

Privacy Act of 1974 System of Records Notice

U.S. RAILROAD RETIREMENT BOARD

Name RRB-36: Complaint, Grievance, Disciplinary and Adverse Action

Files

.....

Federal Register July 26, 2010

Vol. 75, No. 142. pp. 43733

Effective Date September 24, 2010

System Location U.S. Railroad Retirement Board, 844 North Rush Street, Chicago,

Illinois 60611-2092.

Security Classification None.

Categories of Individuals Covered by the System Railroad Retirement Board employees who are the subjects of disciplinary or adverse actions or who have filed a complaint or

grievance.

Categories of Records in

the System

Information relating to proposals and decisions in cases of discipline and adverse actions; including supporting documents; information relating to grievances filed under the agency and negotiated grievance procedures, including the grievance, final decision and any evidence submitted by the employee and/or the agency in support of or contesting the grievance.

Authority for Maintenance of the System

Title 5 U.S.C. sections 7503(c), 7513(e), 7543(e).

Purpose(s)

The purpose of this system of records is to maintain information related to grievances, disciplinary actions, and adverse actions in order to furnish information to arbitrators, EEO investigators, the Merit Systems protection Board, the Federal Labor Relations Authority, and the Courts, as necessary. The information is also used for statistical purposes, as needed.

Routine Uses of Records Maintained in the System, Including Categories of Users and Purposes of Such Uses

- a. Information in this system of records may be released to the attorney representing such individual, upon receipt of a written letter or declaration stating the fact of representation, subject to the same procedures and regulatory prohibitions as the subject individual.
- b. Records may be disclosed to officials of the Merit Systems Protection Board, including the Office of Special Counsel; the Federal Labor Relations Authority and its General Counse; or the Equal Employment Opportunity Commission when requeste in the performance of their authorized duties.

Disclosure to Consumer Reporting Agencies None.

Policies and Practices for Storing, Retrieving, Accessing, Retaining, and Disposing of Records in the System



Privacy Act of 1974 System of Records Notice

U.S. RAILROAD RETIREMENT BOARD

Name	RRB-36: Complaint, Grievance, Disciplinary and Adverse Action
------	---

Files

a B

Storage Paper.

Retrievability Name of employee.

Safeguards Maintained in areas not accessible to the public in locking filing

cabinents. Access is limited to authorizied RRB employees. Offices are locked during non-business hours. Building has 24 hour on-site security officers, closed circuit television monitoring and intrusion

detection systems.

Retention and Disposal Maintained for four years, then destroyed in accordance with NIST

guidance.

System Manager(s) and

Address

Director of Human Resources, U.S. Railroad Retirement Board, 844

North Rush Street, Chicago, Illinois 60611-2092

Notification Procedure Requests for information regarding an individual's record should be

addressed to the System Manager identified above and should include the name of the individual involved. Before information about any record will be released, the System Manager may require the individual to provide proof of identity or require the requester to furnish an authorization from the individual to permit release of

information.

Record Access Procedure See Notification section above.

Contesting Record

Procedure

See Notification section above.

Record Source Categories The Railroad Retirement Board employee, the employee's

supervisor, bureau or regional director, the executive director, or the

employee's representative.

Exemptions Claimed for

the System

None.