



## DEPARTMENT OF THE TREASURY

### Alcohol and Tobacco Tax and Trade Bureau

ORDER

TTB O 1135.1

**Subject: Delegation Order - Delegation of the  
Administrator's Authorities in 27 CFR  
Part 1, Basic Permit Requirements  
Under the Federal Alcohol Administration Act,  
Nonindustrial Use of Distilled Spirits and Wine,  
Bulk Sales and Bottling of Distilled Spirits**

**Issuance Date: 3/31/06**

**Sunset Review: 3/31/09**

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**To:** All Bureau Employees and All Interested Parties

**1. Purpose.**

This Order delegates certain authorities of the Administrator, Alcohol and Tobacco Tax and Trade Bureau (TTB) in the administration of the regulations contained in part 1 of title 27 of the Code of Federal Regulations (CFR), to subordinate TTB officers and prescribes the subordinate TTB officers with whom persons file documents.

**2. Cancellation.**

This Order supersedes the delegations related to 27 CFR part 1 contained in TTB Order 1130.1 - Delegation Order – Delegation of the Administrator's Authorities in 27 CFR, dated January 31, 2003.

**3. Effective Date.**

This Order is effective on March 31, 2006.

**4. Authority.**

Pursuant to section 1111(d) of the Homeland Security Act of 2002, codified at 6 U.S.C. 531(d), and Treasury Order No. 120-01 (Revised), dated January 24, 2003, the Administrator of TTB is authorized to exercise the authorities, perform the functions, and carry out the duties of the Secretary of the Treasury in the administration and enforcement of Chapters 51 and 52 of the Internal Revenue Code of 1986, as amended (IRC), sections 4181 and 4182 of the IRC, and Title 27, United States Code.

Some of these authorities have been delegated by regulation to the “appropriate TTB officer.” This delegation order identifies the “appropriate TTB officer” for purposes of the pertinent regulations in 27 CFR part 1. We also explain in 27 CFR 1.4, that we have issued this Order to identify the specific “appropriate TTB officer” for each affected provision of the regulations.

**5. Delegations.**

Under the authority cited in paragraph 4, and pursuant to 26 CFR 301.7701-9, this TTB order delegates certain authorities prescribed in 27 CFR part 1 to subordinate TTB officers, and prescribes the subordinate officers with whom required applications, notices, and reports, as applicable, are filed.

The following table identifies the “appropriate TTB officer” to whom authority has been delegated by regulation to perform certain functions or receive certain documents under 27 CFR part 1. TTB’s organizational chart (located at [http://www.ttb.gov/pdf/bureau\\_orgchart.pdf](http://www.ttb.gov/pdf/bureau_orgchart.pdf)) provides contact information for the offices named in this delegation order.

**Table of Authorities  
27 CFR Part 1  
Basic Permit Requirements  
Under the Federal Alcohol Administration Act,  
Nonindustrial Use of Distilled Spirits and Wine,  
Bulk Sales and Bottling of Distilled Spirits**

<b>Regulatory Section</b>	<b>Officer(s) Authorized to Act or Receive Documents</b>
1.3	Director, Regulations and Rulings Division.
1.10 (Definition of Applicant)	Director, National Revenue Center, for permits except in Puerto Rico. District Director, Puerto Rico Operations, for permits in Puerto Rico.

<b>Regulatory Section</b>	<b>Officer(s) Authorized to Act or Receive Documents</b>
1.24	<p>Branch Chief, National Revenue Center, to issue any permit upon application or to amend any permit, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>Group Supervisor, National Revenue Center, to issue upon application, or amend, a permit to wholesale or import, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>District Director, Puerto Rico Operations, to issue a permit upon application or amend a permit (by affixing the signature of the Administrator) in Puerto Rico.</p>
1.25	District Director, Trade Investigations Division; or Group Supervisor, National Revenue Center.
1.27	<p>Group Supervisor, National Revenue Center, for a permit other than in Puerto Rico.</p> <p>District Director, Puerto Rico Operations, for a permit in Puerto Rico.</p>
1.31	Director, National Revenue Center.
1.35	<p>Branch Chief, National Revenue Center, to issue any permit upon application or to amend any permit, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>Group Supervisor, National Revenue Center, to issue upon application, or amend, a permit to wholesale or import, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>District Director, Puerto Rico Operations, to issue a permit upon application or amend a permit (by affixing the signature of the Administrator) in Puerto Rico.</p> <p>Deputy Assistant Administrator (Field Operations), to suspend, revoke, or annul a permit; except Director, National Revenue Center, to revoke permits based on failure to engage in any of the operations authorized by the permit for more than two years.</p> <p>Director, National Revenue Center, to deny an application for a permit.</p>

Regulatory Section	Officer(s) Authorized to Act or Receive Documents
1.42	Group Supervisor, National Revenue Center, for a permit other than in Puerto Rico for filing notice. District Director, Puerto Rico Operations, for a permit in Puerto Rico for filing a notice. District Director, Trade Investigations Division; or Group Supervisor, National Revenue Center, to require supplemental data.
1.50	Deputy Assistant Administrator (Field Operations); except Director, National Revenue Center, to revoke permits based on failure to engage in any of the operations authorized by the permit for more than two years.
1.51	Deputy Assistant Administrator (Field Operations).
1.52	District Director, Trade Investigations Division.
1.55	District Director, Trade Investigations Division; or Group Supervisor, National Revenue Center.
1.56(b)	Auditor, Investigator, or Specialist.
1.58	Auditor, Investigator, or Specialist.
1.59	Branch Chief, National Revenue Center; or District Director, Puerto Rico Operations: to make documents available.

**6. Redelelegation.** These authorities may not be redelegated.



Administrator  
Alcohol and Tobacco Tax and Trade Bureau