| | | | | | | | | _ | | | | | | | | | _ | | _ | | _ | |
|--------------|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|-------------------------------|-------------------------------|-------------------------------|---|-----------------------------|-----------------------------|-----------------------------|
| | | Α | В | С | D | E | F | G | н | I | J =(H+I) | K | L | M | N | O =(B*H) | P =(C*I) | Q =(O+P) | R =(D*K) | S =(E*L) | T +(F*M) | U =(G*N) |
| | | | 10/1/12- | 3/1/13- | | | | | 10/1/12- | 3/1/13- | -(1111) | | | | | 10/1/12- | 3/1/13- | -(011) | -(<i>D</i> 10) | -(2 2) | . (1 141) | -(0 11) |
| | | | 2/28/13 | 9/30/13 | | | | | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | | Fee Rates | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| | g Fees (Large Entity) | ¢290.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | 141 | 96,041 | 96,182 | 240,198 | 257,332 | 267,713 | 281,138 | \$53,580 | \$36,495,580 | \$36,549,160 | \$91,275,240 | \$97,786,160 | \$101,730,940 | \$106,832,440 |
| 1011 | Filing of Utility Patent Application Search of Utility Patent Application | \$380.00 \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | 132.551 | 95,236 | 227.787 | 238.524 | 257,532 | 265,848 | 279,179 | \$82,181,620 | \$59,046,320 | \$141,227,940 | \$147,884,880 | \$158.434.180 | \$164,825,760 | \$173,090,980 |
| 1311 | Examination of Utility Patent Application | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 133,482 | 95,904 | 230,170 | 240,198 | 257,332 | 267,713 | 281,138 | \$33,370,500 | \$23,976,000 | \$57,346,500 | \$60,049,500 | \$64,333,000 | \$66,928,250 | \$70,284,500 |
| 1012 | Filing of Design Patent Application | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 8,729 | 6,477 | 15,544 | 15,167 | 15,119 | 15,064 | 15,365 | \$2,182,250 | \$1,619,250 | \$3,801,500 | \$3,791,750 | \$3,779,750 | \$3,766,000 | \$3,841,250 |
| | Search of Design Patent Application | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | \$120.00 | 8,729 | 6,477 | 15,544 | 15,167 | 15,119 | 15,064 | 15,365 | \$1,047,480 | \$777,240 | \$1,824,720 | \$1,820,040 | \$1,814,280 | \$1,807,680 | \$1,843,800 |
| 1312 1013 | Examination of Design Patent Application Filing of Plant Patent Application | \$160.00 \$250.00 | 8,729 307 | 6,477 220 | 15,544 527 | 15,167 532 | 15,119 537 | 15,064 543 | 15,365 548 | \$1,396,640 \$76,750 | \$1,036,320 \$55,000 | \$2,432,960 \$131,750 | \$2,426,720 \$133,000 | \$2,419,040 \$134,250 | \$2,410,240 \$135,750 | \$2,458,400 \$137,000 |
| | Search of Plant Patent Application | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | 307 | 220 | 527 | 532 | 537 | 543 | 548 | \$116,660 | \$83,600 | \$200,260 | \$202,160 | \$204,060 | \$206,340 | \$208,240 |
| | Examination of Plant Patent Application | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 307 | 220 | 527 | 532 | 537 | 543 | 548 | \$61,400 | \$44,000 | \$105,400 | \$106,400 | \$107,400 | \$108,600 | \$109,600 |
| 1014 1114 | Filing of Reissue Patent Application Search of Reissue Patent Application | \$380.00 \$620.00 | 323 323 | 231 231 | 554 554 | 571 571 | 588 588 | 606 606 | 624 624 | \$122,740 \$200,260 | \$87,780 \$143,220 | \$210,520 \$343,480 | \$216,980 \$354,020 | \$223,440 \$364,560 | \$230,280 \$375,720 | \$237,120 \$386,880 |
| | Examination of Reissue Patent Application | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | 323 | 231 | 554 | 571 | 588 | 606 | 624 | \$242,250 | \$173,250 | \$415,500 | \$428,250 | \$441,000 | \$454,500 | \$468,000 |
| 1005 | Provisional Application Filing | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 34,970 | 24,979 | 59,949 | 63,546 | 67,359 | 71,400 | 75,684 | \$8,742,500 | \$6,244,750 | \$14,987,250 | \$15,886,500 | \$16,839,750 | \$17,850,000 | \$18,921,000 |
| | CPA - Design Filing | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 270 | 200 | 470 | 469 | 468 | 466 | 475 | \$67,500 | \$50,000 | \$117,500 | \$117,250 | \$117,000 | \$116,500 | \$118,750 |
| | CPA - Reissue Filing Surcharge - Late Filing, Search or Examination Fee, Oath | \$380.00 \$130.00 | 0 38,097 | 0 27,371 | 65,468 | 0 68,546 | 73,419 | 76,375 | 80,195 | \$0 \$4,952,610 | \$0 \$3,558,230 | \$8,510,840 | \$0 \$8,910,980 | \$0 \$9,544,470 | \$0 \$9,928,750 | \$10,425,350 |
| | Surcharge - Late Provisional Filing Fee or Cover Sheet | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 1,542 | 1,102 | 2,644 | 2,803 | 2,971 | 3,149 | 3,338 | \$77,100 | \$55,100 | \$132,200 | \$140,150 | \$148,550 | \$157,450 | \$166,900 |
| 1081 | Utility Application Size Fee | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 6,407 | 4,617 | 11,024 | 11,543 | 12,367 | 12,866 | 13,511 | \$1,986,170 | \$1,431,270 | \$3,417,440 | \$3,578,330 | \$3,833,770 | \$3,988,460 | \$4,188,410 |
| 1082 1083 | Design Application Size Fee Plant Application Size Fee | \$310.00 \$310.00 | 58 | 43 | 101 | 101 | 100 | 100 | 102 | \$17,980 \$310 | \$13,330 \$0 | \$31,310 \$310 | \$31,310 \$310 | \$31,000 \$310 | \$31,000 \$310 | \$31,620 \$310 |
| 1083 | Reissue Application Size Fee | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 14 | 11 | 25 | 26 | 27 | 28 | 29 | \$4,340 | \$3,410 | \$7,750 | \$8,060 | \$8,370 | \$8,680 | \$8,990 |
| 1085 | Provisional Application Size Fee | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 2,327 | 1,662 | 3,989 | 4,229 | 4,483 | 4,752 | 5,037 | \$721,370 | \$515,220 | \$1,236,590 | \$1,310,990 | \$1,389,730 | \$1,473,120 | \$1,561,470 |
| 1201 | Independent Claims in Excess of Three | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 71,353 | 50,563 | 121,916 | 127,492 | 132,719 | 138,073 | 144,997 | \$17,838,250 | \$12,640,750 | \$30,479,000 | \$31,873,000 | \$33,179,750 | \$34,518,250 | \$36,249,250 |
| 1202 1203 | Total Claims in Excess of Twenty Multiple Dependent Claims | \$60.00 \$450.00 | 431,711 2,257 | 317,649 1,622 | 749,360 3,879 | 814,163 4,056 | 847,540 4,223 | 881,731 4,393 | 925,947 4,613 | \$25,902,660 \$1,015,650 | \$19,058,940 \$729,900 | \$44,961,600 \$1,745,550 | \$48,849,780 \$1,825,200 | \$50,852,400 \$1,900,350 | \$52,903,860 \$1,976,850 | \$55,556,820 \$2,075,850 |
| 1204 | Reissue Independent Claims in Excess of Three | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 601 | 430 | 1,031 | 1,063 | 1,094 | 1,128 | 1,161 | \$150,250 | \$107,500 | \$257,750 | \$265,750 | \$273,500 | \$282,000 | \$290,250 |
| 1205 | Reissue Total Claims in Excess of Twenty | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 3,463 | 2,474 | 5,937 | 6,120 | 6,302 | 6,495 | 6,688 | \$207,780 | \$148,440 | \$356,220 | \$367,200 | \$378,120 | \$389,700 | \$401,280 |
| 1801 1809 | Request for Continued Examination Filing a Submission after Final Rejection | \$930.00 \$810.00 | 72,561 43 | 51,829 31 | 124,390 74 | 131,853 74 | 139,106 74 | 146,756 74 | 154,094 | \$67,481,730 \$34,830 | \$48,200,970 \$25,110 | \$115,682,700 \$59,940 | \$122,623,290 \$59,940 | \$129,368,580 \$59,940 | \$136,483,080 \$59,940 | \$143,307,420 \$59,940 |
| 1810 | Each Additional Invention to be Examined | \$810.00 | \$810.00 | \$810.00 | \$810.00 | \$810.00 | \$810.00 | \$810.00 | 3 | 2 | 5 | 5 | 5 | 5 | 5 | \$2,430 | \$1,620 | \$4,050 | \$4,050 | \$4,050 | \$4,050 | \$4,050 |
| 1821 | Reexamination Independent Claims in Excess of Three | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 337 | 241 | 578 | 612 | 646 | 681 | 716 | \$84,250 | \$60,250 | \$144,500 | \$153,000 | \$161,500 | \$170,250 | \$179,000 |
| 1822 | Reexamination Total Claims in Excess of Twenty | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | 2,963 | 2,117 4.083 | 5,080 | 5,385 7.000 | 5,681 | 5,994 | 6,293 | \$177,780 | \$127,020 | \$304,800 | \$323,100 | \$340,860 | \$359,640 | \$377,580 |
| | Request for Prioritized Examination g Fees (Large Entity) | \$4,800.00 | \$4,800.00 | \$4,800.00 | \$4,800.00 | \$4,800.00 | \$4,800.00 | \$4,800.00 | 2,917 | 4,083 | 7,000 | 7,000 | 0 | 0 | U | \$14,001,600 \$264,519,220 | \$19,598,400 \$236,107,770 | \$33,600,000 \$500,626,990 | \$33,600,000 \$578,617,130 | \$0 \$578,473,120 | \$0 \$603,681,950 | \$633,822,450 |
| | 5 (- 5 11 | | | | | | | | | | | | | | | , , , , , | , , , , , | , , , , , | , | , , , , , | , , | , , |
| | g Fees (Small Entity) | 4 | **** | 4.00.00 | 4.00.00 | 4.00.00 | 4.00.00 | 4400.00 | | | | | | 10.170 | | 4000.000 | **** | 4 | 41 10 | 4 | 44 000 000 | 40.000.100 |
| | Filing of Utility Patent Application Electronic Filing of Utility Patent Application | \$190.00 \$95.00 | 5,207 38.184 | 3,766 27,616 | 8,973 65.800 | 9,396 68.902 | 10,066 73,816 | 10,472 76,794 | 10,997 80.645 | \$989,330 \$3,627,480 | \$715,540 \$2.623.520 | \$1,704,870 \$6,251,000 | \$1,785,240 \$6,545,690 | \$1,912,540 \$7,012,520 | \$1,989,680 \$7,295,430 | \$2,089,430 \$7,661,275 |
| 2111 | Search of Utility Patent Application | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 43,053 | 30,933 | 73,986 | 77,473 | 82,999 | 86,348 | 90,678 | \$13,346,430 | \$9,589,230 | \$22,935,660 | \$24,016,630 | \$25,729,690 | \$26,767,880 | \$28,110,180 |
| 2311 | Examination of Utility Patent Application | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | 43,189 | 31,030 | 74,219 | 77,718 | 83,262 | 86,620 | 90,964 | \$5,398,625 | \$3,878,750 | \$9,277,375 | \$9,714,750 | \$10,407,750 | \$10,827,500 | \$11,370,500 |
| 2012 2112 | Filing of Design Patent Application Search of Design Patent Application | \$125.00 \$60.00 | 6,984 6,984 | 5,181 5,181 | 12,165 12,165 | 12,134 12,134 | 12,095 12,095 | 12,051 12,051 | 12,292 12,292 | \$873,000 \$419,040 | \$647,625 \$310,860 | \$1,520,625 \$729,900 | \$1,516,750 \$728,040 | \$1,511,875 \$725,700 | \$1,506,375 \$723,060 | \$1,536,500 \$737,520 |
| | Examination of Design Patent Application | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | 6,984 | 5,181 | 12,165 | 12,134 | 12,095 | 12,051 | 12,292 | \$558,720 | \$414,480 | \$973,200 | \$970,720 | \$967,600 | \$964,080 | \$983,360 |
| 2013 | Filing of Plant Patent Application | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | 246 | 176 | 422 | 426 | 430 | 434 | 438 | \$30,750 | \$22,000 | \$52,750 | \$53,250 | \$53,750 | \$54,250 | \$54,750 |
| | Search of Plant Patent Application | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | 246 | 176 | 422 | 426 | 430 | 434 | 438 | \$46,740 | \$33,440 | \$80,180 | \$80,940 | \$81,700 | \$82,460 | \$83,220 |
| | Examination of Plant Patent Application Filing of Reissue Patent Application | \$100.00 \$190.00 | 246 73 | 176 52 | 422 125 | 426 129 | 430 133 | 434 137 | 438 141 | \$24,600 \$13,870 | \$17,600 \$9,880 | \$42,200 \$23,750 | \$42,600 \$24,510 | \$43,000 \$25,270 | \$43,400 \$26,030 | \$43,800 \$26,790 |
| 2114 | Search of Reissue Patent Application | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 73 | 52 | 125 | 129 | 133 | 137 | 141 | \$22,630 | \$16,120 | \$38,750 | \$39,990 | \$41,230 | \$42,470 | \$43,710 |
| | Examination of Reissue Patent Application | \$375.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | 73 | 52 | 125 | 129 | 133 | 137 | 141 | \$27,375 | \$19,500 | \$46,875 | \$48,375 | \$49,875 | \$51,375 | \$52,875 |
| | Provisional Application Filing CPA - Design Filing | \$125.00 \$125.00 | 47,636 216 | 34,025 160 | 81,661 376 | 86,560 375 | 91,754 374 | 97,259 373 | 103,094 380 | \$5,954,500 \$27,000 | \$4,253,125 \$20,000 | \$10,207,625 \$47,000 | \$10,820,000 \$46,875 | \$11,469,250 \$46,750 | \$12,157,375 \$46,625 | \$12,886,750 \$47,500 |
| | CPA - Reissue Filing | \$190.00 | \$190.00 | \$123.00 | \$190.00 | | \$190.00 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$27,000 | \$20,000 | \$0 | | \$40,730 | \$0 | \$0 |
| 2051 | Surcharge - Late Filing, Search or Examination Fee, Oath | \$65.00 | \$65.00 | \$65.00 | \$65.00 | \$65.00 | \$65.00 | \$65.00 | 12,484 | 8,969 | 21,453 | 22,459 | 24,052 | 25,018 | 26,267 | \$811,460 | \$582,985 | \$1,394,445 | \$1,459,835 | \$1,563,380 | \$1,626,170 | \$1,707,355 |
| | Surcharge - Late Provisional Filing Fee or Cover Sheet Utility Application Size Fee | \$25.00 \$155.00 | 1,599 2,472 | 1,142 1,789 | 2,741 4,261 | 2,906 4,462 | 3,080 4,780 | 3,265 4,973 | 3,461 5,223 | \$39,975 \$383,160 | \$28,550 \$277,295 | \$68,525 \$660,455 | \$72,650 \$691,610 | \$77,000 \$740,900 | \$81,625 \$770,815 | \$86,525 \$809,565 |
| | Design Application Size Fee | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | 2,472 | 1,789 | 4,261 | 14 | 4,780 | 4,973 | 3,223 | \$383,160 | \$277,295 | \$2,170 | \$691,610 | \$740,900 | \$2,170 | \$809,565 |
| 2083 | Plant Application Size Fee | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | 0 | 0 | | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Reissue Application Size Fee | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | 1 700 | 0 | 1 | 1 2.252 | 2 440 | 1 2.555 | 1 | \$155 | \$0 | \$155 | \$155 | \$155 | \$155 | \$155 |
| | Provisional Application Size Fee Independent Claims in Excess of Three | \$155.00 \$125.00 | 1,790 16,793 | 1,279 12,063 | 3,069 28,856 | 3,253 30,174 | 3,449 31,365 | 3,656 32.630 | 3,875 34,266 | \$277,450 \$2,099,125 | \$198,245 \$1,507,875 | \$475,695 \$3,607,000 | \$504,215 \$3,771,750 | \$534,595 \$3,920,625 | \$566,680 \$4,078,750 | \$600,625 \$4,283,250 |
| | Total Claims in Excess of Twenty | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | 137,568 | 100,031 | 237,599 | 248,451 | 258,254 | 268,675 | 282,146 | \$4,127,040 | \$3,000,930 | \$7,127,970 | \$7,453,530 | \$7,747,620 | \$8,060,250 | \$8,464,380 |
| | Multiple Dependent Claims | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | 690 | 496 | 1,186 | 1,240 | 1,289 | 1,341 | 1,408 | \$155,250 | \$111,600 | \$266,850 | \$279,000 | \$290,025 | \$301,725 | \$316,800 |
| | Reissue Independent Claims in Excess of Three Reissue Total Claims in Excess of Twenty | \$125.00 \$30.00 | 985 | 80 722 | 192 1,707 | 198 1,762 | 204 1,817 | 210 1,871 | 216 1,926 | \$14,000 \$29,550 | \$10,000 \$21,660 | \$24,000 \$51,210 | \$24,750 \$52,860 | \$25,500 \$54,510 | \$26,250 \$56,130 | \$27,000 \$57,780 |
| | Reguest for Continued Examination | \$465.00 | \$465.00 | \$465.00 | \$465.00 | \$465.00 | \$465.00 | | 13,177 | 9,412 | 22,589 | 23,944 | 25,261 | 26,650 | 27,982 | \$29,550 | \$4,376,580 | \$10,503,885 | \$11,133,960 | \$11,746,365 | \$12,392,250 | \$13,011,630 |
| 2809 | Filing a Submission after Final Rejection | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | 9 | 6 | | 15 | 15 | 15 | 15 | \$3,645 | \$2,430 | \$6,075 | \$6,075 | \$6,075 | \$6,075 | \$6,075 |
| | Each Additional Invention to be Examined | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | 0 | 0 | | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | | \$0 | \$0 | \$0 |
| | Reexamination Independent Claims in Excess of Three Reexamination Total Claims in Excess of Twenty | \$125.00 \$30.00 | 46 256 | 33 183 | 79 439 | 84 466 | 89 491 | 94 518 | 98 544 | \$5,750 \$7,680 | \$4,125 \$5,490 | \$9,875 \$13,170 | \$10,500 \$13,980 | \$11,125 \$14,730 | \$11,750 \$15,540 | \$12,250 \$16,320 |
| | Request for Prioritized Examination | \$2,400.00 | \$2,400.00 | \$2,400.00 | | \$2,400.00 | \$2,400.00 | | 1,000 | 1,400 | 2,400 | 2,400 | 0 | 0 | 0 | \$2,400,000 | \$3,360,000 | \$5,760,000 | \$5,760,000 | \$14,730 | \$15,540 | \$10,320 |
| | g Fees (Small Entity) | | | | | | | | | | | | | | | \$47,842,875 | \$36,060,365 | \$83,903,240 | \$87,671,400 | \$86,813,275 | \$90,574,325 | \$95,130,040 |
| | | | | | | | | | | | | | | | | | | | | | | |

| | A | В | С | D | E | F | G | Н | I | J | K | L | M | N | 0 | Р | Q | R | S | Т | U |
|--------------|--|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|---------------------|--------------------|------------------|------------------|------------------|------------------|------------------|--------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| | | 40/4/42 | 2/4/42 | | | | | 40/4/42 | 2/4/42 | =(H+I) | | | | | =(B*H) | =(C*I) | =(O+P) | =(D*K) | =(E*L) | +(F*M) | =(G*N) |
| | | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | | | | | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| Datont Filis | ng Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | |
| | Filing of Utility Patent Application | | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | | 2,243 | 2,243 | 2,349 | 2,516 | 2,618 | 2,749 | \$0 | \$426,170 | \$426,170 | \$446,310 | \$478,040 | \$497,420 | \$522,310 |
| 4011 | Electronic Filing of Utility Patent Application | | \$95.00 | \$95.00 | \$95.00 | \$95.00 | \$95.00 | | 16,450 | 16,450 | 17,225 | 18,454 | 19,199 | 20,161 | \$0 | \$1,562,750 | \$1,562,750 | \$1,636,375 | \$1,753,130 | \$1,823,905 | \$1,915,295 |
| 3111 3311 | Search of Utility Patent Application Examination of Utility Patent Application | | \$310.00 \$125.00 | \$310.00 \$125.00 | \$310.00 \$125.00 | \$310.00 \$125.00 | \$310.00 \$125.00 | | 18,496 18,555 | 18,496 18,555 | 19,368 19,429 | 20,750 20,815 | 21,587 21,655 | 22,669 22,741 | \$0 \$0 | \$5,733,760 \$2,319,375 | \$5,733,760 \$2,319,375 | \$6,004,080 \$2,428,625 | \$6,432,500 \$2,601,875 | \$6,691,970 \$2,706,875 | \$7,027,390 \$2,842,625 |
| 3012 | Filing of Design Patent Application | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 3,041 | 3,041 | 3,033 | 3,024 | 3,013 | 3,073 | \$0 | | \$380,125 | \$379,125 | \$378,000 | \$376,625 | \$384,125 |
| | Search of Design Patent Application | | \$60.00 \$80.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 \$80.00 | | 3,041 | 3,041 | 3,033 | 3,024 | 3,013 | 3,073 | \$0 | \$182,460 | \$182,460 \$243,280 | \$181,980 | \$181,440 | \$180,780 | \$184,380 \$245,840 |
| 3312 3013 | Examination of Design Patent Application Filing of Plant Patent Application | | \$125.00 | \$80.00 \$125.00 | \$80.00 \$125.00 | \$80.00 \$125.00 | \$80.00 | | 3,041 105 | 3,041 105 | 3,033 106 | 3,024 107 | 3,013 109 | 3,073 110 | \$0 \$0 | \$243,280 \$13,125 | \$243,280 | \$242,640 \$13,250 | \$241,920 \$13,375 | \$241,040 \$13,625 | \$245,840 |
| 3113 | Search of Plant Patent Application | | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | | 105 | 105 | 106 | 107 | 109 | 110 | \$0 | \$19,950 | \$19,950 | \$20,140 | \$20,330 | \$20,710 | \$20,900 |
| 3313 3014 | Examination of Plant Patent Application Filing of Reissue Patent Application | | \$100.00 \$190.00 | \$100.00 \$190.00 | \$100.00 \$190.00 | \$100.00 \$190.00 | \$100.00 \$190.00 | | 105 31 | 105 | 106 32 | 107 33 | 109 34 | 110 | \$0 \$0 | \$10,500 \$5,890 | \$10,500 \$5,890 | \$10,600 \$6,080 | \$10,700 \$6,270 | \$10,900 \$6,460 | \$11,000 \$6,650 |
| 3114 | Search of Reissue Patent Application | | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | | 31 | 31 | 32 | 33 | 34 | 35 | \$0 | | \$9,610 | \$9,920 | \$10,230 | \$10,540 | \$10,850 |
| 3314 | Examination of Reissue Patent Application | | \$375.00 | \$375.00 | \$375.00 | \$375.00 | \$375.00 | | 31 | 31 | 32 | 33 | 34 | 35 | \$0 | | \$11,625 | \$12,000 | \$12,375 | \$12,750 | \$13,125 |
| 3005 3017 | Provisional Application Filing CPA - Design Filing | | \$125.00 \$125.00 | \$125.00 \$125.00 | \$125.00 \$125.00 | \$125.00 \$125.00 | \$125.00 \$125.00 | | 20,415 | 20,415 | 21,640 94 | 22,938 94 | 24,315 93 | 25,774 95 | \$0 \$0 | \$2,551,875 \$11,750 | \$2,551,875 \$11,750 | \$2,705,000 \$11,750 | \$2,867,250 \$11,750 | \$3,039,375 \$11,625 | \$3,221,750 \$11,875 |
| 3019 | CPA - Reissue Filing | | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$11,750 | \$11,025 | \$0 |
| | Surcharge - Late Filing, Search or Examination Fee, Oath or Declaration | | \$65.00 | \$65.00 | \$65.00 | \$65.00 | \$65.00 | | 5,363 | 5,363 | 5,616 | 6,013 | 6,255 | 6,567 | \$0 | | \$348,595 | \$365,040 | \$390,845 | \$406,575 | \$426,855 |
| 3052 3081 | Surcharge - Late Provisional Filing Fee or Cover Sheet Utility Application Size Fee | | \$25.00 \$155.00 | \$25.00 \$155.00 | \$25.00 \$155.00 | \$25.00 \$155.00 | \$25.00 \$155.00 | + | 685 1,065 | 685 1,065 | 726 1,116 | 770 1,195 | 816 1,243 | 865 1,306 | \$0 \$0 | \$17,125 \$165,075 | \$17,125 \$165,075 | \$18,150 \$172,980 | \$19,250 \$185,225 | \$20,400 \$192,665 | \$21,625 \$202,430 |
| | Design Application Size Fee | | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | | 3 | 3 | 3 | 3 | 3 | 3 | \$0 | | \$465 | \$465 | \$465 | \$465 | \$465 |
| 3083 3084 | Plant Application Size Fee | | \$155.00 \$155.00 | \$155.00 \$155.00 | \$155.00 \$155.00 | \$155.00 \$155.00 | \$155.00 \$155.00 | | 0 | 0 | 0 | 0 | 0 | 0 | \$0 \$0 | \$0 \$0 | \$0 \$0 | \$0 \$0 | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 3085 | Reissue Application Size Fee Provisional Application Size Fee | | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | | 767 | 767 | 813 | 862 | 914 | 969 | \$0 | \$118,885 | \$118,885 | \$126,015 | \$133,610 | \$141,670 | \$150,195 |
| 3201 | Independent Claims in Excess of Three | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 7,214 | 7,214 | 7,543 | 7,841 | 8,158 | 8,566 | \$0 | \$901,750 | \$901,750 | \$942,875 | \$980,125 | \$1,019,750 | \$1,070,750 |
| 3202 3203 | Total Claims in Excess of Twenty | | \$30.00 \$225.00 | \$30.00 \$225.00 | \$30.00 \$225.00 | \$30.00 \$225.00 | \$30.00 \$225.00 | | 59,400 296 | 59,400 296 | 62,113 310 | 64,564 322 | 67,169 335 | 70,536 352 | \$0 \$0 | \$1,782,000 \$66,600 | \$1,782,000 \$66,600 | \$1,863,390 \$69,750 | \$1,936,920 \$72,450 | \$2,015,070 \$75,375 | \$2,116,080 \$79,200 |
| 3203 | Multiple Dependent Claims Reissue Independent Claims in Excess of Three | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 48 | 48 | 49 | 51 | 53 | 54 | \$0 | | \$6,000 | \$6,125 | \$6,375 | \$6,625 | \$6,750 |
| 3205 | Reissue Total Claims in Excess of Twenty | | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | | 427 | 427 | 440 | 454 | 468 | 481 | \$0 | \$12,810 | \$12,810 | \$13,200 | \$13,620 | \$14,040 | \$14,430 |
| 3801 3809 | Request for Continued Examination Filing a Submission after Final Rejection | | \$465.00 \$405.00 | \$465.00 \$405.00 | \$465.00 \$405.00 | \$465.00 \$405.00 | \$465.00 \$405.00 | | 5,647 | 5,647 | 5,986 4 | 6,315 4 | 6,663 | 6,996 | \$0 \$0 | \$2,625,855 \$1,620 | \$2,625,855 \$1,620 | \$2,783,490 \$1,620 | \$2,936,475 \$1,620 | \$3,098,295 \$1,620 | \$3,253,140 \$1,620 |
| 3810 | Each Additional Invention to be Examined | | \$405.00 | \$405.00 | \$405.00 | \$405.00 | \$405.00 | | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | | \$1,620 | \$1,620 | \$1,620 | \$1,620 | \$1,620 |
| 3821 | Reexamination Independent Claims in Excess of Three | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 20 | 20 | 21 | 22 | 23 | 25 | \$0 | | \$2,500 | \$2,625 | \$2,750 | \$2,875 | \$3,125 |
| 3822 3817 | Reexamination Total Claims in Excess of Twenty Request for Prioritized Examination | | \$30.00 \$2.400.00 | \$30.00 \$2.400.00 | \$30.00 \$2.400.00 | \$30.00 \$2,400.00 | \$30.00 \$2.400.00 | | 110 600 | 110 600 | 116 600 | 123 | 130 | 136 | \$0 \$0 | \$3,300 \$1,440,000 | \$3,300 \$1,440,000 | \$3,480 \$1.440.000 | \$3,690 \$0 | \$3,900 | \$4,080 |
| | ng Fees (Micro Entity) | | \$2,400.00 | \$2,400.00 | \$2,400.00 | ÇZ,400.00 | \$2,400.00 | | 000 | 000 | 000 | • | | Ŭ | \$0 | \$20,974,825 | \$20,974,825 | \$21,917,080 | \$21,702,605 | \$22,643,925 | \$23,782,610 |
| Total Pate | nt Filing Fees | | | | | | | | | | | | | | \$312,362,095 | \$293,142,960 | \$605,505,055 | \$688,205,610 | \$686,989,000 | \$716,900,200 | \$752,735,100 |
| Patent Issu | ue Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | |
| 1501 | Utility or Reissue Issue \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | - ' ' | 120,557 | 120,557 | 241,114 | 249,752 | 254,912 | 227,224 | 226,098 | \$209,769,180 | \$209,769,180 | \$419,538,360 | \$434,568,480 | \$443,546,880 | \$395,369,760 | \$393,410,520 |
| | Design Issue \$990.00 Plant Issue \$1,370.00 | \$990.00 \$1,370.00 | \$990.00 \$1,370.00 | \$990.00 \$1,370.00 | \$990.00 \$1,370.00 | \$990.00 \$1,370.00 | \$990.00 \$1,370.00 | 6,048 278 | 6,049 279 | 12,097 557 | 12,339 568 | 12,586 580 | 12,838 591 | 13,094 603 | \$5,987,520 \$380,860 | \$5,988,510 \$382,230 | \$11,976,030 \$763,090 | \$12,215,610 \$778,160 | \$12,460,140 \$794,600 | \$12,709,620 \$809,670 | \$12,963,060 \$826,110 |
| | Reissue Issue \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | \$1,740.00 | 289 | 289 | 578 | 590 | 602 | 614 | 626 | \$502,860 | \$502,860 | \$1,005,720 | \$1,026,600 | \$1,047,480 | \$1,068,360 | \$1,089,240 |
| Patent Issu | ue Fees (Large Entity) | | | | | | | | | | | | | | \$216,640,420 | \$216,642,780 | \$433,283,200 | \$448,588,850 | \$457,849,100 | \$409,957,410 | \$408,288,930 |
| Patent Issi | ue Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | |
| | Utility or Reissue Issue \$870.00 | \$870.00 | \$870.00 | \$870.00 | \$870.00 | \$870.00 | \$870.00 | 22,970 | 22,975 | 45,942 | 47,832 | 48,820 | 43,518 | 43,302 | \$19,983,900 | \$19,988,250 | \$39,972,150 | \$41,613,840 | \$42,473,400 | \$37,860,660 | \$37,672,740 |
| | Design Issue \$495.00 | \$495.00 | \$495.00 | \$495.00 | \$495.00 | \$495.00 | \$495.00 | 4,100 | 4,105 | 8,205 | 8,409 | 8,577 | 8,749 | 8,924 | \$2,029,500 | \$2,031,975 | \$4,061,475 | \$4,162,455 | \$4,245,615 | \$4,330,755 | \$4,417,380 |
| | Plant Issue \$685.00 Reissue Issue \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | 130 87 | 131 87 | 261 174 | 267 179 | 273 182 | 278 186 | 284 189 | \$89,050 \$75,690 | \$89,735 \$75,690 | \$178,785 \$151,380 | \$182,895 \$155,730 | \$187,005 \$158,340 | \$190,430 \$161,820 | \$194,540 \$164,430 |
| | Je Fees (Small Entity) | 72.0.00 | 72.0.00 | Ţ 0.00 | 72.5.00 | +1.0.00 | Ţ2. 0.00 | <i>3.</i> | 0. | 27.1 | 2.5 | 102 | 100 | 100 | \$22,178,140 | | \$44,363,790 | \$46,114,920 | \$47,064,360 | \$42,543,665 | \$42,449,090 |
| Patent Icc | ue Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | |
| | Utility or Reissue Issue | | \$870.00 | \$870.00 | \$870.00 | \$870.00 | \$870.00 | | 11,780 | 11,780 | 11,958 | 12,205 | 10,879 | 10,825 | \$0 | \$10,248,600 | \$10,248,600 | \$10,403,460 | \$10,618,350 | \$9,464,730 | \$9,417,750 |
| | Design Issue | | \$495.00 | \$495.00 | \$495.00 | \$495.00 | \$495.00 | | 2,100 | 2,100 | 2,102 | 2,144 | 2,187 | 2,231 | \$0 | \$1,039,500 | \$1,039,500 | \$1,040,490 | \$1,061,280 | \$1,082,565 | \$1,104,345 |
| | Plant Issue Reissue Issue | | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | \$685.00 \$870.00 | | 67 45 | 67 45 | 67 45 | 68 46 | 70 46 | 71 47 | \$0 \$0 | | \$45,895 \$39,150 | \$45,895 \$39,150 | \$46,580 \$40,020 | \$47,950 \$40,020 | \$48,635 \$40,890 |
| | reessue issue ue Fees (Micro Entity) | | Ç67U.UU | Ç670.00 | Ş870.00 | 3070.00 | φο/0.00 | | 43 | 43 | 43 | 40 | 40 | 4/ | \$0 | | \$11,373,145 | | \$11,766,230 | \$10,635,265 | \$10,611,620 |
| 1506 | Suspense Account for Partial Issue Payments* variable | variable | variable | variable | variable | variable | variable | \$50,000 | \$50,000 | \$100,000 | \$100,000 | \$100,000 | \$100,000 | \$100,000 | \$50,000 | \$50,000 | \$100,000 | \$100,000 | \$100,000 | \$100,000 | \$100,000 |
| Fotal Pate | nt Issue Fees | | | | | | | | | | | | | | \$238,818,560 | \$250,201,575 | \$489,020,135 | \$506,232,765 | \$516,679,690 | \$463,136,340 | \$461,349,640 |
| | Publication Fees | | | | | | | | | | | | | | | | | | | | |
| | Publication Fee for Early, Voluntary or Normal Publication \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | \$300.00 | | | 284,329 | 284,329 | 282,705 | 288,546 | 257,205 | 255,929 | \$0 | | \$85,298,700 | \$84,811,500 | \$86,563,800 | \$77,161,500 | \$76,778,700 |
| | Publication Fee for Republication \$300.00 Request for Voluntary Publication or Republication \$130.00 | \$300.00 \$130.00 | \$300.00 \$130.00 | \$300.00 \$130.00 | \$300.00 \$130.00 | \$300.00 \$130.00 | | | 201 481 | 201 481 | 221 602 | 243 752 | 267 940 | 294 1,175 | \$0 \$0 | | \$60,300 \$62,530 | \$66,300 \$78,260 | \$72,900 \$97,760 | \$80,100 \$122,200 | \$88,200 \$152,750 |
| 1808 | Processing Fee, Except in Provisional Applications \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | | 3,070 | 3,070 | 3,407 | 3,457 | 3,507 | 3,557 | \$0 | | \$399,100 | \$442,910 | \$449,410 | \$455,910 | \$462,410 |
| | Suspense Account for Partial Publication Payments* variable | variable | variable | variable | variable | variable | variable | \$0 | \$0 | \$0 | (\$90) | \$1,910 | \$4,290 | \$7,140 | \$0 | | \$0 | (11 | \$1,910 | \$4,290 | \$7,140 |
| rotal Pre-0 | Grant Publication Fees | | | | | | | | | | | | | | \$0 | \$85,820,630 | \$85,820,630 | \$85,398,880 | \$87,185,780 | \$77,824,000 | \$77,489,200 |

| | | Α | В | С | D | E | F | G | Н | 1 | J | К | L | М | N | 0 | Р | Q | R | S | Т | U |
|---------------|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|---------------------|--------------------|-----------------|--------------------|-----------------|-----------------|-----------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| | | | | | | | | | | | =(H+I) | | | | | =(B*H) | =(C*I) | =(O+P) | =(D*K) | =(E*L) | +(F*M) | =(G*N) |
| | | | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | | | | | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 10/1/12- 2/28/13 | 3/1/13- 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections |
| Datant Mai | ntenance Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | | |
| | First Stage Maintenance | \$1,130.00 | \$1,130.00 | \$1,130.00 | \$1,130.00 | \$1,130.00 | \$1,130.00 | \$1,130.00 | 94,813 | 31,605 | 126,418 | 157,694 | 173,226 | 193,625 | 224,236 | \$107,138,690 | \$35,713,650 | \$142,852,340 | \$178,194,220 | \$195,745,380 | \$218,796,250 | \$253,386,680 |
| | Second Stage Maintenance | \$2,850.00 | \$2,850.00 | \$2,850.00 | \$2,850.00 | \$2,850.00 | \$2,850.00 | \$2,850.00 | 63,710 | 21,237 | 84,947 | 100,681 | 92,748 | 105,051 | 106,191 | \$181,573,500 | \$60,525,450 | \$242,098,950 | \$286,940,850 | \$264,331,800 | \$299,395,350 | \$302,644,350 |
| | Third Stage Maintenance First Stage Surcharge in Grace Period | \$4,730.00 \$150.00 | 48,312 2.397 | 16,104 799 | 64,416 3,196 | 73,819 3.987 | 78,005 4,379 | 77,197 4.895 | 65,070 5,669 | \$228,515,760 \$359,550 | \$76,171,920 \$119,850 | \$304,687,680 \$479,400 | \$349,163,870 \$598,050 | \$368,963,650 \$656,850 | \$365,141,810 \$734,250 | \$307,781,100 \$850.350 |
| | Second Stage Surcharge in Grace Period | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 1,891 | 631 | 2,522 | 2,990 | 2,754 | 3,119 | 3,153 | \$283,650 | \$94,650 | \$378,300 | \$448,500 | \$413,100 | \$467,850 | \$472,950 |
| 1556 | Third Stage Surcharge in Grace Period | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 1,284 | 428 | 1,712 | 1,962 | 2,073 | 2,052 | 1,729 | \$192,600 | \$64,200 | \$256,800 | \$294,300 | \$310,950 | \$307,800 | \$259,350 |
| | Surcharge After Expiration - Unavoidable Late Payment Surcharge After Expiration - Unintentional Late Paymen | \$700.00 \$1,640.00 | 1,063 | 3 354 | 1,417 | 13 1,707 | 1,767 | 1,931 | 2,032 | \$5,600 \$1,743,320 | \$2,100 \$580,560 | \$7,700 \$2,323,880 | \$9,100 \$2,799,480 | \$9,100 \$2,897,880 | \$9,800 \$3,166,840 | \$10,500 \$3,332,480 |
| Patent Mai | ntenance Fees (Large Entity) | | | | | | | | Í | | , | , | ĺ | , | , | \$519,812,670 | \$173,272,380 | \$693,085,050 | \$818,448,370 | \$833,328,710 | \$888,019,950 | \$868,737,760 |
| Patent Mai | ntenance Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | | |
| | First Stage Maintenance | \$565.00 | \$565.00 | \$565.00 | \$565.00 | \$565.00 | \$565.00 | \$565.00 | 15,080 | 5,026 | 20,106 | 25,549 | 27,112 | 30,304 | 35,095 | \$8,520,200 | \$2,839,690 | \$11,359,890 | \$14,435,185 | \$15,318,280 | \$17,121,760 | \$19,828,675 |
| | Second Stage Maintenance | \$1,425.00 | \$1,425.00 | \$1,425.00 | \$1,425.00 | \$1,425.00 | \$1,425.00 | \$1,425.00 | 9,764 | 3,255 | 13,019 | 14,638 | 12,955 | 12,960 | 12,746 | \$13,913,700 | \$4,638,375 | \$18,552,075 | \$20,859,150 | \$18,460,875 | \$18,468,000 | \$18,163,050 |
| | Third Stage Maintenance First Stage Surcharge in Grace Period | \$2,365.00 \$75.00 | 6,533 1,835 | 2,177 612 | 8,710 2,447 | 9,902 3,110 | 9,906 3,300 | 8,890 3,689 | 7,681 4,272 | \$15,450,545 \$137,625 | \$5,148,605 \$45,900 | \$20,599,150 \$183,525 | \$23,418,230 \$233,250 | \$23,427,690 \$247,500 | \$21,024,850 \$276,675 | \$18,165,565 \$320,400 |
| | Second Stage Surcharge in Grace Period | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 1,247 | 416 | 1,663 | 1,869 | 1,654 | 1,654 | 1,627 | \$93,525 | \$31,200 | \$124,725 | \$140,175 | \$124,050 | \$124,050 | \$122,025 |
| 2556 | Third Stage Surcharge in Grace Period | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 840 | 280 | 1,120 | 1,273 | 1,274 | 1,143 | 987 | \$63,000 | \$21,000 | \$84,000 | \$95,475 | \$95,550 | \$85,725 | \$74,025 |
| | Surcharge After Expiration - Unavoidable Late Payment Surcharge After Expiration - Unintentional Late Payment | | \$700.00 \$1,640.00 | \$700.00 \$1,640.00 | \$700.00 \$1,640.00 | \$700.00 \$1,640.00 | \$700.00 \$1,640.00 | \$700.00 \$1,640.00 | 1 129 | 1 43 | 172 | 206 | 206 | 214 | 228 | \$700 \$211,560 | \$700 \$70,520 | \$1,400 \$282,080 | \$1,400 \$337,840 | \$1,400 \$337,840 | \$1,400 \$350,960 | \$1,400 \$373,920 |
| | ntenance Fees (Small Entity) | | 7-7 | 7 2/0 10100 | 7 2/0 10100 | 72/010100 | 7-/ | 42/2 13:00 | | | | | | | | \$38,390,855 | \$12,795,990 | \$51,186,845 | \$59,520,705 | \$58,013,185 | \$57,453,420 | \$57,049,060 |
| | ntenance Fees (Micro Entity) | | | éscs co | éses os | ČECE OC | ĆECE CO | érer oc | | 5.000 | 5.005 | 6.20= | 6 776 | 7.570 | 0.77 | | £2,020,505 | <u> </u> | 62.522.555 | 62.020.550 | \$4,280,440 | 64.057.040 |
| | First Stage Maintenance Second Stage Maintenance | | | \$565.00 \$1,425.00 | \$565.00 \$1,425.00 | \$565.00 \$1,425.00 | \$565.00 \$1,425.00 | \$565.00 \$1,425.00 | | 5,026 3,255 | 5,026 3,255 | 6,387 3.659 | 6,778 3,239 | 7,576 3,240 | 8,774 3,187 | | \$2,839,690 \$4,638,375 | \$2,839,690 \$4,638,375 | \$3,608,655 \$5,214,075 | \$3,829,570 \$4,615,575 | \$4,280,440 | \$4,957,310 \$4,541,475 |
| | Third Stage Maintenance | | | \$2,365.00 | \$2,365.00 | \$2,365.00 | \$2,365.00 | | | 2,177 | 2,177 | 2,476 | 2,477 | 2,222 | 1,920 | | \$5,148,605 | \$5,148,605 | \$5,855,740 | \$5,858,105 | \$5,255,030 | \$4,540,800 |
| | First Stage Surcharge in Grace Period | | | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | | 612 | 612 | 777 | 825 | 922 | 1,068 | | \$45,900 | \$45,900 | \$58,275 | \$61,875 | \$69,150 | \$80,100 |
| 3555 3556 | Second Stage Surcharge in Grace Period Third Stage Surcharge in Grace Period | | | \$75.00 \$75.00 | \$75.00 \$75.00 | \$75.00 \$75.00 | \$75.00 \$75.00 | \$75.00 \$75.00 | | 416 280 | 416 280 | 467 318 | 413 318 | 414 286 | 407 247 | | \$31,200 \$21,000 | \$31,200 \$21,000 | \$35,025 \$23,850 | \$30,975 \$23,850 | \$31,050 \$21,450 | \$30,525 \$18,525 |
| | Surcharge After Expiration - Unavoidable Late Payment | | | \$700.00 | \$700.00 | \$700.00 | \$700.00 | \$700.00 | | 0 | 0 | 0 | 0 | 0 | 0 | | \$0 | \$0 | | \$0 | \$0 | \$0 |
| | Surcharge After Expiration - Unintentional Late Payment | | | \$1,640.00 | \$1,640.00 | \$1,640.00 | \$1,640.00 | \$1,640.00 | | 43 | 43 | 51 | 51 | 54 | 57 | | \$70,520 | \$70,520 | | \$83,640 | \$88,560 | \$93,480 |
| | ntenance Fees (Micro Entity) Unassigned Maintenance Fee Payments* | variable | \$865,467 | \$865,467 | \$1,730,934 | \$1,730,934 | \$1,730,934 | \$1,730,934 | \$1,730,934 | \$0 \$865,467 | \$12,795,290 \$865,467 | \$12,795,290 \$1,730,934 | \$14,879,260 \$1,730,934 | \$14,503,590 \$1,730,934 | \$14,362,680 \$1,730,934 | \$14,262,215 \$1,730,934 |
| | at Maintenance Fees | variable | Ç003,407 | Ç003,407 | Ç1,730,334 | 71,730,33 4 | Ç1,730,334 | \$1,730,334 | 71,730,334 | \$559,068,992 | \$199,729,127 | \$758,798,119 | \$894,579,269 | \$907,576,419 | \$961,566,984 | \$941,779,969 |
| Datant Fyta | ension Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | | |
| | Extension for Response within First Month | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | \$150.00 | 69,384 | 50,407 | 119,791 | 124,737 | 128,298 | 119,313 | 120,476 | \$10,407,600 | \$7,561,050 | \$17,968,650 | \$18,710,550 | \$19,244,700 | \$17,896,950 | \$18,071,400 |
| 1252 | Extension for Response within Second Month | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | \$560.00 | 30,805 | 22,378 | 53,183 | 55,379 | 56,960 | 52,971 | 53,487 | \$17,250,800 | \$12,531,680 | \$29,782,480 | \$31,012,240 | \$31,897,600 | \$29,663,760 | \$29,952,720 |
| | Extension for Response within Third Month Extension for Response within Fourth Month | \$1,270.00 \$1,980.00 | \$1,270.00 \$1,980.00 | \$1,270.00 \$1,980.00 | \$1,270.00 \$1.980.00 | \$1,270.00 \$1,980.00 | \$1,270.00 \$1,980.00 | \$1,270.00 \$1,980.00 | 29,116 1,994 | 21,152 1,449 | 50,268 3,443 | 52,344 3,585 | 53,838 3,688 | 50,068 3,429 | 50,556 3,463 | \$36,977,320 \$3,948,120 | \$26,863,040 \$2,869,020 | \$63,840,360 \$6,817,140 | \$66,476,880 \$7,098,300 | \$68,374,260 \$7,302,240 | \$63,586,360 \$6,789,420 | \$64,206,120 \$6,856,740 |
| | Extension for Response within Fifth Month | \$2,690.00 | \$2,690.00 | \$2,690.00 | \$2,690.00 | \$2,690.00 | \$2,690.00 | \$2,690.00 | 2,480 | 1,803 | 4,283 | 4,459 | 4,587 | 4,265 | 4,307 | \$6,671,200 | \$4,850,070 | \$11,521,270 | \$11,994,710 | \$12,339,030 | \$11,472,850 | \$11,585,830 |
| | ension Fees (Large Entity) | | | | | | | | | | | | | | | \$75,255,040 | \$54,674,860 | \$129,929,900 | \$135,292,680 | \$139,157,830 | \$129,409,340 | \$130,672,810 |
| | ension Fees (Small Entity) Extension for Response within First Month | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | 19,444 | 14,188 | 33.632 | 35,020 | 36,020 | 33,497 | 33.824 | \$1,458,300 | \$1,064,100 | \$2,522,400 | \$2,626,500 | \$2,701,500 | \$2,512,275 | \$2,536,800 |
| | Extension for Response within Second Month | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | 10,747 | 7,841 | 18,588 | 19,355 | 19,908 | 18,514 | 18,694 | \$3,009,160 | \$2,195,480 | \$5,204,640 | \$5,419,400 | \$5,574,240 | \$5,183,920 | \$5,234,320 |
| 2253 | Extension for Response within Third Month | \$635.00 | \$635.00 | \$635.00 | | \$635.00 | \$635.00 | | 16,573 | 12,091 | 28,664 | 29,848 | 30,700 | 28,550 | 28,828 | \$10,523,855 | \$7,677,785 | \$18,201,640 | \$18,953,480 | \$19,494,500 | \$18,129,250 | \$18,305,780 |
| | Extension for Response within Fourth Month Extension for Response within Fifth Month | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | | 1,215 1,853 | 887 1,353 | 2,102 3,206 | 2,189 3,339 | 2,252 3,434 | 2,094 3,194 | 2,114 3,225 | \$1,202,850 \$2,492,285 | \$878,130 \$1,819,785 | \$2,080,980 \$4,312,070 | \$2,167,110 \$4,490,955 | \$2,229,480 \$4,618,730 | \$2,073,060 \$4,295,930 | \$2,092,860 \$4,337,625 |
| | ension Fees (Small Entity) | Ç1,545.00 | Ç2,545.00 | Ç2,343.00 | Ç2,343.00 | Ç1,343.00 | ψ±,545.00 | Ç2,343.00 | 1,000 | 1,333 | 3,200 | 3,333 | 3,434 | 3,134 | 3,223 | \$18,686,450 | \$13,635,280 | \$32,321,730 | | \$34,618,450 | \$32,194,435 | \$32,507,385 |
| Data - t F. 1 | posion Food (Micro Entity) | | | | | | | | | | | | | | | | | | | | | |
| | ension Fees (Micro Entity) Extension for Response within First Month | | | \$75.00 | \$75.00 | \$75.00 | \$75.00 | \$75.00 | | 8,408 | 8,408 | 8,755 | 9,005 | 8,374 | 8,456 | | \$630,600 | \$630,600 | \$656,625 | \$675,375 | \$628,050 | \$634,200 |
| 3252 | Extension for Response within Second Month | | | \$280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | | 4,647 | 4,647 | 4,839 | 4,977 | 4,628 | 4,674 | | \$1,301,160 | \$1,301,160 | \$1,354,920 | \$1,393,560 | \$1,295,840 | \$1,308,720 |
| | Extension for Response within Third Month | | | \$635.00 | \$635.00 | \$635.00 | \$635.00 | | | 7,166 | 7,166 | 7,462 | 7,675 | 7,138 | 7,207 | | \$4,550,410 | \$4,550,410 | | \$4,873,625 | \$4,532,630 | \$4,576,445 |
| | Extension for Response within Fourth Month Extension for Response within Fifth Month | | | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | \$990.00 \$1,345.00 | | 526 802 | 526 802 | 547 835 | 563 859 | 523 798 | 529 806 | | \$520,740 \$1,078,690 | \$520,740 \$1,078,690 | | \$557,370 \$1,155,355 | \$517,770 \$1,073,310 | \$523,710 \$1,084,070 |
| Patent Exte | ension Fees (Micro Entity) | | | . , | . , | . , | . , | . , | | | | | 555 | | 203 | \$0 | \$8,081,600 | \$8,081,600 | \$8,414,520 | \$8,655,285 | \$8,047,600 | \$8,127,145 |
| Total Pater | nt Extension Fees | | | | | | | | | 1 | | | | | | \$93,941,490 | \$76,391,740 | \$170,333,230 | \$177,364,645 | \$182,431,565 | \$169,651,375 | \$171,307,340 |
| Patent Tria | l and Appeal Board Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | | |
| | Notice of Appeal to Board of Appeals | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | | 22,206 | 11,292 | 33,498 | 34,686 | 36,043 | 35,017 | 35,931 | \$13,767,720 | \$7,001,040 | \$20,768,760 | \$21,505,320 | \$22,346,660 | \$21,710,540 | \$22,277,220 |
| | Filing a Brief in Support of an Appeal Request for an Oral Hearing | \$620.00 \$1,240.00 | 11,821 752 | 6,016 384 | 17,837 1,136 | 18,469 1,176 | 19,192 1,222 | 18,645 1,187 | 19,132 1,218 | \$7,329,020 \$932,480 | \$3,729,920 \$476,160 | \$11,058,940 \$1,408,640 | \$11,450,780 \$1,458,240 | \$11,899,040 \$1,515,280 | \$11,559,900 \$1,471,880 | \$11,861,840 \$1,510,320 |
| | Petitions to the Chief Administrative Patent Judge | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 70 | 80 | 1,130 | 165 | 180 | 180 | 180 | \$28,000 | \$32,000 | \$60,000 | | \$72,000 | \$72,000 | \$72,000 |
| | Petition for Inter Partes Review, 20 or Fewer Claims | \$27,200.00 | | \$27,200.00 | | | | | 175 | 245 | 420 | 450 | 500 | 575 | 600 | \$4,760,000 | \$6,664,000 | \$11,424,000 | | \$13,600,000 | \$15,640,000 | \$16,320,000 |
| | Petition for Inter Partes Review, Each Claim in Excess of Covered Business Method and Post Grant Review, 20 or | \$600.00 \$35,800.00 | \$600.00 \$35,800.00 | \$600.00 \$35,800.00 | | \$600.00 \$35,800.00 | \$600.00 | | 1,225 20 | 1,715 30 | 2,940 50 | 3,150 60 | 3,500 110 | 4,025 200 | 4,200 275 | \$735,000 \$716,000 | \$1,029,000 \$1,074,000 | \$1,764,000 \$1,790,000 | \$1,890,000 \$2,148,000 | \$2,100,000 \$3,938,000 | \$2,415,000 \$7,160,000 | \$2,520,000 \$9.845.000 |
| | Covered Business Method and Post Grant Review, 20 01 | \$800.00 | \$800.00 | \$800.00 | \$800.00 | \$800.00 | \$800.00 | | 140 | 210 | 350 | 420 | 770 | 1,400 | 1,925 | \$112,000 | \$168,000 | \$280,000 | | \$616,000 | \$1,120,000 | \$1,540,000 |
| | Petition to Institute a Derivation | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 38 | 13 | 51 | 50 | 50 | 50 | 50 | \$15,200 | \$5,200 | \$20,400 | \$20,000 | \$20,000 | \$20,000 | \$20,000 |
| | Derivation Proceeding Request to Make Settlement Agreement Available | \$0.00 \$400.00 | 0 10 | 0 10 | 20 | 20 | 20 | 20 | 20 | \$0 \$4,000 | \$0 \$4,000 | \$0,000 | | \$0 \$8,000 | \$0 \$8,000 | \$0 |
| | I and Appeal Board Fees (Large Entity) | 9400.00 | \$40 0.00 | \$400.00 | 34UU.UU | Ş4UU.UU | 3400.00 | \$400.00 | 10 | 10 | 20 | 20 | 20 | 20 | 20 | \$28,380,220 | \$20,174,120 | \$48,554,340 | | \$56,086,980 | \$61,149,320 | \$65,946,380 |
| | | | | | | | | | | | | | | | | | | | | | | |

| | | | | 1 | | | | | | | | 1 | | | | | | | 1 | | | |
|---|---|---|---|---|---|---------------------------------|---------------------------------|--|---------------------------------|---------------------------------|-----------------------------------|-----------------------------------|--------------------------|--------------------------|--------------------------|--|---|---|---|--|--|----------------------------|
| | | Α | В | С | D | E | F | G | н | I | J =(H+I) | K | L | М | N | O =(B*H) | P =(C*I) | Q =(O+P) | R =(D*K) | S =(E*L) | T +(F*M) | U =(G*N) |
| | | | 10/1/12- | 3/1/13- | | | | | 10/1/12- | 3/1/13- | -(11+1) | | | | | 10/1/12- | 3/1/13- | -(O+F) | -(D K) | -(L L) | +(F IVI) | -(G N) |
| | | | 2/28/13 | 9/30/13 | | | | | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | F | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| | | | | | | | | | | | | | | | | | | | | | | |
| | Appeal Board Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | | |
| | ce of Appeal to Board of Appeals | \$310.00 \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 \$310.00 | 4,347 | 2,221 | 6,568 | 6,800 | 7,067 | 6,865 | 7,044 | \$1,347,570 | \$688,510 | \$2,036,080 | \$2,108,000 | \$2,190,770 | \$2,128,150 \$880,400 | \$2,183,640 |
| | g a Brief in Support of an Appeal lest for an Oral Hearing | \$620.00 | \$310.00 \$620.00 | \$310.00 \$620.00 | \$310.00 \$620.00 | \$310.00 \$620.00 | \$310.00 \$620.00 | \$620.00 | 1,798 151 | 919 77 | 2,717 228 | 2,813 237 | 2,923 246 | 2,840 239 | 2,914 245 | \$557,380 \$93,620 | \$284,890 \$47,740 | \$842,270 \$141,360 | \$872,030 \$146,940 | \$906,130 \$152,520 | \$148,180 | \$903,340 \$151,900 |
| | Appeal Board Fees (Small Entity) | ψ020.00 | Ģ 020.00 | Ç020.00 | Ç020.00 | Ç020.00 | Ç020.00 | Ç020.00 | 101 | | | 237 | 2.0 | 233 | 2.0 | \$1,998,570 | \$1,021,140 | \$3,019,710 | \$3,126,970 | \$3,249,420 | \$3,156,730 | \$3,238,880 |
| | | | | | | | | | | | | | | | | | | | | | | |
| | Appeal Board Fees (Micro Entity) | | | 4040.00 | 4040.00 | 4040.00 | 40.000 | 4040.00 | | | | | | | . === | | 4=00.000 | 4=00.000 | 4 | 4 | 4=04.000 | 4=.= 0.0 |
| | te of Appeal to Board of Appeals g a Brief in Support of an Appeal | | | \$310.00 \$310.00 | \$310.00 \$310.00 | \$310.00 \$310.00 | \$310.00 \$310.00 | \$310.00 \$310.00 | | 1,642 679 | 1,642 679 | 1,700 703 | 1,767 731 | 1,716 710 | 1,761 729 | | \$509,020 \$210,490 | \$509,020 \$210,490 | \$527,000 \$217,930 | \$547,770 \$226,610 | \$531,960 \$220,100 | \$545,910 \$225,990 |
| | lest for an Oral Hearing | | | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | | 57 | 57 | 59 | 61 | 60 | 61 | | \$35,340 | \$35,340 | \$36,580 | \$37,820 | \$37,200 | \$37,820 |
| | Appeal Board Fees (Micro Entity) | | | , case | 7 3 2 3 3 3 | 7 3 2 3 3 3 | 70-0100 | 7.000.00 | | | | | | | | \$0 | \$754,850 | \$754,850 | \$781,510 | \$812,200 | \$789,260 | \$809,720 |
| Total Patent Tria | ll and Appeal Board Fees | | | | | | | | | | | | | | | \$30,378,790 | \$21,950,110 | \$52,328,900 | \$55,002,820 | \$60,148,600 | \$65,095,310 | \$69,994,980 |
| Data at Division | and the same Entite A | | | | | | | | | | | | | | | | | | | | | |
| | ees (Large Entity) ion to Revive Unavoidably Abandoned Application | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | 40 | 55 | 95 | 99 | 102 | 95 | 96 | \$24,800 | \$34,100 | \$58,900 | \$61,380 | \$63,240 | \$58,900 | \$59,520 |
| | ion to Revive Unintentionally Abandoned Application | \$1,860.00 | \$1,860.00 | \$1,860.00 | \$1,860.00 | \$1,860.00 | \$1,860.00 | | 1,686 | 2,361 | 4,047 | 4,290 | 4,526 | 4,775 | 5,013 | \$3,135,960 | \$4,391,460 | \$7,527,420 | \$7,979,400 | \$8,418,360 | \$8,881,500 | \$9,324,180 |
| 1814 Statu | itory Disclaimer | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | \$160.00 | 26,973 | 37,762 | 64,735 | 74,934 | 86,752 | 100,449 | 116,325 | \$4,315,680 | \$6,041,920 | \$10,357,600 | \$11,989,440 | \$13,880,320 | \$16,071,840 | \$18,612,000 |
| Patent Revival Fe | ees (Large Entity) | | | | | | | | | | | | | | | \$7,476,440 | \$10,467,480 | \$17,943,920 | \$20,030,220 | \$22,361,920 | \$25,012,240 | \$27,995,700 |
| Patent Povinal Fo | ees (Small Entity) | | | | | | | | | | | | | | | | | | | | | |
| | ion to Revive Unavoidably Abandoned Application | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 71 | 98 | 169 | 176 | 181 | 168 | 170 | \$22,010 | \$30.380 | \$52,390 | \$54,560 | \$56,110 | \$52,080 | \$52,700 |
| | ion to Revive Unintentionally Abandoned Application | \$930.00 | \$930.00 | \$930.00 | \$930.00 | \$930.00 | \$930.00 | \$930.00 | 1,478 | 2,068 | 3,546 | 3,758 | 3,966 | 4,183 | 4,393 | \$1,374,540 | \$1,923,240 | \$3,297,780 | \$3,494,940 | \$3,688,380 | \$3,890,190 | \$4,085,490 |
| 2814 Statu | itory Disclaimer | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | \$80.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Patent Revival Fe | ees (Small Entity) | | | | | | | | | | | | | | | \$1,396,550 | \$1,953,620 | \$3,350,170 | \$3,549,500 | \$3,744,490 | \$3,942,270 | \$4,138,190 |
| Patent Revival Ed | ees (Micro Entity) | | | | | | | | | | | | | | | | | | | | | |
| | ion to Revive Unavoidably Abandoned Application | | | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | | 42 | 42 | 44 | 45 | 42 | 43 | \$0 | \$13,020 | \$13,020 | \$13,640 | \$13,950 | \$13,020 | \$13,330 |
| | ion to Revive Unintentionally Abandoned Application | | | \$930.00 | \$930.00 | \$930.00 | \$930.00 | \$930.00 | | 886 | 886 | 940 | 991 | 1,046 | 1,098 | \$0 | \$823,980 | \$823,980 | \$874,200 | \$921,630 | \$972,780 | \$1,021,140 |
| | ees (Micro Entity) | | | | | | | | | | | | | | | \$0 | \$837,000 | \$837,000 | \$887,840 | \$935,580 | \$985,800 | \$1,034,470 |
| Total Patent Revi | rival Fees | | | | | | | | | | | | | | | \$8,872,990 | \$13,258,100 | \$22,131,090 | \$24,467,560 | \$27,041,990 | \$29,940,310 | \$33,168,360 |
| PCT Application I | Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | | |
| | g of PCT National Stage Application | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | \$380.00 | 31,281 | 23,632 | 54,913 | 57,412 | 59,730 | 62,129 | 65,235 | \$11,886,780 | \$8,980,160 | \$20,866,940 | \$21,816,560 | \$22,697,400 | \$23,609,020 | \$24,789,300 |
| | National Stage Search - All Other Situations | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | \$620.00 | | 335 | 253 | 588 | 614 | 639 | 665 | 698 | \$207,700 | \$156,860 | \$364,560 | \$380,680 | \$396,180 | \$412,300 | \$432,760 |
| | National Stage Search - USPTO is ISA or IPEA and Al | \$0.00 \$120.00 | \$0.00 \$120.00 | \$0.00 \$120.00 | \$0.00 | \$0.00 | \$0.00 \$120.00 | \$0.00 | 385 1,386 | 423 1,030 | 808 | 808 | 808 | 808 | 808 2,870 | \$166.220 | \$0 | \$0 \$289,920 | \$0 | \$0 | \$0 \$328,080 | \$0 |
| | National Stage Search - USPTO is ISA National Stage Search - Search Report Prepared and | \$120.00 | \$120.00 | \$120.00 | \$120.00 \$490.00 | \$120.00 \$490.00 | \$120.00 | \$120.00 \$490.00 | 29,404 | 22,214 | 2,416 51,618 | 2,526 53,967 | 2,628 56,146 | 2,734 58,401 | 61,321 | \$166,320 \$14,407,960 | \$123,600 \$10,884,860 | \$289,920 | \$303,120 \$26,443,830 | \$315,360 \$27,511,540 | \$328,080 | \$344,400 \$30,047,290 |
| | National Stage Examination - All Other Situations | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 31,466 | 23,392 | 54,858 | 57,355 | 59,670 | 62,067 | 65,170 | \$7,866,500 | \$5,848,000 | \$13,714,500 | \$14,338,750 | \$14,917,500 | \$15,516,750 | \$16,292,500 |
| | National Stage Examination - USPTO is IPEA and All | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 385 | 423 | 808 | 808 | 808 | 808 | 808 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | pendent Claims in Excess of Three | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | \$250.00 | 13,024 | 9,683 | 22,707 | 23,740 | 24,698 | 25,690 | 26,975 | \$3,256,000 | \$2,420,750 | \$5,676,750 | \$5,935,000 | \$6,174,500 | \$6,422,500 | \$6,743,750 |
| | Claims in Excess of Twenty iple Dependent Claims | \$60.00 \$450.00 | \$60.00 \$450.00 | \$60.00 \$450.00 | \$60.00 \$450.00 | \$60.00 \$450.00 | \$60.00 \$450.00 | \$60.00 \$450.00 | 74,499 1,802 | 56,138 1,361 | 130,637 3,163 | 146,308 3,307 | 151,569 3,440 | 157,014 3,579 | 164,065 3,758 | \$4,469,940 \$810,900 | \$3,368,280 \$612,450 | \$7,838,220 \$1,423,350 | \$8,778,480 \$1,488,150 | \$9,094,140 \$1,548,000 | \$9,420,840 \$1,610,550 | \$9,843,900 \$1,691,100 |
| | ch or Examination Fee, Oath or Declaration After 30 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 13,911 | 10,341 | 24,252 | 25,356 | 26,379 | 27,439 | 28,811 | \$1,808,430 | \$1,344,330 | \$3,152,760 | \$3,296,280 | \$3,429,270 | \$3,567,070 | \$3,745,430 |
| 1618 Englis | sh Translation After 30 Months from Priority Date | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 1,065 | 760 | 1,825 | 1,917 | 2,012 | 2,113 | 2,218 | \$138,450 | \$98,800 | \$237,250 | \$249,210 | \$261,560 | \$274,690 | \$288,340 |
| | National Stage Application Size Fee | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | 2,195 | 1,658 | 3,853 | 4,028 | 4,191 | 4,359 | 4,577 | \$680,450 | \$513,980 | \$1,194,430 | \$1,248,680 | \$1,299,210 | \$1,351,290 | \$1,418,870 |
| PCT Application I | Fees (Large Entity) | | | | | | | | | | | | | | | \$45,699,430 | \$34,352,070 | \$80,051,500 | \$84,278,740 | \$87,644,660 | \$91,129,580 | \$95,637,640 |
| PCT Application I | Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | | |
| | g of PCT National Stage Application | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | 7,999 | 6,067 | 14,066 | 14,706 | 15,299 | 15,914 | 16,710 | \$1,519,810 | \$1,152,730 | \$2,672,540 | \$2,794,140 | \$2,906,810 | \$3,023,660 | \$3,174,900 |
| | National Stage Search - All Other Situations | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | | 85 | 65 | 150 | 158 | 164 | 170 | 178 | \$26,350 | \$20,150 | \$46,500 | \$48,980 | \$50,840 | \$52,700 | \$55,180 |
| 2640 PCT N | National Stage Search - USPTO is ISA or IPEA and Al | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | 65 | | | 139 | 139 | 139 | 139 | \$0 | | | | \$0 | \$0 | \$0 |
| | National Stage Search - USPTO is ISA | \$60.00 \$245.00 | \$60.00 \$245.00 | \$60.00 \$245.00 | \$60.00 \$245.00 | \$60.00 \$245.00 | \$60.00 \$245.00 | | 1,412 6,479 | 1,050 4,914 | 2,462 11,393 | 2,574 11,911 | 2,678 12,392 | 2,785 12,890 | 2,924 13,534 | \$84,720 \$1,587,355 | \$63,000 \$1,203,930 | \$147,720 \$2,791,285 | \$154,440 \$2,918,195 | \$160,680 \$3,036,040 | \$167,100 \$3,158,050 | \$175,440 \$3,315,830 |
| 2641 PCT N | National Stage Search - Search Report Prepared and | 3443.00 | | | \$125.00 | \$125.00 | \$125.00 | | 7,987 | 5,938 | 13,925 | 14,558 | 15,146 | 15,754 | 16,542 | \$998,375 | \$1,203,930 | \$1,740,625 | \$1,819,750 | \$1,893,250 | \$1,969,250 | \$2,067,750 |
| 2641 PCT N 2642 PCT N | National Stage Search - Search Report Prepared and National Stage Examination - All Other Situations | \$125.00 | \$125.00 | \$125.00 | | | | | | | 139 | 139 | 139 | 139 | 139 | \$0 | | | | | | \$0 |
| 2641 PCT N 2642 PCT N 2633 PCT N | | | \$125.00 \$0.00 | \$125.00 \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | 65 | 74 | | | | | | | | | γU | \$0 | \$0 | |
| 2641 PCT N 2642 PCT N 2633 PCT N 2643 PCT N 2614 Indep | National Stage Examination - All Other Situations National Stage Examination - USPTO is IPEA and All pendent Claims in Excess of Three | \$125.00 \$0.00 \$125.00 | \$0.00 \$125.00 | \$0.00 \$125.00 | \$0.00 \$125.00 | \$125.00 | \$125.00 | \$125.00 | 4,353 | 3,235 | 7,588 | 7,934 | 8,254 | 8,586 | 9,014 | \$544,125 | \$404,375 | \$948,500 | \$991,750 | \$1,031,750 | \$1,073,250 | \$1,126,750 |
| 2641 PCT N 2642 PCT N 2633 PCT N 2643 PCT N 2644 Indep 2615 Total | National Stage Examination - All Other Situations National Stage Examination - USPTO is IPEA and All pendent Claims in Excess of Three I Claims in Excess of Twenty | \$125.00 \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 | \$125.00 \$30.00 | \$125.00 \$30.00 | \$125.00 \$30.00 | 4,353 36,375 | 3,235 27,591 | 7,588 63,966 | 7,934 66,876 | 69,576 | 72,370 | 75,989 | \$544,125 \$1,091,250 | \$404,375 \$827,730 | \$948,500 \$1,918,980 | \$991,750 \$2,006,280 | \$1,031,750 \$2,087,280 | \$1,073,250 \$2,171,100 | \$2,279,670 |
| 2641 PCT N 2642 PCT N 2643 PCT N 2643 PCT N 2644 Indep 2615 Total 2616 Multi | National Stage Examination - All Other Situations National Stage Examination - USPTO is IPEA and All pendent Claims in Excess of Three I Claims in Excess of Twenty iple Dependent Claims | \$125.00 \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 | 4,353 36,375 569 | 3,235 27,591 433 | 7,588 63,966 1,002 | 7,934 66,876 1,047 | 69,576 1,090 | 72,370 1,133 | 75,989 1,190 | \$544,125 \$1,091,250 \$128,025 | \$404,375 \$827,730 \$97,425 | \$948,500 \$1,918,980 \$225,450 | \$991,750 \$2,006,280 \$235,575 | \$1,031,750 \$2,087,280 \$245,250 | \$1,073,250 \$2,171,100 \$254,925 | \$2,279,670 \$267,750 |
| 2641 PCT N 2642 PCT N 2633 PCT N 2643 PCT N 2614 Indep 2615 Total 2616 Multi 2617 Searce | National Stage Examination - All Other Situations National Stage Examination - USPTO is IPEA and All pendent Claims in Excess of Three I Claims in Excess of Twenty | \$125.00 \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 | \$125.00 \$30.00 | \$125.00 \$30.00 \$225.00 | 4,353 36,375 | 3,235 27,591 | 7,588 63,966 | 7,934 66,876 | 69,576 | 72,370 | 75,989 | \$544,125 \$1,091,250 | \$404,375 \$827,730 \$97,425 | \$948,500 \$1,918,980 \$225,450 | \$991,750 \$2,006,280 \$235,575 | \$1,031,750 \$2,087,280 | \$1,073,250 \$2,171,100 | \$2,279,670 |
| 2641 PCT N 2642 PCT N 2633 PCT N 2643 PCT N 2614 Indep 2615 Total 2616 Multi 2617 Searc 2618 Englis | National Stage Examination - All Other Situations National Stage Examination - USPTO is IPEA and All bendent Claims in Excess of Three (Claims in Excess of Twenty iple Dependent Claims th or Examination Fee, Oath or Declaration After 3 | \$125.00 \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$0.00 \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 | \$125.00 \$30.00 \$225.00 \$65.00 | 4,353 36,375 569 3,888 | 3,235 27,591 433 2,891 | 7,588 63,966 1,002 6,779 | 7,934 66,876 1,047 7,088 | 69,576 1,090 7,374 | 72,370 1,133 7,670 | 75,989 1,190 8,054 | \$544,125 \$1,091,250 \$128,025 \$252,720 | \$404,375 \$827,730 \$97,425 \$187,915 | \$948,500 \$1,918,980 \$225,450 \$440,635 \$0 | \$991,750 \$2,006,280 \$235,575 \$460,720 \$0 | \$1,031,750 \$2,087,280 \$245,250 \$479,310 | \$1,073,250 \$2,171,100 \$254,925 \$498,550 | \$2,279,670 \$267,750 |

| | A | В | С | D | E | F | G | Н | 1 | J | K | Г | M | N | 0 | Р | Q | R | S | T | U |
|------------|---|--------------|------------|------------|------------|-------------------|------------|-----------|------------|-------------------|-------------|-------------------|-------------|-------------|--------------|--------------|---|---------------|---|-----------------|---------------|
| | | | | | | | | | | =(H+I) | | | | | =(B*H) | =(C*I) | =(O+P) | =(D*K) | =(E*L) | +(F*M) | =(G*N) |
| | | 10/1/12- | 3/1/13- | | | | | 10/1/12- | 3/1/13- | | | | | | 10/1/12- | 3/1/13- | | | | | |
| | | 2/28/13 | 9/30/13 | | | | | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| Code | Tee nates | Tee Nates | Tee nates | ree nates | i ee nates | i ee nates | Tee Nates | Workload | VVOI KIOAU | VVOI KIOBU | Workload | Workload | VVOI KIOBU | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| | | | | | | | | | | | | | | | | | | | | | |
| | ation Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | |
| | Filing of PCT National Stage Application | | \$190.00 | \$190.00 | \$190.00 | \$190.00 | \$190.00 | | 3,516 | 3,516 | 3,676 | 3,825 | 3,978 | 4,177 | | \$668,040 | \$668,040 | \$698,440 | \$726,750 | \$755,820 | \$793,630 |
| 3632 | PCT National Stage Search - All Other Situations | | \$310.00 | \$310.00 | \$310.00 | \$310.00 | \$310.00 | | 38 | 38 | 39 | 41 | 43 | 45 | | \$11,780 | \$11,780 | \$12,090 | \$12,710 | \$13,330 | \$13,950 |
| 3640 | PCT National Stage Search - USPTO is ISA or IPEA and All Claims Satisfy P | CT Article | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | 63 | 63 | 63 | 63 | 63 | 63 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3641 | PCT National Stage Search - USPTO is ISA | | \$60.00 | \$60.00 | \$60.00 | \$60.00 | \$60.00 | | 615 | 615 | 643 | 669 | 696 | 731 | | \$36,900 | \$36,900 | \$38,580 | \$40,140 | \$41,760 | \$43,860 |
| 3642 | PCT National Stage Search - Search Report Prepared and Provided to US | PTO | \$245.00 | \$245.00 | \$245.00 | \$245.00 | \$245.00 | | 2,848 | 2,848 | 2,978 | 3,098 | 3,223 | 3,384 | | \$697,760 | \$697,760 | \$729,610 | \$759,010 | \$789,635 | \$829,080 |
| 3633 | PCT National Stage Examination - All Other Situations | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 3,481 | 3,481 | 3,640 | 3,787 | 3,939 | 4,136 | | \$435,125 | \$435,125 | \$455,000 | \$473,375 | \$492,375 | \$517,000 |
| 3643 | PCT National Stage Examination - USPTO is IPEA and All Claims Satisfy PC | CT Article | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | | 63 | 63 | 63 | 63 | 63 | 63 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3614 | Independent Claims in Excess of Three | | \$125.00 | \$125.00 | \$125.00 | \$125.00 | \$125.00 | | 1,897 | 1,897 | 1,983 | 2,063 | 2,146 | 2,254 | | \$237,125 | \$237,125 | \$247,875 | \$257,875 | \$268,250 | \$281,750 |
| 3615 | Total Claims in Excess of Twenty | | \$30.00 | \$30.00 | \$30.00 | \$30.00 | \$30.00 | | 15,991 | 15,991 | 16,719 | 17,394 | 18,093 | 18,997 | | \$479,730 | \$479,730 | \$501,570 | \$521,820 | \$542,790 | \$569,910 |
| 3616 | Multiple Dependent Claims | | \$225.00 | \$225.00 | \$225.00 | \$225.00 | \$225.00 | | 250 | 250 | 262 | 272 | 283 | 297 | | \$56,250 | \$56,250 | \$58,950 | \$61,200 | \$63,675 | \$66,825 |
| 3617 | Search or Examination Fee, Oath or Declaration After 30 Months from P | riority Date | \$65.00 | \$65.00 | \$65.00 | \$65.00 | \$65.00 | | 1,695 | 1,695 | 1,772 | 1,843 | 1,918 | 2,013 | | \$110,175 | \$110,175 | \$115,180 | \$119,795 | \$124,670 | \$130,845 |
| 3618 | English Translation After 30 Months from Priority Date | | | | | | | | 112 | 112 | 117 | 123 | 129 | 136 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3681 | PCT National Stage Application Size Fee | | \$155.00 | \$155.00 | \$155.00 | \$155.00 | \$155.00 | | 289 | 289 | 302 | 315 | 327 | 344 | | \$44,795 | \$44,795 | \$46,810 | \$48,825 | \$50,685 | \$53,320 |
| PCT Applic | ation Fees (Micro Entity) | | | | | | | | | | | | | | \$0 | \$2,777,680 | \$2,777,680 | \$2,904,105 | \$3,021,500 | \$3,142,990 | \$3,300,170 |
| | | | | | | | | | | | | | | | | | | | | | |
| PCT Proce | ssing Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | |
| | PCT Transmittal Fee \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | 16,149 | 22,608 | 38,757 | 40,307 | 41,919 | 43,596 | 45,340 | \$3,875,760 | \$5,425,920 | \$9,301,680 | \$9,673,680 | \$10,060,560 | \$10,463,040 | \$10,881,600 |
| 1602 | PCT Search Fee - No Prior US Application \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | 5,542 | 7,759 | 13,301 | 13,832 | 14,385 | 14,962 | 15,560 | \$11,527,360 | \$16,138,720 | \$27,666,080 | \$28,770,560 | \$29,920,800 | \$31,120,960 | \$32,364,800 |
| 1603 | PCT Search Fee - Prior US Application Filed \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1604 | Supplemental Search per Additional Invention \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | 161 | 227 | 388 | 403 | 419 | 436 | 453 | \$334,880 | \$472,160 | \$807,040 | \$838,240 | \$871,520 | \$906,880 | \$942,240 |
| | PCT - Preliminary Examination (USPTO is ISA) \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 465 | 650 | 1,115 | 1,115 | 1,115 | 1,115 | 1,115 | \$279,000 | \$390,000 | \$669,000 | \$669,000 | \$669,000 | \$669,000 | \$669,000 |
| 1606 | PCT - Preliminary Examination (USPTO is not ISA) \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | 134 | 188 | 322 | 322 | 322 | 322 | 322 | \$100,500 | \$141,000 | \$241,500 | \$241,500 | \$241,500 | \$241,500 | \$241,500 |
| 1607 | Supplemental Examination per Additional Invention \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | 4 | 4 | 8 | 8 | 8 | 8 | 8 | \$2,400 | \$2,400 | \$4.800 | \$4,800 | \$4,800 | \$4,800 | \$4.800 |
| 1619 | PCT - Late Payment variable | variable | variable | variable | variable | variable | variable | | \$223,985 | \$223,985 | \$52,488 | \$118,188 | \$186,512 | \$257,573 | \$0 | \$223,985 | \$223,985 | \$52,488 | \$118,188 | \$186,512 | \$257,573 |
| | Transmitting Application to International Bureau to Act \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | 35 | 40 | 75 | 75 | 75 | 75 | 75 | \$8,400 | \$9,600 | \$18,000 | \$18,000 | \$18,000 | \$18,000 | \$18,000 |
| | Suspense Account for PCT Payments* variable | variable | variable | variable | variable | variable | variable | \$500,000 | \$500,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$0 | \$0 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 |
| | ssing Fees (Large Entity) | | | | 10 | | | 7000,000 | 7000,000 | + = / = = / = = = | 72,000,000 | + = / = = / = = = | +=/=== | + 1,000,000 | \$16,128,300 | \$22,803,785 | \$39,932,085 | \$41,268,268 | \$42,904,368 | \$44,610,692 | \$46,379,513 |
| | 8 3 3 4 4 6 4 7 7 | | | | | | | | | | | | | | , , , ,,,,,, | , ,, | , | , , , , , , , | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | , ,, ,,,,, | |
| PCT Proce | ssing Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | |
| | PCT Transmittal Fee | | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | | 9.472 | 9.472 | 9.850 | 10,245 | 10.654 | 11,081 | | \$2,273,280 | \$2,273,280 | \$2,364,000 | \$2,458,800 | \$2,556,960 | \$2,659,440 |
| | PCT Search Fee - No Prior US Application | | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | | | 3,251 | 3,251 | 3,380 | 3,516 | 3,656 | 3,803 | | \$6,762,080 | \$6,762,080 | \$7,030,400 | \$7,313,280 | \$7,604,480 | \$7,910,240 |
| 2603 | PCT Search Fee - Prior US Application Filed | | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | | 0 | 0 | 0 | 0 | 0 | 0 | | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2604 | Supplemental Search per Additional Invention | | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | . , | | 95 | 95 | 98 | 102 | 107 | 111 | | \$197.600 | \$197.600 | \$203.840 | \$212.160 | \$222,560 | \$230.880 |
| 2605 | PCT - Preliminary Examination (USPTO is ISA) | | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | | 273 | 273 | 273 | 273 | 273 | 273 | | \$163.800 | \$163,800 | \$163,800 | \$163.800 | \$163.800 | \$163,800 |
| 2606 | PCT - Preliminary Examination (USPTO is not ISA) | | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | | 79 | 79 | 79 | 79 | 79 | 79 | | \$59,250 | \$59,250 | \$59,250 | \$59,250 | \$59,250 | \$59,250 |
| 2607 | Supplemental Examination per Additional Invention | | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | | 2 | 2 | 2 | 2 | 2 | 2 | | \$1,200 | \$1,200 | \$1,200 | \$1,200 | \$1,200 | \$1,200 |
| | Transmitting Application to International Bureau to Act as Receiving Offi | ice | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | | 17 | 17 | 17 | 17 | 17 | 17 | | \$4,080 | \$4,080 | \$4,080 | \$4,080 | \$4,080 | \$4,080 |
| | ssing Fees (Small Entity) | | Ç2-10.00 | Ç2-10.00 | Ç2-10.00 | Ç <u>2</u> -10.00 | \$240.00 | | 17 | 17 | - 17 | 17 | - 17 | | \$0 | \$9,457,210 | \$9,457,210 | \$9,822,490 | \$10,208,490 | \$10,608,250 | \$11,024,810 |
| . 5. 11000 | | | | | | | | | | | | | | | Ş0 | Ç5,457,210 | φ3,π31, 21 0 | \$5,022,430 | Q20,200,430 | Ç10,000,200 | \$11,024,010 |
| PCT Proce | ssing Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | |
| | PCT Transmittal Fee | | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | | 2,368 | 2,368 | 2,463 | 2,561 | 2,664 | 2,770 | | \$568,320 | \$568,320 | \$591,120 | \$614,640 | \$639,360 | \$664.800 |
| | PCT Search Fee - No Prior US Application | | \$2.080.00 | \$2.080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | | 813 | 813 | 845 | 879 | 914 | 951 | | \$1,691,040 | \$1,691,040 | \$1,757,600 | \$1,828,320 | \$1,901,120 | \$1,978,080 |
| | PCT Search Fee - Prior US Application Filed | | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | | 0 | 013 | 0 | 0/3 n | 0 | 221 | | \$1,031,040 | \$1,091,040 | \$1,737,000 | \$1,828,320 | \$1,901,120 | ¢n |
| 3604 | Supplemental Search per Additional Invention | | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | \$2,080.00 | | 24 | 24 | 25 | 26 | 27 | 28 | | \$49,920 | \$49,920 | \$52,000 | \$54,080 | \$56,160 | \$58,240 |
| 3605 | PCT - Preliminary Examination (USPTO is ISA) | | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | | 68 | 68 | 68 | 68 | 68 | 50 60 | | \$40,800 | \$40,800 | \$40,800 | \$40,800 | \$40,800 | \$40,800 |
| 3606 | PCT - Preliminary Examination (USPTO is 15A) | | \$750.00 | \$750.00 | \$750.00 | \$750.00 | \$750.00 | | 20 | 20 | 20 | 20 | 20 | 20 | | \$15,000 | \$40,800 | \$15,000 | \$15,000 | \$15,000 | \$15,000 |
| 3607 | | | \$600.00 | \$600.00 | \$600.00 | \$600.00 | \$600.00 | | 20 | 20 | 0 | 20 | 20 | 20 | | \$15,000 | \$15,000 | \$15,000 | \$15,000 | \$15,000 \$0 | \$15,000 |
| | Supplemental Examination per Additional Invention Transmitting Application to International Pursuant Act as Receiving Office | ico | | | | | \$600.00 | | U | 0 | ŭ | 0 | U | 0 | | ΨŪ | | | \$1,920 | | \$1,920 |
| | Transmitting Application to International Bureau to Act as Receiving Offi | ice | \$240.00 | \$240.00 | \$240.00 | \$240.00 | \$240.00 | | 8 | 8 | 8 | 8 | 8 | 8 | 4.0 | \$1,920 | \$1,920 | \$1,920 | | \$1,920 | |
| | ssing Fees (Micro Entity) | | | | | | | | | | | | | | \$0 | \$2,365,080 | \$2,365,080 | \$2,456,520 | \$2,552,840 | \$2,652,440 | \$2,756,920 |
| Total PCT | -ees | | | | | | | | | | | | | | \$68,162,450 | \$76,532,675 | \$145,695,125 | \$152,347,503 | \$158,418,058 | \$164,715,587 | \$172,298,803 |

| A | В | З С | | D | E | F | G | н | I | J | K | L | М | N | 0 | P (27) | Q | R | S | T (=+++) | U |
|--|-------------------|---------------------------------|------------|----------------------|---------------------------|---------------------------|---------------------------|------------|--------------|----------------------|----------------------|----------------------|----------------------|----------------------|--------------------------|--------------------------|----------------------------|---|----------------------------|----------------------------|----------------------------|
| | 10/1 | ./12- 3/1/1 | 12 | | | | | 10/1/12- | 3/1/13- | =(H+I) | | | | | =(B*H) 10/1/12- | =(C*I) 3/1/13- | =(O+P) | =(D*K) | =(E*L) | +(F*M) | =(G*N) |
| | 2/28 | | | | | | | 2/28/13 | 9/30/13 | Aggrogato | Aggrogato | Aggrogato | Aggragata | Aggrogato | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggrogato | Aggrogato | Aggrogato |
| Fee FY 20: | | | | 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | Aggregate FY 2013 | Aggregate FY 2014 | Aggregate FY 2015 | Aggregate FY 2016 | Aggregate FY 2017 | 2/28/13 FY 2013 | FY 2013 | FY 2013 | Aggregate FY 2014 | Aggregate FY 2015 | Aggregate FY 2016 | Aggregate FY 2017 |
| Code Fee Ra | | | | Rates | Fee Rates | Fee Rates | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| | | | | | | | | | | | | | | | | | | | | | |
| Other Patent Processing Fees (Large Entity) | | | | | | | | | | | | | | | | | | | | | |
| | 30.00 \$1 | 130.00 \$13 | 30.00 \$ | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 654 | 916 | 1,570 | 1,497 | 1,428 | 1,361 | 1,298 | \$85,020 | \$119,080 | \$204,100 | \$194,610 | \$185,640 | \$176,930 | \$168,740 |
| 1451 Petition to Institute a Public Use Proceeding \$1,51 | .0.00 \$1,5 | 510.00 \$1,51 | 10.00 \$1, | ,510.00 | \$1,510.00 | \$1,510.00 | \$1,510.00 | 3 | 5 | 8 | 8 | 8 | 8 | 8 | \$4,530 | \$7,550 | \$12,080 | \$12,080 | \$12,080 | \$12,080 | \$12,080 |
| 1454 Acceptance of an Unintentionally Delayed Claim for Prio \$1,41 | . , | | | ,410.00 | \$1,410.00 | \$1,410.00 | \$1,410.00 | 287 | 402 | 689 | 677 | 665 | 653 | | \$404,670 | \$566,820 | \$971,490 | \$954,570 | \$937,650 | \$920,730 | \$905,220 |
| | • | | | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 570 | 797 | 1,367 | 1,367 | 1,367 | 11,367 | | \$114,000 | \$159,400 | \$273,400 | \$273,400 | \$273,400 | \$2,273,400 | \$4,273,400 |
| 1456 Request for Reinstatement of Term Reduced \$40 1457 Extension of Patent Term \$1,12 | | | | ,120.00 | \$400.00 \$1,120.00 | \$400.00 \$1,120.00 | \$400.00 \$1,120.00 | 25 | 6 35 | 10 60 | 10 60 | | 10 60 | | \$1,600 \$28,000 | \$2,400 \$39,200 | \$4,000 \$67,200 | \$4,000 \$67,200 | \$4,000 \$67,200 | \$4,000 \$67,200 | \$4,000 \$67,200 |
| | | | | \$420.00 | \$420.00 | \$420.00 | \$420.00 | 0 | 1 | 1 | 1 | 1 | 1 | | \$28,000 | \$420 | \$420 | \$420 | \$420 | \$420 | \$420 |
| | | | | \$220.00 | \$220.00 | \$220.00 | \$220.00 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | \$0 | \$220 | \$220 | \$220 | \$220 | \$220 | \$220 |
| , , , | | 400.00 \$40 | | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 965 | 1,352 | 2,317 | 2,432 | 2,554 | 2,682 | | \$386,000 | \$540,800 | \$926,800 | \$972,800 | \$1,021,600 | \$1,072,800 | \$1,126,400 |
| · · · · · | | | | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 2,463 | 3,449 | 5,912 | 6,900 | 8,053 | 9,398 | | \$492,600 | \$689,800 | \$1,182,400 | \$1,380,000 | \$1,610,600 | \$1,879,600 | \$2,193,800 |
| ` ' ' | | | | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 1,962 | 2,746 | 4,708 | 4,032 | 3,452 | 2,956 | | \$255,060 | \$356,980 | \$612,040 | \$524,160 | \$448,760 | \$384,280 | \$329,160 |
| Provide the second seco | | | | \$900.00 | \$900.00 \$920.00 | \$900.00 \$920.00 | \$900.00 \$920.00 | 77 1 | 104 | 181 | 180 | 180 | 179 | 182 | \$69,300 \$920 | \$93,600 \$1,840 | \$162,900 \$2,760 | \$162,000 \$2,760 | \$162,000 \$2,760 | \$161,100 \$2,760 | \$163,800 \$2,760 |
| 1805 Request for Publication of SIR - After Examiner Action \$1,84 | | | | ,840.00 | \$1,840.00 | \$1,840.00 | \$1,840.00 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | \$0 | \$1,840 | \$1,840 | \$1,840 | \$2,700 | \$0 | \$2,700 |
| | | | | \$180.00 | \$180.00 | \$180.00 | \$180.00 | 37,796 | 52,914 | 90,710 | 94,165 | 97,751 | 101,475 | 105,339 | \$6,803,280 | \$9,524,520 | \$16,327,800 | \$16,949,700 | \$17,595,180 | \$18,265,500 | \$18,961,020 |
| | | | | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 1,075 | 1,505 | 2,580 | 2,811 | 3,064 | 3,339 | | \$53,750 | \$75,250 | \$129,000 | \$140,550 | \$153,200 | \$166,950 | \$181,950 |
| | | | | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 4,916 | 6,883 | 11,799 | 12,980 | 14,279 | 15,708 | | \$491,600 | \$688,300 | \$1,179,900 | \$1,298,000 | \$1,427,900 | \$1,570,800 | \$1,728,000 |
| 1812 Request for Ex Partes Reexamination \$2,55 XXXX Reexamination Petition \$1,93 | | 760.00 \$17,76 930.00 \$1,93 | | ,760.00 | \$17,760.00 \$1,930.00 | \$17,760.00 \$1,930.00 | \$17,760.00 \$1,930.00 | 233 336 | 232 336 | 465 672 | 465 671 | 465 671 | 465 671 | | \$4,138,080 \$648,480 | \$4,120,320 \$648,480 | \$8,258,400 \$1,296,960 | \$8,258,400 \$1,295,030 | \$8,258,400 \$1,295,030 | \$8,258,400 \$1,295,030 | \$8,258,400 \$1,295,030 |
| | | | | ,320.00 | \$1,930.00 | \$1,930.00 | \$1,930.00 | 26 | 27 | 53 | 53 | | | | \$648,480 | \$116,640 | \$1,296,960 | \$1,295,030 | \$1,295,030 | \$1,295,030 | \$1,295,030 |
| XXXX Refunded Request for Ex Parte Reexamination (\$1,65 | | 440.00) (\$13,44 | | ,440.00) | (\$13,440.00) | (\$13,440.00) | (\$13,440.00) | 26 | 27 | 53 | 53 | | 53 | | (\$349,440) | (\$362,880) | (\$712,320) | (\$712,320) | (\$712,320) | (\$712,320) | (\$712,320) |
| XXXX Supplemental Examination Request \$5,1 | 40.00 \$5, | ,140.00 \$5,1 | 140.00 \$5 | 5,140.00 | \$5,140.00 | \$5,140.00 | \$5,140.00 | 715 | 715 | 1,430 | 1,430 | 1,430 | 1,430 | 1,430 | \$3,675,100 | \$3,675,100 | \$7,350,200 | \$7,350,200 | \$7,350,200 | \$7,350,200 | \$7,350,200 |
| XXXX Supplemental Examination Reexamination \$16,1 | | , , , | | 6,120.00 | \$16,120.00 | \$16,120.00 | \$16,120.00 | 715 | 715 | 1,430 | 1,430 | 1,430 | 1,430 | | \$11,525,800 | \$11,525,800 | \$23,051,600 | \$23,051,600 | \$23,051,600 | \$23,051,600 | \$23,051,600 |
| | | | | \$170.00 | \$170.00 | \$170.00 | \$170.00 | 64 | 63 | 127 12 | 127 | 127 | 127 | | \$10,880 | \$10,710 | \$21,590 | \$21,590 | \$21,590 | \$21,590 | \$21,590 |
| | 80.00 \$ 00.00 | \$280.00 \$2 | 280.00 | \$280.00 | \$280.00 | \$280.00 | \$280.00 | 0 | 0 | 12 | 12 | 12 | 12 0 | | \$1,680 \$0 | \$1,680 \$0 | \$3,360 \$0 | \$3,360 \$0 | \$3,360 \$0 | \$3,360 \$0 | \$3,360 |
| 17,000 | | \$10.00 \$1 | 10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | 15 | 20 | 35 | 60 | v | 178 | | \$150 | \$200 | \$350 | \$600 | \$1,040 | \$1,780 | \$3,050 |
| | | | | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 66 | 93 | 159 | 159 | 159 | 159 | | \$1,650 | \$2,325 | \$3,975 | \$3,975 | \$3,975 | \$3,975 | \$3,975 |
| 8026 Handling Fee for Incomplete or Improper Application \$13 | 30.00 \$1 | 130.00 \$13 | 30.00 \$ | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 142 | 199 | 341 | 304 | 270 | 241 | 214 | \$18,460 | \$25,870 | \$44,330 | \$39,520 | \$35,100 | \$31,330 | \$27,820 |
| · | | | | variable | variable | variable | variable | \$0 | \$45,000 | \$45,000 | \$45,000 | \$45,000 | \$45,000 | | \$0 | \$45,000 | \$45,000 | \$45,000 | \$45,000 | \$45,000 | \$45,000 |
| | | | | variable | variable | variable | variable | \$0 21 | \$1,000,000 | \$1,000,000 | \$1,000,000 | \$1,000,000 50 | \$1,000,000 | \$1,000,000 | \$0 \$8,400 | \$1,000,000 \$11,600 | \$1,000,000 \$20,000 | \$1,000,000 \$20,000 | \$1,000,000 \$20,000 | \$1,000,000 \$20,000 | \$1,000,000 |
| XXXX Derivation Proceeding \$4 Other Patent Processing Fees (Large Entity) | 5 00.00 | \$400.00 \$4 | 100.00 | \$400.00 | \$400.00 | \$400.00 | \$400.00 | 21 | 29 | 30 | 50 | 50 | 50 | 50 | \$28,981,890 | \$33.688.865 | \$62,670,755 | \$63.544.225 | \$64,504,545 | \$67,557,675 | \$20,000 \$70,714,835 |
| Other Faterice Focessing Fees (Large Entity) | | | | | | | | | | | | | | | \$20,301,030 | \$33,000,003 | \$02,070,733 | \$05,544,225 | \$04,504,545 | 401,331,013 | 770,714,033 |
| Other Patent Processing Fees (Small Entity) | | | | | | | | | | | | | | | | | | | | | |
| 2053 Non-English Specification | | | | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 125 | 175 | 300 | 286 | 273 | 260 | 248 | \$16,250 | \$22,750 | \$39,000 | \$37,180 | \$35,490 | \$33,800 | \$32,240 |
| 2451 Petition to Institute a Public Use Proceeding | | | | ,510.00 ,410.00 | \$1,510.00 \$1,410.00 | \$1,510.00 | \$1,510.00 \$1,410.00 | 50 | 64 | 2 | 2 | | | | \$1,510 | \$1,510 | \$3,020 \$160,740 | \$3,020 \$157,920 | \$3,020 | \$3,020 | \$3,020 \$149,460 |
| 2454 Acceptance of an Unintentionally Delayed Claim for Priority 2462 Petitions to the Director (Group I) | | | | \$400.00 | \$1,410.00 | \$1,410.00 \$400.00 | \$1,410.00 | 185 | 258 | 114 443 | 112 465 | 489 | 108 513 | | \$70,500 \$74,000 | \$90,240 \$103,200 | \$160,740 | \$186,000 | \$155,100 \$195,600 | \$152,280 \$205,200 | \$149,460 |
| 2463 Petitions to the Director (Group II) | | | | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 471 | 660 | 1,131 | 1,320 | 1,541 | 1,798 | | \$94,200 | \$132,000 | \$226,200 | \$264,000 | \$308,200 | \$359,600 | \$419,800 |
| 2464 Petitions to the Director (Group III) | | \$13 | 30.00 \$ | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 375 | 526 | 901 | 771 | 661 | 566 | 484 | \$48,750 | \$68,380 | \$117,130 | \$100,230 | \$85,930 | \$73,580 | \$62,920 |
| 2802 Expedited Examination of Design Application | | | | \$900.00 | \$900.00 | \$900.00 | \$900.00 | 15 | 20 | 35 | 34 | 34 | 34 | | \$13,500 | \$18,000 | \$31,500 | \$30,600 | \$30,600 | \$30,600 | \$31,500 |
| 2806 Submission of Information Disclosure Statement 2812 Request for Ex Partes Reexamination | | | | \$180.00 | \$180.00 | \$180.00 \$17,760.00 | \$180.00 \$17,760.00 | 7,231 | 10,124 54 | 17,355 | 18,016 107 | 18,702 107 | 19,415 | | \$1,301,580 \$941,280 | \$1,822,320 \$959,040 | \$3,123,900 \$1,900,320 | \$3,242,880 \$1,900,320 | \$3,366,360 \$1,900,320 | \$3,494,700 \$1,900,320 | \$3,627,720 \$1,900,320 |
| 2812 Request for Ex Partes Reexamination XXXX Reexamination Petition | | \$17,76 \$1.93 | - ' ' | ,760.00 | \$17,760.00 \$1,930.00 | \$17,760.00 | \$17,760.00 | 53 | 111 | 107 111 | 107 | | 107 111 | | \$941,280 \$0 | \$959,040 | \$1,900,320 | \$1,900,320 | \$1,900,320 | \$1,900,320 | \$1,900,320 |
| XXXX Refused Request for Ex Parte Reexamination | | | | ,320.00 | \$4,320.00 | \$4,320.00 | \$4,320.00 | J | 12 | 12 | 12 | | 12 | | \$0 | \$51,840 | \$51,840 | \$51,840 | \$51,840 | \$51,840 | \$51,840 |
| XXXX Refunded Request for Ex Parte Reexamination | | | | | (\$13,440.00) | | | | 12 | 12 | 12 | | | | \$0 | (\$161,280) | | (\$161,280) | (\$161,280) | (\$161,280) | (\$161,280) |
| XXXX Supplemental Examination Request | | | | 5,140.00 | \$5,140.00 | | \$5,140.00 | | 200 | 200 | | | | | | \$1,028,000 | | \$1,028,000 | \$1,028,000 | \$1,028,000 | \$1,028,000 |
| XXXX Supplemental Examination Reexamination | | | | 6,120.00 | \$16,120.00 | | | | 69 | 69 | 69 | | | | | \$1,112,280 | | \$1,112,280 | \$1,112,280 | \$1,112,280 | \$1,112,280 |
| XXXX Supplemental Examination Document Size Fees; 21-50 XXXX Supplemental Examination Document Size Fees; Each Additional States | 50 | | | \$170.00 \$280.00 | \$170.00 \$280.00 | \$170.00 \$280.00 | \$170.00 \$280.00 | | 3 | 3 | 3 | 3 | 3 | | | \$510 \$0 | | \$510 \$0 | \$510 \$0 | \$510 \$0 | \$510 \$0 |
| Other Patent Processing Fees (Small Entity) | ,,, | , ,2 | | 7200.00 | 720U.UU | J200.00 | J200.00 | | U | U | U | U | U | 0 | \$2,561,570 | \$5,463,020 | \$8,024,590 | \$8,167,730 | \$8,326,200 | \$8,498,680 | \$8,688,160 |
| | | | | | | | | | | | | | | | . /22 /23 | | . /2 /232 | .,.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | . /2 ./ 20 | | |
| Other Patent Processing Fees (Micro Entity) | | | | | | | | | | | | | | | | | | | | | |
| 3053 Non-English Specification | | | | \$130.00 | \$130.00 | \$130.00 | \$130.00 | | 75 | 75 | 72 | | | | | \$9,750 | | \$9,360 | \$8,840 | \$8,450 | \$8,060 |
| 3451 Petition to Institute a Public Use Proceeding 3454 Acceptance of an Unintentionally Delayed Claim for Priority | | | | ,510.00 ,410.00 | \$1,510.00 \$1,410.00 | \$1,510.00 \$1,410.00 | \$1,510.00 \$1,410.00 | | 0 51 | 51 | 50 | | | _ | | \$0 \$71,910 | \$0 \$71,910 | \$0 \$70,500 | \$0 \$69,090 | \$0 \$67,680 | \$0 \$67,680 |
| 3462 Petitions to the Director (Group I) | | | | \$400.00 | \$1,410.00 | \$1,410.00 | \$1,410.00 | | 111 | 111 | 116 | | 128 | | | \$44,400 | | \$46,400 | \$48,800 | \$51,200 | \$54,000 |
| 3463 Petitions to the Director (Group II) | | | | \$200.00 | \$200.00 | \$200.00 | \$200.00 | | 283 | 283 | | | | | | \$56,600 | | \$66,000 | \$77,000 | \$90,000 | \$105,000 |
| 3464 Petitions to the Director (Group III) | | | | \$130.00 | \$130.00 | \$130.00 | \$130.00 | | 225 | 225 | 193 | | 141 | | | \$29,250 | | \$25,090 | \$21,450 | \$18,330 | \$15,730 |
| 3802 Expedited Examination of Design Application | | | | \$900.00 | \$900.00 | \$900.00 | \$900.00 | | 9 | 9 | 9 | | | 3 | | \$8,100 | \$8,100 | \$8,100 | \$8,100 | \$8,100 | \$8,100 |
| 3806 Submission of Information Disclosure Statement | | | | \$180.00 | \$180.00 | \$180.00 | \$180.00 | | 4,339 | 4,339 | 4,504 | | | | | \$781,020 | \$781,020 | \$810,720 | \$841,680 | \$873,720 | \$907,020 |
| 3812 Request for Ex Partes Reexamination XXXX Reexamination Petition | | \$17,76 \$1.93 | | ,760.00 | \$17,760.00 \$1,930.00 | \$17,760.00 \$1,930.00 | \$17,760.00 \$1,930.00 | | 48 50 | 48 50 | 48 50 | | | | | \$852,480 \$96,500 | \$852,480 \$96,500 | \$852,480 \$96,500 | \$852,480 \$96,500 | \$852,480 \$96,500 | \$852,480 \$96,500 |
| XXXX Refused Request for Ex Parte Reexamination | | | | ,320.00 | \$4,320.00 | \$4,320.00 | \$4,320.00 | | 5 | 5 | 5 | | | | | \$21,600 | \$21,600 | \$21,600 | \$21,600 | \$21,600 | \$21,600 |
| XXXX Refunded Request for Ex Parte Reexamination | | | | ,440.00) | (\$13,440.00) | | | | 5 | 5 | 5 | | | | | (\$67,200) | | (\$67,200) | (\$67,200) | (\$67,200) | |
| XXXX Supplemental Examination Request | | | | 5,140.00 | \$5,140.00 | | \$5,140.00 | | 90 | 90 | 90 | | | | | \$462,600 | \$462,600 | \$462,600 | \$462,600 | \$462,600 | \$462,600 |
| XXXX Supplemental Examination Reexamination | | | | 6,120.00 | \$16,120.00 | | | | 14 | 14 | | | | | | \$225,680 | \$225,680 | \$225,680 | \$225,680 | \$225,680 | \$225,680 |
| XXXX Supplemental Examination Document Size Fees; 21-50 XXXX Supplemental Examination Document Size Fees; Each Additional States | :0 | | | \$170.00 \$280.00 | \$170.00 \$280.00 | \$170.00 \$280.00 | \$170.00 \$280.00 | | 1 | 1 | 0 | 0 | 0 | | | \$170 \$0 | \$170 \$0 | \$170 \$0 | \$170 \$0 | \$170 \$0 | \$170 |
| Other Patent Processing Fees (Micro Entity) | ,,, | \$2 | | 720U.UU | ⊋∠ 0U.UU | 320U.UU | ⊋∠ 0U.UU | | U | U | U | U | U | U | \$0 | \$2,592,860 | \$2,592,860 | \$2,628,000 | \$2,666,790 | \$2,709,310 | \$2,757,420 |
| Total Other Patent Processing Fees | | | | + | | | | | | | | | | | \$31,543,460 | \$41,744,745 | | \$74,339,955 | \$75,497,535 | \$78,765,665 | \$82,160,415 |
| | | • | | | | | | - | | | • | | | - | | | | | | | |

| | | Α | В | С | D | E | F | G | Н | I | J | К | L | M | N | 0 | P | Q | R | S | T | U |
|--------------|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|------------|------------|-----------|-----------|-----------------|------------------|-----------|------------------|------------------|------------------|------------------|------------------|------------------|-----------------|
| | | | | - 4. 4 | | | | | | - 4: 4: - | =(H+I) | | | | | =(B*H) | =(C*I) | =(O+P) | =(D*K) | =(E*L) | +(F*M) | =(G*N) |
| | | | 10/1/12- | 3/1/13- | | | | | 10/1/12- | 3/1/13- | | | | | | 10/1/12- | 3/1/13- | | | | | |
| _ | | | 2/28/13 | 9/30/13 | | | | | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate | 2/28/13 | 9/30/13 | Aggregate | Aggregate | Aggregate | Aggregate | Aggregate |
| Fee | | FY 2012 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2013 | FY 2013 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
| Code | | Fee Rates | Workload | Workload | Workload | Workload | Workload | Workload | Workload | Collections | Collections | Collections | Collections | Collections | Collections | Collections |
| | | | | | | | | | | | | | | | | | | | | | | |
| | rney Enrollment Fees | | | | | | | | | | | | | | | | | | | | | |
| | Application Fee | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | | 1,489 | 2,084 | 3,573 | 3,730 | 3,887 | 4,044 | 4,201 | \$59,560 | \$83,360 | \$142,920 | \$149,200 | \$155,480 | \$161,760 | \$168,040 |
| | For Test Administration by Commercial Entity For Test Administration by the USPTO | \$200.00 \$450.00 | \$200.00 \$450.00 | \$200.00 \$450.00 | \$200.00 \$450.00 | \$200.00 \$450.00 | \$200.00 | \$200.00 \$450.00 | 1,400 | 1,959 0 | 3,359 | 3,507 | 3,654 | 3,802 | 3,950 | \$280,000 \$0 | \$391,800 \$0 | \$671,800 \$0 | \$701,400 \$0 | \$730,800 \$0 | \$760,400 \$0 | \$790,000 |
| | Attorney Fee - Registration to Practice or Grant of Limit | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 833 | 1,167 | | 2,000 | 2,000 | 2,000 | 2,000 | \$83,300 | \$116,700 | \$200,000 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| | Attorney Fee - Reinstatement to Practice | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | \$100.00 | 9 | 13 | 2,000 | 22 | 22 | 22 | 2,000 | \$900 | \$1,300 | \$2,200 | \$2,200 | \$2,200 | \$2,200 | \$2,200 |
| | Attorney Fee - Certificate of Good Standing as an Attorn | \$10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | 145 | 203 | 348 | 348 | 348 | 348 | 348 | \$1,450 | \$2,030 | \$3,480 | \$3,480 | \$3,480 | \$3,480 | \$3,480 |
| | Attorney Fee - Certificate of Good Standing as an Attorn | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | 10 | 15 | 25 | 25 | 25 | 25 | 25 | \$200 | \$300 | \$500 | \$500 | \$500 | \$500 | \$500 |
| 9012 | Review of Decision by the OED Director under 11.2(c) | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 5 | 7 | 12 | 13 | 15 | 18 | 20 | \$650 | \$910 | \$1,560 | \$1,690 | \$1,950 | \$2,340 | \$2,600 |
| 9013 | Review of Decision of the OED Director under 11.2(d) | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 3 | 3 | 6 | 9 | 9 | 9 | 9 | \$390 | \$390 | \$780 | \$1,170 | \$1,170 | \$1,170 | \$1,170 |
| | Annual Fee for Registered Attorney or Agent, Active Sta | \$118.00 | \$118.00 | \$118.00 | \$118.00 | \$118.00 | \$118.00 | \$118.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | | | \$0 | \$0 | \$0 |
| | Annual Fee for Registered Attorney or Agent in Volunta | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 0 | | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | | | \$0 | \$0 | \$0 |
| | Requesting Restoration to Active Status from Voluntary | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | | | \$0 | \$0 | \$0 |
| | Balance of Annual Fee Due Upon Restoration to active \$ Annual Fee for Individual Granted Limited Recognition | \$93.00 \$118.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 \$0 | \$0 \$0 | • | | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| | Delinquency | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | 0 | | <u> </u> | 0 | 0 | 0 | 0 | \$0 | \$0 | | | \$0 | \$0 | \$0 \$0 |
| 9014 | Application Fee for Person Disciplined, Convicted of a Fe | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | \$1,600.00 | 5 | 8 | 13 | 13 | 13 | 13 | 13 | \$8,000 | \$12,800 | \$20,800 | \$20,800 | \$20,800 | \$20,800 | \$20,800 |
| | Unspecified other services, excluding labor | variable | \$0 | \$1,287 | \$1,287 | \$1,287 | \$1,287 | \$1,287 | \$1,287 | \$0 | \$1,287 | \$1,287 | \$1,287 | \$1,287 | \$1,287 | \$1,287 |
| | Attorney Fee - Registration to Practice or Grant of Limit | \$100.00 | \$110.00 | \$110.00 | \$110.00 | \$110.00 | \$110.00 | \$110.00 | 250 | 350 | 600 | 600 | 600 | 600 | 600 | \$27,500 | \$38,500 | \$66,000 | \$66,000 | \$66,000 | \$66,000 | \$66,000 |
| Patent Att | rney Enrollment Fees | | | | | | | | | | | | | | | \$461,950 | \$649,377 | \$1,111,327 | \$1,147,727 | \$1,183,667 | \$1,219,937 | \$1,256,077 |
| | | | | | | | | | | | | | | | | | | | | | | |
| Patent Ser | | | | | | | | | | | | | | | | | | | | | | |
| | Printed Copy of Patent without Color | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | | 54,662 | 76,527 | 131,189 | 131,189 | 131,189 | 131,189 | 131,189 | \$163,986 | \$229,581 | \$393,567 | \$393,567 | \$393,567 | \$393,567 | \$393,567 |
| | Printed Copy of Patent in Color | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | 149 | 208 | 357 | 357 | 357 | 357 | 357 | \$2,235 | \$3,120 | \$5,355 | \$5,355 | \$5,355 | \$5,355 | \$5,355 |
| | Color Copy of Patent (Other than Plant) or SIR with Color Patent Application Publication | \$25.00 \$3.00 | \$25.00 \$3.00 | \$25.00 \$3.00 | \$25.00 \$3.00 | \$25.00 \$3.00 | \$25.00 \$3.00 | | 1,105 | 1,548 | | 2,008 | 1,520 | 0 1,151 | 871 | \$25 \$3,315 | \$25 \$4,644 | \$50 \$7,959 | \$25 \$6,024 | \$25 \$4,560 | \$0 \$3,453 | \$2,613 |
| 8007 | Copy of Patent Application as Filed, if Provided on Pape | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | \$20.00 | 49,028 | 68,638 | 117,666 | 124,726 | 131,586 | 138,823 | 145,764 | \$980,560 | \$1,372,760 | \$2,353,320 | \$2,494,520 | \$2,631,720 | \$2,776,460 | \$2,915,280 |
| | Copy of Patent Related File Wrapper and Paper Content | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | \$200.00 | 993 | 1,391 | 2,384 | 2,384 | 2,384 | 2,384 | 2,384 | \$198,600 | \$278,200 | \$476,800 | \$476,800 | \$476,800 | \$476,800 | \$476,800 |
| | Additional Fee for Each Additional 100 Pages or Portion | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 1,638 | 2,294 | 3,932 | 3,932 | 3,932 | 3,932 | 3,932 | \$65,520 | \$91,760 | \$157,280 | \$157,280 | \$157,280 | \$157,280 | \$157,280 |
| | Certification of Patent-Related File Wrapper and Paper | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 803 | 1,125 | 1,928 | 1,928 | 1,928 | 1,928 | 1,928 | \$20,075 | \$28,125 | \$48,200 | \$48,200 | \$48,200 | \$48,200 | \$48,200 |
| 8011 | Copy of Patent Related File Wrapper and Contents if Pro | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | 1,412 | 1,977 | 3,389 | 3,389 | 3,389 | 3,389 | 3,389 | \$77,660 | \$108,735 | \$186,395 | \$186,395 | \$186,395 | \$186,395 | \$186,395 |
| | Additional Fee for Each Continuing Physical Electronic N | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | 133 | 187 | 320 | 320 | 320 | 320 | 320 | \$1,995 | \$2,805 | \$4,800 | \$4,800 | \$4,800 | \$4,800 | \$4,800 |
| | Copy of Office Records, Except Copies of Applications as | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | 3,690 | 5,165 | 8,855 | 8,696 | 8,539 | 8,386 | 8,235 | \$92,250 | \$129,125 | \$221,375 | \$217,400 | \$213,475 | \$209,650 | \$205,875 |
| | Assignment Records, Abstract of Title and Certification, | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | \$25.00 | | 24,352 | 34,092 | 58,444 | 70,125 | 84,142 | 100,960 | 121,140 | \$608,800 | \$852,300 | \$1,461,100 | \$1,753,125 | \$2,103,550 | \$2,524,000 | \$3,028,500 |
| | List of US Patents and SIRs in Subclass | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | \$3.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Copy of Non-US Document International Type Search Report | \$25.00 \$40.00 | \$25.00 \$40.00 | \$25.00 \$40.00 | \$25.00 \$40.00 | \$25.00 \$40.00 | \$25.00 \$40.00 | | 0 | 0 | | 1 | 1 | 1 | 1 | \$0 \$0 | \$0 \$40 | \$0 \$40 | \$0 \$40 | \$0 \$40 | \$0 \$40 | \$40 |
| | Recording Each Patent Assignment, Agreement or Othe | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 378,473 | 529,861 | 908,334 | 1,017,334 | 1,139,414 | 1,276,143 | 1,429,281 | \$15,138,920 | \$21,194,440 | \$36,333,360 | \$40,693,360 | \$45,576,560 | \$51,045,720 | \$57,171,240 |
| 8023 | Labor Charge for Services | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | \$40.00 | 2,753 | 3,855 | 6,608 | 6,608 | 6,608 | 6,608 | 6,608 | \$110,120 | \$154,200 | \$264,320 | \$264,320 | \$264,320 | \$264,320 | \$264,320 |
| | Unspecified Other Services, Excluding Labor | variable | variable | variable | variable | variable | variable | | \$0 | \$63,478 | \$63,478 | \$63,478 | \$63,478 | \$63,478 | \$63,478 | \$0 | \$63,478 | \$63,478 | \$63,478 | \$63,478 | \$63,478 | \$63,478 |
| | Handling Fee for Withdrawal of SIR | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | \$130.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Computer Records, At Cost | variable | \$0 | \$314,785 | \$314,785 | \$330,525 | \$347,051 | \$364,403 | \$382,623 | \$0 | \$314,785 | \$314,785 | \$330,525 | \$347,051 | \$364,403 | \$382,623 |
| | Copy of Patent-Related File Wrapper Contents that Wei | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | • | | \$0 | \$0 | \$0 |
| | Additional Fee for Each Continuing Copy of Patent-Relat | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | \$15.00 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | \$0 | \$0 | | | \$0 | \$0 | \$0 |
| | Copy of Patent Related File Wrapper Contents that Wer | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | \$55.00 | 0 \$0 | 0 \$0 | 0 | 0 \$0 | <u>0</u> \$0 | 0 | 0 | \$0 \$0 | \$0 | | | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 8050 8901 | Petitions for Documents in Form Other Than that Provid | variable variable | \$0 \$0 | | \$316,180 | \$297,272 | | \$0 \$262,782 | \$247,067 | \$0 | \$0 \$316,180 | \$316,180 | \$297,272 | \$279,496 | \$262,782 | \$247,067 |
| | Self Service Copy Charge, per Page | \$0.25 | | \$0.25 | \$0.25 | \$0.25 | \$0.25 | | 504,895 | 706,854 | | | 1,166,616 | 1,144,683 | 1,123,163 | \$126,224 | \$176,714 | | | \$279,496 | \$286,171 | \$280,791 |
| | Annual Library Subscription | \$50.00 | | \$50.00 | \$50.00 | \$50.00 | \$50.00 | | 19 | 26 | | 44 | 43 | 42 | 41 | \$950 | \$1,300 | \$2,250 | \$2,200 | \$2,150 | \$2,100 | \$2,050 |
| Patent Ser | , . | | | | | | | | | | | | | | | \$17,591,235 | \$25,322,317 | | | \$53,050,476 | \$59,074,974 | \$65,836,274 |
| | | | | | | | | | | | | | | | | | - | | | | | |
| Corporate | | | | | | | | | | | | | | | | | | | | | | |
| | Processing Each Payment Refused or Charged Back* | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | \$50.00 | | 92 | 129 | 221 | 221 | 221 | 221 | 221 | \$4,600 | \$6,450 | \$11,050 | \$11,050 | \$11,050 | \$11,050 | \$11,050 |
| | Establish or Reinstate Deposit Account* | \$10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | \$10.00 | | 113 | 159 | | 272 | 272 | 272 | 272 | \$1,130 | \$1,590 | \$2,720 | \$2,720 | \$2,720 | \$2,720 | \$2,720 |
| | Service Charge for Below Minimum Balance on Deposit | \$25.00 | | \$25.00 | \$25.00 | \$25.00 | \$25.00 | | 1,744 | 2,441 | | 4,185 | 4,185 | 4,185 | 4,185 | \$43,600 | \$61,025 | \$104,625 | \$104,625 | \$104,625 | \$104,625 | \$104,625 |
| | Partial service charge for closing a deposit account* | variable | \$0 | \$0 | \$0 | \$295 | \$295 | \$295 | \$295 | \$0 | \$0 \$69,065 | | | \$295 | \$295 | \$295 |
| TOTAL COPP | prate Fees | | | | | + | | | | | | | | | | \$49,330 | 500,605 | \$118,395 | \$118,690 | \$118,690 | \$118,690 | \$118,690 |
| Total Pater | t Fees | | | | | | | | | | | | | | | \$1 361 251 342 | \$1 084 812 421 | \$2 447 063 762 | \$2,706,897,352 | \$2,756,321,470 | \$2,788,009,372 | \$2,829,494,848 |
| . otur rate | | | | | | | | | | | | | | | | 71,501,251,542 | 71,004,012,421 | 72,777,003,702 | 72,100,001,002 | 72,130,321,470 | 72,700,003,372 | 72,023,434,040 |

^{*} The Aggregate Revenue Estimate Contains Information for the Patent Business Line in It's Entirety. Certain Limited Fees, Marked With an Asterisk (*), Are Not Proposed To Be Set Or Adjusted Under The Section 10 of the America Invents Act Notice of Proposed Rulemaking. However, To Prepare A Complete Aggregate Revenue Estimate.