INC, 1112 STATE ST, CHESTER, IL 62233 (618) 826-5038
 - 268,212 (\$4,500,932.63) COMMON STOCK. (FILE 333-45134 - SEP. 01) (BR.
 7)
 SB-2 MEGAMEDIA NETWORKS INC, 57 WEST PINE STREET, ORLANDO, FL 32801 -
 5,793,930 (\$720,764.89) COMMON STOCK. (FILE 333-45138 - SEP. 01) (BR.
 9)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ADVANCED TECHNOLOGY INDUSTRIES INC	DE		X						X		08/23/00	
AEI INCOME & GROWTH FUND 23 LLC	DE		X						X		08/23/00	
AEROVOX INC	DE				X				X		08/30/00	
AETNA INC	CT					X					09/05/00	
ALZA CORP	DE					X					08/18/00	
AMERICREDIT FINANCIAL SERVICES INC	DE		X			X		X			08/08/00	
AMERITRANS CAPITAL CORP	DE					X					08/29/00	
APPLIED MICRO CIRCUITS CORP	DE					X		X			08/28/00	
ARC INTERNATIONAL CORP	DE					X		X			08/23/00	
ARCADIA RECEIVABLES FINANCE CORP	DE							X			06/22/00	
ARM FINANCIAL GROUP INC	DE			X				X			08/21/00	
ASPEN TECHNOLOGY INC /DE/	DE					X		X			08/29/00	
ASSISTED LIVING CONCEPTS INC	NV					X		X			09/01/00	
ASSOCIATES FIRST CAPITAL CORP	DE					X		X			09/06/00	
ATRIX LABORATORIES INC	DE					X		X			08/08/00	
AUTOLEND GROUP INC	DE					X					08/16/00	
BACKWEB TECHNOLOGIES LTD								X			06/27/00	AMEND
BAKER HUGHES INC	DE					X		X			09/06/00	

BANGOR HYDRO ELECTRIC CO	ME					X						09/06/00	
BANK ONE CAPITAL III	DE								X			08/30/00	
BANK ONE CAPITAL IV	DE					X		X				08/30/00	
BANK ONE CORP	DE					X		X				08/30/00	
BANK ONE CORP	DE								X			08/30/00	
BB&T CORP	NC					X						09/06/00	
BELL ATLANTIC CORP	DE					X			X			09/07/00	
BETA OIL & GAS INC	NV		X									09/05/00	
BLUE DOLPHIN ENERGY CO	DE								X			12/02/99	AMEND
BLYTH INC	DE					X		X				09/06/00	
BRANDMAKERS INC	UT								X			05/23/00	AMEND
BRISTOL RETAIL SOLUTIONS INC	DE					X						09/05/00	
BROWN FORMAN CORP	DE	X										09/30/00	
BURNHAM PACIFIC PROPERTIES INC	MD					X		X				09/04/00	
CANARGO ENERGY CORP	DE					X						08/31/00	
CAPCO ENERGY INC	CO					X						08/31/00	
CBCOM INC	DE					X		X				09/07/00	
CE CASECNAN WATER & ENERGY CO INC						X		X				09/07/00	
CENTEX CREDIT CORP HOME EQ LN ASSET BACKED CERT SER 2000 B	DE					X		X				08/31/00	
CENTEX HOME EQUITY LOAN TRUST 2000- A	DE					X		X				08/31/00	
CHEC FUNDING LLC	DE					X		X				09/05/00	
CHRYSLER FINANCIAL CO LLC	MI					X		X				09/06/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		

CISCO SYSTEMS INC	CA					X						09/04/00	
CITICORP MORTGAGE SECURITIES INC	DE					X						09/07/00	
CITIGROUP INC	DE					X		X				09/06/00	
CLEAR CHANNEL COMMUNICATIONS INC	TX		X					X				08/30/00	
CNL HEALTH CARE PROPERTIES INC	MD					X						08/24/00	
CNL HOSPITALITY PROPERTIES INC	FL		X									08/22/00	
COACHMEN INDUSTRIES INC	IN					X		X				08/31/00	
COACHMEN INDUSTRIES INC	IN					X		X				09/06/00	
COLLINS INDUSTRIES INC	MO	X										09/05/00	
COMMUNITY FINANCIAL GROUP INC	TN					X						09/05/00	
COMPREHENSIVE MEDICAL DIAGNOSTICS G ROUP INC	FL	X	X			X	X	X				05/24/00	
CONSOLIDATED GRAPHICS INC /TX/	TX					X		X				08/30/00	
CTN MEDIA GROUP INC	DE					X		X				08/30/00	
CYTOGEN CORP	DE					X						08/25/00	
DATAMETRICS CORP	DE					X						09/07/00	
DATRON SYSTEMS INC/DE	DE								X			08/29/00	AMEND
DEAN WITTER REALTY YIELD PLUS II LP	DE	X										08/31/00	
DEAN WITTER REALTY YIELD PLUS L P	DE	X										08/31/00	
DELTA BEVERAGE GROUP INC	DE					X						08/31/00	
DEUTSCHE MORTGAGE & ASSET RECEIVING CORP SERIES 2000-C1	DE					X		X				09/05/00	
DEXTER CORP	CT		X					X				08/23/00	
DIGEX INC/DE	DE					X		X				09/01/00	
DIGITAL RIVER INC /DE	DE		X					X				08/24/00	
DMI FURNITURE INC	DE					X		X				08/24/00	
DUPONT E I DE NEMOURS & CO	DE					X						09/07/00	
DYNAMIC I-T INC	CO					X		X				09/05/00	AMEND
EFTC CORP/	CO	X						X				08/23/00	

EQUITABLE LIFE ASSURANCE SOCIETY OF THE UNITED STATES /NY/	NY								X	X	08/30/00	
ESG RE LTD									X	X	09/05/00	
ESKIMO PIE CORP	VA								X	X	09/06/00	
EXCELSIOR HENDERSON MOTORCYCLE MANU FACTURING CO	MN	X	X							X	08/18/00	
EXPORT FUNDING CORP	DE								X	X	08/11/00	
EYECITY COM INC	DE								X		09/07/00	
FAIC II ISSUER TRUST 2000-1	MA	X									09/01/00	
FAR EAST VENTURES INC	NV	X	X					X	X		08/28/00	
FIRST ALBANY COMPANIES INC	NY							X	X		09/06/00	
FIRST PHILADELPHIA CAPITAL CORP	DE							X	X		09/05/00	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	X									08/18/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
FISHER SCIENTIFIC INTERNATIONAL INC	DE								X	X	09/01/00	
FUNDTECH LTD									X	X	09/03/00	
GEMSTAR TV GUIDE INTERNATIONAL INC	DE								X	X	07/12/00	AMEND
GENEREX BIOTECHNOLOGY CORP	DE								X	X	08/29/00	
GENEREX BIOTECHNOLOGY CORP	DE								X	X	09/05/00	
GENEREX BIOTECHNOLOGY CORP	DE									X	09/05/00	AMEND
GENESIS WORLDWIDE INC	OH								X		09/06/00	
GENTEK INC	DE		X							X	08/25/00	
GENUITY INC	DE								X	X	09/07/00	
GETTHERE INC	DE								X	X	08/28/00	
GOLDEN CHIEF RESOURCES INC	KS	X	X							X	09/07/00	
GOLDEN SOIL INC	NV								X		09/05/00	
GREKA ENERGY CORP	CO								X	X	01/01/00	
GTE CALIFORNIA INC	CA				X				X	X	09/07/00	
GTE FLORIDA INC	FL				X				X	X	09/07/00	
GTE HAWAIIAN TELEPHONE CO INC	HI				X				X	X	09/07/00	
GTE NORTH INC	WI				X				X	X	09/07/00	
GTE NORTHWEST INC	WA				X				X	X	09/07/00	
GTE SOUTH INC	VA				X				X	X	09/07/00	
GTE SOUTHWEST INC	DE				X				X	X	09/07/00	
HARLAND JOHN H CO	GA		X			X			X	X	08/23/00	
HEALTH & NUTRITION SYSTEMS INTERNAT IONAL INC	FL									X	07/12/00	
HIGH PLAINS CORP	KS								X		08/31/00	
HORIZON FINANCIAL SERVICES CORP	DE								X		09/06/00	
HSB GROUP INC	CT								X		09/06/00	
IMAGEWARE SYSTEMS INC	CA		X							X	08/22/00	
INACOM CORP	DE								X		07/10/00	
INFOCURE CORP	DE								X	X	09/06/00	
INFORMATICA CORP	DE		X						X	X	08/29/00	
INSILCO HOLDING CO	DE		X						X	X	08/25/00	
INSILCO TECHNOLOGIES INC	DE		X						X	X	08/25/00	
INTERCONTINENTAL FINANCE CORP	DE								X	X	09/05/00	
INTERMEDIA COMMUNICATIONS INC	DE								X	X	09/01/00	
INTERNET COMMERCE CORP	DE								X	X	09/05/00	
INTERSTATE HOTELS CORP	MD								X	X	09/06/00	
INTEST CORP	DE								X	X	09/05/00	
JANEX INTERNATIONAL INC	CO								X		09/01/00	
JDA SOFTWARE GROUP INC	DE								X	X	08/28/00	

KEYCORP STUDENT LOAN TRUST 1999 B	DE				X	X				08/25/00	
KINARK CORP	DE		X							06/26/00	AMEND
KINNARD INVESTMENTS INC	MN				X	X				09/05/00	

	STATE		8K ITEM NO.									
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT

LAMAR ADVERTISING CO/NEW	DE					X	X				09/15/99	
LIFECCELL CORP	DE					X	X				09/05/00	
LIFELONG COM INC	CO	X	X				X				09/01/00	
LOEWEN GROUP INC						X	X				09/06/00	
MCMORAN EXPLORATION CO /DE/	DE					X					09/05/00	
MID AMERICA APARTMENT COMMUNITIES I NC	TN					X					09/05/00	
MORGAN STANLEY ABS CAPITAL I INC	DE					X	X				09/07/00	
MURDOCK GROUP CAREER SATISFACTION C ORP	UT		X					X			06/30/00	AMEND
NEWMONT MINING CORP	DE					X	X				08/30/00	
NEWPORT CORP	NV		X					X			08/31/00	
NORDSTROM INC	WA					X	X				09/07/00	
NORTEL NETWORKS CORP								X			08/15/00	AMEND
OFFICE DEPOT INC	DE							X	X		09/07/00	
OLIN CORP	VA					X	X				08/17/00	
ORIENTAL FINANCIAL GROUP INC	PR					X	X				08/29/00	
OUTBOARD MARINE CORP	DE					X					09/07/00	
OXFORD AUTOMOTIVE INC	MI					X					08/29/00	
PACIFIC GATEWAY PROPERTIES INC /MD/	MD	X									08/31/00	
PACKAGING RESOURCES INC	DE					X					08/30/00	
PARK PLACE ENTERTAINMENT CORP	DE					X					08/28/00	
PEOPLES BANCORP INC	OH	X									09/05/00	
PEPPERCORN INDUSRIAL CORP	NV	X						X			08/14/00	
PEPPERCORN INDUSRIAL CORP	NV	X						X			08/14/00	
PEPSIAMERICAS INC	DE					X					08/31/00	
PJ AMERICA INC	DE					X					09/06/00	
PLAINWELL INC	DE					X	X				09/01/00	
PMI GROUP INC	DE					X	X				09/06/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-1	DE					X	X				09/01/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-3	DE					X	X				09/01/00	
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-5	DE					X	X				09/01/00	
PNC MORTGAGE SECURITIES CORP MORT P ASS THRO CERT SER 2000-2	DE					X	X				09/01/00	
PNC MORTGAGE SECURITIES CORP MORT P ASS THRU CERT SER 2000 4	DE					X	X				09/01/00	
POLYONE CORP			X					X			08/29/00	
POWERSOURCE CORP	NV					X					07/26/00	
PRINTRAK INTERNATIONAL INC	DE					X	X				08/28/00	

	STATE		8K ITEM NO.									
NAME OF ISSUER	CODE	1	2	3	4	5	6	7	8	9	DATE	COMMENT

PROCTER & GAMBLE CO	OH					X					09/06/00	
PROFESSIONAL BENEFITS INSURANCE CO	TX							X			07/31/00	
PRUDENTIAL SECURITIES SECURED FINAN CING CORP	DE					X	X				09/28/00	

PUBLIC SERVICE CO OF NEW MEXICO	NM									X											09/06/00		
QLT INC/BC	AL									X	X											08/29/00	
QWEST COMMUNICATIONS INTERNATIONAL	DE									X	X											09/07/00	
INC																							
RADIO ONE INC	DE									X	X											09/07/00	
RAYMOND JAMES FINANCIAL INC	FL	X																				09/06/00	
RESIDENTIAL FUNDING MORTGAGE SECURI	DE									X	X											09/07/00	
TIES II INC																							
RMS TITANIC INC	FL									X												08/31/00	
ROBERDS INC	OH									X	X											08/20/00	
RURBAN FINANCIAL CORP	OH									X	X											08/16/00	
SALISBURY BANCORP INC	CT									X	X											08/31/00	
SALTON INC	DE									X												09/07/00	
SHAW INDUSTRIES INC	GA									X	X											09/06/00	
SHOP AT HOME INC /TN/	TN									X												06/30/00	
SOUTH STREET FINANCIAL CORP	NC									X												07/17/00	
STANDARD PACIFIC CORP /DE/	DE									X	X											09/05/00	
STAR SCIENTIFIC INC	DE									X												08/21/00	
STARFEST INC		X	X	X	X	X	X	X	X	X	X											12/31/99	AMEND
STEWART ENTERPRISES INC	LA									X												09/06/00	
STRUCTURED PRODUCTS CORP	DE											X										09/01/00	
S1 CORP /DE/	DE									X												09/08/00	
TECHSYS INC	NJ								X			X										08/31/00	
TELETECH HOLDINGS INC	DE									X	X											08/21/00	
TIBERON RESOURCES LTD	NV	X	X							X												08/21/00	
TITAN MOTORCYCLE CO OF AMERICA INC	NV									X	X											08/21/00	
TONG AH GLOBAL VENTURES CORP	DE									X	X											09/05/00	
TOWER GLOBAL VENTURES CORP	DE									X	X											09/05/00	
TRUE NORTH COMMUNICATIONS INC	DE									X	X											09/06/00	
UNION BANKSHARES CO/ME	ME									X	X											08/31/00	
UNITED INDUSTRIAL CORP /DE/	DE									X	X											09/05/00	
UNIVERSAL CORP /VA/	VA											X										09/06/00	
UNIVERSAL MANUFACTURING CO	NE									X												08/31/00	
VALUE HOLDINGS INC	FL	X																				08/11/00	
VELOCITY COMPUTER SOLUTIONS LTD									X				X									05/31/00	
VELOCITY COMPUTER SOLUTIONS LTD									X					X								05/31/00	AMEND
VELOCITY COMPUTER SOLUTIONS LTD									X						X							06/10/00	
VENCOR INC /NEW/	DE										X	X										09/07/00	

NAME OF ISSUER	STATE	8K ITEM NO.										DATE	COMMENT
		1	2	3	4	5	6	7	8	9	9		

VERIZON NEW JERSEY INC	NJ									X				X								09/07/00	
VERIZON DELAWARE INC	DE									X				X								09/07/00	
VERIZON MARYLAND INC	MD									X				X								09/07/00	
VERIZON NEW ENGLAND INC	NY									X				X								09/07/00	
VERIZON NEW YORK INC	NY									X				X								09/07/00	
VERIZON PENNSYLVANIA INC	PA									X				X								09/07/00	
VERIZON VIRGINIA INC	VA									X				X								09/07/00	
VERIZON WASHINGTON DC INC	NY									X				X								09/07/00	
VERIZON WEST VIRGINIA INC	WV									X				X								09/07/00	
VIDEONICS INC	CA												X									08/30/00	
VIRATA CORP	DE							X							X							08/22/00	
VISTA GOLD CORP														X								09/07/00	
WASHINGTON REAL ESTATE INVESTMENT T	MD									X				X								09/05/00	
RUST																							
WORLDNET RESOURCES GROUP INC										X												09/06/00	AMEND

NAME OF ISSUER	STATE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
WSI INTERACTIVE CORP						X	X				09/07/00	
XATA CORP /MN/	MN					X	X				08/31/00	
ZEROS & ONES INC	NV					X					08/21/00	
21ST CENTURY TECHNOLOGIES INC	NV		X								09/07/00	
	STATE										8K ITEM NO.	
ADVANCED LIGHTING TECHNOLOGIES INC	OH					X	X				08/31/00	
AES CORPORATION	DE					X					08/22/00	
AETNA INC	CT					X	X				09/01/00	
AFTERMARKET TECHNOLOGY CORP	DE					X					09/01/00	
ALASKA PACIFIC BANCSHARES INC	AK					X	X				09/01/00	
ALLEGHENY ENERGY INC	MD					X	X				08/18/00	
ARGO BANCORP INC /DE/	DE					X					08/31/00	
ATOMIC BURRITO INC	CO				X		X				08/25/00	
ATOMIC BURRITO INC	CO					X	X				08/31/00	
AXA FINANCIAL INC	DE					X					08/30/00	AMEND
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE					X	X				09/01/00	
BENNION CORP	NV					X		X			08/04/00	
BERKSHIRE ENERGY RESOURCES	MA	X									09/01/00	
BICO INC/PA	PA					X					09/01/00	
BIG BUCK BREWERY & STEAKHOUSE INC	MI					X					08/31/00	
BRADLEY OPERATING L P	DE					X	X				09/01/00	
BRADLEY REAL ESTATE INC	MD					X	X				09/01/00	
BURNHAM PACIFIC PROPERTIES INC	MD					X	X				08/31/00	
CAPITAL ONE MASTER TRUST	VA					X	X				08/24/00	
CAROLINA POWER & LIGHT CO	NC					X					09/01/00	
CARRAMERICA REALTY CORP	MD		X				X				08/17/00	
CARRAMERICA REALTY L P	DE		X				X				08/17/00	
CEDAR FAIR L P	DE	X									08/25/00	
CHASE FUNDING INC	NY					X	X				08/25/00	
CHASE MORTGAGE FINANCE CORP	DE					X	X				08/25/00	
CHROMATICS COLOR SCIENCES INTERNATIONAL INC	NY					X	X				08/17/00	
CITIZENS FIRST FINANCIAL CORP	DE					X					08/28/00	
CMP GROUP INC	ME	X									09/01/00	
CORVALLIS INC	NV				X		X				08/04/00	
COVEST BANCSHARES INC	DE					X	X				09/01/00	
CP&L ENERGY INC	NC					X					09/01/00	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM1						X	X				08/31/00	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM2						X	X				08/31/00	
CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM3	DE					X	X				08/31/00	
CTG RESOURCES INC	CT	X									09/01/00	
CWMBS INC	DE						X				07/28/00	
CYBERAMERICA CORP	NV				X		X				08/29/00	
	STATE										8K ITEM NO.	
DATAWARE TECHNOLOGIES INC	DE					X	X				08/31/00	
DEALER AUTO RECEIVABLES CORP	DE					X	X				08/24/00	
DLJ MORTGAGE ACCEPTANCE CORP	DE					X	X				08/29/00	

DRKOOP COM INC	DE	X				X						08/22/00	
ELECTRONIC CLEARING HOUSE INC	NV	X										08/21/00	
ENERGY EAST CORP	NY	X				X						09/01/00	
ENOTE COM INC	DE	X										08/17/00	
EUROTELECOM COMMUNICATIONS INC						X						08/30/00	
EXCHANGE APPLICATIONS INC	DE	X						X				06/13/00	AMEND
FORT JAMES CORP	VA					X						08/31/00	
FREEREALTIME COM INC	CO	X				X	X	X				08/18/00	
FULL TILT SPORTS INC	CO					X						08/23/00	
FULLCOMM TECHNOLOGIES INC	DE					X		X				04/19/00	
FX ENERGY INC	NV					X						08/31/00	
GE CAPITAL MORTGAGE SERVICES INC	NJ					X	X	X				08/25/00	
GENERAL MILLS INC	DE					X	X	X				08/31/00	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE	X						X				08/17/00	
GRAND ADVENTURES TOUR & TRAVEL PUBLISHING CORP	OR	X										08/11/00	
GREENPOINT CREDIT LLC	DE					X						07/31/00	
GREENPOINT CREDIT LLC	DE					X						07/31/00	
GREENPOINT CREDIT LLC	DE					X						07/31/00	
GROW BIZ INTERNATIONAL INC	MN	X						X				08/30/00	
HA LO INDUSTRIES INC	IL					X	X					08/31/00	
HENLEY HEALTHCARE INC	TX					X						09/01/00	
HI SHEAR TECHNOLOGY CORP	DE					X						09/01/00	
HUTTIG BUILDING PRODUCTS INC						X						09/01/00	
I OMAGIC CORP/CA	NV					X						09/01/00	
IMAGEX COM INC	WA							X				06/21/00	AMEND
INSO CORP	DE					X						08/28/00	
INTERLIANT INC	DE					X						08/21/00	
JCC HOLDING CO	DE					X	X					08/31/00	
JDS UNIPHASE CORP /CA/	DE					X	X					06/30/00	
KEYNOTE SYSTEMS INC	CA	X					X					08/18/00	
KING PHARMACEUTICALS INC	TN	X					X	X				08/31/00	
MAKEPEACE CAPITAL CORP		X X				X	X	X				08/17/00	
MARINE DRILLING COMPANIES INC	TX					X	X					09/01/00	
MERIDIAN HOLDINGS INC	CO					X	X					08/31/00	
MERLIN SOFTWARE TECHNOLOGIES INTERNATIONAL INC	NV					X						08/24/00	
METALCLAD CORP	DE					X						08/30/00	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		

MONONGAHELA POWER CO /OH/	OH	X						X				08/18/00	
NET VALUE HOLDINGS INC	DE	X				X	X	X				08/23/00	
NEW WORLD COFFEE MANHATTAN BAGEL INC	DE					X	X					08/11/00	
NISOURCE INC	IN						X					08/31/00	
NORTHERN STATES POWER CO /WI/	WI					X						08/28/00	
OTTAWA FINANCIAL CORP	DE					X	X					08/31/00	
PACIFIC WEBWORKS INC	NV					X		X				08/03/00	
PENNEY J C CO INC	DE					X						07/21/00	
PFIZER INC	DE					X	X					06/19/00	
RAILAMERICA INC /DE	DE					X	X					08/31/00	
REAL GOODS TRADING CORP	CA					X						09/01/00	
SALOMON BROTHERS MORT SEC VII INC MORT PAS TH CE SER 2000-1	DE					X	X					08/25/00	

NAME OF ISSUER	STATE	1	2	3	4	5	6	7	8	9	DATE	COMMENT
SCB COMPUTER TECHNOLOGY INC	TN					X	X				08/31/00	
SEMTECH CORP	DE					X	X				08/31/00	
SHOP AT HOME INC /TN/	TN					X					06/30/00	
SIZZLER INTERNATIONAL INC	DE					X	X				08/21/00	
SOUTH TEXAS DRILLING & EXPLORATION INC	TX	X									09/01/00	
SPECIAL METALS CORP	DE					X	X				08/25/00	
STILWELL FINANCIAL INC	DE					X					09/01/00	
TELIDENT INC /MN/	MN					X					09/01/00	
TELTRAN INTERNATIONAL GROUP LTD	DE					X					09/01/00	
THERMOVIEW INDUSTRIES INC	DE					X					09/01/00	
TRACK N TRAIL INC	DE					X	X				09/01/00	
TRANSWITCH CORP /DE	DE					X	X				09/01/00	
TURNSTONE SYSTEMS INC	DE							X			08/08/00	AMEND
TV COMMUNICATIONS NETWORK INC	CO	X									09/01/00	
UNICO INC /AZ/	AZ		X					X			08/28/00	
UNIDIGITAL INC	DE		X								09/01/00	
UNITED MEDICORP INC	DE					X					09/05/00	
VARI L CO INC	CO					X					08/02/00	
VIDEO NETWORK COMMUNICATIONS INC	DE					X	X				08/25/00	
VITESSE SEMICONDUCTOR CORP	DE					X					08/25/00	
VIZACOM INC	DE					X	X				08/17/00	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SERIES 2000-4	NY					X	X				07/28/00	
WFS FINANCIAL 2000-B OWNER TRUST						X	X				08/20/00	
WILSHIRE REAL ESTATE INVESTMENT TRUST INC	MD					X	X				09/01/00	
WINCO PETROLEUM CORP	CO	X				X	X	X			08/18/00	

WINGS & THINGS INC	NV					X		X			08/04/00	
24/7 MEDIA INC	DE		X					X			08/24/00	