

# SEC NEWS DIGEST

Issue 2000-109

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## COMMISSION ANNOUNCEMENTS

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### **DIVISION OF MARKET REGULATION ISSUES REPORT ON "ELECTRONIC COMMUNICATION NETWORKS AND AFTER-HOURS TRADING"**

The staff of the Division of Market Regulation has issued a report entitled "Electronic Communication Networks and After-Hours Trading." Text of the report is available on the Commission's website at <http://www.sec.gov/news/studies/ecnafter.htm>.

The information technology revolution has provided investors with new ways to trade securities. Of special note are the recent growth of alternative trading systems known as electronic communications networks (ECNs) and the increased opportunities for trading in the after-hours market.

Pursuant to a Congressional request, the staff has prepared a report analyzing the current operations of ECNs and after-hours trading, their impact on the securities markets, and recent regulatory initiatives that have been taken to address these developments. The report discusses the types of market participants that subscribe to ECN services, as well as the benefits that ECNs provide to subscribers and the market as a whole. The report also addresses Commission initiatives, such as the order handling rules and Regulation ATS, which have served to more fully integrate ECN activities into the national market system. In addition, the report outlines some recent developments involving ECNs.

The second part of the report analyzes current trading dynamics in the after-hours market. In particular, the report finds that after-hours trading volume remains relatively small, with most of this activity concentrated during the period immediately following the 4:00 p.m. regular session close. The report also highlights the liquidity constraints and price volatility that investors continue to face in this market and outlines recent initiatives to improve transparency and extend essential investor protection and market integrity measures to this environment. The report concludes with a discussion of the issues that would need to be addressed if the major markets decide to offer their own after-hours sessions.

Overall, the report indicates that over the last few years both the established markets and ECNs have sought to provide innovative mechanisms to meet investor demands for greater flexibility in the timing of their trades and in their trading venues. The Commission remains strongly committed to working with the self-regulatory organizations and the securities industry to ensure that the regulatory structure, particularly in the areas of investor protection and market integrity, keeps pace with this rapidly changing environment. (Press Rel. 2000-78)

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## **ENFORCEMENT PROCEEDINGS**

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### **CONNECTICUT INVESTMENT ADVISER, CONVICTED OF LARCENY AND FORGERY, IS BARRED FROM ASSOCIATION WITH ANY INVESTMENT ADVISER**

On June 7, the Commission settled public administrative proceedings against Brian T. Lenihan, a former Connecticut investment adviser, with Lenihan consenting to the entry of a Commission order barring him from association with any investment adviser. Lenihan did business under the name "Lenihan Investments," an advisory firm located in Fairfield County, Connecticut. The Commission's order finds that on July 18, 1999 in proceedings before the Stamford/Norwalk Connecticut Superior Court, Lenihan plead guilty to: three counts of larceny in the first degree, one count of forgery in the third degree, one count of engaging in a scheme to defraud investment clients and one count of failing to make proper disclosures with regard to investment clients. The order further finds that the state charges related to Lenihan's theft of over \$75,000 from four of his advisory clients and Lenihan's forgery of a client's signature.

In the settlement, Lenihan admitted the findings in the order concerning Lenihan's prior convictions and sentencing, and the jurisdiction of the Commission, but neither admitted nor denied the order's other findings. (Rel. IA-1874; File No. 3-10155)

### **THIRD DEFENDANT SENTENCED IN ELDER FRAUD SCHEME**

The Commission announced that on May 26, 2000, Judge Jerry Buchmeyer, United States District Judge for the Northern District of Texas, sentenced Russell Reeves to a term of 108 months in connection with his conviction for securities and mail fraud. Reeves is the third defendant to be sentenced for an investment scheme targeting senior citizens that had been ongoing since 1992. In addition, the Court ordered Reeves to pay \$2,944,803 in restitution to the victims of the fraud. The Court previously sentenced Gary Landon Davenport, the ringleader, to a term of 20 years and Richard Earl Russell to 52 months.

Previously, on September 9, 1999, Judge Buchmeyer granted the Commission's request for a preliminary injunction in a related civil action. The Commission's Complaint alleged that approximately 100 elderly individuals were defrauded of over \$2.5 million in the scheme. The indictments of the four defendants followed on October 20, 1999. Under the guise of providing estate and financial planning services, the defendants

solicited information about the senior citizens' assets and investments. Upon obtaining this information, the defendants encouraged the senior citizens to liquidate their retirement investments and to invest the proceeds in phony promissory notes offering higher rates of return. In fact, according to the Commission's Complaint, the issuers of the notes had no real business or did not exist and the defendants misappropriated, or stole, most of the elderly investors' funds. [U.S v. Gary Landon Davenport, et al., 7:99CR000013]; [SEC v. Gary Landon Davenport, dba Southwest Family Trust Service, Financial Marketing Service and Liberty Marketing Service, Russell Reeves, dba Enterra Marketing Service, Richard Earl Russell, and Gregory Monroe Roberts, Case No. 7.99-CV-185-R, USDC, NDTX, Wichita Falls Division] (LR-16585)

### **COMMISSION FILES FRAUD ACTION AGAINST FORMER CEO, CFO AND FORMER TRADER AT FIRSTMARK**

The Commission announced today that it filed an injunctive action against James F. Vigue, Ivy L. Gilbert and William Goodhue for engaging in manipulation and financial fraud. The Complaint alleges that Vigue, the former chief executive officer of Firstmark Corp., manipulated the company's stock by enforcing a no net sale policy, effecting improper matched trades and wash sales, purchasing Firstmark stock in nominee accounts and in customer and client accounts he controlled, parking stock, making improper margin loans and marking the close. The Complaint also alleges that Goodhue, the head trader at Firstmark's former broker-dealer subsidiary, assisted Vigue in the manipulation scheme by enforcing the no net sale policy and effecting many of the manipulative trades. The Complaint further alleges that, together with Vigue, Gilbert, Firstmark's former chief financial officer (1) concealed the manipulation scheme by misrepresenting account activity and falsifying client reports, and (2) inflated Firstmark's assets and income in financial statements filed with the Commission.

The Complaint alleges that, through the activities described above Vigue violated Section 17(a) of the Securities Act of 1933; Sections 7(d), 10(b) and 13(b)(5) of the Exchange Act of 1934 and Rules 10b-5 and 13b2-1 thereunder and Regulation T; Sections 206(1) and 206(2) of the Advisers Act (both directly and as an aider and abetter); and that he is liable as a control person for the violations committed by Firstmark's former broker-dealer subsidiary of Sections 10(b) and 15(c) of the Exchange Act and Rules 10b-3 and 15c1-2 thereunder. The Complaint alleges that Gilbert violated Sections 10(b) and 13(b)(5) of the Exchange Act and Rules 10b-5 and 13b2-1 thereunder, and that she aided and abetted Vigue's violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and Sections 206(1) and 206(2) of the Advisers Act. Finally, the Complaint alleges that Goodhue violated Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder and that he aided and abetted Vigue's violations of Sections 206(1) and 206(2) of the Advisers Act. The Complaint alleges, in the alternative, that Goodhue aided and abetted Vigue's violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder.

The Complaint seeks the entry of an injunction and civil monetary penalties against all of the Defendants and, as to Vigue, disgorgement of ill-gotten gains plus prejudgment interest and an order prohibiting future service as an officer or director of a public company. [SEC v. Vigue, et al., Civil Action No. 00113-B, USDC, D. Me.] (LR-16586; AAE Rel. 1269)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **BISYS FUND SERVICES LIMITED PARTNERSHIP, ET AL.**

An order has been issued on an application filed by BISYS Fund Services Limited Partnership, et al., for an exemption from Sections 6(c) and 17(b) of the Investment Company Act and under Section 17(d) of the Act and Rule 17d-1 thereunder permitting certain joint transactions. The order permits certain registered investment companies to pay BISYS Fund Services Limited Partnership and certain of its affiliated persons fees for acting as lending agent with respect to a securities lending program (Program); lend portfolio securities to affiliated broker-dealers; deposit cash collateral received in connection with the Program and other uninvested cash in one or more joint trading accounts; and use cash collateral received in connection with the Program to purchase shares of an affiliated private investment company. (Rel. IC-24488 – June 6)

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## **HOLDING COMPANY ACT RELEASES**

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### **ALLEGHENY ENERGY, INC., ET AL.**

A notice has been issued giving interested persons until June 27 to request a hearing on a proposal by Allegheny Energy, Inc. (Allegheny), a registered holding company, its generation supply subsidiary company, Allegheny Energy Supply Company, LLC (Supply) and each of Allegheny's other utility and nonutility subsidiary companies. It is proposed that Supply be included in the Allegheny system Money Pool. In addition, Allegheny proposes to issue up to \$138 million of unsecured long-term debt, make short-term loans of up to \$300 million to Supply and provide Supply with credit support in aggregate amounts of up to \$250 million. Supply proposes to issue short-term debt in aggregate outstanding amounts of up to \$300 million and secured and unsecured long-term debt in aggregate outstanding amounts of up to \$400 million. (Rel. 35-27181)

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## **SELF-REGULATORY ORGANIZATIONS**

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## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change submitted by the American Stock Exchange (SR-Amex-00-18) increasing equity options transaction fees for non-member broker-dealers. (Rel. 34-42872)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

F-6 BASF AKTEINGESELLSCHAFT /ADR/, 2 RECTOR ST,  
C/O SECURITY PACIFIC NATL TRUST CO, NEW YORK, NY 10006 (212) 978-5009 -  
50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-12016 - MAY. 25) (BR. 99)

F-3 NOKIA CORP, ETELAESPLANADI 12, HELSINKI FINLAND, H9 (035) 801-8071 -  
4,811,157 (\$225,823,681.68) FOREIGN COMMON STOCK. (FILE 333-12020 -  
MAY. 25) (BR. 7)

F-6 NETIA HOLDINGS SA/ADR, BANK OF NEW YORK, 101 BARCLAY ST - 22ND FLR,  
NEW YORK, NY 10286 - 2,587,500 (\$129,375)  
DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12022 - MAY. 26)

F-1 JAZZTEL PLC, JAZZ TELECOM S A AVENIDA DE EUROPA 14, 28108  
ALCOBENDAS,  
MADRID SPAIN, U3 00000 - 4,176,007 (\$106,321,138.22) FOREIGN COMMON  
STOCK.  
(FILE 333-12024 - MAY. 26) (BR. 7)

S-B REPUBLIC OF ARGENTINA, 1901 L ST NW STE 606,  
OFFICE OF FINANCIAL REP OF ARGENTINA, WASHINGTON, DC 20036 -  
5,000,000,000  
(\$5,000,000,000) STRAIGHT BONDS. (FILE 333-12026 - MAY. 26)

S-1 ORIENT EXPRESS HOTELS LTD, 41 CEDAR AVE, PO BOX HM 1179,  
HAMILTON HM EX BERMUDA, D0 00000 (212) 732-3200 - \$247,250,000  
FOREIGN COMMON STOCK. (FILE 333-12030 - MAY. 26) (BR. 8 - NEW ISSUE)

F-1 MOBILE TELESYSTEMS OJSC, 4 MARKSISTSKAYA ST MOSCOW 109147,  
+7-095-915-2110, RUSSIAN FEDERATION, U2 00000 - 348,832,067  
(\$414,238,079.56) FOREIGN COMMON STOCK. (FILE 333-12032 - MAY. 25)  
(BR. 7 - NEW ISSUE)

F-6 CORIMON C A /ADR/, 60 WALL ST, NEW YORK, NY 10260 - 50,000,000  
(\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12034 -  
MAY. 26) (BR. 99 - NEW ISSUE)

S-8 ROYAL BANK OF CANADA \, P O BOX 6001, MONTREAL QUEBEC, H3C 3A9, A6  
(416) 974-6234 - 325,000 (\$16,575,000) FOREIGN COMMON STOCK. (FILE  
333-12036 - MAY. 26) (BR. 7)

F-10 BEMA GOLD CORP /CAN/, 510 BURRARD ST STE 1400,  
VANCOUVER BC CANADA V6C 3A8, A1 - 3,458,644 (\$3,458,644) STRAIGHT  
BONDS.  
229,219 (\$145,887) FOREIGN COMMON STOCK. (FILE 333-12038 - MAY. 26)  
(BR. 4)

F-6 ASCO PLC /ADR/, ONE WALL ST, NEW YORK, NY 10286 - 20,000,000  
(\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-12042 -  
MAY. 26) (BR. 99 - NEW ISSUE)

F-1 ASCO PLC, REGENT CENTRE REGENT RD AB11 5NS, 011-44-1224-580396,  
ABERDEEN SCOTLAND UK, X0 00000 - \$230,000,000 FOREIGN COMMON STOCK.  
(FILE  
333-12044 - MAY. 26) (BR. 4 - NEW ISSUE)

S-8 GUCCI GROUP NV, RODENRIJSELAAN 23B, 3037 XB ROTTERDAM, NETHERLANDS,  
P8  
(201) 867-8800 - 1,500,000 (\$119,952,750) FOREIGN COMMON STOCK. (FILE  
333-12046 - MAY. 26) (BR. 2)

F-1 EUROPEAN COMMUNICATIONS CO INC, 75 ROUTE DE LONGWY, L 8080  
BERTRANGE,  
GRAND DUCHY OF LUXEMBOURG, N4 00000 - 6,050,000 (\$7,744,000)  
FOREIGN COMMON STOCK. (FILE 333-12048 - MAY. 30) (BR. 7)

S-8 ROYAL BANK OF CANADA \, P O BOX 6001, MONTREAL QUEBEC, H3C 3A9, A6  
(416) 974-6234 - 12,500,000 (\$637,500,000) FOREIGN COMMON STOCK. (FILE  
333-12050 - MAY. 30) (BR. 7)

S-8 SMITH & NEPHEW PLC, 2 TEMPLE PL, LONDON ENGLAND WC2R 3BP, X0 -  
15,000,000 (\$39,750,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE  
333-12052 - MAY. 30) (BR. 5)

S-8 CFM MAJESTIC INC, 475 ADMIRAL BLVD, MISSISSAUGA, ONTARIO CANADA, A1  
-  
2,250,000 (\$10,575,000) FOREIGN COMMON STOCK. (FILE 333-12054 - MAY.  
30)  
(BR. 6)

S-8 MDS INC, 100 INTERNATIONAL BLVD, TORONTO, ONTARIO, CANADA M9W 6J6,  
A6  
(416) 213-4082 - 250,000 (\$7,775,000) FOREIGN COMMON STOCK. (FILE  
333-12056 - MAY. 30) (BR. 1)

S-8 MDS INC, 100 INTERNATIONAL BLVD, TORONTO, ONTARIO, CANADA M9W 6J6,  
A6  
(416) 213-4082 - 500,000 (\$15,550,000) FOREIGN COMMON STOCK. (FILE  
333-12058 - MAY. 30) (BR. 1)

F-3 ROBOMATIX TECHNOLOGIES LTD, 9 HATAASIYA ST, RAANANA 43100 ISRAEL, L3  
-  
5,083,952 (\$9,532,410) FOREIGN COMMON STOCK. (FILE 333-12060 - MAY.  
30)  
(BR. 5)

F-3 TELEFONOS DE MEXICO S A DE C V, PARQUE VIA 190 COLONIA,  
CUAUHTEMOC MEXICO 06599, 05 00000 - 635,934,046 (\$1,487,449,733.59)  
FOREIGN COMMON STOCK. (FILE 333-12062 - MAY. 30) (BR. 7 - NEW ISSUE)

S-8 METALINK LTD, 24 HABARZEL STREET, TEL AVIV ISRAEL, (011) 972-3645 -  
3,522,433 (\$76,392,765.68) FOREIGN COMMON STOCK. (FILE 333-12064 -  
MAY. 31) (BR. 5)

S-3 RENT WAY INC, ONE RENTWAY PLACE, ERIE, PA 16505 (814) 455-5378 -  
115,812 (\$2,949,616) COMMON STOCK. (FILE 333-38278 - JUN. 01) (BR. 6)

S-8 MINNESOTA BREWING CO, 882 WEST SEVENTH ST, ST PAUL, MN 55102  
(612) 228-9173 - 400,000 (\$1,400,000) COMMON STOCK. (FILE 333-38280 -  
JUN. 01) (BR. 2)

S-8 CIRCLE INTERNATIONAL GROUP INC /DE/, 260 TOWNSEND ST, SAN FRANCISCO,  
CA  
94107 (415) 978-0600 - 350,000 (\$6,562,500) COMMON STOCK. (FILE 333-  
38294  
- JUN. 01) (BR. 5)

S-8 GENRAD INC, 7 TECHNOLOGY PARK DR, WESTFORD, MA 01886 (978) 589-7000  
-  
500,000 (\$3,687,500) COMMON STOCK. (FILE 333-38296 - JUN. 01) (BR. 5)

S-8 SODEXHO MARRIOTT SERVICES INC, 9801 WASHINGTONIAN BOULEVARD,  
GAITHERSBURG, MD 20878 (301) 987-4431 - 1,800,000 (\$25,200,000)  
COMMON STOCK. (FILE 333-38300 - JUN. 01) (BR. 5)

S-8 2 INFINITY COM INC, 4828 LOOP CENTRAL DRIVE, SUITE 150, HOUSTON, TX  
77081 (713) 592-0371 - 4,440,000 (\$1,598,400) COMMON STOCK. (FILE  
333-38304 - JUN. 01) (BR. 9)

S-8 BUDGET GROUP INC, 125 BASIN ST STE 210, DAYTONA BEACH, FL 32114  
(904) 238-7035 - 5,935,117 (\$22,627,634) COMMON STOCK. (FILE 333-38306  
-  
JUN. 01) (BR. 5)

S-8 INSO CORP, 31 ST JAMES AVE., 11TH FLOOR, BOSTON, MA 92116 (617) 753-  
6500  
- 250,000 (\$820,000) COMMON STOCK. (FILE 333-38308 - JUN. 01) (BR. 3)

S-8 SERVICE CORPORATION INTERNATIONAL, 1929 ALLEN PKWY, P O BOX 130548,  
HOUSTON, TX 77019 (713) 522-5141 - 6,000,000 (\$17,437,500) COMMON  
STOCK.  
(FILE 333-38310 - JUN. 01) (BR. 8)

S-8 OLD NATIONAL BANCORP /IN/, 420 MAIN ST, EVANSVILLE, IN 47708  
(812) 464-1434 - 253,620 (\$7,537,586) COMMON STOCK. (FILE 333-38312 - JUN. 01) (BR. 7)

S-8 QRS CORP, 1400 MARINA WAY SOUTH, RICHMOND, CA 94804 (510) 215-5000 -  
1,025,000 (\$26,650,000) COMMON STOCK. (FILE 333-38314 - JUN. 01) (BR. 3)

S-8 FRITZ COMPANIES INC, 706 MISSION ST STE 900, 6TH FLOOR, SAN FRANCISCO,  
CA 94103 (415) 904-8360 - 1,000,000 (\$10,060,000) COMMON STOCK. (FILE 333-38316 - JUN. 01) (BR. 5)

S-3 TRANSWITCH CORP /DE, THREE ENTERPRISE DRIVE, SHELTON, CT 06484  
(203) 929-8810 - 252,691 (\$13,534,761) COMMON STOCK. (FILE 333-38318 - JUN. 01) (BR. 5)

S-8 I OMAGIC CORP/CA, 6B AUTRY, IRVINE, CA 92618 (949) 727-7466 -  
250,000 (\$515,000) COMMON STOCK. (FILE 333-38320 - JUN. 01) (BR. 9)

SB-2 MVD INC, 215 NORTH FEDERAL HIGHWAY, SUITE 6H, BOCA RATON, FL 33432  
(561) 347-9938 - 3,500,000 (\$875,000) COMMON STOCK. (FILE 333-38322 - JUN. 01)

S-3 HOMECOM COMMUNICATIONS INC, 3535 PIEDMONT RD, ATLANTA, GA 30305  
(404) 237-4646 - 1,808,293 (\$2,115,703) COMMON STOCK. (FILE 333-38326 - JUN. 01) (BR. 3)

SB-2 OHIO LEGACY CORP, 305 WEST LIBERTY STREET, WOOSTER, OH 44691  
(330) 262-0437 - 1,590,000 (\$15,900,000) COMMON STOCK. (FILE 333-38328 - JUN. 01) (BR. 7)

S-3 A CONSULTING TEAM INC, 200 PARK AVE S, SUITE 901, NEW YORK, NY 10003  
(212) 979-8228 - 1,999,997 (\$12,624,981) COMMON STOCK. (FILE 333-38330 - JUN. 01) (BR. 9)

S-3 CAIS INTERNET INC, 1255 22ND STREET N W, FOURTH FLOOR, WASHINGTON, DC  
20037 (202) 715-1300 - 3,970,517 (\$57,572,497) COMMON STOCK. (FILE 333-38332 - JUN. 01) (BR. 3)

S-3 RHYTHMS NET CONNECTIONS INC, 7337 S REVERE PKWY SUITE 100, ENGLEWOOD, CO  
80112 (303) 476-4200 - 3,000,000 (\$169,710,000) COMMON STOCK. (FILE 333-38334 - JUN. 01) (BR. 7)

S-8 AQUA VIE BEVERAGE CORP, 333 SOUTH MAIN STREET, PO BOX 6759, KETCHUM, ID  
83340 (208) 622-7792 - 3,500,000 (\$3,500) COMMON STOCK. (FILE 333-38336 - JUN. 01) (BR. 9)



S-3 GREAT LAKES AVIATION LTD, 1022 AIRPORT DRIVE, 7900 XERXES AVENUE SOUTH, CHEYENNE, WY 82001 (307) 432-4000 - 6,700,000 (\$25,151,172) COMMON STOCK. (FILE 333-38338 - JUN. 01) (BR. 5)

S-11 BOSTON CAPITAL TAX CREDIT FUND IV LP, ONE BOSTON PLACE, STE 2100, BOSTON, MA 02108 (617) 624-8900 - 7,500,000 (\$75,000,000) FACE AMOUNT CERTIFICATES. (FILE 333-38340 - JUN. 01) (BR. 8)

S-8 PRICE COMMUNICATIONS CORP, 45 ROCKEFELLER PLZ, STE 3201, NEW YORK, NY 10020 (212) 757-5600 - 3,204,345 (\$70,796,798) COMMON STOCK. (FILE 333-38342 - JUN. 01) (BR. 7)

S-8 WACKENHUT CORP, 4200 WACKENHUT DRIVE, #100, PALM BEACH GARDEN, FL 33410 (561) 622-5656 - 550,000 (\$7,304,000) COMMON STOCK. (FILE 333-38344 - JUN. 01) (BR. 8)

S-8 WILD OATS MARKETS INC, 3375 MITCHELL LANE, BOULDER, CO 80301 (303) 440-5220 - 1,560,000 (\$14,913,600) COMMON STOCK. (FILE 333-38346 - JUN. 01) (BR. 2)

SB-2 BERENS INDUSTRIES INC, 701 NORTH POST OAK ROAD, SUITE 350, HOUSTON, TX 77024 (713) 682-7400 - \$2,961,655 COMMON STOCK. (FILE 333-38348 - JUN. 01) (BR. 9)

S-3 DAW TECHNOLOGIES INC /UT/, 2700 S 900 W, SALT LAKE CITY, UT 84119 (801) 977-3100 - 11,687,658 (\$13,879,093) COMMON STOCK. (FILE 333-38350 - JUN. 01) (BR. 6)

S-3 GREG MANNING AUCTIONS INC, 775 PASSAIC AVE, WEST CALDWELL, NJ 07006 (973) 882-0004 - 122,778 (\$1,492,520.06) COMMON STOCK. (FILE 333-38354 - JUN. 01) (BR. 8)

S-3 TEAMSTAFF INC, 300 ATRIUM DRIVE, SOUTH PLAINFIELD, NJ 08873 (732) 748-1700 - 8,545,344 (\$9,479,991) COMMON STOCK. (FILE 333-38356 - JUN. 01) (BR. 8)

S-8 KERAVISION INC /DE/, 48630 MILMONT DR, FREMONT, CA 94538 (510) 353-3000 - 1,340,000 (\$10,502,760) COMMON STOCK. (FILE 333-38358 - JUN. 01) (BR. 5)

S-3 5B TECHNOLOGIES CORP, ONE JERICHO PLAZA, JERICHO, NY 11753 (916) 938-3400 - 1,075,913 (\$4,709,855.80) COMMON STOCK. (FILE 333-38360 - JUN. 01) (BR. 3)

S-8 Q COMM INTERNATIONAL INC, 1145 SOUTH 1680 WEST, OREM, UT 84058  
 (801) 226-4222 - 3,120,000 (\$3,340,000) COMMON STOCK. (FILE 333-38362  
 -  
 JUN. 01) (BR. 9)

S-8 PEOPLESOFT INC, 4460 HACIENDA DR POST OFFICE BOX 8015, PLEASANTON,  
 CA  
 94588 (510) 225-3000 - 297,057 (\$160,411) COMMON STOCK. (FILE 333-  
 38364 -  
 JUN. 01) (BR. 3)

S-8 SUSTAINABLE DEVELOPMENT INTERNATIONAL INC, 10240 124 ST, SUITE 208,  
 EDMONSTON ALBERTA T5, A0 00000 (403) 488-9221 - 1,260,000 (\$831,600)  
 COMMON STOCK. (FILE 333-38366 - JUN. 01) (BR. 9)

S-8 MARCHFIRST INC, 311 SOUTH WACKER DR, STE 3500, CHICAGO, IL 60606  
 (312) 922-9200 - 25,000,000 (\$395,500,000) COMMON STOCK. (FILE 333-  
 38368 -  
 JUN. 01) (BR. 8)

S-3 FNB CORP/PA, ONE FNB BLVD, HERMITAGE SQUARE, HERMITAGE, PA 16148  
 (724) 981-6000 - 210,000,000 (\$210,000,000) STRAIGHT BONDS. (FILE  
 333-38370 - JUN. 01) (BR. 7)

S-8 FNB CORP/PA, ONE FNB BLVD, HERMITAGE SQUARE, HERMITAGE, PA 16148  
 (724) 981-6000 - 300,000 (\$5,607,000) COMMON STOCK. (FILE 333-38372 -  
 JUN. 01) (BR. 7)

S-3 FNB CORP/PA, ONE FNB BLVD, HERMITAGE SQUARE, HERMITAGE, PA 16148  
 (724) 981-6000 - 200,000 (\$3,738,000) COMMON STOCK. (FILE 333-38374 -  
 JUN. 01) (BR. 7)

S-8 FNB CORP/PA, ONE FNB BLVD, HERMITAGE SQUARE, HERMITAGE, PA 16148  
 (724) 981-6000 - 450,000 (\$8,410,500) COMMON STOCK. (FILE 333-38376 -  
 JUN. 01) (BR. 7)

S-4 CARDINAL FINANCIAL CORP, 10641 LEE HIGHWAY, FAIRFAX, VA 22030  
 (703) 934-9200 - 1,376,770 (\$4,481,617) PREFERRED STOCK. (FILE 333-  
 38380 -  
 JUN. 01) (BR. 7)

S-4 RMI NET INC, 999 18TH STREET, STE 2201, DENVER, CO 80202 (303) 672-  
 0700  
 - 6,741,139 (\$21,382,050) COMMON STOCK. (FILE 333-38382 - JUN. 02)  
 (BR. 3)

S-8 EXULT INC, 4 PARK PLAZA SUITE 350, IRVINE, CA 92614 (949) 250-8002 -  
 2,000,000 (\$20,000,000) COMMON STOCK. (FILE 333-38384 - JUN. 02) (BR.  
 6)

S-8 PRE PAID LEGAL SERVICES INC, 321 E MAIN ST, ADA, OK 74820 (580) 436-  
 1234  
 - 1,000,000 (\$28,875,000) COMMON STOCK. (FILE 333-38386 - JUN. 02)  
 (BR. 1)