

**NATIONAL INSTITUTE OF DIABETES AND  
DIGESTIVE AND KIDNEY DISEASES**

**ADMINISTRATIVE GUIDELINES**

**FOR**

**CYSTIC FIBROSIS RESEARCH AND  
TRANSLATION CORE CENTERS**

**March 1, 2011**



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## I. DESCRIPTION

### Background

The NIDDK-supported Cystic Fibrosis Research and Translation Core Centers are part of an integrated program of cystic fibrosis (CF) research. These Centers were originally established as Specialized Research Centers in 1989 in response to a Congressional Appropriation. Centers provide increased, cost effective, collaboration among multidisciplinary groups of investigators at institutions with an established, comprehensive research base in cystic fibrosis. In 2004, the scope of the research has been modified to allow for expanded support for clinical studies. This change is based on the numerous agents that are in the development pipeline for the treatment of CF. CF Research and Translation Core Centers are intended to improve the quality and multidisciplinary nature of research on cystic fibrosis by providing shared access to specialized technical resources and expertise in both basic and clinical research.

### General Description

The objectives of the Cystic Fibrosis Research and Translation Core Centers are to bring together basic and clinical investigators from relevant disciplines in a manner which will enhance and extend the effectiveness of their research. In addition to collaborations between scientists within an institution, core centers can foster interaction and collaborations between investigators at multiple institutions to promote a multifaceted approach to a common goal. The Core Center consists of several components: a single Administrative Core, several Biomedical Research Cores, a Pilot and Feasibility Program, and an Enrichment Program. A core center must be an identifiable unit within a single university, medical center or a consortium of cooperative institutions, including an affiliated university. An outstanding existing program of biomedical research in the area of cystic fibrosis research is required. This research should be in the form of NIH-funded research projects (R01, R21), program projects (P01), or other peer-reviewed research, such as that supported by the Cystic Fibrosis Foundation. This established research program must be in existence at the time of submission of a center application. Research programs outside the primary institution where the Core Center is based may utilize the core resources. The base of research projects to be served by the cores must be clearly defined and justified in the Biomedical Research Base Section of the application. Only research projects should be included in the base, which does not include other core resources. The research base for the Center must consist of a minimum of \$2,000,000 total costs per year of peer reviewed research projects relevant to the development of therapies for CF. Efficient management of resources and close cooperation, communication, and collaboration among involved personnel in multiple professional disciplines are ultimate objectives of core centers.

### Basic Requirements for a P30 Core Center

To be eligible for a Core Center grant, the potential applicant institution must already have a substantial base of ongoing, independently-supported, high-quality research aimed at developing therapies for cystic fibrosis. The research base for a core center is made up of investigators with individually-funded research projects who can benefit from shared resources. Core center funding will provide core facilities (shared resources), a pilot and feasibility program (new initiatives), and program enrichment activities. Each core will provide services to Core Center participants (a detailed description of Biomedical Cores begins on page 8) Except for pilot and feasibility studies, core center funds are not intended to support individual biomedical research projects other than through core usage. The major source of support for biomedical research projects associated with the Center should be derived from separately-funded projects of the participating investigators. Similarly, professional trainee stipends are not to be supported through core center funding.

A Core Center may serve a single institution or a consortium of institutions engaged in a collaborative approach to research on cystic fibrosis. The Core Center may be based solely at the applicant institution or at multiple institutions through subcontracts. If subcontracts are to be utilized the applicant must clearly demonstrate how a cohesive and integrated operation will be ensured and describe the advantages of this approach to performance of Core functions. The Core Center may also provide resources for funded projects at collaborating institutions which do not have a subcontractual arrangement with the parent institution. If such projects are to be included in the research base, the applicant must clearly describe and justify the reasons why it is appropriate for these projects to be included in the research base and the advantages to be derived from the collective utilization of the Core Center.

A new category of Cores that is being encouraged is the Regional/National/International Cores. These Cores serve specific scientific communities on a regional, national or international level. The research base for cores that are used as a regional, national or international resource should be considered the "extended research base". The extended research base for a regional, national or international core could include all investigators who might expect to use the core in some way. This might include investigators who would be expected to fully compensate the core service through a charge-back, and thus would not be obtaining direct financial assistance from the Center. The list could include investigators who use the core services but otherwise have no collaborative interactions with other Center investigators. The extended research base should be defined as an entity separate from the institutional research base. For review purposes, it should be evaluated as part of the core, in order to distinguish it from the local institutional research base.

At the time of initial submission, the applicant institution or consortium of institutions must have an active program of excellence in basic and clinical biomedical research in the area of development of therapies for cystic fibrosis. The biomedical research base should be substantial to justify the proposed Core support. There should be a focus on basic and clinical

research in cystic fibrosis. Focus, relevance, interrelationships, quality, and to some extent quantity, are all considerations in judging the adequacy of the research base.

## II. ADMINISTRATIVE CORE COMPONENT

### Description

A CF Research and Translation Core Center must be an identifiable organizational unit within a university medical center or a consortium of cooperating institutions including the university-affiliated center. Such a Center will involve the interaction of broad and diverse elements; thus, lines of authority and approval by the appropriate institutional officials must be clearly specified. The administrative core plays a key role in the coordination and functioning of the center.

### Requirements

Each applicant institution specifies a Center Director or Directors to be responsible for the scientific and administrative leadership of the Center. The Core Center may have more than one Center Directors especially if those Investigators have complementary expertise. If a Center chooses to have multiple Center Directors, then a leadership plan will also be required. For institutions/organizations proposing multiple PDs/PIs, visit the Multiple Program Director/Principal Investigator Policy and submission details in the Senior/Key Person Profile (Expanded) Component of the PHS398 Application Guide.

The Director(s) should be an experienced and respected scientist with a proven track record for obtaining NIH funding. He/she must be able to coordinate, integrate, and provide guidance in the establishment of new programs in cystic fibrosis research. This commitment will require significant effort from the Center Director. Each Center Director is expected to commit 2.4 calendar months in overall effort to the Center. One or more Associate Directors should be named who will be involved in the administrative, scientific, or training efforts of the center and will serve as Acting Center Director in the absence of the Director. An administrative assistant may also be proposed.

It is expected that the organization of the Administrative core should encompass a supportive structure sufficient to ensure accomplishment of the following:

- (1) coordinating and integrating the Center components and activities,
- (2) overseeing the solicitation, review and selection of pilot and feasibility studies,
- (3) reviewing the utilization and quality of core resources

- (4) interacting with the scientific and lay communities and the NIDDK in order to develop relevant goals for the Center.

The final administrative structure of the Center will be left largely to the discretion of the applicant institution (subject to review by NIH peer review mechanisms). However, NIH's experience has demonstrated that the effective development of the Center programs requires close interaction between the Center director, the principal investigators, appropriate institutional administrative personnel, the staff of the awarding agency, and the members of the community in which the Center is located. Therefore, each Center applicant should establish an administrative structure which will permit the development of such interaction. Within this structure, each applicant institution must also establish a mechanism to oversee the use of funds for the proposed pilot and feasibility program. This mechanism must include the use of appropriate consultants for review from the scientific community outside the Center institution or consortium institutions. Consultants who will serve on advisory committees should not be specifically identified in the application but the process by which they will be selected should be described. These same consultants may be utilized, if desired, for review of other activities of the Center. The mechanism for reviewing the use of the pilot and feasibility funds will be considered by the initial review groups in the evaluation of the Center applications. Further details regarding this mechanism will be found below in the discussion of the pilot and feasibility program. The projects selected to receive these funds will be described by the Centers in their annual reports and will be reviewed by the NIDDK Staff for eligibility in its annual evaluation of the Center program. Funds for the Pilot and Feasibility program should be listed in the "Other" category in the budget of the Administrative Core. The Center grant may also include limited funds for program enrichment (i.e., seminars, etc.) which should be included in the budget for the Administrative Core.

The initial base of research projects to be served by the cores must be clearly defined in the application. The process by which additional projects will be selected to utilize the core resources and by which selected projects will be prioritized must be delineated. There should be well defined criteria for designating an investigator as a Center participant. Each Center, however, is expected to formulate these definitions based on its own situation.

Centers need to develop policies and procedures for change of core functions. Examples include: new technologies or services that should be supported might appear; existing technologies might become less important; or economic changes might obviate the need for core services, such as the availability of cost-effective commercial services or core services provided by the research institution. Cores should address the issue of allocation of resources to development of new technologies in comparison to provision of services with existing technologies.

Although facilities available should be described for each element of the application, a more general description of overall facilities and a statement regarding institutional commitment to the Center should also be included here.



### III. BIOMEDICAL RESEARCH COMPONENT

#### Research Base

The Core Center Grant provides a mechanism for fostering interdisciplinary cooperation within a group of established investigators conducting high quality research to develop therapies for cystic fibrosis. Therefore, existence of a strong research base in this area is a fundamental requirement for and the most important aspect in the establishment of a Core Center.

Applicants should include an overview of current cystic fibrosis research being conducted at their institution in sufficient detail to allow reviewers to judge its extent and the interrelationship of ongoing research. There should be a substantial body of ongoing research in cystic fibrosis. Projects at other institutions may also be included if collaborations exist with scientists at the applicant institutions. Applicants should indicate how the establishment of a Center will provide added dimensions, such as greater focus and increased cooperation, communication and collaboration.

Presentation of the research base in the application should be done in two ways: (1) by completing a Table like the one shown in Illustration III and (2) by providing Research Project Description of no more than one page per Investigator of the CF related research activities at the applicant institution and any collaborating institutions. These narratives should include (1) the grant number, title and a few descriptive sentences on each funded project and (2) a list of the cores used with a brief sentence describing how this enhances the research project. This presentation should be organized into several areas of emphasis that demonstrate the research focus of the Center. These focus areas must include a section on " Basic CF research" and a section on " Clinical CF research". Additional areas of emphasis relevant to the goals of the Center may be included. The research of each Center participant should be discussed and interrelationships of research being conducted by Center participants should be highlighted. Since most, if not all, of the research base will have undergone separate peer reviews, the quality of the individual funded projects is already established. The more important aspects are: (1) interactions and interrelationships of the research efforts; (2) uses and benefits of core services; and (3) plans to develop productive collaborations among Center investigators.

There is obviously insufficient space in the application for a detailed presentation of the research base. However, significant research accomplishments should be cited and it may be helpful to include a few citations as examples of the research conducted by Center participants. Appropriate presentation of the research base is very important to justify the research need for the proposed Core services.

For renewal applications, consideration will be given to progress and accomplishments in the research base, to development of multidisciplinary, collaborative, and cooperative

interrelationships, and to alteration in the original Center design in order to meet the evolving needs of the research base. This should be described in a narrative fashion and by completing a Table like the one shown in Illustration IV which documents the contribution of individual cores to the publications by the research base. New areas of research and acquisition of new funding should be highlighted.

### **Biomedical Research Cores**

*Definition:* A biomedical research core is a shared facility that provides a needed service to Center investigators enabling them to conduct their funded individual research projects more efficiently and/or more effectively. Cores should be designed to furnish a group of investigators with materials, techniques, determinations, instrumentations, and/or quality control to enhance research and contribute to cost effectiveness. It is acceptable to develop a cost recovery system to help defray costs to the Center. This system would charge a fee to Center participants for services provided by the Core but at a reduced rate. If such a cost recovery system is developed, a detailed charge justification must be presented and the program income section on the checklist of the PHS 398 must be completed. Participating Center members must also be informed to include such costs with their full budget justifications in their applications for individual grant support. Cores may be proposed to support any research activity of the Center, but usually fall into one of these categories:

- Collection, storage and distribution of data and samples;
  - Provision of specialized tools and technologies or access to specialized expertise;
  - Development, standardization and distribution of reagents and/or protocols;
  - Provision of technical assistance, training, and enrichment programs;
  - Recruitment of patients and coordination of patient studies;
  - Beta-testing and dissemination of specialty assays, methods, and services on an institutional level;
  - Increase interdisciplinary interactions at the institution through cross-project/ laboratory exchange;
  - Sharing of specialized tools, technologies and expertise between collaborating investigators.
- 
- Clinical and translational research Cores could provide biostatistical expertise for study design and data management and analysis; bioinformatics support; infrastructure for recruiting and managing clinical research subjects and/or tracking and analyzing clinical samples; technologies useful for phenotyping and characterizing subjects or exploring clinical pathophysiology; and expertise for behavioral assessment or intervention.

*Regional/National/International Cores:* Centers are encouraged to propose Cores that provide unique resources to a community outside the Institution. These could be on a regional level, a national level or an international level. A Regional/National/International Core may define its

own research base which is expanded from that of the rest of the Center. It may include investigators that just use this resource or service but do not have a formal collaboration with other Center investigators.

*Justification for proposing a core:* The establishment and continued support of biomedical research cores within a Center are justified on the basis of use by independently-funded Center investigators. The minimum requirement for establishing a core is significant usage by two or more investigators with independently-funded, peer-reviewed projects. While investigators holding awards from the Center pilot and feasibility program are appropriate users of the core facilities, their use does not contribute to justification for establishment or continued support of a core. Additionally, the minimum of two independently funded users does not in itself provide sufficient justification and will receive close scrutiny in review.

*Personnel:* A director must be named for each core. Core directors may be acknowledged experts with independently-funded research programs which will use the core services. In such cases, the effort on the grant is usually relatively low. A core director must contribute at least 0.6 calendar months effort. A core director with requisite expertise may devote a greater effort to the core and with justification could devote up to 12 calendar months effort. Where appropriate, an established expert in the core activities could also be included as a consultant to the core. Technicians are allowable in accordance with the volume and type of work in the core. Trainee stipends cannot be included in Core budgets.

*Facilities, space, and special arrangements:* Particularly in initial applications, the description of the physical arrangements and instrumentation for each core should be given special attention. In renewal applications, any changes should be carefully documented. Cores are encouraged, whenever possible, to enter into cooperative arrangements with established cores in other Centers or resources offering a similar type of service. However, it should be clear that the CF Research and Translation Center Cores can function independently.

*Management of the core:* The organization and proposed mode of operation of each core should be presented. Included should be a plan for prioritizing investigator use of the core as well as a definition of qualified users. If use by investigators outside the parent institution is proposed the mechanism by which such investigators will apply and be evaluated and selected should be detailed. The definition of qualified users should not be too narrow. Some minor core use could serve to entice established investigators in other fields into the field of molecular therapy research. Any proposed, ongoing or completed developmental efforts should be described. If the core is used to train investigators in special techniques, the mechanism for this training should be included.

*Relation of core services to individual research project grants:* When a Center is first established, individual investigator-initiated research project grants may include funds for a part of the services which will ultimately be available from the cores. At the time of renewal

(competitive and noncompetitive) the budgets of individual research project grants must be reduced to reflect the costs supported by the Center grant. If there are charge backs, these should be detailed in the submitted budget justification and described as allowable budgetary items in the investigator's individual grants. Some mechanism should be proposed in the Center application to monitor these budgetary adjustments and to ensure that Center core users describe their relation to the Center in their individual grants.

*Renewal applications:* Information relative to cores in renewal applications should generally cover all of the same points as initial applications. In addition, past performance, usage and accomplishments should be described. The effect of the service provided by a core on investigator productivity and cost effectiveness should also be addressed.

### **Pilot and Feasibility Program**

Research projects associated with a Core Center will in general be funded from other resources, such as R01, R21, or P01 grants from NIH, similar project funding from other Federal agencies, or nonfederal sources. There is one exception--pilot and feasibility studies.

*Definition:* A Pilot and Feasibility study provides modest research support for a limited time (one to two years) to enable eligible investigators to explore the feasibility of a concept related to the mission of the Center and generate sufficient data to pursue it through other funding mechanisms. The pilot and feasibility studies are intended to: (1) provide initial support for new investigators; (2) allow exploration of possible innovative new leads or new directions for established investigators and (3) stimulate investigators from other areas to lend their expertise to research in this area. Pilot and feasibility study support is not intended for large projects by established investigators which would otherwise be submitted as separate research grant applications. Pilot and feasibility funds are also not intended to support or supplement ongoing funded research of an established investigator.

*Requirements:* Each Center must contain a pilot and feasibility program with a minimum of 2 projects. A maximum of 4 projects can be requested. Each pilot may be awarded up to \$75,000 direct costs per year for up to two years. The funds for the pilot and feasibility program are included in the budget of the Core Center within the \$750,000 direct cost cap.

*Eligibility and related guidelines:* Investigators eligible for pilot and feasibility funding generally fall into three categories: (1) new investigators without current or past NIH research support (R01, P01) as a principal investigator (current or past support from other sources should have been modest); (2) established investigators with no previous work in CF who wish to apply their expertise to a problem in this area; and (3) established investigators who propose testing innovative ideas that represent clear departure from ongoing research interests. It is expected that the majority of the investigators will fall into the first category. All eligible investigators, however, must have faculty appointments and be independent investigators. Postdoctoral fellows or their equivalent are not eligible. Each pilot and feasibility study

proposal should state clearly the justification for eligibility of the investigator under one of the above three criteria.

A proposed pilot and feasibility study should present a testable hypothesis and clearly delineate the question being asked, detail the procedures to be followed, and discuss how the data will be analyzed. It must be on a topic related to the objectives of the Core Center. Projects should be focused, since funding for these studies is modest and is limited to two years or less. Any one investigator is eligible only once for this support, unless the additional proposed pilot and feasibility study constitutes a real departure from his/her ongoing research.

Pilot and Feasibility projects prosing clinical studies are encouraged. The National Center for Research Resources (NCRR) supports Clinical and Translational Science Awards (CTSA) nationwide, which provide services and resources to enhance clinical research (<http://www.ctsaweb.org/>). Research Centers supported by the NIDDK and other NIH Institutes are encouraged to collaborate with CTSA's to avoid duplication of effort and enhance utilization of services and resources.

Each pilot and feasibility project should be identified clearly by the same title as that provided in the Table of Contents and numbered sequentially. Each project should be described in a single page and should include the status of the principal Investigator as either a new Investigator, new to CF research, or established Investigator investigating a novel research project.

The application should clearly describe and justify the pool from which potential pilot and feasibility applications will be solicited. This can be limited to investigators at the parent institution or expanded to include investigators at institutions with well defined affiliation with the Core Center. Such an affiliation can occur either through a subcontractual relationship for support of core resources or through inclusion of funded projects at a collaborating institution in the research base utilizing the shared resources of the Core Center. The mechanisms by which information on the availability of pilot and feasibility awards will be disseminated and by which applicants will apply and be selected for these awards must be described and will be an important element in the review of the pilot and feasibility component of the Core Center.

*Initial review and management of the pilot and feasibility program:* By the very nature of this program, a significant responsibility for its management will be left to the Center administration during the project periods. Each Center should include project descriptions for the Pilot and Feasibility projects they propose to fund. For new Center grant applications, the pilot and feasibility proposals are reviewed for scientific merit and eligibility by the initial review group as an example of the selectivity of their review process. These initial pilot and feasibility studies must have been reviewed by the Center in the manner proposed for review of future studies so that only those considered to be the highest quality are included in the grant application. The recommended budget for the pilot and feasibility program for the first year will be based on the review of the proposed projects. The budget for future years is

recommended by the initial review group based on the quality of the proposed pilot and feasibility studies, and the proposed method for management and review (as evidenced by this set of projects). Also considered will be review group's evaluation of the future justification for continued pilot and feasibility support.

Since pilot and feasibility studies can be awarded for any period of time up to two years, studies end at various times. In addition, the studies may also be terminated by the Center administration before their approved time limit for various reasons: e.g., (1) the investigator may receive outside funding for the project; (2) the project was found not to be feasible; (3) the investigator may leave the Center institution; etc. When this occurs, the Center may make new awards for pilot and feasibility studies with the remaining funds.

While a Center's administrative framework for management of the pilot and feasibility program is basically left up to each Center (subject to NIH peer review), certain minimal requirements must be met. The program must have a director who is an established investigator in cystic fibrosis research. There must also be a committee representing all the aspects of the Center which will assist the director in the management of the program. The major responsibilities of the director and the committee will be to:

- (1) Maintain oversight and review of ongoing pilot and feasibility studies;
- (2) Make recommendations regarding termination or other actions to the Center Executive Committee (or equivalent);
- (3) Prepare and ensure appropriate distribution of announcements of the availability of pilot and feasibility funding;
- (4) Arrange and preside over the scientific merit review of proposals. At least one reviewer from outside the parent institution must be used for each proposal. All reviewers should assign impact/priority scores in accordance with the NIH system. Copies of all of the proposals with written documentation of their reviews, priority/impact scores, and final action must be retained by the Center. These records must be made available to reviewers if requested at the time of a renewal application;
- (5) Maintain, insofar as is possible, a record of subsequent career events of each pilot and feasibility study recipient. This record must also be made available to reviewers at the time of the renewal application;
- (6) Make recommendations to the Center Executive Committee (or equivalent) for final decisions. A record of actions by this committee must be documented and be available if requested by the initial review group.

All applicants should describe how these requirements will be met and have been met in the case of renewal applications. Also included should be an assessment of the relevancy of the proposed individual pilot and feasibility studies and of the program as a whole to research on cystic fibrosis and to the specific goals and objectives of the individual Center and of the Center program generally.

*Review of the pilot and feasibility program in renewal applications:* After the initial review of pilot and feasibility proposals as described above, all responsibility for review and funding during the remainder of the project period will reside within the Center itself. This approach provides each Center with the needed flexibility for effective and efficient management of the program. In competing renewal applications, the review of this program will be based on the past track record, the management of the program, and an assessment of overall potential needs and opportunities.

In general, a competing renewal application will include: (1) an historical overview; (2) a description of Center management of the program; (3) a description of the method for solicitation for pilot and feasibility projects and the number of respondents received for each solicitation; (4) a listing of all previous, ongoing and approved proposed pilot and feasibility studies with reports on those which were supported by the Center during the last project period; and (5) a statement relating to benefits of the program to the Center as well as the contribution of the uniqueness of the Center environment to the program. These points are detailed in the following paragraphs.

The historical overview will cover the pilot and feasibility program since the inception of the Center. This should include, in summary format, all pilot and feasibility projects ever awarded. For each project listed, the following should be included: (1) publications as a result of the studies; (2) peer-reviewed funding as a result of the studies; and (3) whether the recipient is still active in the area of CF. The pilot and feasibility program director may wish to highlight certain studies or certain aspects of the past studies. Collaborations which resulted in lasting relationships, acquisition of new skills by the study recipient, or other significant outcomes should be identified. The relationship of the scope of the various studies to that of the Center should be emphasized. Details such as back-up documentation (described earlier in relation to the arrangement of the pilot and feasibility program) should not be included, but should be available for examination by the reviewers if requested.

The description of center management of the program will present in detail the current system used to manage the pilot and feasibility program, including its integration with and relationship to the rest of the administrative structure. The use of outside consultants for review should be included in the discussion. Important features of the solicitation process should be provided including the distribution and the number of respondents.

The description of the accomplishments of the pilot and feasibility program should include a list of all NIDDK-supported pilot and feasibility studies awarded. For each pilot and

feasibility project awarded during the last project period, include a brief summary containing (1) the name of the investigator, degree(s), professional career status at the time awarded, and current professional career status (if known); (2) an overview of the project including its significance and salient results; (3) a list of resulting publications; and (4) peer-reviewed subsequent funding in the same or related area as shown in Illustration VI. The proposals should be available, if requested by the reviewers.

*Funding levels for the pilot and feasibility program on renewal applications:* The format for renewal of pilot and feasibility programs will depend on whether the applicant is requesting: (1) a number of pilot projects less than or equal to that for the previous project period, or (2) an increase in the number of pilot projects.

If the applicant wishes to maintain the same number of pilot projects in a renewal application, the recommendation of the initial review group will be based on the overall performance of the center's pilot and feasibility program as documented in the application. This recommendation will be based on: (1) the extent to which awarded funds were fully utilized during the previous project period; (2) awards were made to investigators who fully met the eligibility criteria for pilot and feasibility support as outlined above; (3) Center-relatedness; and (4) success of previously supported pilot and feasibility studies (e.g., publications, subsequent independent R01 or other peer-reviewed support, and/or attraction of new investigator into Center related research).

Conversely, should the applicant institution feel that an increased level of funding for the pilot and feasibility program is justified, new pilot and feasibility studies, over and above the number currently awarded, must be submitted with the competing renewal applications. These proposals would be reviewed by the initial review group in a fashion similar to the review of pilot and feasibility studies during the initial review. The initial review group would assess the new proposals, along with the overall performance of the program during the previous grant period to arrive at a recommendation for a possible increased pilot and feasibility funding level.

### **Educational Enrichment Programs**

The establishment of a Center should provide an enhanced environment for research training. Students, fellows, and junior faculty should be encouraged to take full advantage of all Center-sponsored seminars, courses, workshops, and symposia. If appropriate, Centers may waive fees for attendance at such events for interested students, fellows, and junior faculty members. Enrichment program-sponsored mini-sabbaticals, or other instructional opportunities, also may be appropriate for postdoctoral fellows. Stipends for fellows are never an allowable Center expense, but travel, per diem, and registration expenses may be paid from enrichment program funds.



Just as in the case of funding for individual research projects, funding for fellowships should be sought from NIH NRSA institutional training grants (T32) and individual fellowships (F32, F33), and other sources such as the Cystic Fibrosis Foundation, other private foundations, and commercial companies.

Although no budgetary items would be included for research training, a section should be included in both initial and competing renewal applications documenting the research training program in cystic fibrosis, its relationship to the Center, and how the presence of the Center may enhance the program.

#### **IV. LETTER OF INTENT**

Prospective applicants are asked to submit a letter of intent by the date listed in the FOA approximately one month before the receipt deadline that includes the following information:

- Descriptive title of proposed research
- Name, address, and telephone number of the Principal Investigator
- Names of other key personnel
- Participating institutions
- Number and title of this funding opportunity

Although a letter of intent is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows IC staff to estimate the potential review workload and plan the review.

#### **V. PREPARATION OF APPLICATION**

##### **Description**

The arrangement of materials should follow both the instructions for the PHS Form 398 application kit available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> and the more specific guidance detailed below. Applications not in accordance with Center guidelines will not be reviewed.

Applicants should keep in mind that the written application is the basis for the merit review. Particular attention should be given to the format of the application. Awards for Center grants will be made only for five-year project periods. Some basic information useful for preparing the application follow. Applicants may also consult with NIDDK staff concerning the technical aspects of preparing the application.

## Content Order for Applications

### SECTION 1: CENTER OVERVIEW

- o Face Page, Descriptive Abstract, Key Personnel and Table of Contents should be prepared as per standard instructions
- o Budgets
  1. Detailed Budget for Initial Budget Period (398-Form Page 4)
  2. Budget for Entire Proposed Project Period (398- Form Page 5)
  3. Consolidated budget for first year of requested support (such as the one shown in Illustration I)
  4. Distribution of Professional Effort on this Center application (such as the Table shown in Illustration II)
- o Biographical Sketches for all Center participants beginning in alphabetical order (398-Form Pages)
- o Summary of total current and pending support of all Center participants, including levels of professional effort. Some institutions may have multiple NIDDK-funded centers. In those cases, investigators who participate in multiple centers should identify their primary center affiliation to aid NIDDK in matching center resources to the research base being served. Research grant support that is listed as 'primary' for the CF Research and Translation Core Center, should not be reported as part of the primary research base for any other NIDDK Center grants or the research support should be proportioned between the NIDDK Centers. List 'primary' support related to CF first, followed by other related research support (see Guidelines Illustration III).
- o Resources Format Page:
 

Facilities and Major Equipment: general overall description of research facilities (space, equipment, collaborations, etc.) and the major, shared pieces of equipment to be used by Center members should be provided.

Specific core facilities, equipment, and special resources should also be listed in each core component.
- o Specific Aims (limited to 1 page): Provide the broad, long-range objectives and goals of the proposed CF Research and Translation Core Center.

- Research Strategy (limited to 12 pages): This narrative section summarizes the overall plan for the proposed or established multi-component Center. The multi-component application should be viewed as a confederation of interrelated research resources that are complementary to one another. This is an important section for it provides the group of investigators an opportunity to give conceptual wholeness to the overall Center – by giving a statement of the general problem area and by laying out a broad strategy for attacking the problems. As the strategy develops, each individual research component/core should be cited briefly as to its place in the overall scheme. Summarize the special features in the environment and/or resources that make this application strong or unique. If the application is a renewal, the Center Program Overview section should also highlight past performance and the major accomplishments from the prior funding period as described in the PHS 398 Instructions. For Renewals: Changes from the original Center design should be highlighted.

## SECTION 2: ADMINISTRATIVE COMPONENT

Description (PHS 398- Form Page 2)

Key personnel (PHS 398 – Form Page 2 continued)

- Budget Page with comprehensive budgetary justifications (PHS 398 form page 4); funds requested for the P&F and enrichment programs should be included in the “ other expenses” category of the budget for the Administrative Core.
- Biographical Sketches: Director and Associate Director(s) (PHS form page)
- Specific Aims (limited to 1 page): Describe the broad, long-range objectives and goals of the Administrative structure within the context of the proposed Center.
- Research Strategy (limited to 6 pages): Presentation of the administrative structure; Relationship and lines of authority and sanction by appropriate institutional officials; Description of the process that would be used to recommend a successor to the Director, if needed; Committee structure (include External and Internal advisory boards and the pilot and feasibility program oversight committee; Description of plans for website development, maintenance and curation (optional); General overall description of facilities and institutional commitment; Other Considerations (include listing of other relevant Centers and cores at the institution and affiliated hospitals, and plans to integrate, harmonize and reduce redundancies in activities)

### SECTION 3: BIOMEDICAL RESEARCH COMPONENT

- Biomedical research base (Research Strategy is limited to 6 pages): Provide an overview of ongoing research and the impact of the Center on this research. Include an overview of the current basic and clinical research in cystic fibrosis at the institution(s). An appropriate and clear presentation of the ongoing research base is critical since it will show the research focus of the CF Research and Translation Core Center and the interrelationships and potential for collaborations among investigators. Since the research base projects will already have been peer-reviewed, the quality of the individual funded projects will have been established and will not be re-evaluated. Provide sufficient detail to assist reviewers in judging the extent and the interrelatedness of ongoing research. Grouping the research base into areas of emphasis for the Center is advised.
- New applications: Emphasize the anticipated impact of the establishment of a CF Research and Translation Core Center on the research base. Include an indication of how the establishment of a CF Research and Translation Core Center will provide added dimensions and new opportunities for cystic fibrosis and related research, along with increased cooperation, communication, and collaboration among investigators.
- For Renewals: Progress including description of significant findings and new participants.
- Research Project Descriptions (limited to one page per Investigator) Organize the narrative presentation of the research base to emphasize the focus of the research and the interrelationships of the CF Research and Translation Core Center investigators. Provide a narrative description of no more than one page per research base investigator. These narratives should include: (1) the grant number, title, and a few descriptive sentences, and (2) a list of the core(s) used with a brief sentence indicating what aspect of the research justifies the use of each core. Include ONLY those grants awarded to investigators at the applicant institution or consortium, not to investigators at other locations, in the description of the research base. It is particularly important to provide a few sentences indicating the relatedness of a cited grant to research in cystic fibrosis when this is not readily apparent from the title of the grant.
- Biomedical research cores (present each core separately; Research Strategy limited to 12 pages per core)

1. Description (PHS 398- Form Page 2)
  2. Key Personnel (PHS 398- Form Page 2-cont' d)
  3. Budget with justifications (PHS 398- Form Page 4)
  4. Biographical sketches: Core Director and key personnel (PHS 398- Form Page)
  5. Specific Aims (limited to 1 page): List in priority order, the broad, long-range objectives and goals of the proposed core. In addition, state the core' s relationship to the Center goals and how it relates to the other cores at the applicant institution and in the application.
  6. Research Strategy, including: Objectives of the core; Core function, including quality control; Benefits from core; Proposed developmental research or training; Future directions and plans to ensure continuing evolution & relevance of the core; For renewals: Core progress and productivity (include 2-3 examples of literature citations, grant awards, and 2-3 key advances supported by core activity); if applicable, describe any recharge system that may be in place to allow investigators to utilize a core, including information on any proposed F&A charges to outside users of the core. For Regional/National/International Core include the expanded list of outside users of the Core and justify the need for this resource.
  7. New applications: Funded investigators who will use the core and proposed extent of use (see Guidelines Illustration V). For Renewals: Core Use during the last grant period (see Guidelines Illustration V)
  8. Vertebrate Animal and or Human Subjects Sections if appropriate
- Pilot and Feasibility Program
    1. Description (PHS 398- Form Page 2)
    2. Key Personnel (PHS 398- Form Page 2-cont' d)
    3. Budget with justifications (PHS 398- Form Page 4); justify any changes for future years
    4. Biographical sketches: Program Director and Committee (PHS 398- Form Page)
    5. Specific Aims (limited to 1 page):
    6. Research Strategy (limited to 12 pages): Management of the pilot and feasibility program; Program progress and productivity (include key publications supported by the P&F program, grant awards resulting directly from P&F awards, and 2-3 key advances supported by the P&F program); Future directions and plans; For initial applications include: eligibility requirements, selection process, abstracts of proposed P&F awards, and justification for core usage by P&F awards; For competing renewal applications include: Total number of all P&F submissions received each year during the prior project period, selection process and funding success rates, single paragraph synopses of Pilot & Feasibility studies awarded during the last project period.
    7. For Renewals: Pilot and Feasibility Project Outcomes (see Guidelines Illustration VI)
  - Enrichment Program (limited to 6 pages)

Research Strategy (limited to 6 pages): New applications: Describe plans for the enrichment program; Renewal applications: Describe the enrichment program and indicate the program's value to Center members. Indicate how the program has grown or been adapted to better serve Center members' needs during the past funding period; Future directions and plans to ensure continuing evolution and relevance of the enrichment program; Other considerations (include plans to enhance interactions with relevant NIDDK supported T32 training programs; letters of acknowledgment and support from T32 PIs should be provided separately)

#### SECTION 4: CENTER-RELATED INFORMATION

- o Suggested Illustrations for Renewal Applications: Publications Citing Support from this Center (see Guidelines Illustration IV; include PMCID numbers)
- o Check list (PHS 398 – Form Page)

#### VI. BUDGET CONSIDERATIONS

Unless otherwise indicated in the Notice of Grant Award, allowable costs and policies governing the research grant program of the NIH will prevail. The anticipated award will be for five years. The annual direct costs requested may not exceed \$750,000. Each pilot/feasibility study is limited to \$75,000 per year and a two year duration of support. An exception to the \$750,000 limitation will apply to Core Center applications that include subcontracts. Subcontract Facilities and Administrative costs are not included in the direct cost cap of \$750,000. Equipment may be included in the first year of the grant which will not be included in the direct cost cap.

##### **Budget Categories**

*Professional Personnel:* This category may include support for salaries of key personnel within the Center who contribute to allowable activities of the Center. The salaries derived from the Center grant will depend on the effort provided and institutional salary as well as existing NIH policies; however, current NIDDK practice limits annual increments to 3 percent. The Center Director is expected to devote at least 2.4 calendar months of effort to the Center. The Center application should include salaries for individual principal investigators only to the extent that they provide an essential Center function. No overlap of time or effort between the Center and separately-funded projects is permitted.

Salaries of professional personnel engaged in research activities supported by pilot and feasibility funds of the Center are an allowable cost item as are salaries of professional

personnel in core facilities.

*Technical and Support Personnel:* This may include salaries for identified positions to be filled in the Center. No overlap of time or effort between the Center and separately funded projects is permitted.

*Equipment:* Requests for large equipment costs must include documentation of similar equipment already available at the institution and provide a clear justification in terms of core need and service to Center investigators. General purpose equipment needs should be included only after surveying the availability of such items within the institution.

*Supplies:* Consumable supplies related to the operation of the Center are allowed and include office materials, as well as scientific supplies. The supply budgets of individual projects must be reduced to reflect cost savings through core usage.

*Research Patient Care Costs:* Research patient care costs (both in-patient and out-patient expenses) will be considered in the context of other existing institutional clinical resources. Attempts should be made by the applicant institution to utilize existing clinical facilities, such as Clinical and Translational Science Centers and individually supported beds. Costs relating to the clinical research efforts of Center investigators may be funded through the Center, provided there is no overlap of funding. Costs already budgeted in individual projects should be appropriately reduced if such costs are to be transferred to the Center budget. The Center is not intended to be a facility for health care delivery; thus, only those patient costs directly related to research activities may be charged to the Center.

*Alteration and Renovation:* Funds for alteration and renovation of an existing structure to provide suitable core facilities for the Center may be made available from the grant under current PHS guidelines.

*Travel:* Domestic and foreign travel of project personnel directly related to the core activities of the Center is allowable. Travel of Center participants for attendance at annual Center directors meetings is allowable.

*Consultants:* Consultants and any associated costs (consultant fees, per diem, travel) may be included when their services are required within the Center.

## **APPLICATION REVIEW INFORMATION**

### **Criteria**

Only the review criteria described below will be considered in the review process. As part of the [NIH mission](#), all applications submitted to the NIH in support of biomedical and behavioral research are evaluated for scientific and technical merit through the NIH peer review system.

[ ]  
**Overall Impact.** Reviewers will provide an overall impact/priority score to reflect their assessment of the likelihood for the entire Center to exert a sustained, powerful influence on the research field(s) involved, in consideration of the following five core review criteria, and additional review criteria (as applicable for the Center proposed).

**Scored Review Criteria - Overall** Reviewers will consider each of the five review criteria below in the determination of scientific and technical merit, and give a separate score for each. An application does not need to be strong in all categories to be judged likely to have major scientific impact. For example, a Center that by its nature is not innovative may be essential to advance a field.

**Significance.** Does the Center address an important problem or a critical barrier to progress in the field? If the aims of the Center are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field?

**Investigator(s).** Are the PD/PIs, collaborators, and other researchers well suited to the project? If Early Stage Investigators or New Investigators, do they have appropriate experience and training? If established, have they demonstrated an ongoing record of accomplishments that have advanced their field(s)? If the Center is collaborative or multi-PD/PI, do the investigators have complementary and integrated expertise; are their leadership approach, governance and organizational structure appropriate for the Center? Does the PD/PI(s) have demonstrated expertise in directing a multicomponent project?

**Innovation.** Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed?

**Approach.** Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Are potential problems, alternative strategies, and benchmarks for success presented? If the project is in the early stages of development, will the strategy establish feasibility and will particularly risky aspects be managed?



If the project involves clinical research, are the plans for 1) protection of human subjects from research risks, and 2) inclusion of minorities and members of both sexes/genders, as well as the inclusion of children, justified in terms of the scientific goals and research strategy proposed?

***Environment.*** Will the scientific environment in which the work will be done contribute to the probability of success? Are the institutional support, equipment and other physical resources available to the investigators adequate for the project proposed? Will the project benefit from unique features of the scientific environment, subject populations, or collaborative arrangements? Is there appropriate Institutional commitment to the program, including lines of accountability regarding the management of the Core Center grant and a commitment to establish new positions as necessary?

### **Additional Review Criteria – Overall**

As applicable for the Center proposed, reviewers will evaluate the following additional items while determining scientific and technical merit, and in providing an overall impact/priority score, but will not give separate scores for these items.

The following additional review considerations apply to all new and renewal CF Research and Translation Core Center applications.

- Does the research base to be supported by the Center show evidence of a strong and consistent record of productivity and peer-reviewed funding in Center-related research areas?
- Is the requested budget directly correlated to the breadth, quality and relevance to cystic fibrosis and related areas of the research base being served by the Center?
- Do the proposed cores fill a need present in the CF research community, and will they provide services that would otherwise be unavailable, or be more cost-effective to conduct centrally? Is the necessary technical and analytical expertise available?
- Does the Regional/National/International Core (if any) provide unique resources or services that will impact the field? Is the extended research base appropriate?
- Does the application demonstrate ability to monitor use and utility of the cores, and provide approaches to ensure continuing development and evolution of services as needs of the community change?
- Does the application describe an appropriate process for soliciting, reviewing, selecting and evaluating pilot and feasibility projects

### **Protections for Human Subjects**

For research that involves human subjects but does not involve one of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4)

importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials.

For research that involves human subjects and meets the criteria for one or more of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. For additional information on review of the Human Subjects section, please refer to the [Human Subjects Protection and Inclusion Guidelines](#).

### **Inclusion of Women, Minorities, and Children**

When the proposed project involves clinical research, the committee will evaluate the proposed plans for inclusion of minorities and members of both genders, as well as the inclusion of children. For additional information on review of the Inclusion section, please refer to the [Human Subjects Protection and Inclusion Guidelines](#).

### **Vertebrate Animals**

The committee will evaluate the involvement of live vertebrate animals as part of the scientific assessment according to the following five points: 1) proposed use of the animals, and species, strains, ages, sex, and numbers to be used; 2) justifications for the use of animals and for the appropriateness of the species and numbers proposed; 3) adequacy of veterinary care; 4) procedures for limiting discomfort, distress, pain and injury to that which is unavoidable in the conduct of scientifically sound research including the use of analgesic, anesthetic, and tranquilizing drugs and/or comfortable restraining devices; and 5) methods of euthanasia and reason for selection if not consistent with the AVMA Guidelines on Euthanasia. For additional information on review of the Vertebrate Animals section, please refer to the [Worksheet for Review of the Vertebrate Animal Section](#).

### **Biohazards**

Reviewers will assess whether materials or procedures proposed are potentially hazardous to research personnel and/or the environment, and if needed, determine whether adequate protection is proposed.

### **Resubmissions**

For Resubmissions, the committee will evaluate the application as now presented, taking into consideration the responses to comments from the previous scientific review group and changes made to the project.

### **Renewals**

For Renewals, the committee will consider the progress made in the last funding period. The following evaluation criteria will be used to assess the progress of renewals of the CF Research and Translation Core Center applications. Renewal applications should demonstrate productivity as following:

Research Base:

- Does the Center show evidence of a stable or growing research base with strong and consistent record of scientific excellence and achievement?
- Is this reflected in an outstanding level productivity and continuing success in securing peer-reviewed research funding?
- Does the Center show evidence of fostering multi-disciplinary collaborations among Center investigators?

Pilot & Feasibility Program:

- Are the numbers and types of P&F awards well justified?
  - Were papers generated under these awards, and key advances linked to these awards well documented and consistent with the level of support provided?
- Did the individual pilot projects lead to independent grant funding?

### **Additional Review Considerations - Overall**

As applicable for the project proposed, reviewers will consider each of the following items, but will not give scores for these items, and should not consider them in providing an overall impact/priority score.

#### **Select Agent Research**

Reviewers will assess the information provided in this section of the application, including 1) the Select Agent(s) to be used in the proposed research, 2) the registration status of all entities where Select Agent(s) will be used, 3) the procedures that will be used to monitor possession use and transfer of Select Agent(s), and 4) plans for appropriate biosafety, biocontainment, and security of the Select Agent(s).

#### **Resource Sharing Plans**

Reviewers will comment on whether the following Resource Sharing Plans, or the rationale for not sharing the following types of resources, are reasonable: 1) [Data Sharing Plan](#); 2) [Sharing Model Organisms](#); and 3) [Genome Wide Association Studies \(GWAS\)](#).

#### **Budget and Period of Support**

Reviewers will consider whether the budget and the requested period of support are fully justified and reasonable in relation to the proposed research.

## **2. Review and Selection Process**

Applications will be evaluated for scientific and technical merit by (an) appropriate Scientific Review Group(s) [convened by the NIDDK], in accordance with [NIH peer review policy and procedures](#), using the stated [review criteria](#). Review assignments will be shown in the eRA Commons.

As part of the scientific peer review, all applications:

- May undergo a selection process in which only those applications deemed to have the highest scientific and technical merit (generally the top half of applications under review), will be discussed and assigned an overall impact/priority score.
- Will receive a written critique.

Applications will be assigned on the basis of established PHS referral guidelines to the appropriate NIH Institute or Center and will compete for available funds with all other recommended applications submitted in response to this FOA. Following initial peer review, recommended applications will receive a second level of review by the National Diabetes and Digestive and Kidney Diseases Advisory Council. The following will be considered in making funding decisions:

- Scientific and technical merit of the proposed project as determined by scientific peer review.
- Availability of funds.
- Relevance of the proposed project to program priorities.

## VIII. AWARD CRITERIA

Funding decisions will be based on the quality of the proposed Center as determined by peer review, overall balance in the CF Research and Translation Core Center program, and the availability of funds.

## EVALUATION AND REPORTING REQUIREMENTS

When multiple years are involved, awardees will be required to submit the [Non-Competing Continuation Grant Progress Report \(PHS 2590\)](#) annually and financial statements as required in the [NIH Grants Policy Statement](#).

A final progress report, invention statement, and Financial Status Report are required when an award is relinquished when a recipient changes institutions or when an award is terminated.

The Federal Funding Accountability and Transparency Act of 2006 (Transparency Act), includes a requirement for awardees of Federal grants to report information about first-tier subawards and executive compensation under Federal assistance awards issued in FY2011 or later. All awardees of applicable NIH grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at [www.fsrs.gov](http://www.fsrs.gov) on all subawards over \$25,000. See the [NIH Grants Policy Statement](#) for additional information on this reporting requirement.

## X. SPECIAL CONSIDERATIONS

While each Center will be expected to develop its own program in accordance with local talents, interests, and resources, each must be responsive to national needs to develop therapies for cystic fibrosis and must be willing to work with the NIDDK and other organizations in furthering the overall goals of the CF Research and Translation Core Centers Program. In this regard, the Center Director and selected other Center participants may be invited to meet periodically with NIDDK staff and its consultants to review progress, identify emerging needs and opportunities, and plan approaches for future investigations.

Within the context of these guidelines, potential applicants for Center grants are encouraged to exercise the flexibility necessary to utilize the strengths of their particular institutions in preparing a plan which will eventually cover the spectrum of required activities. While types of activities which should be included are indicated in the guidelines, specific approaches for their accomplishment are left to the individual applicant.

Because of resource limitations and in light of the size of the Center grants, it is unlikely that NIDDK will be in a position to provide hardship allowances in the event that an application for renewal of Center support is not funded.

ILLUSTRATION I  
CONSOLIDATED BUDGET FOR 1st YEAR OF REQUESTED SUPPORT

Budget Category	Admin	Core A	Core B	Core C	P & F	Total
Personnel						
Consultant Costs						
Equipment						
Supplies						
Domestic Travel						
Foreign Travel						
Patient Care Costs						
Alterations and Renovations						
Other Expenses						
Contractual Costs						
Total						

ILLUSTRATION II  
DISTRIBUTION OF PROFESSIONAL EFFORT ON THIS APPLICATION

Participating Investigators*	Admin	Core A	Core B	Core C	P and F	Application Total	Other Support
Dr. A.	*1.2			1.2		2.4	6
Dr. B.		1.2			*1.2	2.4	3
Dr. C.	0.6					0.6	
Dr. D.			1.8	*1.2		3.0	6
Etc.							

\*Star the percent effort (See Admin) when that individual is the core director or the principal investigator on a pilot and feasibility study. Minimum effort for Core Director is 0.6 calendar months. Minimum total effort for Center Director is 2.4 calendar months.

ILLUSTRATION III  
SUMMARY OF TOTAL CURRENT AND PENDING SUPPORT  
OF ALL CENTER PARTICIPANTS

Principal Investigator Co-Investigator*	Supporting Organization and Grant Number	Title	Project Period	Current Amount	Annual	Effort (Calendar months)
<b>BASIC CF RESEARCH BASE</b>						
<b>Current Support</b>						
Example: Doe, Joe	P01 DK00000	Therapies for CF	4/1/10-3/31/15	\$500,000		3
Jones, Andrea (Doe, Joe)	K08 DK00000	Gene Therapy of Cystic Fibrosis	6/1/08-5/31/13	\$75,000		6
Lane, Andrea	R01 DK00000	CFTR Trafficking	7/1/09-6/30/13	\$200,000		1.8
<b>Pending Support</b>						
Principal Investigator Co-Investigator	Supporting Organization and Grant Number	Title	Project Period Requested	First Year Support Requested		Percent Effort Requested



**CLINICAL CF RESEARCH BASE**

Current Support  
(as above)

Pending Support  
(as above)

**NON-CENTER-RELATED RESEARCH SUPPORT**

Current Support  
(as above)

Pending Support  
(as above)

\* If co-investigator's name is used, put principal investigator's name in parentheses below.

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**ILLUSTRATION IV - FOR COMPETING RENEWALS ONLY**

**PUBLICATIONS CITING SUPPORT FROM THIS CORE CENTER GRANT**

**Contributing Cores**

**Core Number  
and P.I. Name**

**Publications**

**Core 1**

**Core 2**

**Core 3**

**Core 4**

**P & Fs**

1. Brown

Brown, A.C.; Jones R.C.;  
Smith, A.J. In vivo delivery  
of CFTR to monkey lung.  
Nature Medicine, 2010

P

S

Brown, A.C.; Cheng, A.G.;  
Anderson, J.C. Adenoviral  
Vectors for CF.  
Gene Therapy, 2009

P

S

S

2. Cheng, A.C.

Cheng, A.C.; Meyer, G.C.  
Animal Models for  
Genetic Diseases  
Nature Genetics, 2009.

S

P

S

Smith, F.G.; Cheng, A.C.;  
Tissue specific Knockout of CFTR  
PNAS, 2010

P

S

S

\*List each publication only once under the project number most significantly contributing to the work. The project most significantly contributing to the work should be signified by a P (primary). All other contributing projects and cores are designated by an S (secondary).

**USE OF CORE FACILITIES**

CORE: NAME					
Determination/Services Rendered					
A.					
B.					
Use	Funded Projects with	Period of	Determinations	Estimated	
Comments	Users	Identifying Number	Performance	Services	and
1.					
2.					
3.					

EXAMPLE

Core A: Molecular Biology

Determination/Services Rendered

- A. Isolation of DNA and RNA
- B. Analysis of gene expression using GeneChips and microarrays
- C. DNA sequencing
- D. Oligonucleotide synthesis

	Users	Funded Projects with Identifying Number	Period of Performance	Services				Estimated Use
				A	B	C	D	
1.	J. F. Smith	R01 DK00000-00	3/7/04-3/7/09	X	X			A. 5 per month C. 100 per month
2.	S. R. Jones	K08 DK00000-00	1/4/06-1/4/11		X	X		B. 40 per week D. 8 per month
3.	R. G. Brown	R01 GM00000-00	9/1/01-9/1/06	X				A. 16 per week

Core B: Morphology

Determination/Services Rendered

Same format

--

\* In renewal applications, the last column should be entitled "Actual Usage."

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ILLUSTRATION VI

PILOT & FEASIBILITY (P&F) PROJECT OUTCOMES-  
FOR RENEWAL APPLICATIONS ONLY

Set up column headings:

P&F Project Number

Investigator (last name, first name)

Department

P&F Funding Dates (MM/YY to MM/YY)

Amount of P&F (direct costs for entire P&F project period)

P&F Project Title

Type of P&F Investigator (New, Established, or New to CF)

Type of P&F Research (Basic, Clinical, Translational)

Publications (# of papers, # of abstracts)

Subsequent Grant Applications Funded (Yes, No, Pending)

Subsequent Grant Number (of the grant received most proximate in time to the P&F award, i. e. for investigators who received funding 5-10 years ago, this will usually not be current funding)

Subsequent Grant Project Period (MM/YY to MM/YY)

Amount of Subsequent Grant Award (Total Direct Costs for Duration of Award)

- Still in Cystic Fibrosis Research (Yes, No)

