

**Budget of the United States Government,
Fiscal Year 2012 - Appendix**

DEPARTMENT OF LABOR

EMPLOYMENT AND TRAINING ADMINISTRATION

Federal Funds

TRAINING AND EMPLOYMENT SERVICES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 ("WIA"), the Second Chance Act of 2007, and the Women in Apprenticeship and Non-Traditional Occupations Act of 1992, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, and the Workforce Innovation Fund, as established by this Act; \$3,626,947,000 plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, and for the Workforce Innovation Fund, as established by this Act, \$2,959,043,000 as follows:

(A) \$860,527,000 for adult employment and training activities, of which 8 percent, to remain available until September 30, 2013, shall be available to the Secretary for the Workforce Innovation Fund, and of which \$148,527,000 shall be available for the period July 1, 2012, through June 30, 2013, and of which \$712,000,000 shall be available for the period October 1, 2012 through June 30, 2013;

(B) \$923,913,000 for youth activities, which shall be available for the period April 1, 2012 through June 30, 2013, and of which 8 percent, to remain available until September 30, 2013, shall be available to the Secretary for the Workforce Innovation Fund; and

(C) \$1,174,603,000 for dislocated worker employment and training activities, of which 8 percent, to remain available until September 30, 2013, shall be available to the Secretary for the Workforce Innovation Fund, and of which \$314,603,000 shall be available for the period July 1, 2012 through June 30, 2013, and of which \$860,000,000 shall be available for the period October 1, 2012 through June 30, 2013;

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of funds allocated to a local area from appropriations under subparagraphs (A) and (C) may be transferred by a local board if approved by the Governor: Provided further, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: Provided further, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 7.5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, \$485,938,000 as follows:

(A) \$229,160,000 for the dislocated workers assistance national reserve, of which \$29,160,000 shall be available for the period July 1, 2012 through June 30, 2013, and of which \$200,000,000 shall be available for the period October 1, 2012 through June 30, 2013: Provided, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for State-wide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: Provided further, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) \$54,158,000 for Native American programs, which shall be available for the period July 1, 2012 through June 30, 2013;

(C) \$86,620,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$80,710,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,400,000 for migrant and seasonal housing

(of which not less than 70 percent shall be for permanent housing), and \$510,000 for other discretionary purposes, which shall be available for the period July 1, 2012 through June 30, 2013: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) \$1,000,000 for carrying out the Women in Apprenticeship and Nontraditional Occupations Act, which shall be available for the period July 1, 2012 through June 30, 2013; and

(E) \$115,000,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, 2012 through June 30, 2013;

(3) for national activities, \$181,966,000, as follows:

(A) \$6,616,000 in addition to any amounts available under paragraph (1) for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2012 through June 30, 2013;

(B) \$90,000,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2012 through June 30, 2013, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: Provided, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas;

(C) \$11,600,000 for Evaluation, which shall be available for the period July 1, 2012 through June 30, 2013;

(D) \$60,000,000 for activities that prepare workers for careers in energy efficiency and renewable energy as described in section 171(e)(1)(B) of the WIA, under the authority of section 171 of the WIA, which shall be available for the period July 1, 2012 through June 30, 2013, and which shall not be subject to the requirements of section 171(b)(2)(B) or 171(c)(4)(D); and

(E) \$13,750,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, 2012 through June 30, 2013, and which shall not be subject to the requirements of section 171(c)(4)(D).

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111–242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0174–0–1–504	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Adult Employment and Training Activities	862	862	792
0003 Dislocated Worker Employment and Training Activities	1,452	1,413	1,311
0005 Youth Activities	994	1,027	965
0008 Reintegration of Ex-Offenders	96	108	90
0010 Native Americans	53	53	54
0011 Migrant and Seasonal Farmworkers	87	85	87
0013 National programs	56	104	19
0014 Career Pathways/Community College in FY 2010 (formerly CBJTG)		125	
0015 High Growth Job Training Initiative	14	220	125
0016 Green Jobs Initiative		40	60
0017 Data Quality Initiative		13	14
0022 Recovery Act - Dislocated Worker Employment and Training Activities	165		
0024 Recovery Act - YouthBuild	2		
0025 Recovery Act - Green Job Training	495		
0026 Recovery Act - High Growth/Emerging Industries	248		
0028 Recovery Act - NEGs Health Insurance Assistance	6	3	3
0029 Workforce Innovation Fund			65
0091 Direct program activities, subtotal	4,530	4,053	3,585
0801 Reimbursable program	10	10	10
0900 Total new obligations	4,540	4,063	3,595
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,400	707	608

TRAINING AND EMPLOYMENT SERVICES—Continued
Program and Financing—Continued

Identification code 16-0174-0-1-504		2010 actual	CR	2012 est.
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total)	1,408	707	608
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,058	2,057	1,855
1120	Appropriations transferred to other accounts	-1		
1160	Appropriation, discretionary (total)	2,057	2,057	1,855
Advance appropriations, discretionary:				
1170	Advance appropriation	1,772	1,772	1,772
Appropriations, mandatory:				
1201	Appropriation (H-1B Skills Training)	114	125	125
1232	Unobligated balance of appropriations permanently reduced (Health NEGs)	-110		
1260	Appropriations, mandatory (total)	4	125	125
Spending authority from offsetting collections, discretionary:				
1700	Collected	11	10	10
1900	Budget authority (total)	3,844	3,964	3,762
1930	Total budgetary resources available	5,252	4,671	4,370
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-5		
1941	Unexpired unobligated balance, end of year	707	608	775
Change in obligated balance:				
Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	5,343	4,741	4,009
3030	Obligations incurred, unexpired accounts	4,540	4,063	3,595
3040	Outlays (gross)	-5,120	-4,795	-4,000
3080	Recoveries of prior year unpaid obligations, unexpired	-8		
3081	Recoveries of prior year unpaid obligations, expired	-14		
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	4,741	4,009	3,604
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3,840	3,839	3,637
Outlays, gross:				
4010	Outlays from new discretionary authority	1,236	1,315	1,293
4011	Outlays from discretionary balances	3,740	3,445	2,617
4020	Outlays, gross (total)	4,976	4,760	3,910
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-10	-10
4070	Budget authority, net (discretionary)	3,829	3,829	3,627
4080	Outlays, net (discretionary)	4,965	4,750	3,900
Mandatory:				
4090	Budget authority, gross	4	125	125
Outlays, gross:				
4100	Outlays from new mandatory authority		1	1
4101	Outlays from mandatory balances	144	34	89
4110	Outlays, gross (total)	144	35	90
4180	Budget authority, net (total)	3,833	3,954	3,752
4190	Outlays, net (total)	5,109	4,785	3,990

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July- to- June program year basis, and substantial advance appropriation amounts are provided. This account includes:

Adult employment and training activities.—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

Dislocated worker employment and training activities.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Youth activities.—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer jobs. The program links academic and occupational learning with youth development activities.

Workforce Innovation Fund.—Contributions of \$69 million from Adult activities, \$94 million from Dislocated Worker activities, and \$74 million from Youth activities will help support a \$379 million Workforce Innovation Fund for competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. A portion of these funds may be used for Pay for Success bonds to engage social investors, the Federal government, and a State or local community to collaboratively finance effective interventions. The Departments of Labor and Education will jointly administer the Fund, which is described in the Title V General Provisions.

Green Jobs.—These funds will support research, labor exchange, and job training projects that help prepare workers for careers related to renewable energy and energy efficiency.

Reintegration of Ex-Offenders.—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Department of Labor will coordinate closely with the Department of Justice in carrying out this program.

Native Americans.—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

Migrant and Seasonal Farmworkers.—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

National programs.—Provides evaluation resources and program support for WIA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market.

Workforce Data Quality Initiative.—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

Object Classification (in millions of dollars)

Identification code 16-0174-0-1-504		2010 actual	CR	2012 est.
Direct obligations:				
25.1	Advisory and assistance services	12	10	10
25.2	Other services from non-federal sources	13		
25.3	Other goods and services from federal sources	4		
25.7	Operation and maintenance of equipment	2		
41.0	Grants, subsidies, and contributions	4,499	4,043	3,575
99.0	Direct obligations	4,530	4,053	3,585
99.0	Reimbursable obligations	10	10	10
99.9	Total new obligations	4,540	4,063	3,595

OFFICE OF JOB CORPS

(INCLUDING CANCELLATION OF FUNDS)

To carry out subtitle C of title I of the Workforce Investment Act of 1998, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration and repairs of buildings and other facilities, and the purchase of real property for

training centers as authorized by the Workforce Investment Act; \$1,699,747,000, plus reimbursements, as follows:

(1) \$1,589,817,000 for Job Corps Operations, of which \$998,817,000 shall be available for obligation for the period July 1, 2012 through June 30, 2013 and of which \$591,000,000 shall be available for obligation for the period October 1, 2012 through June 30, 2013;

(2) \$78,500,000 for construction, rehabilitation and acquisition of Job Corps Centers, of which \$3,500,000 shall be available for the period July 1, 2012 through June 30, 2015 and \$75,000,000 shall be available for the period October 1, 2012 through June 30, 2015: Provided, That the Secretary of Labor may transfer up to 15 percent of such funds to meet the operational needs of such centers: Provided further, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, 2013; and

(3) \$31,430,000 for necessary expenses of the Office of Job Corps which shall be available for obligation for the period October 1, 2011 through September 30, 2012:

Provided, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

Of the discretionary funds made available under this heading for the construction, rehabilitation, and acquisition of Job Corps Centers for the period October 1, 2011 through June 30, 2014, \$25,000,000 are hereby permanently cancelled.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111–242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0181–0–1–504	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Operations	1,431	1,574	1,590
0002 Construction, Rehabilitation, and Acquisition (CRA)	103	119	115
0003 Administration	29	29	31
0004 Recovery Act	102
0005 Adjustment	47
0091 Direct program activities, subtotal	1,712	1,722	1,736
0801 Reimbursable program activity	1	1	1
0900 Total new obligations	1,713	1,723	1,737
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	751	749	735
1021 Recoveries of prior year unpaid obligations	4
1050 Unobligated balance (total)	755	749	735
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,017	1,017	1,034
Advance appropriations, discretionary:			
1170 Advance appropriation	691	691	691
1173 Advance appropriations permanently reduced	–25
1180 Advanced appropriation, discretionary (total)	691	691	666
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1900 Budget authority (total)	1,709	1,709	1,701
1930 Total budgetary resources available	2,464	2,458	2,436
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2
1941 Unexpired unobligated balance, end of year	749	735	699
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	579	429	438
3030 Obligations incurred, unexpired accounts	1,713	1,723	1,737
3031 Obligations incurred, expired accounts	4
3040 Outlays (gross)	–1,850	–1,714	–1,710
3080 Recoveries of prior year unpaid obligations, unexpired	–4
3081 Recoveries of prior year unpaid obligations, expired	–13
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	429	438	465
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,709	1,709	1,701
Outlays, gross:			
4010 Outlays from new discretionary authority	846	789	769

4011	Outlays from discretionary balances	1,004	925	941
4020	Outlays, gross (total)	1,850	1,714	1,710
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	–1	–1	–1
4033	Non-Federal sources	–1	–1	–1
4040	Offsets against gross budget authority and outlays (total) ...	–2	–2	–2
Additional offsets against gross budget authority only:				
4052	Offsetting collections credited to expired accounts	1	1	1
4070	Budget authority, net (discretionary)	1,708	1,708	1,700
4080	Outlays, net (discretionary)	1,848	1,712	1,708
4180	Budget authority, net (total)	1,708	1,708	1,700
4190	Outlays, net (total)	1,848	1,712	1,708

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105–220, Title 1, Subtitle C, section 141), Job Corps is an intensive educational and career technical training program for disadvantaged youth who need and can benefit from these training services in order to become more employable, responsive, and productive citizens.

The program serves more than 60,000 participants and operates in a primarily residential setting at 125 centers in 48 states, the District of Columbia and the Commonwealth of Puerto Rico. A new center in Florida is scheduled to open in July 2011, and four new centers are currently under design or construction in Wisconsin, Iowa, New Hampshire and Wyoming. Large and small corporations and nonprofit organizations manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the other 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16–24, and who meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular school-work or to secure and hold employment.

The 2012 Budget continues an initiative to reform and improve Job Corps, by setting high standards for centers and taking quick and decisive action to address problems in the program's performance.

Object Classification (in millions of dollars)

Identification code 16–0181–0–1–504	2010 actual	CR	2012 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	16	17	18
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	17	18	19
12.1	Civilian personnel benefits	4	4	4
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
23.2	Rental payments to others	8	8
25.3	Other goods and services from federal sources	1,349	1,402	1,419
25.4	Operation and maintenance of facilities	30	30
25.7	Operation and maintenance of equipment	1	2	2
31.0	Equipment	6	6
32.0	Land and structures	61	57
41.0	Grants, subsidies, and contributions	103
92.0	Undistributed	47
99.0	Direct obligations	1,524	1,534	1,548
99.0	Reimbursable obligations	1	1	1
Allocation Account - direct:				
Personnel compensation:				
11.1	Full-time permanent	70	70	70
11.3	Other than full-time permanent	3	3	3
11.5	Other personnel compensation	6	6	6
11.9	Total personnel compensation	79	79	79
12.1	Civilian personnel benefits	28	28	28

OFFICE OF JOB CORPS—Continued
Object Classification—Continued

Identification code 16-0181-0-1-504	2010 actual	CR	2012 est.
21.0 Travel and transportation of persons	5	4	4
22.0 Transportation of things		1	1
23.3 Communications, utilities, and miscellaneous charges	8	8	8
25.2 Other services from non-federal sources	26	23	23
25.3 Other goods and services from federal sources	4	4	4
25.4 Operation and maintenance of facilities		3	3
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	34	34	34
31.0 Equipment	2	2	2
99.0 Allocation account - direct	188	188	188
99.9 Total new obligations	1,713	1,723	1,737

Employment Summary

Identification code 16-0181-0-1-504	2010 actual	CR	2012 est.
1001 Direct civilian full-time equivalent employment	167	168	179

【COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS】

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111-242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 National programs	656	660	
0002 State programs	164	165	
0900 Total new obligations (object class 41.0)	820	825	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	825	825	
1900 Budget authority (total)	825	825	
1930 Total budgetary resources available	827	830	5
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	582	680	687
3030 Obligations incurred, unexpired accounts	820	825	
3040 Outlays (gross)	-718	-818	-669
3081 Recoveries of prior year unpaid obligations, expired	-4		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	680	687	18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	825	825	
Outlays, gross:			
4010 Outlays from new discretionary authority	164	157	
4011 Outlays from discretionary balances	554	661	669
4020 Outlays, gross (total)	718	818	669
4180 Budget authority, net (total)	825	825	
4190 Outlays, net (total)	718	818	669

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109-365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the

Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2012 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration on Aging and to help the program better fulfill its dual goals of fostering individual economic self-sufficiency and promoting useful opportunities in community service.

TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

Program and Financing (in millions of dollars)

Identification code 16-0187-0-1-504	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Direct program activity		500	500
0100 Direct program activities, subtotal		500	500
0900 Total new obligations (object class 41.0)		500	500
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation		500	500
1930 Total budgetary resources available		500	500
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			475
3030 Obligations incurred, unexpired accounts		500	500
3040 Outlays (gross)		-25	-350
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)		475	625
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross		500	500
Outlays, gross:			
4100 Outlays from new mandatory authority		25	25
4101 Outlays from mandatory balances			325
4110 Outlays, gross (total)		25	350
4180 Budget authority, net (total)		500	500
4190 Outlays, net (total)		25	350

The Trade Adjustment Assistance (TAA) Community College and Career Training program is authorized by the Health Care and Education Reconciliation Act of 2010 (P.L. 111-152), which provides \$500 million annually in fiscal years 2011-2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during fiscal year 2012 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title

II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, and related State administration provided pursuant to paragraphs (1) and (2) of section 1891(b) of the Trade and Globalization Adjustment Assistance Act of 2009, \$1,100,100,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, 2012.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111–242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0326–0–1–999	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Trade Adjustment Assistance benefits	256	231	805
0002 Trade Adjustment Assistance training	685	278	260
0005 Wage Insurance Payments	34	39	35
0091 Direct program activities, subtotal	975	548	1,100
0801 Disaster Unemployment Assistance	3	40	40
0900 Total new obligations	978	588	1,140
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	1,818	1,818	1,100
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (DUA)	3	40	40
1900 Budget authority (total)	1,821	1,858	1,140
1930 Total budgetary resources available	1,821	1,858	1,140
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–843	–1,270
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	917	1,222	566
3030 Obligations incurred, unexpired accounts	978	588	1,140
3040 Outlays (gross)	–617	–1,244	–1,326
3081 Recoveries of prior year unpaid obligations, expired	–56
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	1,222	566	380
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1,821	1,858	1,140
Outlays, gross:			
4100 Outlays from new mandatory authority	235	392	958
4101 Outlays from mandatory balances	382	852	368
4110 Outlays, gross (total)	617	1,244	1,326
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–3	–40	–40
4160 Budget authority, net (mandatory)	1,818	1,818	1,100
4170 Outlays, net (mandatory)	614	1,204	1,286
4180 Budget authority, net (total)	1,818	1,818	1,100
4190 Outlays, net (total)	614	1,204	1,286

Summary of Budget Authority and Outlays (in millions of dollars)

	2010 actual	CR	2012 est.
Enacted/requested:			
Budget Authority	1,818	1,818	1,100
Outlays	614	1,204	1,286
Legislative proposal, subject to PAYGO:			
Budget Authority	410	571
Outlays	124	415
Total:	1,818	2,228	1,671
Outlays	614	1,328	1,701

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case

management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) and extended through February 12, 2011 under the Omnibus Trade Act of 2010. Under the TGAAA, workers covered by petitions for the TAA program filed before May 18, 2009, continued to receive the benefits and services, including ATAA, in accordance with the TAA provisions that were in effect prior to these amendments (see Section 1891(b) of the TGAAA). Petitions filed on or after May 18, 2009, are considered under expanded group eligibility provisions (e.g., workers in the service sector will be eligible) and the covered workers may be eligible for enhanced services and benefits, including additional weeks of cash benefits while in training and for the RTAA program. Applications filed on or after February 13, 2011, will be reviewed and administered under prior law, as if the amendments made under the TGAAA had never been enacted.

Object Classification (in millions of dollars)

Identification code 16–0326–0–1–999	2010 actual	CR	2012 est.
41.0 Direct obligations: Grants, subsidies, and contributions	975	548	1,100
99.0 Reimbursable obligations: reimbursable obligations	3	40	40
99.9 Total new obligations	978	588	1,140

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16–0326–4–1–999	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Trade Adjustment Assistance benefits	127
0002 Trade Adjustment Assistance training	408	427
0005 Wage Insurance Payments	2	17
0900 Total new obligations (object class 41.0)	410	571
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	410	571
1930 Total budgetary resources available	410	571
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	286
3030 Obligations incurred, unexpired accounts	410	571
3040 Outlays (gross)	–124	–415
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	286	442
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	410	571
Outlays, gross:			
4100 Outlays from new mandatory authority	124	272
4101 Outlays from mandatory balances	143
4110 Outlays, gross (total)	124	415
4180 Budget authority, net (total)	410	571
4190 Outlays, net (total)	124	415

The Administration proposes legislation to reauthorize and extend the Trade Adjustment Assistance (TAA) for Workers program. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5) amended TAA to expand eligibility to service

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued
workers, increase the funds available for training, and make other program changes, but it sunset this expanded coverage for petitions filed after December 31, 2010. Upon sunset, the TAA program reverts to the application of the prior TAA law, which the Recovery Act extended through December 31, 2011. The Omnibus Trade Act of 2010 (Public Law 111–344) extended the Recovery Act provisions for petitions filed prior to February 13, 2011, and extended the application of prior TAA law through February 12, 2012.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$147,584,000, together with not to exceed \$3,994,473,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$3,215,610,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including \$10,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 1891(b) of the Trade and Globalization Adjustment Assistance Act of 2009, and shall be available for obligation by the States through December 31, 2012, except that funds used for automation acquisitions or for competitive grants to be awarded to States to address worker misclassification shall be available for obligation by the States through September 30, 2014, and funds used for unemployment insurance workloads experienced by the States through September 30, 2012, shall be available for Federal obligation through December 31, 2012;

(2) \$11,310,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$680,893,000 from the Trust Fund, together with \$83,864,000 from the General Fund of the Treasury, of which 8 percent, to remain available until September 30, 2013, shall be available to the Secretary for the Workforce Innovation Fund, as established by this Act, and of which \$703,576,000 is for grants to States in accordance with section 6 of the Wagner-Peyser Act, and shall be available for Federal obligation for the period July 1, 2012 through June 30, 2013;

(4) \$20,994,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,228,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,666,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,537,000 shall be available for the Federal administration of such activities, and \$15,129,000 shall be available for grants to States for the administration of such activities; and

(6) \$63,720,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171 (e)(2)(C) of the Workforce Investment Act of 1998 and shall be available for Federal obligation for the period July 1, 2012 through June 30, 2013:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2012 is projected by the Department of Labor to exceed 4,832,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry

out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary of Labor may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A-87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request.

In addition, \$60,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111–242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16–0179–0–1–999	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 State UI admin	4,122	4,051	3,559
0002 UI national activities	11	11	11
0010 ES grants to States	680	704	704
0011 ES national activities	21	21	21
0012 One-stop career centers	39	64	64
0013 Work Incentive Grants	1
0014 Foreign labor certification	52	68	66
0015 H-1B fees	19	13	13
0016 Workforce innovation fund	61
0091 Direct program activities, subtotal	4,945	4,932	4,499
0801 Reimbursable program	1	10	10
0900 Total new obligations	4,946	4,942	4,509
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	259	131	140
1021 Recoveries of prior year unpaid obligations	4
1050 Unobligated balance (total)	263	131	140
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	86	87	148
1120 Appropriations transferred to other accounts	–1
1160 Appropriation, discretionary (total)	85	87	148
Appropriations, mandatory:			
1201 Appropriation (special fund)	11	13	13
Spending authority from offsetting collections, discretionary:			
1700 Collected	4,490	4,049	4,077
1701 Change in uncollected payments, Federal sources	–450
1750 Spending auth from offsetting collections, disc (total)	4,040	4,049	4,077
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (EUC08)	504	802	285
1801 Change in uncollected payments, Federal sources	174
1850 Spending auth from offsetting collections, mand (total)	678	802	285
1900 Budget authority (total)	4,814	4,951	4,523
1930 Total budgetary resources available	5,077	5,082	4,663
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	131	140	154

Change in obligated balance:				
Obligated balance, start of year (net):				
3000	Unpaid obligations, brought forward, Oct 1 (gross)	2,164	2,321	2,930
3010	Uncollected pymts, Fed sources, brought forward, Oct 1	-2,284	-1,868	-1,868
3020	Obligated balance, start of year (net)	-120	453	1,062
3030	Obligations incurred, unexpired accounts	4,946	4,942	4,509
3031	Obligations incurred, expired accounts	-19		
3040	Outlays (gross)	-4,749	-4,333	-4,474
3050	Change in uncollected pymts, Fed sources, unexpired	276		
3051	Change in uncollected pymts, Fed sources, expired	140		
3080	Recoveries of prior year unpaid obligations, unexpired	-4		
3081	Recoveries of prior year unpaid obligations, expired	-17		
Obligated balance, end of year (net):				
3090	Unpaid obligations, end of year (gross)	2,321	2,930	2,965
3091	Uncollected pymts, Fed sources, end of year	-1,868	-1,868	-1,868
3100	Obligated balance, end of year (net)	453	1,062	1,097
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	4,125	4,136	4,225
Outlays, gross:				
4010	Outlays from new discretionary authority	2,420	3,011	3,043
4011	Outlays from discretionary balances	1,842	502	1,133
4020	Outlays, gross (total)	4,262	3,513	4,176
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-4,598	-4,049	-4,077
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	450		
4052	Offsetting collections credited to expired accounts	108		
4060	Additional offsets against budget authority only (total)	558		
4070	Budget authority, net (discretionary)	85	87	148
4080	Outlays, net (discretionary)	-336	-536	99
Mandatory:				
4090	Budget authority, gross	689	815	298
Outlays, gross:				
4100	Outlays from new mandatory authority	484	815	298
4101	Outlays from mandatory balances	3	5	
4110	Outlays, gross (total)	487	820	298
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-504	-802	-285
Additional offsets against gross budget authority only:				
4140	Change in uncollected pymts, Fed sources, unexpired	-174		
4160	Budget authority, net (mandatory)	11	13	13
4170	Outlays, net (mandatory)	-17	18	13
4180	Budget authority, net (total)	96	100	161
4190	Outlays, net (total)	-353	-518	112

Unemployment compensation.—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identity theft, and provide referral to reemployment assistance to those who need additional help. The \$60 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$237 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through allocation adjustments, a mechanism that has been used by past Administrations and Congresses. Allocation adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$10 million and if the use of the funds was clearly restricted to the specified purpose.

UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS

	2009 actual	2010 actual	2011 est.	2012 est.
Staff years	37,490	43,398	39,349	39,939
Basic workload (in thousands):				
Employer tax accounts	7,658	7,571	7,633	7,737
Employee wage items recorded	612,711	582,775	592,979	609,895
Initial claims taken	31,219	25,353	25,946	26,524
Weeks claimed	292,424	255,051	242,244	247,345
Nonmonetary determinations	10,260	9,442	9,422	9,595
Appeals	1,765	2,130	2,170	2,200
Covered employment	129,264	125,552	126,705	129,215

Employment service.—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allotments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

EMPLOYMENT SERVICE PROGRAM STATISTICS

	2009 actual	2010 est.	2011 est.	2012 est.
Total participants (thousands)	19,550	22,447	22,447	18,403
Entered employment (thousands)	5,579	6,522	6,522	6,522
Cost per participant	\$37	\$32	\$32	\$37

Years are program years running from July 1 of the year indicated through June 30 of the following year. 2008 results shown prior to the significant influx of Recovery Act resources to have a more accurate accounting of services based upon typical budget levels (minus Recovery Act funding).

Workforce Innovation Fund.—The SUIESO account will contribute \$61 million to a \$379 million Workforce Innovation Fund for competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The fund will support cross-program collaboration to improve education and employment outcomes for participants. The Departments of Labor and Education will jointly administer the fund, which is described in more detail in the Title V General Provisions.

Foreign Labor Certification.—This activity provides for the administration of the foreign labor certification programs within

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE
OPERATIONS—Continued

the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

Federal Administration.—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

State grants.—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

One-stop career centers.—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

Object Classification (in millions of dollars)

Identification code 16-0179-0-1-999	2010 actual	CR	2012 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	13	21	21
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	14	21	21
12.1 Civilian personnel benefits	4	5	5
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	23	26	26
25.2 Other services from non-federal sources	3	2	2
25.3 Other goods and services from federal sources	6	4	4
25.7 Operation and maintenance of equipment	4	5	5
41.0 Grants, subsidies, and contributions	4,889	4,867	4,434
99.0 Direct obligations	4,945	4,932	4,499
99.0 Reimbursable obligations	1	10	10
99.9 Total new obligations	4,946	4,942	4,509

Employment Summary

Identification code 16-0179-0-1-999	2010 actual	CR	2012 est.
1001 Direct civilian full-time equivalent employment	143	174	174
1001 Direct civilian full-time equivalent employment	17	31	31

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2010 actual	CR	2012 est.
Obligations by program activity:			
0010 Payments to EUCA	53,066	52,606	21,886
0012 Payments to ESAA		802	285
0015 Payments for EUC Benefits, Recovery Act	21,793		
0020 Payments for EUC Admin, Recovery Act	423		
0900 Total new obligations (object class 41.0)	75,282	53,408	22,171
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		194	
1020 Adjustment of unobligated bal brought forward, Oct 1		-194	
1050 Unobligated balance (total)			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	75,476	53,408	22,171
1930 Total budgetary resources available	75,476	53,408	22,171
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	194		
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			
3030 Obligations incurred, unexpired accounts	75,282	53,408	22,171
3040 Outlays (gross)	-75,282	-53,408	-22,171
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	75,476	53,408	22,171
Outlays, gross:			
4100 Outlays from new mandatory authority	75,282	53,408	22,171
4180 Budget authority, net (total)	75,476	53,408	22,171
4190 Outlays, net (total)	75,282	53,408	22,171

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 111-312). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

Program and Financing (in millions of dollars)

Identification code 16-1800-0-1-603	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Direct program activity	11,861	1,920	
0900 Total new obligations (object class 42.0)	11,861	1,920	
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	614	
1020 Adjustment of unobligated bal brought forward, Oct 1		-614	
1050 Unobligated balance (total)	1		

Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	12,474	1,920
1930	Total budgetary resources available	12,475	1,920
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	614	
Change in obligated balance:			
Obligated balance, start of year (net):			
3000	Unpaid obligations, brought forward, Oct 1 (gross)	237	259
3030	Obligations incurred, unexpired accounts	11,861	1,920
3040	Outlays (gross)	-11,839	-1,920
Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)	259	259
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	12,474	1,920
Outlays, gross:			
4100	Outlays from new mandatory authority	11,790	1,661
4101	Outlays from mandatory balances	49	259
4110	Outlays, gross (total)	11,839	1,920
4180	Budget authority, net (total)	12,474	1,920
4190	Outlays, net (total)	11,839	1,920

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, 2013.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111-242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2010 actual	CR	2012 est.
Obligations by program activity:			
0040	FECA Costs	110	200
0900	Total new obligations (object class 41.0)	110	200
Budgetary Resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	5	
Budget authority:			
Appropriations, mandatory:			
1200	Appropriation	105	200
1930	Total budgetary resources available	110	200
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year		
Change in obligated balance:			
3030	Obligations incurred, unexpired accounts	110	200
3040	Outlays (gross)	-110	-200
Obligated balance, end of year (net):			
3090	Unpaid obligations, end of year (gross)		
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	105	200
4100	Outlays from new mandatory authority	105	200

4101	Outlays from mandatory balances	5	
4110	Outlays, gross (total)	110	200
4180	Budget authority, net (total)	105	200
4190	Outlays, net (total)	110	200

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) whenever its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2010 and are projected to continue. Detail on the nonrepayable advances to FECA is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable, advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2012 request continues this authority.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, \$106,533,000, together with not to exceed \$53,349,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111-242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2010 actual	CR	2012 est.
Obligations by program activity:			
0001	Adult services	55	56
0002	Youth services	12	12
0003	Workforce security	43	43
0004	Apprenticeship training, employer and labor services	28	28
0005	Executive direction	9	9
0006	Recovery Act - Program Support	12	
0091	Direct program activities, subtotal	159	148
0801	Recovery Act - Administration	37	
0803	Reimbursable programs (DUA & E-grants)	1	1
0899	Total reimbursable obligations	38	1
0900	Total new obligations	197	149

PROGRAM ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 16-0172-0-1-504	2010 actual	CR	2012 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38		
1011 Unobligated balance transferred from other accounts	12		
1050 Unobligated balance (total)	50		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	98	98	107
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (UTF)	50	50	53
1700 Collected (DUA and eGrants)	1	1	1
1750 Spending auth from offsetting collections, disc (total)	51	51	54
1900 Budget authority (total)	149	149	161
1930 Total budgetary resources available	199	149	161
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-2		
1941 Unexpired unobligated balance, end of year			
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	35	65	37
3030 Obligations incurred, unexpired accounts	197	149	161
3031 Obligations incurred, expired accounts	3		
3040 Outlays (gross)	-166	-177	-163
3081 Recoveries of prior year unpaid obligations, expired	-4		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	65	37	35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	149	149	161
Outlays, gross:			
4010 Outlays from new discretionary authority	123	139	151
4011 Outlays from discretionary balances	43	38	12
4020 Outlays, gross (total)	166	177	163
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-55	-51	-54
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	4		
4070 Budget authority, net (discretionary)	98	98	107
4080 Outlays, net (discretionary)	111	126	109
4180 Budget authority, net (total)	98	98	107
4190 Outlays, net (total)	111	126	109

This account provides for the Federal administration of Employment and Training Administration programs.

Adult services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

Youth services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

Workforce security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

Office of Apprenticeship.—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides

outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504	2010 actual	CR	2012 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	73	77	83
11.3 Other than full-time permanent	3		
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	79	80	86
12.1 Civilian personnel benefits	21	21	23
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	8	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	8	4	5
25.2 Other services from non-federal sources	2	1	1
25.3 Other goods and services from federal sources	16	16	17
25.7 Operation and maintenance of equipment	12	10	12
26.0 Supplies and materials	1	1	1
31.0 Equipment	5	2	2
41.0 Grants, subsidies, and contributions	3		
99.0 Direct obligations	159	148	160
99.0 Reimbursable obligations	38	1	1
99.9 Total new obligations	197	149	161

Employment Summary

Identification code 16-0172-0-1-504	2010 actual	CR	2012 est.
1001 Direct civilian full-time equivalent employment	751	774	850
2001 Reimbursable civilian full-time equivalent employment	194	4	4

WORKERS COMPENSATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806	2010 actual	CR	2012 est.
Budgetary Resources:			
1930 Total budgetary resources available			
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	41	37	37
3040 Outlays (gross)	-4		
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	37	37	37
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	4		
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4		

Workers Compensation Programs.—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

STATES PAID LEAVE FUND

For grants to assist in the start-up of new paid leave programs in the States, \$23,000,000, of which the Secretary may reserve not more than 1 percent for administration related to such grants.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111-242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-0185-0-1-505	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 States paid leave fund			23
0900 Total new obligations (object class 41.0)			23
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			23
1930 Total budgetary resources available			23
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)			
3030 Obligations incurred, unexpired accounts			23
3040 Outlays (gross)			-5
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)			18
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			23
Outlays, gross:			
4010 Outlays from new discretionary authority			5
4180 Budget authority, net (total)			23
4190 Outlays, net (total)			5

The 2012 Budget requests \$23 million for the State paid leave fund in the Department of Labor to support competitive grants to States that wish to establish paid-leave programs. The Budget permits Labor to use up to 1 percent of the total appropriation for administration of the program. The remaining funds will be used for grants and for technical assistance.

Employment Summary

Identification code 16-0185-0-1-505	2010 actual	CR	2012 est.
1001 Direct civilian full-time equivalent employment			2

FOREIGN LABOR CERTIFICATION PROCESSING

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16-5507-0-2-505	2010 actual	CR	2012 est.
0100 Balance, start of year			
Receipts:			
0260 Foreign Labor Certification Processing Fee - legislative proposal subject to PAYGO			19
0261 Foreign Labor Certification Processing Fee - legislative proposal subject to PAYGO			2
0262 Foreign Labor Certification Processing Fee - legislative proposal subject to PAYGO			23
0299 Total receipts and collections			44
0400 Total: Balances and collections			44
Appropriations:			
0500 Foreign Labor Certification Processing - legislative proposal subject to PAYGO			-44

0799 Balance, end of year			
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FOREIGN LABOR CERTIFICATION PROCESSING
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-5507-4-2-505	2010 actual	CR	2012 est.
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)			44
1930 Total budgetary resources available			44
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			44
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			44
4180 Budget authority, net (total)			44
4190 Outlays, net (total)			

The 2012 Budget proposes legislation to establish fees for new applications under the permanent and H-2B temporary foreign labor certification programs. The Budget also proposes legislation to allow the Department to retain fees for new applications under the H-2A temporary labor certification program and modify the fee to cover program costs. The fees would offset the State and Federal costs of administering these programs and once fully implemented would greatly reduce or eliminate the need for appropriations for this purpose. Upon enactment of the fees, requests for funding in the Foreign Labor Certification administration account would be reviewed and adjusted.

Trust Funds

UNEMPLOYMENT TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 16-8042-0-7-999	2010 actual	CR	2012 est.
0100 Balance, start of year	15,500	9,252	8,566
Receipts:			
0200 General Taxes, FUTA, Unemployment Trust Fund	6,444	6,944	7,316
0201 General Taxes, FUTA, Unemployment Trust Fund			-19
0202 General Taxes, FUTA, Unemployment Trust Fund - legislative proposal subject to PAYGO			-2,143
0203 General Taxes, FUTA, Unemployment Trust Fund - legislative proposal subject to PAYGO			1,719
0204 Unemployment Trust Fund, State Accounts, Deposits by States		-89	-100
0205 Unemployment Trust Fund, State Accounts, Deposits by States	38,281	44,784	49,765
0206 Unemployment Trust Fund, State Accounts, Deposits by States - legislative proposal subject to PAYGO			1
0207 Unemployment Trust Fund, Deposits by Railroad Retirement Board	98	171	226
0220 Recovery of Beneficiary Overpayment from the UI Program			287
0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund		1,220	1,830
0222 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund - legislative proposal subject to PAYGO		-1,220	-1,830
0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund	1,228	1,303	1,231
0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund	110	200	
0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund	75,282	53,408	22,171
0243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities	831	446	301
0299 Total receipts and collections	122,274	107,167	80,755
0400 Total: Balances and collections	137,774	116,419	89,321
Appropriations:			
0500 Unemployment Trust Fund	-4,372	-4,371	-4,395
0501 Unemployment Trust Fund	-117,803	-103,307	-73,791

UNEMPLOYMENT TRUST FUND—Continued
Special and Trust Fund Receipts—Continued

Identification code 16-8042-0-7-999	2010 actual	CR	2012 est.
0502 Unemployment Trust Fund	-4,375		
0503 Unemployment Trust Fund	-1,866		
0504 Unemployment Trust Fund - legislative proposal not subject to PAYGO			92
0505 Unemployment Trust Fund - legislative proposal subject to PAYGO			-36
0506 Railroad Unemployment Insurance Trust Fund	-25	-25	-23
0507 Railroad Unemployment Insurance Trust Fund	8	9	7
0508 Railroad Unemployment Insurance Trust Fund	-74	-149	-205
0509 Railroad Unemployment Insurance Trust Fund	-47	-32	-22
0510 Railroad Unemployment Insurance Trust Fund	32	22	118
0599 Total appropriations	-128,522	-107,853	-78,255
0799 Balance, end of year	9,252	8,566	11,066

Program and Financing (in millions of dollars)

Identification code 16-8042-0-7-999	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Benefit payments by States	144,178	125,380	88,953
0002 Federal employees' unemployment compensation	1,322	1,499	1,239
0003 State administrative expenses	4,927	4,828	4,340
0007 UI Mod Benefits/Administration	618	680	797
0010 Direct expenses	135	135	128
0011 Reimbursements to the Department of the Treasury	5	94	95
0020 Veterans employment and training	210	210	212
0021 Interest on FUTA refunds	2	2	2
0022 Interest on General Fund Advances	1,031	1,550	1,820
0023 Adjustment	2,149		
0900 Total new obligations	154,577	134,378	97,586
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1102 Appropriation (trust fund)	4,372	4,371	4,395
Appropriations, mandatory:			
1202 Appropriation (trust fund)	117,803	103,307	73,791
1203 Appropriation (previously unavailable)	4,375		
1203 Appropriation (previously unavailable)	1,866		
1260 Appropriations, mandatory (total)	124,044	103,307	73,791
Borrowing authority, mandatory:			
1400 Borrowing authority	26,161	26,700	19,400
1900 Budget authority (total)	154,577	134,378	97,586
1930 Total budgetary resources available	154,577	134,378	97,586
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	7,335	10,748	8,385
3001 Adjustments to unpaid obligations, brought forward, Oct 1		-2,149	
3020 Obligated balance, start of year (net)	7,335	8,599	8,385
3030 Obligations incurred, unexpired accounts	154,577	134,378	97,586
3040 Outlays (gross)	-151,164	-134,592	-97,594
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	10,748	8,385	8,377
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4,372	4,371	4,395
Outlays, gross:			
4010 Outlays from new discretionary authority	3,385	3,303	3,322
4011 Outlays from discretionary balances	1,637	1,282	1,081
4020 Outlays, gross (total)	5,022	4,585	4,403
Mandatory:			
4090 Budget authority, gross	150,205	130,007	93,191
Outlays, gross:			
4100 Outlays from new mandatory authority	146,054	130,007	93,191
4101 Outlays from mandatory balances	88		
4110 Outlays, gross (total)	146,142	130,007	93,191
4180 Budget authority, net (total)	154,577	134,378	97,586
4190 Outlays, net (total)	151,164	134,592	97,594
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	19,628	18,703	11,000

5001 Total investments, EOY: Federal securities: Par value	18,703	11,000	16,000
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Summary of Budget Authority and Outlays (in millions of dollars)

	2010 actual	CR	2012 est.
Enacted/requested:			
Budget Authority	154,577	134,378	97,586
Outlays	151,164	134,592	97,594
Legislative proposal, not subject to PAYGO:			
Budget Authority			-92
Outlays			-92
Legislative proposal, subject to PAYGO:			
Budget Authority			36
Outlays			36
Total:			
Budget Authority	154,577	134,378	97,530
Outlays	151,164	134,592	97,538

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF, and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111-5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States or to pay the Federal share of extended unemployment benefits.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

Legislative proposal to strengthen the unemployment insurance safety net.—The economic downturn has severely tested the adequacy of States' unemployment insurance (UI) systems, forcing the majority of States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2012

Budget will propose a suspension of interest on State UI borrowing in 2011 and 2012 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 starting in 2014, to index it, and to reduce the FUTA tax rate. States with lower wage bases will need to adjust their UI tax structures. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers.

Status of Funds (in millions of dollars)

Identification code 16-8042-0-7-999	2010 actual	CR	2012 est.
Unexpended balance, start of year:			
0100 Balance, start of year	22,850	20,012	16,963
0111 Unemployment Trust Fund [012-05-8042-0]		-2,149	
0199 Total balance, start of year	22,850	17,863	16,963
Cash income during the year:			
Current law:			
Receipts:			
1200 General Taxes, FUTA, Unemployment Trust Fund	6,444	6,944	7,316
1201 General Taxes, FUTA, Unemployment Trust Fund			-19
1204 Unemployment Trust Fund, State Accounts, Deposits by States		-89	-100
1205 Unemployment Trust Fund, State Accounts, Deposits by States	38,281	44,784	49,765
1207 Unemployment Trust Fund, Deposits by Railroad Retirement Board	98	171	226
Offsetting receipts (proprietary):			
1220 Recovery of Beneficiary Overpayment from the UI Program			287
1221 Interest on Unemployment Insurance Loans to States, Federal Unem		1,220	1,830
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal Agencies to the Federal Employees Compensation	1,228	1,303	1,231
1241 Non-repayable Advances for Unemployment Compensation, Unemploye	110	200	
1242 Payments from the General Fund for Administrative Cost for Exten	75,282	53,408	22,171
1243 Unemployment Trust Fund, Interest and Profits on Investments in	831	446	301
Offsetting collections:			
1280 Railroad Unemployment Insurance Trust Fund	23	20	20
1299 Income under present law	122,297	108,407	83,028
Proposed legislation:			
Receipts:			
2202 General Taxes, FUTA, Unemployment Trust Fund			-2,143
2203 General Taxes, FUTA, Unemployment Trust Fund			1,719
2206 Unemployment Trust Fund, State Accounts, Deposits by States			1
Offsetting receipts (proprietary receipts):			
2222 Interest on Unemployment Insurance Loans to States, Federal Unem		-1,220	-1,830
2299 Income under proposed legislation		-1,220	-2,253
3299 Total cash income	122,297	107,187	80,775
Cash outgo during year:			
Current law:			
4500 Unemployment Trust Fund	-151,164	-134,592	-97,594
4500 Railroad Unemployment Insurance Trust Fund	-179	-150	-142
4599 Outgo under current law (-)	-151,343	-134,742	-97,736
Proposed legislation:			
5500 Unemployment Trust Fund			92
5500 Unemployment Trust Fund			-36
5599 Outgo under proposed legislation (-)			56
6599 Total cash outgo (-)	-151,343	-134,742	-97,680
7645 Railroad Unemployment Insurance Trust Fund		-51	-3
7645 Railroad Unemployment Insurance Trust Fund	47	6	
Manual Adjustments:			
7690 Amount borrowed	26,161	26,700	19,400
7699 Total adjustments	26,208	26,655	19,397
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	1,309	5,963	3,455
8701 Unemployment Trust Fund	18,703	11,000	16,000
8799 Total balance, end of year	20,012	16,963	19,455
Commitments against unexpended balance, end of year:			
9899 Total commitments (-)			

Object Classification (in millions of dollars)

Identification code 16-8042-0-7-999	2010 actual	CR	2012 est.
Direct obligations:			
25.3 Reimbursements to Department of the Treasury	5	94	95
42.0 FECA (Federal Employee) Benefits	1,322	1,499	1,239
42.0 State unemployment benefits	144,178	125,382	88,953
43.0 Interest and dividends	1,033	1,550	1,820
94.0 ETA-PA, BLS, FLC	182	197	188
94.0 Veterans employment and training	210	210	212
94.0 Payments to States for administrative expenses	4,874	4,760	4,276
94.0 Departmental management	6	6	6
94.0 UI Mod Benefits/Admin	618	680	797
94.0 Adjustment	2,149		
99.0 Direct obligations	154,577	134,378	97,586
99.9 Total new obligations	154,577	134,378	97,586

UNEMPLOYMENT TRUST FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-2-7-999	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Benefit payments by States			-92
0900 Total new obligations (object class 42.0)			-92
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1202 Appropriation (trust fund)			-92
1930 Total budgetary resources available			-92
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			-92
3040 Outlays (gross)			92
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			-92
Outlays, gross:			
4100 Outlays from new mandatory authority			-92
4180 Budget authority, net (total)			-92
4190 Outlays, net (total)			-92

Please see the narrative in the "State Unemployment Insurance and Employment Service Operations" account for a description of the program integrity proposal whose savings are reflected here.

UNEMPLOYMENT TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-8042-4-7-999	2010 actual	CR	2012 est.
Obligations by program activity:			
0024 Short-time compensation			36
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1202 Appropriation (trust fund)			36
1930 Total budgetary resources available			36
Change in obligated balance:			
3030 Obligations incurred, unexpired accounts			36
3040 Outlays (gross)			-36
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross			36
Outlays, gross:			
4100 Outlays from new mandatory authority			36
4180 Budget authority, net (total)			36

UNEMPLOYMENT TRUST FUND—Continued
Program and Financing—Continued

Identification code 16-8042-4-7-999	2010 actual	CR	2012 est.
4190 Outlays, net (total)			36

The Budget includes two legislative proposals for later transmittal that affect spending from the Unemployment Trust Fund.

One proposal will create incentives for States to expand use of the Short-Time Compensation (STC) program. The STC program, also known as work sharing, promotes job retention and prevents workers from being laid off. Work sharing is a voluntary employer program designed to help employers maintain their staff by reducing the weekly hours of their employees, instead of temporarily laying off workers, when the employer is faced with a temporary slowdown in business. Workers with reduced hours under an approved STC plan receive a partial unemployment check to supplement the reduced paycheck. The Administration's proposal will provide temporary Federal financing of STC benefits for those States that have an STC law that meets certain guidelines. It will also create a temporary Federal program that will be available in other States and provide implementation funds for States to operate the program and conduct outreach to employers to expand use of STC.

The other legislative proposal is a multi-part legislative initiative to strengthen the financial integrity of the Unemployment Insurance (UI) system and to encourage the early reemployment of UI beneficiaries. The proposal builds upon the enactment of two key components of last year's UI integrity proposal that expanded collection of delinquent UI overpayments and employer taxes through garnishment of Federal tax refunds and improved the accuracy of hiring data in the National Directory of New Hires. The Budget proposal will boost States' ability to recover benefit overpayments and deter tax evasion schemes by permitting them to use a portion of recovered funds to expand enforcement efforts in these areas, including identification of misclassified employees. In addition, the proposal would require States to impose a monetary penalty on UI benefits fraud, which would be used to reduce overpayments, and to prohibit the non-charging of benefits to employers' UI accounts if they are found to be at fault when their actions lead to overpayments. The proposal would also improve the utility and accuracy of hiring data in the National Directory of New Hires by requiring employers to report rehires of employees who have been laid off. These efforts to strengthen the financial integrity of the UI system and encourage early reemployment of UI beneficiaries will keep State UI taxes down and improve the solvency of the State trust funds.

Object Classification (in millions of dollars)

Identification code 16-8042-4-7-999	2010 actual	CR	2012 est.
Direct obligations:			
42.0 State unemployment benefits			27
94.0 State administration			9
99.9 Total new obligations			36

**EMPLOYEE BENEFITS SECURITY
ADMINISTRATION**
Federal Funds
SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, \$197,528,000.

Note.—A full-year 2011 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 111-242, as amended). The amounts included for 2011 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 16-1700-0-1-601	2010 actual	CR	2012 est.
Obligations by program activity:			
0001 Enforcement and participant assistance	133	129	150
0002 Policy and compliance assistance	20	19	41
0003 Executive leadership, program oversight and administration	7	7	7
0004 Recovery Act	3		
0091 Direct program activities, subtotal	163	155	198
0801 Reimbursable program	7	9	9
0900 Total new obligations	170	164	207
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4		
1011 Unobligated balance transferred from other accounts	2		
1050 Unobligated balance (total)	6		
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	155	155	198
1121 Appropriations transferred from other accounts	5		
1160 Appropriation, discretionary (total)	160	155	198
Spending authority from offsetting collections, discretionary:			
1700 Collected	8	9	9
1900 Budget authority (total)	168	164	207
1930 Total budgetary resources available	174	164	207
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-4		
1941 Unexpired unobligated balance, end of year			
Change in obligated balance:			
Obligated balance, start of year (net):			
3000 Unpaid obligations, brought forward, Oct 1 (gross)	46	46	40
3030 Obligations incurred, unexpired accounts	170	164	207
3040 Outlays (gross)	-170	-170	-196
Obligated balance, end of year (net):			
3090 Unpaid obligations, end of year (gross)	46	40	51
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	168	164	207
Outlays, gross:			
4010 Outlays from new discretionary authority	128	124	156
4011 Outlays from discretionary balances	42	46	40
4020 Outlays, gross (total)	170	170	196
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-8	-9	-9
4070 Budget authority, net (discretionary)	160	155	198
4080 Outlays, net (discretionary)	162	161	187
4180 Budget authority, net (total)	160	155	198
4190 Outlays, net (total)	162	161	187

Enforcement and participant assistance.—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants as well as to the general public.

	2010 actual	2011 est.	2012 est.
Investigations conducted	3,393	3,529	3,812
Investigations closed that restored or protected assets	2,301	2,364	2,554
Benefit recoveries from customer assistance	\$198,195,000	\$144,000,000	\$172,000,000
Inquiries received (includes 136,483 Recovery related inquiries) ¹	376,965 ¹	256,000	296,400 ²
Reporting compliance reviews	4,184	4,000	4,000

¹ Includes 131,912 inquiries related to the American Recovery and Reinvestment Act (ARRA).

² ARRA inquiries not included in FY 2012 projections because eligibility for the COBRA subsidy expired May 31, 2010.