

OTHER AGENCY OVERSIGHT

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SECTION

5

INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations meet quarterly to exchange details about current and planned audits, identify opportunities for collaboration, and minimize redundancies.

The most recent meeting was held on November 18, 2009, at the SIGIR office in Arlington, Virginia, with Special Inspector General Bowen chairing the meeting from Baghdad. These organizations attended:

- Air Force Audit Agency (AFAA)
- Defense Contract Audit Agency (DCAA)
- Department of Defense Office of Inspector General (DoD OIG)
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- SIGIR
- U.S. Agency for International Development Office of Inspector General (USAID OIG)
- U.S. Army Audit Agency (USAAA)
- U.S. Army Corps of Engineers (USACE)

Each quarter, SIGIR requests updates from member organizations on their completed, ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by DoD OIG, DoS OIG, GAO, USAID OIG, and USAAA. For DCAA updates, see Appendix G.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2010. SIGIR will no longer report on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 101-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Joint Planning Group-Southwest Asia, which has facilitated the production of the *Comprehensive Oversight Plan for Southwest Asia*. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. The Group is currently coordinating an FY 2010 update to the Plan. The comprehensive plan was expanded beyond the statutory mandates to include other functional areas relevant to supporting Operations Enduring Freedom and Iraqi Freedom, as well as overseas contingency operations, such as contract administration and management, reset of equipment, financial management, and reconstruction support. Participating oversight agencies will continue to coordinate audit plans through working groups and councils.

OTHER AGENCY AUDITS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 5.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 5.2.
- For more information on other agency audits, including audit summaries, see Appendix G.
- For a complete historical list of audits and reviews on Iraq reconstruction by all entities, see Appendix H.

TABLE 5.1
RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 12/31/2009

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
DoD	D-2010-032	12/31/2009	DoD Countermine and Improvised Explosive Device Defeat Systems Contracts – Husky Mounted Detection System
DoD	D-2010-029	12/21/2009	DoD Contractor Qualifications and Selection Criteria for Body Armor Contracts
DoD	D-2010-028	12/15/2009	Rapid Acquisition and Fielding of Materiel Solutions by the Navy
DoD	D-2010-025	12/11/2009	Transportation Planning for the Withdrawal of DoD Personnel and Assets from Iraq
DoD	D-2010-027	12/8/2009	Army’s Management of the Operations and Support Phase of the Acquisition Process for Body Armor
DoD	D-2010-021	11/23/2009	Using System Threat Assessments in the Acquisition of Tactical Wheeled Vehicles
DoD	D-2010-022	11/20/2009	Management of Nontactical Vehicles in Support of Operation Iraqi Freedom
DoD	Memo	11/16/2009	Department of the Army Deferred Maintenance on the Bradley Fighting Vehicle
DoD	D-2010-005	11/3/2009	Information Security at the Fleet and Industrial Supply Center, Sigonella, Detachment Bahrain
DoS	AUD/IQO-09-25	10/21/2009	Audit of Contract Administration, Commissioning and Accreditation of the NEC Baghdad
GAO	GAO-10-288R	12/18/2009	Overseas Contingency Operations: Funding and Cost Reporting for the Department of Defense
GAO	GAO-10-156	11/12/2009	State Department: Diplomatic Security’s Recent Growth Warrants Strategic Review
GAO	GAO-10-187	11/2/2009	Contingency Contracting: Further Improvements Needed in Agency Tracking of Contractor Personnel and Contracts in Iraq and Afghanistan
GAO	GAO-10-179	11/2/2009	Operation Iraqi Freedom: Preliminary Observations on DoD Planning for the Drawdown of U.S. Forces from Iraq
GAO	GAO-10-95	10/29/2009	Warfighter Support: Actions Needed to Improve Visibility and Coordination of DoD’s Counter-Improvised Explosive Device Efforts
GAO	GAO-10-119	10/16/2009	Warfighter Support: Independent Expert Assessment of Army Body Armor Test Results and Procedures Needed Before Fielding
GAO	GAO-10-01	10/1/2009	Contingency Contracting: DoD, State, and USAID Continue to Face Challenges in Tracking Contractor Personnel and Contracts in Iraq and Afghanistan
USAAA	A-2010-0018-ALL	12/17/2009	Management and Visibility of Government Property Provided to the Contractor Performing Bulk Fuel Operations in Kuwait
USAAA	A-2010-0030-ALL	12/14/2009	Contracting Operations, Joint Contracting Command – Iraq/Afghanistan, Baghdad Regional Contracting Center and Theater-Wide Requirements Division, International Zone, Baghdad, Iraq
USAAA	A-2010-0019-ALM	12/8/2009	Time-Sensitive Issue – Nonstandard Equipment Sustainment of Terminated Systems
USAAA	A-2010-0021-ALL	12/8/2009	Retrograde Operations in Southwest Asia – Kuwait, Class IX Aviation Warehouse, Camp Arifjan, Kuwait
USAAA	A-2010-0022-ALL	12/7/2009	Retrograde Operations in Southwest Asia, Multi-Class Retrograde, Camp Arifjan, Kuwait
USAAA	A-2010-0013-ALM	11/16/2009	Automatic Reset Induction Retrograde and Depot Operations

TABLE 5.2

ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 12/31/2009

AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
DoD	D2010-D000IG-0073.000	12/7/2009	Update to the Summary Report on Challenges, Recommendations, and Initiatives Impacting OEF/OIF As Reported by Federal and Defense Oversight Organizations for FY 2003-FY 2009
DoD	D2010-D000JA-0055.000	10/27/2009	Management of Operations in the Theater Retrograde, Camp Arifjan, Kuwait
DoD	D2010-D000JA-0054.000	10/27/2009	Controls Over the Disposition of Equipment at the Defense Reutilization and Marketing Office at Camp Arifjan, Kuwait
DoD	D2010-D000AS-0031.000	10/27/2009	Logistics Civil Augmentation Program IV Support Contract
DoD	D2009-D000FH-0292.000	9/23/2009	Commercial Vendor Services Compliance With Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia
DoD	Not reported	Not reported	Ministerial Capacity Development of the Iraqi Ministries of Defense and Interior Inspectors General
DoD	D2009-D000JB-0307.000	9/16/2009	Controls Over the Accountability and Disposition of Government Furnished Property in Iraq
DoD	D2009-DIPOE3-0306.000	9/11/2009	Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali Iraq
DoD	D2009-D000LC-0240.002	9/10/2009	Inter-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq
DoD	D2009-D005PO-0310.000	9/10/2009	Assessment of Intra-Theater Transportation Planning, Capabilities, and Execution for the Drawdown from Iraq
DoD	D2009-DIPOAI-0305	9/1/2009	Contract Audit Follow-Up Review on Incurred Cost Audits Related to Iraq Reconstruction Activities
DoD	D2009-D000JB-0280.000	8/10/2009	DoD's Plans for the Drawdown and Reset of Property in Iraq
DoD	D2009-D000AS-0266.000	7/31/2009	Contracts Supporting Base Operation in Kuwait
DoD	D2009-D000AS-0264.000	7/20/2009	Air Forces Central War Reserve Materiel Contract
DoD	D2009-D000JB-0254.000	7/13/2009	Contracting for Organizational and Direct Support Maintenance at Joint Base Balad, Iraq
DoD	D2009-D000AS-0247.000	7/10/2009	Contracts Supporting the Broad Area Maritime Surveillance Program
DoD	D2009-D000CH-0244.000	7/7/2009	International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq
DoD	D2009-D000LC-0237.000	6/11/2009	Air Cargo Transportation Contracts in Support of Operation Iraqi Freedom and Operation Enduring Freedom
DoD	D2009-D005PO-0242.00	6/11/2009	Assessment of the Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq
DoD	D2009-DINT01-0203.000	6/10/2009	Review of the Joint Task Force Guantanamo Inclusion of Detainee Mental Health Information in Intelligence Information Reports
DoD	D2009-D000IG-D005PO.0225.000	5/15/2009	Assessment Research on the Case Management Processes for Combat Amputees
DoD	D2009-D000IG-D005PO.0226.000	5/15/2009	Assessment Research on Enlisted Administrative Separations
DoD	D2009-D000AE-0210.000	4/28/2009	Marine Corps Fulfillment of Urgent Universal Need Statements for Laser Dazzlers
DoD	D2009-D000FC-0199.000	4/17/2009	Allowances and Differentials Paid to DoD Civilian Employees Supporting the Global War on Terror
DoD	D2009-D000FG-0183.000	4/7/2009	FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System
DoD	D2009-D000JB-0181.000	4/3/2009	Multi-National Force-Iraq/Multi-National Corps-Iraq Fire Services Inspection and Training Program
DoD	D2009-D000JA-0108.002	4/2/2009	Information Operations in Iraq
DoD	D2009-D000FC-0176.000	3/19/2009	Controls Over Unliquidated Obligations for Department of the Army Contracts Supporting the Global War on Terror
DoD	D2009-D000FC-0165.000	3/4/2009	Controls Over Department of the Navy Military Payroll Processed in Support of the Global War on Terror at San Diego-Area Disbursing Centers
DoD	D2009-D000AS-0163.000	3/2/2009	Army and Navy Small Boats Maintenance Contracts

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AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
DoD	D2009-D000FI-0150.000	2/13/2009	Material Purchases Made Through Partnership Agreements at Corpus Christi Army Depot
DoD	D2009-D000JA-0136.000	1/30/2009	Controls Over the Common Access Card in Non-Department of Defense Agencies
DoD	D2009-D000LD-0110.000	1/29/2009	Air Force Depot Maintenance Public-Private Partnerships
DoD	D2009-DIPOAI-0141	1/29/2009	Review of Army Decision Not to Withhold Funds on the Logistics Civil Augmentation Program (LOGCAP) III Contract
DoD	D2009-D000FB-0112.000	1/5/2009	Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan
DoD	D2009-D000AE-0102.000	12/9/2008	DoD Countermine and Improvised Explosive Device Defeat Systems Contracts
DoD	D2009-D000CK-0100.000	12/9/2008	Maintenance and Support of Mine Resistant Ambush Protected Vehicle
DoD	D2009-D000CF-0095.000	12/1/2008	DoD's Use of Time and Materials Contracts
DoD	D2009-D000AS-0092.000	12/1/2008	Counter Radio-Controlled Improvised Explosive Device Electronic Warfare Program
DoD	D2009-D000CD-0071.000	11/12/2008	Implementation of Predator/Sky Warrior Acquisition Decision Memorandum Dated May 19, 2008
DoD	D2007-D000FD-0198.001	10/10/2008	Reannouncement of the Audit of Funds Appropriated for Afghanistan and Iraq Processed Through the Foreign Military Sales Trust Fund
DoD	D2009-D000AE-0007.000	9/29/2008	Army Acquisition Actions in Response to the Threat to Light Tactical Wheeled Vehicles
DoD	D2008-D000AB-0266.000	9/18/2008	Defense Contract Management Agency Acquisition Workforce for Southwest Asia
DoD	D2008-D000LF-0267.000	9/12/2008	Medical/Surgical Prime Vendor Contracts Supporting Coalition Forces in Iraq and Afghanistan
DoD	D2008-D000FN-0230.000	8/28/2008	Information Assurance Controls Over the Outside the Continental United States Navy Enterprise Network as related to the Global War on Terror
DoD	D2008-D000LD-0245.000	8/27/2008	Central Issues Facilities
DoD	D2008-D000CD-0256.000	8/7/2008	DoD Body Armor Contracts
DoD	D2008-D000FP-0252.000	8/1/2008	Department of the Air Force Military Pay in Support of the Global War on Terror
DoD	D2008-D000AE-0251.000	7/21/2008	Army's Use of Award Fees on Contracts That Support the Global War on Terror
DoD	D2008-D000LH-0249.000	7/14/2008	Equipment Repair and Maintenance Contracts for Aircraft and Aircraft Components Supporting Coalition Forces in Iraq and Afghanistan
DoD	D2008-D000CE-0221.000	6/9/2008	DoD and DoD Contractor Efforts to Prevent Sexual Assault/Harassment Involving Contractor Employees Within Operations Enduring Freedom and Iraqi Freedom Areas of Operation
DoD	D2008-D000FJ-0210.000	5/30/2008	Department of the Army Deferred Maintenance on the Abrams Tank Fleet as a Result of the Global War on Terror
DoD	D2008-D000FD-0214.000	5/20/2008	Contracts for Spare Parts for Vehicle-Mounted Small Arms in Support of the Global War on Terror
DoD	D2008-D000CE-0187.000	4/23/2008	Acquisition of Ballistic Glass for the High-Mobility Multipurpose Wheeled Vehicle
DoD	D2008-D000JC-0186.000	4/23/2008	Class III Fuel Procurement and Distribution in Southwest Asia
DoD	D2008-D000FP-0132.000	2/25/2008	Internal Controls Over Army, General Fund, Cash and Other Monetary Assets Held in Southwest Asia
DoD	2008C003	2/7/2008	Evaluation of DoD Sexual Assault Response in Operations Enduring Freedom and Iraqi Freedom Areas of Operation
DoD	D2007-D000FL-0252.000	8/31/2007	Internal Controls and Data Reliability in the Deployable Disbursing System
DoS	10-ISP-3013	9/1/2009	De-mining Programs in Iraq
DoS	09MERO3021	8/1/2009	Baghdad Embassy Security Force (BESF)
DoS	09MERO3017	6/1/2009	Property Inventory and Accountability at Embassy Baghdad
DoS	09MERO3016	6/1/2009	LOGCAP Task Order for Embassy Baghdad
GAO	120874	11/1/2009	Contracting in Iraq and Afghanistan

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AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
GAO	320734	11/1/2009	Joint Campaign Plan for Iraq
GAO	351376	9/1/2009	Readiness of Air Force Combat and Expeditionary Combat Forces
GAO	351385	9/1/2009	Review of Combat Skills Training for Support Forces
GAO	351387	9/1/2009	Review of Army and Marine Corps Training Capacity
GAO	351388	9/1/2009	Review of Availability of Trained and Ready Forces for Iraq and Afghanistan
GAO	351393	8/28/2009	DoD Medical Wartime Personnel Requirements
GAO	361123	8/1/2009	U.S. Military Burns of Solid Waste in Open Pits in Iraq and Afghanistan
GAO	320691	7/1/2009	U.S. Civilian Agency Planning for the Drawdown of U.S. Troops in Iraq
GAO	320694	7/1/2009	Iraq Refugees and SIV Employment in the United States
GAO	320645	3/9/2009	U.S., Iraqi, and International Efforts to Address Challenges in Reintegrating Displaced Iraqis
GAO	351271	3/1/2009	DoD's Basis for Unmanned Aircraft Systems Program Levels and Plans to Support Those Levels
GAO	351429	2/1/2009	DoD's Planning for the Withdrawal of U.S. Forces from Iraq
GAO	120812	2/1/2009	Iraq and Afghanistan Contractor Oversight
GAO	320638	10/1/2008	Iraq Cost Sharing Arrangements
GAO	351242	8/7/2008	Intelligence, Surveillance, and Reconnaissance (ISR) Processing Capabilities
GAO	351230	6/1/2008	Joint IED Defeat Organization (JIEDDO) Strategic Management
GAO	351236	6/1/2008	Urgent Wartime Requirements
USAAA	A-2010-ALM-0008.000	1Q/FY 2010	Contracting for Maintenance Support – Life Cycle Management Commands
USAAA	A-2010-ALC-0122.000	1Q/FY 2010	Contracting Activities in Iraq during and after Force Drawdown
USAAA	A-2010-ALL-0087.000	1Q/FY 2010	Life Support Contracts for U.S. Forces at Basra-Iraq
USAAA	A-2010-ALL-0232.000	1Q/FY 2010	Forward Operating Base Closures – Property Transfers to Government of Iraq
USAAA	A-2010-ALL-0105.000	1Q/FY 2010	Follow-up Audit on Forward Operating Base Closures – Iraq
USAAA	A-2010-ALL-0106.000	1Q/FY 2010	Follow-up Audit on Sensitive Items Accountability and Control at Abu Ghraib-Iraq
USAAA	A-2009-ALC-0594.000	4Q/FY 2009	Joint Contracting Command-Iraq/Afghanistan Attestation
USAAA	A-2009-ALL-0571.000	4Q/FY 2009	Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq
USAAA	A-2009-ALL-0590.000	4Q/FY 2009	Camp Buehring Fuel Farm Operations - Kuwait
USAAA	A-2009-ALL-0531.000	4Q/FY 2009	Commander's Emergency Response Program - Afghanistan
USAAA	A-2009-ALL-0593.000	4Q/FY 2009	Controls over Shipping Container Accountability and Visibility - Iraq
USAAA	A-2009-ALC-0535.000	5/21/2009	Award Fee Determinations on Logistics Civil Augmentation Program LOGCAP III Contract, Task Order 0139
USAAA	A-2009-FFS-0075.000	3/31/2009	Reserve Component Post Mobilization Training
USAAA	A-2009-ALL-0133.000	3/26/2009	Force Protection – Security Badging (Kuwait)
USAAA	A-2009-ALL-0118.000	2/10/2009	Controls over Vendor Payments – Southwest Asia (Phase II)
USAAA	A-2009-ALL-0110.000	2/2/2009	Multi-National Security Transition Command-Iraq (MNSTC-I), Iraqi Security Forces Fund (ISFF)
USAAA	A-2009-ALL-0106.000	2/2/2009	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Jalalabad (Afghanistan)
USAAA	A-2009-ALM-0059.000	1/27/2009	Non-Standard Equipment Sustainment
USAAA	A-2009-ALL-0354.000	1/20/2009	Forward Operating Base (FOB) Closures
USAAA	A-2008-ALA-0588.000	1/20/2009	Foreign Military Sales (FMS)
USAAA	A-2009-ALC-0093.000	10/20/2008	Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out

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AGENCY	PROJECT NUMBER	DATE INITIATED	REPORT TITLE
USAAA	A-2008-FFF-0081.000	9/10/2008	Unit Training on Defeat Improvised Explosive Devices (IEDs)
USAAA	A-2008-ALL-0401.000	9/1/2008	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan – Kandahar and Salerno (Afghanistan)
USAAA	A-2008-ALL-0398.000	7/21/2008	Controls over Logistics Civil Augmentation Program (LOGCAP) – White Property
USAAA	A-2008-ALL-0403.000	7/7/2008	Housing Contracts – Area Support Group (ASG) - Kuwait
USAAA	A-2008-ALL-0633.000	6/18/2008	U.S. Army Corps of Engineers (USACE) Pilot Defense Base Act (DBA) Insurance Program
USAAA	A-2008-ALL-0625.000	6/9/2008	Follow-up Audit of Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase I)
USAAA	A-2008-ALM-0311.000	2/18/2008	Directorate of Logistics Workload Supporting Reset
USAAA	A-2008-FFF-0044.000	2/12/2008	Management of the Prepositioned Fleet at Combat Training Centers
USAAA	A-2008-ALL-0320.000	1/28/2008	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Bagram)
USAAA	A-2007-ALL-0887.002	7/26/2007	Contracting Operations at the Joint Contracting Command-Iraq/Afghanistan (Victory)
USAAA	A-2007-ALL-0859.000	6/18/2007	Contracting Operations, U.S. Army Contracting Command (USACC), SWA-Kuwait (Phase II)
USAID	Not reported	1Q/FY 2010	Audit of USAID/Iraq's Agriculture Private Sector Development – Agribusiness Program
USAID	Not reported	4Q/FY 2009	Audit of USAID/Iraq's Implementation of the Iraq Financial Management Information System
USAID	Not reported	4Q/FY 2009	Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees
USAID	Not reported	1Q/FY 2009	Audit of USAID's Internally Displaced Persons Activities in Iraq

OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 5.3.

TABLE 5.3
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 12/31/2009

AGENCY	INVESTIGATORS IN IRAQ	INVESTIGATORS IN KUWAIT	OPEN/ONGOING CASES*
U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit	7	2	129
Defense Criminal Investigative Service	7	2	225
DoS OIG	1	0	23
Federal Bureau of Investigation	5	2	76
Naval Criminal Investigative Service	1	1	20
U.S. Air Force Office of Special Investigations	2	0	7
USAID	2	0	10
Total	25	7	490

* Numbers include pending cases worked with other agencies within the Joint Operations Center.