



Privacy Act of 1974
System of Records Notice
U.S. RAILROAD RETIREMENT BOARD

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| <i>Name</i> | RRB-4: Estimated Annuity, Total Compensation and Residual Amount File |
| <i>Federal Register</i> | July 26, 2010 Vol. 75, No. 142. pp. 43714 |
| <i>Effective Date</i> | September 24, 2010 |
| <i>System Location</i> | U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092. |
| <i>Security Classification</i> | None. |
| <i>Categories of Individuals Covered by the System</i> | Railroad employees who never filed an application for an annuity, have not been reported to be deceased and who either worked in the current reporting year or have at least 120 months of creditable railroad service or have at least 60 months of creditable railroad service after 1995. |
| <i>Categories of Records in the System</i> | For employees with less than 120 months of creditable railroad service, or less than 60 months of creditable railroad service after 1995: SSN, name, date of birth, sex, cumulative service, cumulative tier 1 compensation, daily pay rate, employer number, gross residual, year last worked, number and pattern of months worked in year last worked, tier 1 compensation for year last worked, tier 2 compensation for year last worked. For railroad employees with 120 or more months of creditable railroad service and for employees with at least 60 months of creditable railroad service after 1995; all of the above information plus estimated annuity data and SSA data. |
| <i>Authority for Maintenance of the System</i> | Section 7(b)(6) of the Railroad Retirement Act of 1974 (45 U.S.C. 231f(b)(6)). |
| <i>Purpose(s)</i> | The primary purpose of the system is to provide field offices with the capability of furnishing annuity estimates to prospective beneficiaries. The system is also used by field offices to provide temporary annuity rates that the Division of Operations may issue to applicants for employee and spouse benefits. |
| <i>Routine Uses of Records Maintained in the System, Including Categories of Users and Purposes of Such Uses</i> | <p>a. Entitlement information may be disclosed to primary beneficiaries regarding secondary beneficiaries (or vice versa) when the addition of such beneficiary affects either the entitlement or benefit payment.</p> <p>b. Pursuant to a request from an employer covered by the Railroad Retirement Act or the Railroad Unemployment Insurance Act, information regarding the Board's estimated payment of unemployment, sickness or retirement benefits, the methods by which such benefits are calculated and entitlement data may be released to the requesting employer for the purposes of determining entitlement to and the rates of private supplemental pensions,</p> |



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| <i>Disclosure to Consumer Reporting Agencies</i> | None. |
| <i>Policies and Practices for Storing, Retrieving, Accessing, Retaining, and Disposing of Records in the System</i> | |
| <i>Storage</i> | On-line mainframe system. |
| <i>Retrievability</i> | Social security number. |
| <i>Safeguards</i> | Magnetic tape and magnetic disk: Computer and computer storage rooms are restricted to authorized personnel; on-line query safeguards include a lock/unlock password system, a terminal oriented transaction matrix, role based access controls and audit trail. For computerized records electronically transmitted between headquarters and field office locations, system securities are established in accordance with National Institute of Standards and Technology (NIST) guidelines, including network monitoring, defenses in-depth, incident response and forensics. In addition to the on-line query safeguards, they include encryption of all data transmitted and exclusive use of leased telephone lines.. |
| <i>Retention and Disposal</i> | A maximum of three sets of records (the current and prior two sets) are maintained on-line with the oldest set purged when a new set is produced. When magnetic disk or other electronic media is no longer required or servicable, it is sanitized in accordance with NIST guidelines. |
| <i>System Manager(s) and Address</i> | Office of Programs--Director of Policy and Systems, U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092. |



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| <i>Notification Procedure</i> | Request for information regarding an individual's record should be in writing, including the full name, social security number and railroad retirement claim number (if any) of the individual. Before information about any record will be released, the individual may be required to provide proof of identity, authorization from the individual to permit release of information. Requests should be sent to the Office of Programs--Director of Operations, U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092. |
| <i>Record Access Procedure</i> | See Notification section above. |
| <i>Contesting Record Procedure</i> | See Notification section above. |
| <i>Record Source Categories</i> | Information which is secured from the original master records is made available to all authorized headquarters and field service users. |
| <i>Exemptions Claimed for the System</i> | None. |