

### 3. Assessment of the Sablefish stock in Alaska

by

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## Executive Summary

### Summary of major changes

Relative to last year's assessment, we made the following substantive changes in the current assessment.

*Input data:* We added relative abundance and length data from the 2011 longline survey, relative abundance and length data from the 2010 longline and trawl fisheries, relative abundance and length data from the 2011 GOA trawl survey, age data from the 2010 longline survey and 2010 longline fishery, updated 2010 catch and estimated 2011 catch to the assessment model.

*Model changes:* There are no model changes. Key results for the recommended model compared to last year's recommendations are shown below.

Quantity/Status	As estimated or specified <i>last</i> year for:		As estimated or recommended <i>this</i> year for:	
	2011	2012	2012	2013*
$M$ (natural mortality)	0.10	0.10	0.10	0.10
Specified/recommended Tier	3b	3b	3b	3b
Projected biomass (ages 2+, t)	251,141	256,761	262,522	268,992
Female spawning biomass (t)				
Projected	102,139	97,307	101,325	98,983
$B_{100\%}$	275,270	275,270	271,436	271,436
$B_{40\%}$	110,108	110,108	108,574	108,574
$B_{35\%}$	96,345	96,345	95,003	95,003
$F_{OFL}$	0.106	0.106	0.106	0.106
$maxF_{ABC}$	0.089	0.089	0.089	0.089
$F_{ABC}$	0.089	0.089	0.089	0.089
OFL (t)	18,950	17,377	20,400	20,132
max ABC (t)	16,040	14,697	17,240	17,019
ABC (t)	16,040	14,697	17,240	17,019
Status	As determined <i>last</i> year for:		As determined <i>this</i> year for:	
	2009	2010	2010	2011
Overfishing	No	n/a	No	n/a
Overfished	n/a	No	n/a	No
Approaching overfished	n/a	No	n/a	No

\* Projections are based on estimated catches of 13,539 t and 12,896 t used in place of maximum permissible ABC for 2012 and 2013. This was done in response to management requests for a more accurate two-year projection.

*Assessment results:* The fishery abundance index was down 9% from 2009 to 2010 (the 2011 data are not available yet). The survey abundance index increased 3% from 2010 to 2011 following a 10% increase

from 2009 to 2010. Spawning biomass is projected to decrease from 2011 to 2016, and then stabilize. Sablefish are managed under Tier 3 of NPFMC harvest rules. Reference points are calculated using recruitments from 1979-2009. The updated point estimates of  $B_{40\%}$ ,  $F_{40\%}$ , and  $F_{35\%}$  from this assessment are 108,574 t (combined across the EBS, AI, and GOA), 0.096, and 0.114, respectively. Projected female spawning biomass (combined areas) for 2012 is 101,325 t (93% of  $B_{40\%}$ ), placing sablefish in sub-tier “b” of Tier 3. The maximum permissible value of  $F_{ABC}$  under Tier 3b is 0.089, which translates into a 2012 ABC (combined areas) of 17,240 t. The OFL fishing mortality rate is 0.106 which translates into a 2012 OFL (combined areas) of 20,400 t. Model projections indicate that this stock is neither overfished nor approaching an overfished condition.

**We recommend a 2012 ABC of 17,240 t.** The maximum permissible ABC for 2012 from an adjusted  $F_{40\%}$  strategy is 17,240 t. The maximum permissible ABC for 2012 is a 7% increase from the 2011 ABC of 16,040 t. This increase is supported by a substantial increase in the domestic longline survey index in the past two years that offset the prior year’s decrease in the fishery abundance index and a low Gulf of Alaska trawl survey biomass estimate. There was also a slight increase in estimates of incoming recruitment year classes. Spawning biomass is projected to decline through 2016, and then is expected to increase assuming average recruitment is achieved. Because of the indications of recent slightly stronger year classes, the maximum permissible ABC is projected to stabilize at 17,019 t in 2013 and 16,769 in 2014 (using estimated catches, instead of maximum permissible, see Table 3.17).

**Projected 2012 spawning biomass is 37% of unfished spawning biomass.** Spawning biomass has increased from a low of 30% of unfished biomass in 2002 to 37% projected for 2012. The 1997 year class has been an important contributor to the population but has been reduced and should comprise 10% of the 2012 spawning biomass. The 2000 year class appears to be larger than the 1997 year class, and is now mature and should comprise 23% of the spawning biomass in 2012. The 2002 year class is beginning to show signs of strength and will comprise 10% of spawning biomass in 2012 and is 92% mature. In December 1999, the Council apportioned the 2000 ABC and OFL based on a 5-year exponential weighting of the survey and fishery abundance indices. We used the same algorithm to apportion the 2012 ABC and OFL.

Apportionments are based on survey and fishery information	2011 ABC Percent	2011 Survey RPW	2010 Fishery RPW	2012 ABC Percent	2011 ABC	2012 ABC	Change
Total					16,040	<b>17,240</b>	7%
Bering Sea	18%	6%	13%	13%	2,850	<b>2,230</b>	-22%
Aleutians	12%	11%	14%	12%	1,900	<b>2,050</b>	8%
Gulf of Alaska	70%	83%	73%	75%	11,290	<b>12,960</b>	15%
Western	14%	14%	12%	14%	1,620	<b>1,780</b>	9%
Central	42%	51%	38%	44%	4,740	<b>5,760</b>	22%
W. Yakutat	16%	15%	18%	16%	1,830	<b>2,080*</b>	14%
E. Yakutat / Southeast	28%	20%	32%	26%	3,100	<b>3,350</b>	8%

\*After the adjustment for the 95:5 hook-and-line:trawl split in the Eastern Gulf of Alaska, the 2012 ABC for West Yakutat is 2,247 t and for East Yakutat/Southeast is 3,173 t. This adjustment projected to 2013 is 2,218 t for W. Yakutat and 3,132 t for E. Yakutat.

Adjusted for 95:5 hook-and-line: trawl split in EGOA	Year	W. Yakutat	E. Yakutat/Southeast
	2012	2,247 t	3,173 t
	2013	2,218 t	3,132 t

*Plan team summaries*

Area	Year	Biomass (4+)	OFL	ABC	TAC	Catch
GOA	2010	140,000	12,270	10,370	10,370	10,086
	2011	149,000	13,340	11,290	11,290	10,683
	2012	180,000	15,330	12,960		
	2013	169,000	15,129	12,794		
BS	2010	38,000	3,310	2,790	2,790	754
	2011	37,000	3,360	2,850	2,850	617
	2012	30,000	2,640	2,230		
	2013	28,000	2,605	2,201		
AI	2010	27,000	2,450	2,070	2,070	1,076
	2011	25,000	2,250	1,900	1,900	849
	2012	26,000	2,430	2,050		
	2013	24,000	2,398	2,024		

Year	2011				2012		2013	
Region	OFL	ABC	TAC	Catch*	OFL	ABC	OFL	ABC
<b>BS</b>	3,360	2,850	2,850	617	2,640	2,230	2,605	2,201
<b>AI</b>	2,250	1,900	1,900	849	2,430	2,050	2,398	2,024
<b>GOA</b>	13,340	11,290	11,290	10,683	15,330	12,960	15,129	12,794
W	--	1,620	1,620	1,321	--	1,780	--	1,757
C	--	4,740	4,740	4,610	--	5,760	--	5,686
WYAK	--	1,990	1,990	1,844	--	2,247	--	2,218
SEO	--	2,940	2,940	2,908	--	3,173	--	3,132
<b>Total</b>	<b>18,950</b>	<b>16,040</b>	<b>16,040</b>	<b>12,149</b>	<b>20,400</b>	<b>17,240</b>	<b>20,132</b>	<b>17,019</b>

\*Current as of October 4, 2011 (<http://www.fakr.noaa.gov>).

## ***Responses to SSC comments***

*“The SSC appreciates the responsiveness of the author to our recommendations. The SSC notes that two issues remain a concern. First, while the author initiated the development of a statistical model for estimation of sperm whale and killer whale predation, they did not finalize this model. The SSC requests that the author continues to explore methods to model whale depredation. Second, the author acknowledges that work is underway to develop a migration model for use in apportioning the ABC and OFL by region. We encourage the author to continue to work on this type of model.”* (December 2010)

We have initiated a substantial reanalysis of the longline survey index, specifically to address the depredation issues with both killer whales and sperm whales. While substantial progress has been made, regrettably, we were not ready to utilize the new index, until several issues are fully explored. Some numerical difficulties have led us currently to believe we may need to divide the sablefish survey into three areas for modeling purposes Western (Western Gulf, Bering Sea, and Aleutian Islands), Central Gulf, and Eastern Gulf. We hope to incorporate the new index into the 2012 assessment.

We presented an update during the 2011 August Joint Plan Team meeting on the status of movement modeling. An updated model through 2009 that includes Southeast Inside tag releases is near submission for publication. We summarize the results in subsection *Movement update*, in section **Introduction**.

*“The SSC recommends that stock assessment authors and plan teams address this issue in the upcoming stock assessment cycle. Stock assessment authors should clearly lay out which sources of removals are currently included in the assessment, how removals from each source are estimated, and how they are being included in (A) and (B) above. To the extent possible, authors should discuss all known sources of mortality (including handling mortality, indirect mortality, subsistence, etc.) and which of these sources are considered in the assessment.”* (June 2011)

Estimates of non-commercial catch of sablefish are documented and discussed in Appendix 3B.

## ***Responses to Plan team comments***

*“...asked if the retrospective pattern affected projections, and whether this could explain the continued prediction that we are at a local maximum in biomass but will decrease next year. There was some question on how the recent peak in abundance apparently shifts forward each year and the projection indicates that abundance will decrease. It may be useful to examine whether this pattern of a peak followed by a projected decrease (the “bump”) occurs in a formal retrospective analysis.”* (November 2010 Joint Plan Team)

In last year’s assessment we showed that the retrospective pattern had been alleviated during the iterative reweighting process. However, we added a slightly different retrospective analysis this year where we conducted projections using this year’s model with data from 2002-2010 to see if the trajectory is similar, and how different recommended ABCs would have been, given different assumptions about gender dimorphic growth, gender-specific selectivities, data weighting, and changes in data used. In summary, the trajectory of projections in the early 2000’s were all positive, then the series of negative abundance estimates turned the projections downward, and are now beginning to flatten again. In terms of ABCs, given all the model changes since 2002, we would have recommended approximately the same values in a given year. See **Retrospective analysis** section for details.

*“The Teams recommended that all authors provide the 2001-2010 HFICE and the 2010 CAS total catch estimates as an appendix to each assessment chapter in November 2011. Since these estimates are preliminary and the Teams have not reviewed the complete database or assessed the potential effects on*

determination of OFL and ABC for each stock, further analysis is needed before the Teams can recommend incorporation of these estimates in their OFL/ABC recommendations.

“For November, several components are recommended to be included in a table in an appendix in each assessment chapter:

1.) The 2010 total catch removal estimates along with research catch estimates reported in previous assessments. The major sources of removals should be noted along with any large deviations in total catch between previously used research catches and the new estimates.

2.) HFICE estimates should be tabulated for the years 2001-2010 (from Cindy Tribuzio). Comparisons should be made to the corresponding CAS estimates from the AKRO. The impacts of including HFICE estimates on the total catch estimates currently used in the assessments should be discussed and the implications of these estimates on the ABC and OFL recommendations should be explored.” (September 2011 Joint Plan Team)

These data are tabled and discussed in Appendix 3B.

“The Team discussed the different catch assumptions made across assessments. Rockfish assessments employ a consistent assumption in that catch estimates through a specific date (i.e. not estimated through the end of the year) are employed in making the projections (for those stocks where a projection is appropriate). This differs from the rockfish catch assumption in the BSAI where it is assumed the fishery will catch the whole ABC thus this is the estimate used for total catch. The Team discussion centered on whether or not assessments need to be consistent in catch estimation for current and future years as rockfish assessments differ from others in how catch for a projection is estimated. For species where TAC likely to be taken then it seems appropriate to assume that TAC can be used, but for a species where this does not appear to be a valid assumption, than average catch over a time period would be a better assumption. The purpose of this was to ensure an accurate estimate of the entire year is used rather than an estimate through a certain date. How this is done will vary depending upon the author’s specific rationale and estimation procedure. The Team noted that authors should be clear in how catch is projected and what assumptions are made to make the catch estimate for the projection. The Team expressed concern that there may be some indication that rockfish populations are declining. The authors noted that despite a slight decline from last year’s model projections this was anticipated.” (September 2011 GOA Team)

We have included a new procedure for catch specification and projection in ***Specified catch estimation*** subsection of **Harvest and Projection Alternatives**.

## Introduction

*Distribution:* Sablefish (*Anoplopoma fimbria*) inhabit the northeastern Pacific Ocean from northern Mexico to the Gulf of Alaska, westward to the Aleutian Islands, and into the Bering Sea (Wolotira et al. 1993). Adult sablefish occur along the continental slope, shelf gullies, and in deep fjords, generally at depths greater than 200 m. Sablefish observed from a manned submersible were found on or within 1 m of the bottom (Krieger 1997). In contrast to the adult distribution, juvenile sablefish (less than 40 cm) spend their first two to three years on the continental shelf of the Gulf of Alaska, and occasionally on the shelf of the southeast Bering Sea. The Bering Sea shelf is utilized significantly in some years and seldom used during other years (Shotwell 2007).

*Stock structure and management units:* Sablefish form two populations based on differences in growth

rate, size at maturity, and tagging studies (McDevitt 1990, Saunders et al. 1996, Kimura et al. 1998). A northern population inhabits Alaska and northern British Columbia waters and a southern population inhabits southern British Columbia, Washington, Oregon, and California waters, with mixing of the two populations occurring off southwest Vancouver Island and northwest Washington.

Sablefish are assessed as a single population in Federal waters off Alaska because northern sablefish are highly migratory for at least part of their life (Heifetz and Fujioka 1991, Maloney and Heifetz 1997, Kimura et al. 1998). Sablefish are managed by discrete regions to distribute exploitation throughout their wide geographical range. There are four management areas in the Gulf of Alaska: Western, Central, West Yakutat, and East Yakutat/Southeast Outside (SEO); and two management areas in the Bering Sea/Aleutian Islands (BSAI): the eastern Bering Sea (EBS) and the Aleutian Islands (AI) region.

*Early life history:* Spawning is pelagic at depths of 300-500 m near the edges of the continental slope (Mason et al. 1983, McFarlane and Nagata 1988), with eggs developing at depth and larvae developing near the surface as far offshore as 180 miles (Wing 1997). Average spawning date in Alaska based on otolith analysis is March 30 (Sigler et al. 2001). Along the Canadian coast (Mason et al. 1983) and off Southeast Alaska (Jennifer Stahl, ADF&G, personal communication) sablefish spawn from January-April with a peak in February. Farther down the coast off of central California sablefish spawn earlier, from October-February (Hunter et al. 1989). Sablefish in spawning condition were also noted as far west as Kamchatka in November and December (Orlov and Biryukov 2005). The size of sablefish at 50% maturity off California and Canada is 58-60 cm for females, corresponding to an age of approximately 5 years (Mason et al. 1983, Hunter et al. 1989). In Alaska, most young-of-the-year sablefish are caught in the central and eastern Gulf of Alaska (Sigler et al. 2001). Near the end of the first summer, pelagic juveniles less than 20 cm drift inshore and spend the winter and following summer in inshore waters, reaching 30-40 cm by the end of their second summer (Rutecki and Varosi 1997). After their second summer, they begin moving offshore to deeper water, typically reaching their adult habitat, the upper continental slope at 4 to 5 years. This corresponds to the age range when sablefish start becoming reproductively viable (Mason et al. 1983). Younger fish (age 3-4) inhabit shallower waters on the shelf, while older fish migrate down to the slope. Fish also tend to move counterclockwise through the Gulf of Alaska with age (e.g., Maloney and Sigler 2008, Heifetz and Fujioka 1991).

*Movement:* A movement model for Alaskan sablefish was developed for Alaskan sablefish by Heifetz and Fujioka (1991) based on 10 yrs of tagging data. The model has been updated by incorporating data from 1979-2009 in an AD Model Builder program with time-varying reporting rates. In addition, ADF&G tag data for inside waters (Southern Southeast Inside and Northern Southeast Inside) were added. The model updated the fishing mortality rate with relative population weights and stock assessment catchabilities. There are a total of 305,000 tag releases and 27,000 recoveries in 31 years. For time varying reporting rates, the tag recovery rate for the survey is compared to the fishery. Reporting rates generally have increased over the 31 year period, but there has been an unexplained decrease in reporting rate in the past few years. The likelihood was changed from a Poisson to a negative binomial likelihood, which better fitted the distribution of recoveries.

Including all 31 years of tag data affected the movement model more than any other changes. Changes in absolute movement rate occurred where most fish now have a higher probability of movement than before; for example, large fish now have a 40% higher probability of moving than in previous models. The previous paradigm was that small fish moved west, and large fish moved east. The probability of small fish moving east has now doubled. We examined the uncertainty of the probability of fish moving out of an area through MCMC simulation. In Chatham Strait, sablefish have a precise low probability of moving. In contrast, Western Gulf fish have a precise and high probability of moving. There is also the potential in the future for determining age- and sex-specific movement rates for sablefish.

Directionality of overall movement patterns is more ambiguous than previously thought, with the Western GOA seeming to be a transitional area for sablefish (i.e. high annual movement). The sablefish population

center seems to be in central GOA, and the one unit stock (Aleutian Islands, Bering Sea and GOA) hypothesis is strongly supported by these movement data. These results are being prepared for publication.

## **Fishery**

### *Early U.S. fishery, 1957 and earlier*

Sablefish have been exploited since the end of the 19<sup>th</sup> century by U.S. and Canadian fishermen. The North American fishery on sablefish developed as a secondary activity of the halibut fishery of the United States and Canada. Initial fishing grounds were off Washington and British Columbia and then spread to Oregon, California, and Alaska during the 1920's. Until 1957, the sablefish fishery was exclusively a U.S. and Canadian fishery, ranging from off northern California northward to Kodiak Island in the Gulf of Alaska; catches were relatively small, averaging 1,666 t from 1930 to 1957, and generally limited to areas near fishing ports (Low et al. 1976).

### *Foreign fisheries, 1958 to 1987*

Japanese longliners began operations in the eastern Bering Sea in 1958. The fishery expanded rapidly in this area and catches peaked at 25,989 t in 1962 (Table 3.1, Figure 3.1). As the fishing grounds in the eastern Bering were preempted by expanding Japanese trawl fisheries, the Japanese longline fleet expanded to the Aleutian Islands region and the Gulf of Alaska. In the Gulf of Alaska, sablefish catches increased rapidly as the Japanese longline fishery expanded, peaking at 36,776 t overall in 1972. Catches in the Aleutian Islands region remained at low levels with Japan harvesting the largest portion of the sablefish catch. Most sablefish harvests were taken from the eastern Bering Sea until 1968, and then from the Gulf of Alaska until 1977. Heavy fishing by foreign vessels during the 1970's led to a substantial population decline and fishery regulations in Alaska, which sharply reduced catches. Catch in the late 1970's was restricted to about one-fifth of the peak catch in 1972, due to the passage of the Magnuson-Stevens Act (MSFCMA).

Sasaki (1985) described the gear used in the directed Japanese longline fishery. He found only minor differences in the structure of fishing gear and the fishing technique used by Japanese commercial longline vessels. There were small differences in the length of hachis (Japanese term for a longline skate) and in the number of hooks among vessels, but hook spacing remained about 1.6 m. The use of squid as bait also remained unchanged, except some vessels used Pacific saury as bait when squid was expensive. The standard number of hachis fished per day was 376 (Sasaki 1978) and the number of hooks per hachi was 43 until 1979, when the number was reduced to 40 (T. Sasaki, Japan Fisheries Agency, 4 January 1999).

Japanese trawlers caught sablefish mostly as bycatch in fisheries targeting other species. Two trawl fisheries caught sablefish in the Bering Sea through 1972: the North Pacific trawl fishery which caught sablefish as bycatch in the directed pollock fishery, and the land-based dragnet fishery that sometimes targeted sablefish (Sasaki 1973). The latter fishery mainly targeted rockfishes, Greenland turbot, and Pacific cod, and only a few vessels targeted sablefish (Sasaki 1985). The land-based fishery caught more sablefish, averaging 7,300 t from 1964 to 1972, compared to the North Pacific trawl fishery, which averaged 4,600 t. In the Gulf of Alaska, sablefish were caught as bycatch in the directed Pacific Ocean perch fishery until 1972, but some vessels started targeting sablefish in 1972 (Sasaki 1973). Most net-caught sablefish were caught by stern trawls, but significant amounts also were caught by side trawls and Danish seines the first few years of the Japanese trawl fishery.

Other foreign nations besides Japan also caught sablefish. Substantial Soviet Union catches were reported from 1967-73 in the Bering Sea (McDevitt 1986). Substantial Korean catches were reported from 1974-1983 scattered throughout Alaska. Other countries reporting minor sablefish catches were Republic of Poland, Taiwan, Mexico, Bulgaria, Federal Republic of Germany, and Portugal. The Soviet gear was

factory-type stern trawl and the Korean gear was longlines and pots (Low et al. 1976).

*Recent U.S. fishery, 1977 to present*

The U.S. longline fishery began expanding in 1982 in the Gulf of Alaska and in 1988, harvested all sablefish taken in Alaska except minor joint venture catches. Following domestication of the fishery, the previously year-round season in the Gulf of Alaska began to shorten in 1984. By the late 1980's, the average season length decreased to 1-2 months. In some areas, this open-access fishery was as short as 10 days, warranting the label “derby” fishery.

Year	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994
Season length (months)	12	7.6	3.0	1.5	1.2	1.8	1.5	1.3	0.9	0.7	0.5	0.3

Season length continued to decrease until Individual Fishery Quotas (IFQ) were implemented for hook-and-line vessels in 1995 along with an 8-month season. From 1995 to 2002 the season ran from approximately March 15-November 15. Starting in 2003 the season was extended by moving the start date to approximately March 1. The sablefish IFQ fishery is concurrent with the halibut IFQ fishery.

The expansion of the U.S. fishery was helped by exceptional recruitment during the late 1970's. This exceptional recruitment fueled an increase in abundance for the population during the 1980's. Increased abundance led to increased quotas and catches peaked again in 1988 at about 70% of the 1972 peak. Abundance has since fallen as the exceptional late 1970's year classes have dissipated. Catches fell again in 2000 to approximately 42% of the 1988 peak.

IFQ management has increased fishery catch rates and decreased the harvest of immature fish (Sigler and Lunsford 2001). Catching efficiency (the average catch rate per hook for sablefish) increased 1.8 times with the change from an open-access to an IFQ fishery. The improved catching efficiency of the IFQ fishery reduced the variable costs incurred in attaining the quota from eight to five percent of landed value, a savings averaging US\$3.1 million annually. Decreased harvest of immature fish improved the chance that individual fish will reproduce at least once. Spawning potential of sablefish, expressed as spawning biomass per recruit, increased nine percent for the IFQ fishery.

The directed fishery is primarily a hook-and-line fishery. Sablefish also are caught incidentally during directed trawl fisheries for other species groups such as rockfish and deepwater flatfish. Five State of Alaska fisheries land sablefish outside the IFQ program; the major State fisheries occur in the Prince William Sound, Chatham Strait, and Clarence Strait and the minor fisheries in the northern Gulf of Alaska and Aleutian Islands. The minor state fisheries were established by the State of Alaska in 1995, the same time as the Federal Government established the IFQ fishery, primarily to provide open-access fisheries to fishermen who could not participate in the IFQ fishery. For Federal and State sablefish fisheries combined, the number of longline vessels targeting sablefish (Hiatt 2010) was:

Year	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006
Vessels	700	646	504	544	528	511	503	491	438	438	399	409

Year	2007	2008	2009	2010
Vessels	395	388	389	379

Longline gear in Alaska is fished on-bottom. In the 1996 directed fishery for sablefish, average set length was 9 km and average hook spacing was 1.2 m. The gear is baited by hand or by machine, with smaller boats generally baiting by hand and larger boats generally baiting by machine. Circle hooks usually are used, except for modified J-hooks on some boats with machine baiters. The gear usually is deployed from the vessel stern with the vessel traveling at 5-7 knots. Some vessels attach weights to the longline, especially on rough or steep bottom, so that the longline stays in place and lays on-bottom.

Depredation by killer whales and sperm whales is common in the Alaska sablefish IFQ fishery (Sigler et

al. 2007). Killer whale depredation occurs in the Bering Sea, Aleutian Islands, and Western Gulf of Alaska. Sperm whale depredation occurs in the Central and Eastern Gulf of Alaska.

Pot fishing for sablefish has increased in the Bering Sea and Aleutian Islands as a response to depredation of longline catches by killer whales. In 2000 the pot fishery accounted for less than ten percent of the fixed gear sablefish catch in the Bering Sea and Aleutian Islands. Since 2004, pot gear has accounted for over half of the Bering Sea fixed gear IFQ catch and up to 34% of the catch in the Aleutians. In 2009, pot fishing remained a high portion of the fixed gear catch in the BS (70%), whereas in the Aleutian Islands pot fishing decreased from 22% to 7.6% of the fixed gear catch. This decrease is largely due to several large catcher processor vessels not participating in the pot fishery. A small amount of pot fishery data is available from observer and logbook data and is now included in the fishery catch rate section.

### *Catch*

Annual catches in Alaska averaged about 1,700 t from 1930 to 1957 and exploitation rates remained low until Japanese vessels began fishing for sablefish in the Bering Sea in 1958 and the Gulf of Alaska in 1963. Catches rapidly escalated during the mid-1960's. Annual catches in Alaska reached peaks in 1962, 1972, and 1988 (Table 3.1). The 1972 catch was the all-time high, at 53,080 t, and the 1962 and 1988 catches were 50% and 72% of the 1972 catch. Evidence of declining stock abundance and passage of the MSFCMA led to significant fishery restrictions from 1978 to 1985, and total catches were reduced substantially. Catches averaged about 12,200 t during this time. Exceptional recruitment fueled increased abundance and increased catches during the late 1980's. The domestic fishery also expanded during the 1980's, harvesting 100% of the catch in the Gulf of Alaska by 1985 and in the Bering Sea and Aleutians by 1988. Catches declined during the 1990's. Catches peaked at 38,406 t in 1988, fell to about 13,000 t in the late 1990's, and have been near 12,000 t recently. TACs in the Gulf of Alaska are nearly fully utilized, while TACs in the Bering Sea and Aleutian Islands are often not because of depredation and relatively low catch rates. The proportion of catch due to pot fisheries in the Bering Sea and the Aleutian Islands increased starting in 2000 in response to killer whale depredation (Table 3.2) and is discussed further below.

### *Bycatch and discards*

Sablefish discards have decreased in recent years. From 1994 to 2003 discards averaged 1,357 t for the GOA and BSAI combined (Hanselman et al. 2008). The highest amount, 800 t was in 2004, of which 667 t occurred in the GOA and 133 t occurred in the BSAI. Discards decreased after 2004, down to an average in 2005-10 of 640 mt, 89% of which occurred in the GOA. The discards from non-longline fisheries decreased from a 1994-2003 average of 825 t to an average of 164 mt for 2005-2010, while hook and line fisheries decreased slightly from 525 t down to 476 t (Table 3.3).

Table 3.4 shows the bycatch of FMP species in the sablefish targeted fishery. The largest bycatch is arrowtooth flounder (573 t/year, 488 t discarded). Arrowtooth is the only species that has substantial catch from non-longline gear. Thornyhead and shortraker rockfish are the 2<sup>nd</sup> and 3<sup>rd</sup> most caught species at 367 t/year and 209 t/year. The next three groups are "Other Species", Gulf of Alaska "Other Skate", and Gulf of Alaska longnose skate which total 473 t/year. Other species in this case is primarily spiny dogfish.

Giant grenadiers make up the bulk of the nontarget species bycatch, peaking at 9,181 t in 2007, but decreasing since with a 2010 catch of 4,385 t. Other nontarget catches that have totals over a ton per year are corals, snails, sponges, and miscellaneous fishes and crabs (Table 3.5).

Prohibited species catches (PSC) in the targeted sablefish fisheries are dominated by halibut (1,700 t/year) and golden king crab (157,000/year). However, catches of both species declined greatly in 2010 (1000 t and 27,000 individuals respectively, Table 3.6). The decrease in golden king crab catch may be attributed to the lower proportion of catch due to pot fisheries in 2010 in the Aleutian Islands.

### *Previous management actions*

A summary of management measures is shown in Table 3.7.

*Quota allocation:* Amendment 14 to the Gulf of Alaska Fishery Management Plan allocated the sablefish quota by gear type: 80% to fixed gear (including pots) and 20% to trawl in the Western and Central Gulf of Alaska, and 95% to fixed gear and 5% to trawl in the Eastern Gulf of Alaska, effective 1985.

Amendment 13 to the Bering Sea/Aleutian Islands Fishery Management Plan, allocated the sablefish quota by gear type, 50% to fixed gear and 50% to trawl in the eastern Bering Sea, and 75% to fixed gear and 25% to trawl gear in the Aleutians, effective 1990.

*IFQ management:* Amendment 20 to the Gulf of Alaska Fishery Management Plan and 15 to the Bering Sea/Aleutian Islands Fishery Management Plan established IFQ management for sablefish beginning in 1995. These amendments also allocated 20% of the fixed gear allocation of sablefish to a CDQ reserve for the Bering Sea and Aleutian Islands.

*Maximum retainable allowances:* Maximum retainable allowances for sablefish were revised in the Gulf of Alaska by a regulatory amendment, effective 10 April 1997. The percentage depends on the basis species: 1% for pollock, Pacific cod, Atka mackerel, "other species", and aggregated amount of non-groundfish species. Fisheries targeting deep flatfish, rex sole, flathead sole, shallow flatfish, Pacific ocean perch, northern rockfish, dusky rockfish, and demersal shelf rockfish in the Southeast Outside district, and thornyheads are allowed 7%. Arrowtooth flounder fisheries are not allowed to retain any sablefish.

*Allowable gear:* Amendment 14 to the Gulf of Alaska Fishery Management Plan banned the use of pots for fishing for sablefish in the Gulf of Alaska, effective 18 November 1985, starting in the Eastern area in 1986, in the Central area in 1987, and in the Western area in 1989. An earlier regulatory amendment was approved in 1985 for 3 months (27 March - 25 June 1985) until Amendment 14 was effective. A later regulatory amendment in 1992 prohibited longline pot gear in the Bering Sea (57 FR 37906). The prohibition on sablefish longline pot gear use was removed for the Bering Sea, except from 1 to 30 June to prevent gear conflicts with trawlers during that month, effective 12 September 1996. Sablefish longline pot gear is allowed in the Aleutian Islands.

*Management areas:* Amendment 8 to the Gulf of Alaska Fishery Management Plan established the West and East Yakutat management areas for sablefish, effective 1980.

## Data

The following table summarizes the data used for this assessment:

Source	Data	Years
Fisheries	Catch	1960-2011
Trawl fisheries	Catch	1960-2011
Japanese longline fishery	Catch-per-unit-effort (CPUE)	1964-1981
U.S. longline fishery	CPUE, length	1990-2010
	Age	1999-2010
U.S. trawl fisheries	Length	1990,1991,1999, 2005-2010
Japan-U.S. cooperative longline survey	CPUE, length	1979-1994
	Age	1981, 1983, 1985, 1987, 1989, 1991, 1993
Domestic longline survey	CPUE, length	1990-2011
	Age	1996-2010
NMFS GOA trawl survey	Abundance index	1984, 1987, 1990, 1993, 1996, 1999, 2001, 2003, 2005, 2007, 2009, 2011
	Lengths	1984, 1987, 1990, 1993, 1996, 1999, 2003, 2005, 2007, 2009, 2011

### *Fishery*

Length, catch, and effort data were historically collected from the Japanese and U.S. longline and trawl fisheries, and are now collected from U.S. longline, trawl, and pot fisheries (Table 3.8). The Japanese data were collected by fishermen trained by Japanese scientists (L. L. Low, Alaska Fisheries Science Center, pers. commun., 25 August 1999). The U.S. fishery length and age data were collected by at-sea and plant observers. No age data were systematically collected from the fisheries until 1999 because of the difficulty of obtaining representative samples from the fishery and because only a small number of sablefish can be aged each year. The equations used to compile the fishery and survey data used in the assessment are shown in Appendix A of the 2002 SAFE (Sigler et al. 2002).

The catches used in this assessment (Table 3.1) include catches from minor State-managed fisheries in the northern Gulf of Alaska and in the Aleutian Islands region because fish caught in these State waters are reported using the area code of the adjacent Federal waters in Alaska Regional Office catch reporting system (G. Tromble, Alaska Regional Office, pers. comm., 12 July 1999), the source of the catch data used in this assessment. Minor State fisheries catches averaged 180 t from 1995-1998 (ADFG), about 1% of the average total catch. Most of the catch (80%) is from the Aleutian Islands region. The effect of including these State waters catches in the assessment is to overestimate biomass by about 1%, a negligible error considering statistical variation in other data used in this assessment.

Some catches probably were not reported during the late 1980's (Kinoshita et al. 1995). Unreported catches could account for the Japan-U.S. cooperative longline survey index's sharp drop from 1989-90 (Table 3.9, Figures 3.2 and 3.3). We tried to estimate the amount of unreported catches by comparing reported catch to another measure of sablefish catch, sablefish imports to Japan, the primary buyer of sablefish. However the trends of reported catch and imports were similar, so we decided to change our approach for catch reporting in the 1999 assessment. We assumed that non-reporting is due to at-sea discards, and apply discard estimates from 1994 to 1997 to inflate U.S. reported catches before 1994 (2.9% for hook-and-line and 26.6% for trawl).

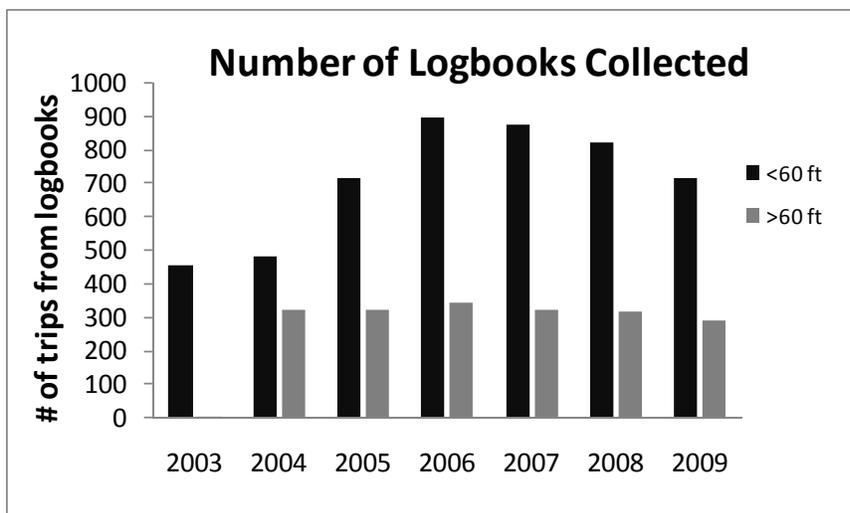
In response to Annual Catch Limits (ACLs) requirements, assessments now document all removals including catch that is not associated with a directed fishery. Research catches of sablefish have been reported in previous stock assessments (Hanselman et al. 2009). For this year, estimates of all removals not associated with a directed fishery including research catches are available and are presented in

Appendix 3.B. The sablefish research removals are small relative to the fishery catch, but substantial compared to the research removals for many other species. These research removals support a dedicated longline survey. Additional sources of significant removals are bottom trawl surveys and the International Pacific Halibut Commissions longline survey. Other removals such as recreational catch are relatively minor for sablefish. Total removals from activities other than directed fishery were near 359 tons in 2010. This is 2.2% of the 2011 recommended ABC of 16,040 and represents a relatively low risk to the sablefish stock.

One problem with the fishery data has been low length sample sizes for the trawl fishery (Table 3.8). From 1992 to 1998, few lengths were collected each year and the resultant length frequencies were inadequate and could not be used in the assessment model. The problem was that sablefish often are caught with other species like rockfish and deepwater flatfish, but are not the predominant species. The observer sampling protocol called for sampling the predominant species, so sablefish were poorly sampled. We communicated this problem to the observer program and together worked out revised sampling protocols. The revision greatly improved the sample size, so that the 1999 length data for the trawl fishery can be used for the assessment. The sample sizes for the years 2000-2004 were low and length compositions for these years were not used for the assessment. However since 2005, at least several hundred lengths have been collected each year and the data has been incorporated into the assessment.

### *Longline fishery catch rate analysis*

Fishery information is available from longline and pot vessels which target sablefish in the IFQ fishery. Records of catch and effort for these vessels are collected by observers and by vessel captains in voluntary and required logbooks. Fishery data from the Observer Program are available since 1990. Vessels between 60 and 125 feet carry an observer 30% of the time and vessels >125 feet carry an observer 100% of the time. Since 1999, logbooks have been required for vessels >60 feet. Vessels <60 feet are not required to carry observers or submit logbooks but many do participate in a voluntary logbook program formed in 1997. Logbook participation by vessels <60 feet has increased greatly in recent years. Since 2003 vessels <60 feet have accounted for approximately 69% of all logbooks submitted (see figure below). Both voluntary and required logbooks are used in catch rate analyses. For the logbook program, the International Pacific Halibut Commission (IPHC) is contracted to collect both voluntary and required logs through dockside sampling and to enter the data into an electronic format. Information from the log is edited by IPHC samplers and is considered confidential between the vessel and the IPHC. To ensure confidentiality, the IPHC masks the identity of the vessel when the data are provided to assessment scientists. A strong working relationship between the IPHC and fishermen has improved logbook participation by volunteer vessels in recent years.



Only sets targeting sablefish are included in catch rate analyses. For observer data, a sablefish targeted set is defined as a set where sablefish weight was greater than any other species (see 2005 SAFE, “Target Species Determination”, page 254). For logbook data, the target is declared by the captain. The weights reported in logbooks are usually approximate because the captain typically estimates the catch for each set while at sea without an accurate scale measurement. An accurate weight for the entire trip is measured at landing and recorded as the IFQ landing report. We estimate the actual set weight by multiplying the IFQ landing report weight by the proportion of the trip weight that was caught in the set, from logbook reported weights. Hook spacing for both data sets was standardized to a 39 inch (1m) spacing following the method used for standardizing halibut catch rates (Skud and Hamley 1978, Sigler and Lunsford 2001). Each set’s catch rate was calculated by dividing the catch in weight by the standardized number of hooks. These catch rates are used to compute average catch rates by vessel and NPFMC region.

Extensive filtering of the logbook and observer data occurs before the catch information for a set is included in analyses. All sets that experienced killer whale depredation are excluded from the observer fishery catch rate analysis since any depredation would bias CPUE downward. From 1990-2010 an average of 23% of observed sets in the Bering Sea were affected by killer whale depredation. In other areas killer whales depredate only 0-2% of observed sets.

Additionally, some logs are excluded because of other issues. Sets were excluded whenever data were missing for a set and a catch rate could not be calculated or assigned to a season, area, or a year. Some sets use multiple gear configurations with more than one hook spacing. A standardized catch rate cannot be calculated because the number of sablefish caught on each configuration is unknown; logbook sets with multiple configurations were excluded. In logbooks, if catch is reported in number instead of weight, the trip is excluded. A small number of sets were eliminated from the logbook data because skipper estimated trip weight was very different than the IFQ reported trip weight.

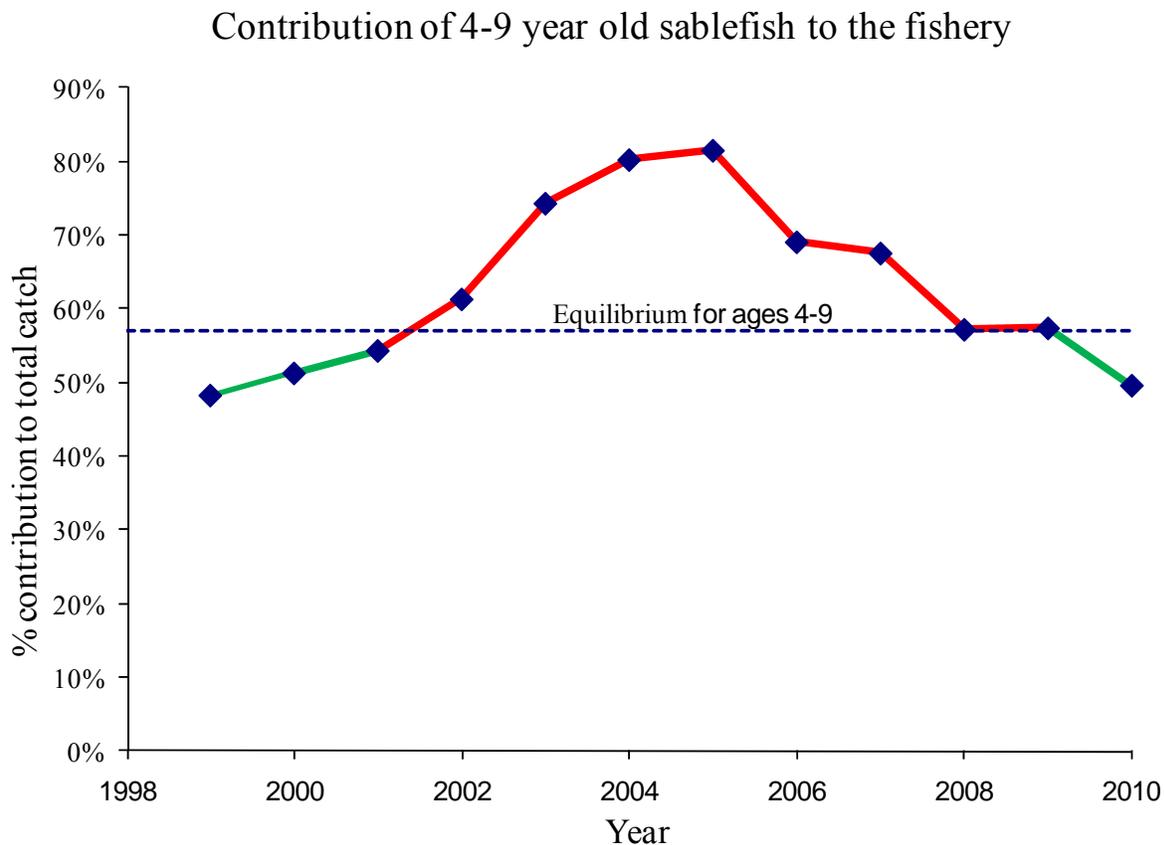
*Longline sample sizes:* Observer data used in this analysis represent on average 14% of the annual IFQ hook and line catch. The percent of the IFQ catch observed was lowest in the East Yakutat/SE (5%), highest in West Yakutat and Aleutian Islands (~22%), and moderate in the Bering Sea, Central Gulf, and Western Gulf (10-14%). Although the percent of catch observed is not highest in the Central Gulf, the number of sets and vessels observed is greatest in this area and lowest in the Bering Sea (Table 3.10). In the Bering Sea fewer than 10 sets were observed from 2002-2005; however, since 2006 more sets have been observed. Observer coverage in the Aleutian Islands was consistent in all years except 2005 when only 23 sets from six vessels were observed. Since then, the number of observed sets and vessels has increased. Low longline fishery sample sizes in the Bering Sea are likely a result of poor observer coverage for sablefish directed trips and due to the increase in pot fishing. Additionally, killer whales impact sablefish catch rates in the Bering Sea and Aleutians and these sets are excluded from catch rate analyses.

Logbook sample sizes are substantially higher than observer samples sizes, especially since 2004. Logbook samples increased sharply in 2004 in all areas primarily because the IPHC was used to collect, edit, and enter logbooks electronically. This increasing trend is likely due to the strong working relationship the IPHC has with fishermen, their diligence in collecting logbooks dockside, and because many vessels <60 feet are now participating in the program voluntarily. In 2010, the number of vessels turning in logbooks increased in all areas except for the Bering Sea.

*Longline catch rates:* In all years, catch rates are generally highest in the East Yakutat/Southeast and West Yakutat areas and are lowest in the Bering Sea and Aleutian Islands (Table 3.10, Figures 3.4, 3.5). Catch rate trends are generally similar for both the observer and logbook data, except in the Aleutian Islands and the Bering Sea where sample sizes are relatively small, but they have been more similar in recent years. The general trends are very similar between the two data sources, but over the past two years they have been slightly divergent in the Central Gulf. Since 2004, logbook sample sizes are more

substantial than the observer data and have lower CV's and SE's due to the large number of vessels (Table 3.10).

The age structure of the population may help explain why catch rates have remained stable while survey abundance has generally decreased. Year classes typically start to show up in the fishery beginning at age 4. The influence of the 1997 and 2000 year classes to the fishery is evident as catch rates generally increased during the years 2001-2004 for both the observer and logbook data in all areas of the GOA (Figures 3.4 and 3.5). These years correspond to when the 1997 and 2000 year classes were major contributors to the fishery. The percent of catch attributed to 4-9 year old fish increased from 48% in 1999 to nearly 82% of the catch in 2005. As these year classes were fished, the survey estimates declined. By 2009, the catch of this age group had decreased to about what we would expect at equilibrium levels with the current fishing mortality. These large pulses of recruits targeted by the fishery might explain some of the mismatch between the survey and fishery catch rates because the fishery can sustain catch rates by targeting year classes.



*Longline spatial and temporal patterns:* Changes in spatial or temporal patterns of the fishery may cause fishery catch rates to be unrepresentative of abundance. For example, fishers sometimes target concentrations of fish, even as geographic distribution shrinks when abundance declines (Crecco and Overholtz 1990). Overfishing of northern (Newfoundland) cod likely was made worse by an incorrect interpretation of fishery catch rates; assessment scientists did not realize that the area occupied by the stock was diminishing while the fishery catch rates remained level (Rose and Kulka 1999). We examined fishery longline data for seasonal and annual differences in effort and catch rate. Such changes may cause fishery catch rates to be unrepresentative of abundance. In the longline data, seasonal changes in effort were minimal across years. The majority of effort occurs in the spring and less in the summer and fall.

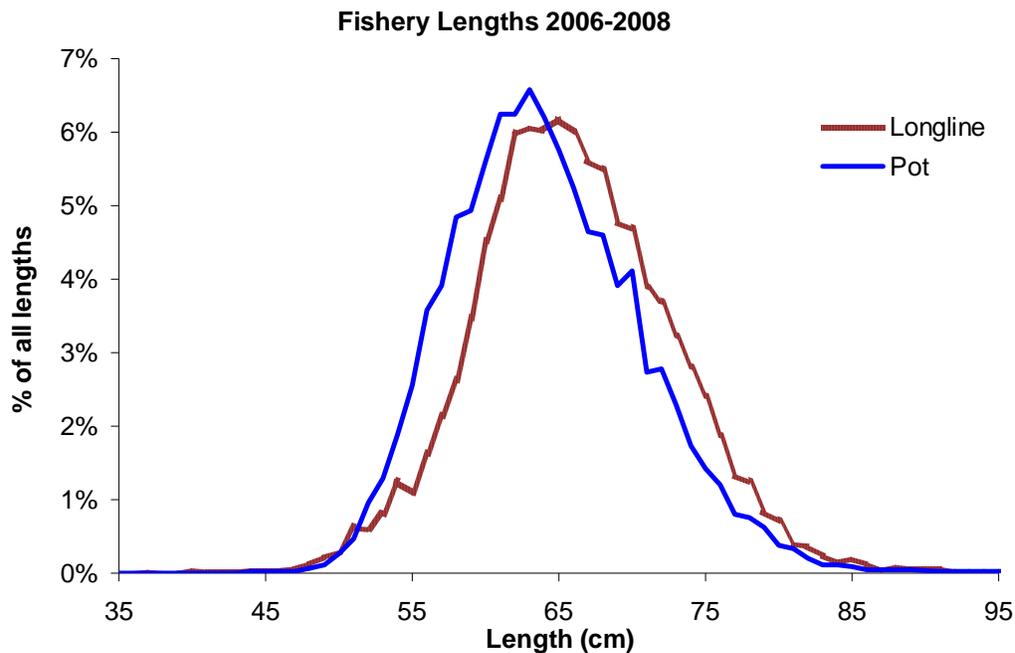
The highest catch rates are also in the spring, moderate in the summer, and lowest in the fall. The majority of the longline effort is located along the continental slope and in deep cross-gullies. Likewise, areas of high catch rates occur throughout the fishing area and do not appear to change over time. Overall, no substantial changes in the fishery were detected over time or on a seasonal basis.

### *Pot fishery catch rate analysis*

*Pot catch rates:* There is more uncertainty in pot catch rates from 1999-2004 because there were few observed vessels during this period. From 2005-2009 the average catch rate was 13 lbs/pot in the Aleutian Islands (there was no observed effort in 2010). In the Bering Sea the average was 24 lbs/pot. In logbooks, the average catch rate in the Aleutian Islands from 2005-2010 was 29 lbs/pot and in the Bering Sea it was 24lbs/pot. In logbooks, more sets are recorded than in observer data. This may help explain the discrepancy between catch rates from the two data sources. The number of documented vessels and sets has increased in the Bering Sea since 2005. Because of the high variability and low sample sizes it is difficult to discern any trends in catch rates in logbooks or observer data.

The composition of bycatch species caught in observed pots that retained sablefish in the Bering Sea and Aleutian Islands is comprised mostly of arrowtooth/Kamchatka flounder, golden king crab, Greenland turbot, Pacific halibut, and giant grenadier. Almost all of the golden king crab are caught in the AI. Bycatch of halibut and golden king crab have both declined significantly in 2010, likely due to a decrease in the amount of pot fishing effort (Table 3.6).

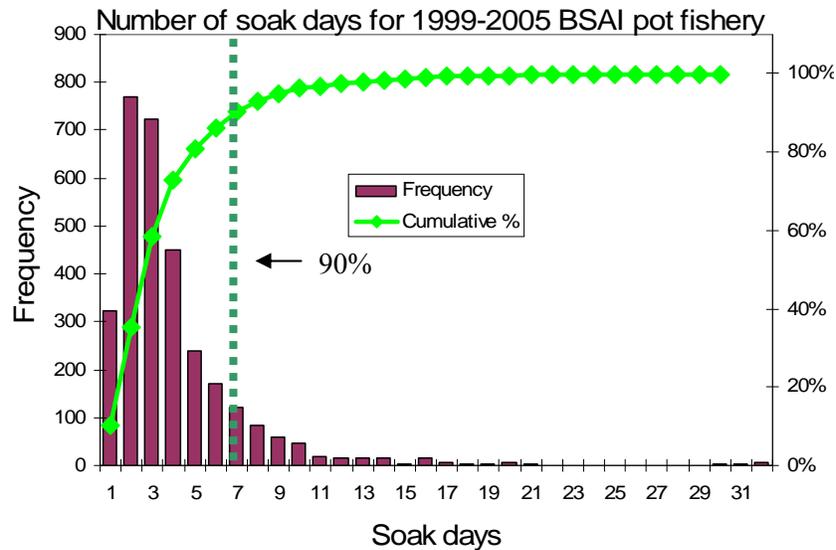
*Pot length frequencies:* We compared the length frequencies recorded by observers from the 2006-2008 longline and pot fisheries. The average length of sablefish in the Aleutian Islands and in the Bering Sea was smaller for sablefish caught by pot gear (63.8 cm) than longline gear (66.0 cm), but the distributions indicate that both fisheries focus primarily on adults. Pot and longline gear is set at similar depths in the Aleutians and Bering Sea and catch males and females at the same rates (average % females in BS/AI was 58% for both gear types). We do not believe that the difference in lengths is significant enough to affect population recruitment and did not see any indication that undersized fish were being selected by pots.



*Sablefish diets in pots:* The North Pacific Fishery Management Council requested that the AFSC Auke Bay Laboratory scientists investigate a number of issues related to management of the sablefish pot

fishery in the Bering Sea and the Aleutian Islands. One concern was the possibility of cannibalism by larger sablefish while in pots. Because few small sablefish are found in pots, there was concern that small sablefish were entering the pots and being cannibalized by larger sablefish. No sablefish were found in the stomachs of large pot-caught sablefish. Most stomachs were empty (72%); the most common item found was squid (13%) (Hanselman et al. 2008).

*Pot soak times:* In 2006, some questions were raised about storing pots at sea, escape rings, and biodegradable panels. While we have not analyzed the consequences of these potential regulatory issues, in 2006 we examined the soak times of the observed pot sets. These are plotted below:



In an experiment examining escape mechanisms for Canadian sablefish, Scarsbrook et al. (1988) showed that in their control traps fish had only 5% mortality up to 10 days; in the current fishing environment, 90% of the pot sets were soaked for 7 days or fewer.

## Surveys

A number of fishery independent surveys catch sablefish. While we do not include all possible indices in the model, we describe trends in them below, along with adjacent geographic areas not assessed by NMFS. Research catch removals are documented in Appendix 3B.

### *AFSC Longline Surveys*

*Overview:* Catch, effort, age, length, weight, and maturity data are collected during sablefish longline surveys. These longline surveys likely provide an accurate index of sablefish abundance (Sigler 2000). Japan and the United States conducted a cooperative longline survey for sablefish in the Gulf of Alaska annually from 1978 to 1994, adding the Aleutians Islands region in 1980 and the eastern Bering Sea in 1982 (Sasaki 1985, Sigler and Fujioka 1988). Since 1987, the Alaska Fisheries Science Center has conducted annual longline surveys of the upper continental slope, referred to as domestic longline surveys, designed to continue the time series of the Japan-U.S. cooperative survey (Sigler and Zenger 1989). The domestic longline survey began annual sampling of the Gulf of Alaska in 1987, biennial sampling of the Aleutian Islands in 1996, and biennial sampling of the eastern Bering Sea in 1997 (Rutecki et al. 1997). The domestic survey also samples major gullies of the Gulf of Alaska in addition to sampling the upper continental slope. The order in which areas are surveyed was changed in 1998 to reduce interactions between survey sampling and short, intense fisheries. Before 1998, the order was

Aleutians and/or Bering Sea, Western Gulf, Central Gulf, Eastern Gulf. Starting in 1998, the Eastern Gulf area was surveyed before the Central Gulf area.

*Specimen collections:* Sablefish length data were randomly collected for all survey years. Otoliths were collected for age determination for most survey years. From 1979-1994 otolith collections were length-stratified; since 1994 otoliths have been collected randomly. Prior to 1996, otolith collections were aged but not consistently from year to year. Since 1996, a sample of otoliths collected during each survey have been aged in the year they were collected. Approximately one-half of the otoliths collected (~1,000) are aged annually.

*Standardization:* Kimura and Zenger (1997) compared the performance of the two surveys from 1988 to 1994 in detail, including experiments comparing hook and gangion types used in the two surveys. The abundance index for both longline surveys decreased from 1988 to 1989, the cooperative survey decreased from 1989 to 1990, while the domestic survey increased (Table 3.9). Kimura and Zenger (1997) attributed the difference to the domestic longline survey not being standardized until 1990.

*Survey Trends:* Relative population abundance indices are computed annually using survey catch rates from stations sampled on the continental slope. Highest sablefish abundance indices occurred during the Japan-U.S. cooperative survey in the mid-1980's, in response to exceptional recruitment in the late 1970's (Figure 3.6b). Relative population numbers declined through the 1990's in most areas during the domestic longline survey. Abundance decreased fastest in western areas and has been the most stable in the Eastern Gulf. Survey catches and abundance estimates trended down through 2009. Three of the lowest overall abundance estimates in the domestic survey occurred from 2006-2009. Survey estimates in the Eastern Gulf improved in 2010 and in 2011 the Central Gulf estimates helped bring the overall survey index up.

*Whale Depredation:* Killer whale depredation of the survey's sablefish catches has been a problem in the Bering Sea since the beginning of the survey (Sasaki 1987). Killer whale depredation primarily occurs in the eastern Bering Sea, Aleutian Islands, and Western GOA and to a lesser extent in recent years in the Central GOA. Killer whale depredation is easily identified by the reduced sablefish catch and the presence of lips or jaws and bent, straightened, or broken hooks. Since 1990, portions of the gear at stations affected by killer whale depredation during the domestic longline survey have been excluded from the analysis of catch rates, RPNs, and RPWs. Killer whale depredation has been fairly consistent since 1996, which corresponds to when the Aleutians and the Bering Sea were added to the survey (Table 3.11). Killer whales depredated a high of ten Bering Sea stations in 2009, which significantly impacted catch and biased the abundance index leading to using the 2007 Bering Sea RPN estimate to estimate the 2009 Bering Sea RPN. In 2011, depredation levels were similar to previous years with catches at seven stations affected.

Sperm whale depredation affects longline catches in the Gulf of Alaska, but evidence of depredation is not accompanied by obvious decreases in sablefish catch. Data on sperm whale depredation have been collected since the 1998 longline survey (Table 3.11). Sperm whales are often observed from the survey vessel during haulback but do not appear to be depredating on the catch. Sperm whale depredation during the longline survey is recorded at the station level and is defined as sperm whales being present during haulback with the occurrence of damaged sablefish in the catch. Sperm whales are most commonly observed in the Central and Eastern Gulf of Alaska, with the majority of depredation occurring in the West Yakutat and East Yakutat/Southeast areas. Depredation has been variable since 1998.

Multiple studies have attempted to quantify sperm whale depredation rates. An early study using data collected by fisheries observers in Alaskan waters found no significant effect on the commercial fishery catch (Hill et al. 1999). Another study using data collected from commercial vessels in southeast Alaska, found a small, significant effect comparing longline fishery catches between sets with sperm whales present and sets with sperm whales absent (3% reduction, 95% CI of (0.4 – 5.5%), t-test,  $p = 0.02$ , Straley et al. 2005).

A general linear model fit to longline survey data from 1998-2004 found neither sperm whale presence ( $p = 0.71$ ) nor depredation rate ( $p = 0.78$ ) increased significantly from 1998 to 2004. Catch rates were about 2% less at locations where depredation occurred, but the effect was not significant ( $p = 0.34$ ). This analysis has been updated through 2009 and now shows a significant effect of approximately four kilograms per hundred hooks in the Central and Eastern Gulf regions, which translates into approximately a 2% decrease in overall catch in those areas (J. Liddle pers. comm.). A retrospective analysis of this data indicates the effect is not significant until the 2009 data is added, indicating the increasing depredation effect has combined with accumulating survey data to give increased power to detect the moderate reduction in CPUE.

Longline survey catch rates are not adjusted for sperm whale depredation because we do not know when measurable depredation began during the survey time series, because past studies of depredation on the longline survey showed no significant effect, and because sperm whale depredation is difficult to detect (Sigler et al. 2007). Because of recent increases in presence and depredation at survey stations as indicated by whale observations and significant results of recent studies, we are currently evaluating a statistical adjustment to survey catch rates using a general linear modeling approach (Appendix 3C, Hanselman et al. 2010). This approach has the power to model both sperm whale and killer whale impacts on the survey catch rates and correct depredation-related decreases in catch rates.

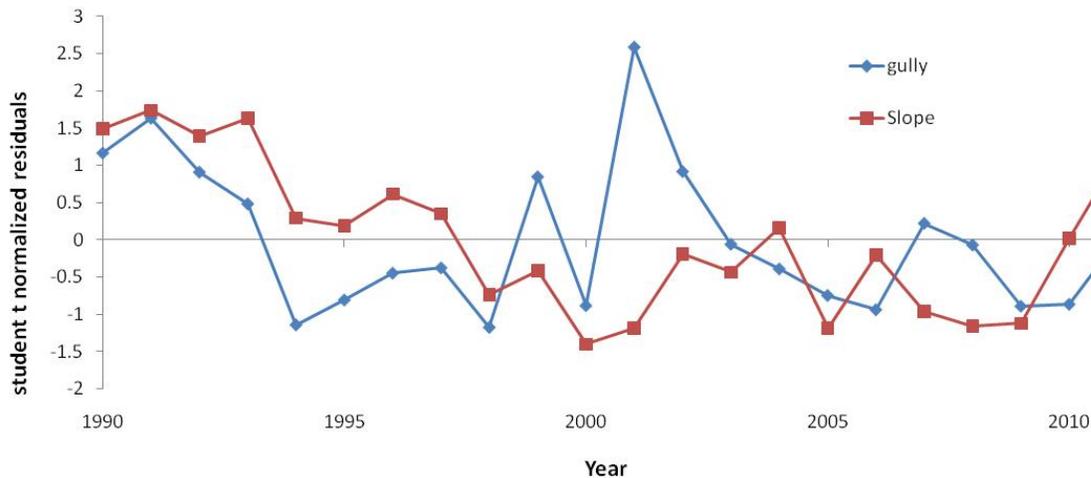
Continued analysis examining both killer whale and sperm whale depredation and their effects on abundance indices is warranted and we hope to explore these modeling approaches that will take advantage of the full data set to interpolate abundance indices for depredated stations.

*Gully Stations:* In addition to the continental slope stations sampled during the survey, twenty-seven stations are sampled in gullies at the rate of one to two stations per day. The sampled gullies are Shelikof Trough, Amatuli Gully, W-grounds, Yakutat Valley, Spencer Gully, Ommaney Trench, Dixon Entrance, and one station on the continental shelf off Baranof Island. The majority of these stations are located in deep gully entrances to the continental shelf in depths from 150-300 m in areas where the commercial fishery targets sablefish. No gullies are currently sampled in the Western Gulf of Alaska, Aleutian Islands, or Bering Sea.

Previous analyses have shown that on average gully stations catch fewer larger fish than adjacent slope stations and length distributions are generally different (Rutecki et al. 1997, Zenger et al. 1994). Compared with the adjacent regions of the slope, sablefish catch rates for gully stations have been mixed with no significant trend (Zenger et al. 1994). Important characteristics of gully catches are that they may indicate recruitment signals before slope areas because of their shallow depth which younger sablefish typically inhabit. Catch rates from these stations have not been included in the historical abundance index calculations because of their locations relative to the more preferred slope habitat of adult sablefish and in particular because of their shallow depths.

These areas do support significant numbers of sablefish, however, and are important areas sampled by the survey. We compared the RPNs of gully stations to the RPNs of slope stations in the GOA to see if catches were comparable, or more importantly, if they portrayed different trends than the RPNs used in this assessment.

Previous analyses have shown gully RPNs were highly correlated with slope RPNs in the East Yakutat/Southeast Outside area but poorly correlated in the West Yakutat and Central Gulf regions (Hanselman et al. 2009). To compare trends, we computed Student's-*t* normalized residuals for all GOA gullies and slope stations and plotted them for the time series. If the indices were correlated, then the residuals would track one another over time.



Overall, gully catches in the GOA from 1990-2011 are poorly correlated with slope catches ( $r=0.293$ ). There also is no evidence of major differences in trends. In regards to gully catches being a recruitment indicator, the increase in the gully RPNs in 1999 and 2001-2002 may be in response to the above average 1997 and 2000 year classes. Both the 2001 and 2002 RPNs for the gully stations are higher than the peak in 1999, which supports the current model estimate that the 2000 year class was larger than 1997. Both gully and slope trends are up in 2011, which may support indications of a strong 2008 year class. Therefore, gully stations may show large year classes earlier and be a better gauge of their strength than slope survey stations. In the future, we will continue to explore sablefish catch rates in gullies and explore their usefulness for indicating recruitment; they may also be useful for quantifying depredation, since sperm whales have rarely depredated on catches from gully stations.

Interactions between the fishery and survey are described in Appendix 3A.

#### *IPHC Longline Surveys*

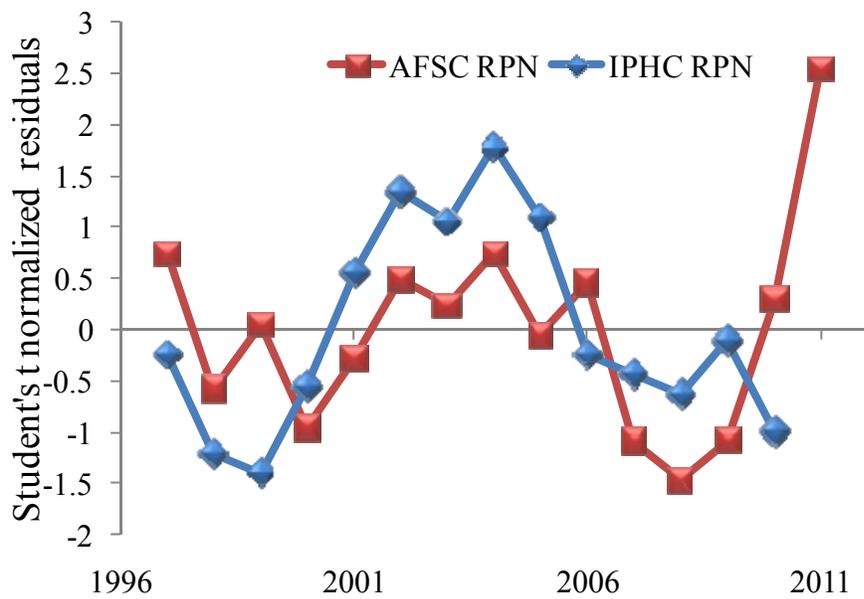
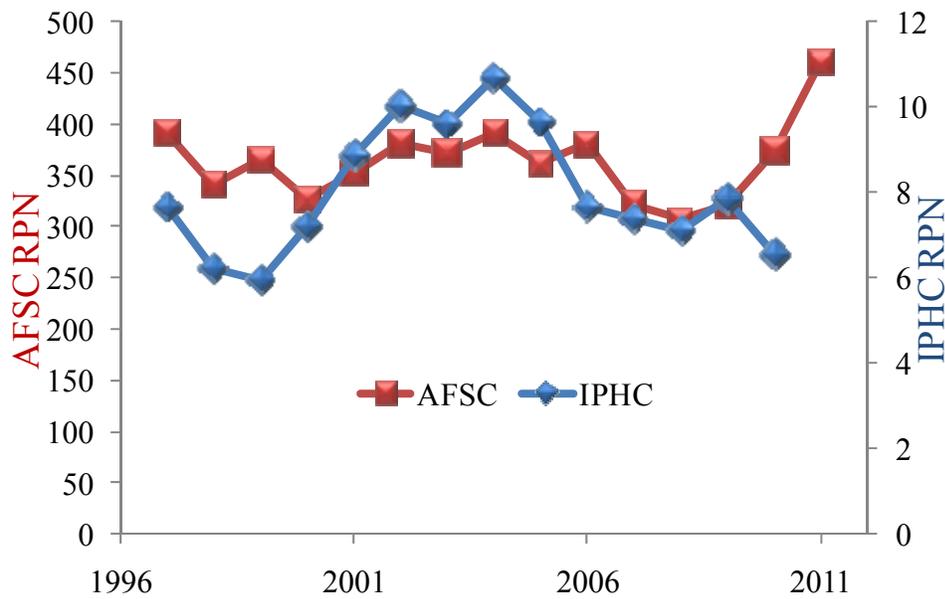
The International Pacific Halibut Commission (IPHC) conducts a longline survey each year to assess Pacific halibut. This survey differs from the AFSC longline survey in gear configuration and sampling design, but catches substantial numbers of sablefish. More information on this survey can be found in Soderlund et al. (2009). A major difference between the two surveys is that the IPHC survey samples the shelf consistently from ~ 10-500 meters, whereas the AFSC survey samples the slope and select gullies from 200-1000 meters. Because the majority of effort occurs on the shelf in shallower depths, the IPHC survey may catch smaller and younger sablefish than the AFSC survey; however, lengths of sablefish are not taken on the IPHC survey.

For comparison to the AFSC survey, IPHC relative population number's (RPN) were calculated using the same methods as the AFSC survey values, the only difference being the depth stratum increments. First an average CPUE was calculated by depth stratum for each region. The CPUE was then multiplied by the area size of that stratum. A region RPN was calculated by summing the RPNs for all strata in the region. Area sizes used to calculate biomass in the RACE trawl surveys were utilized for IPHC RPN calculations. Area sizes differ between the IPHC and AFSC longline surveys because the IPHC surveys the shelf while the AFSC survey samples the slope.

The first figure below compares the two RPNs for the Gulf of Alaska. The two series track well, but the IPHC survey RPN has more variability. This makes sense because it surveys shallower water on the shelf where younger sablefish reside. Since the abundance of younger sablefish will be more variable as year classes pass through, the survey should more closely resemble the NMFS GOA trawl survey index

described below (Figure 3.3). Differences in scale can be attributed to CPUE calculation methods (i.e., the AFSC CPUE is fish/skate (45 hooks), and the IPHC CPUE is fish/hook).

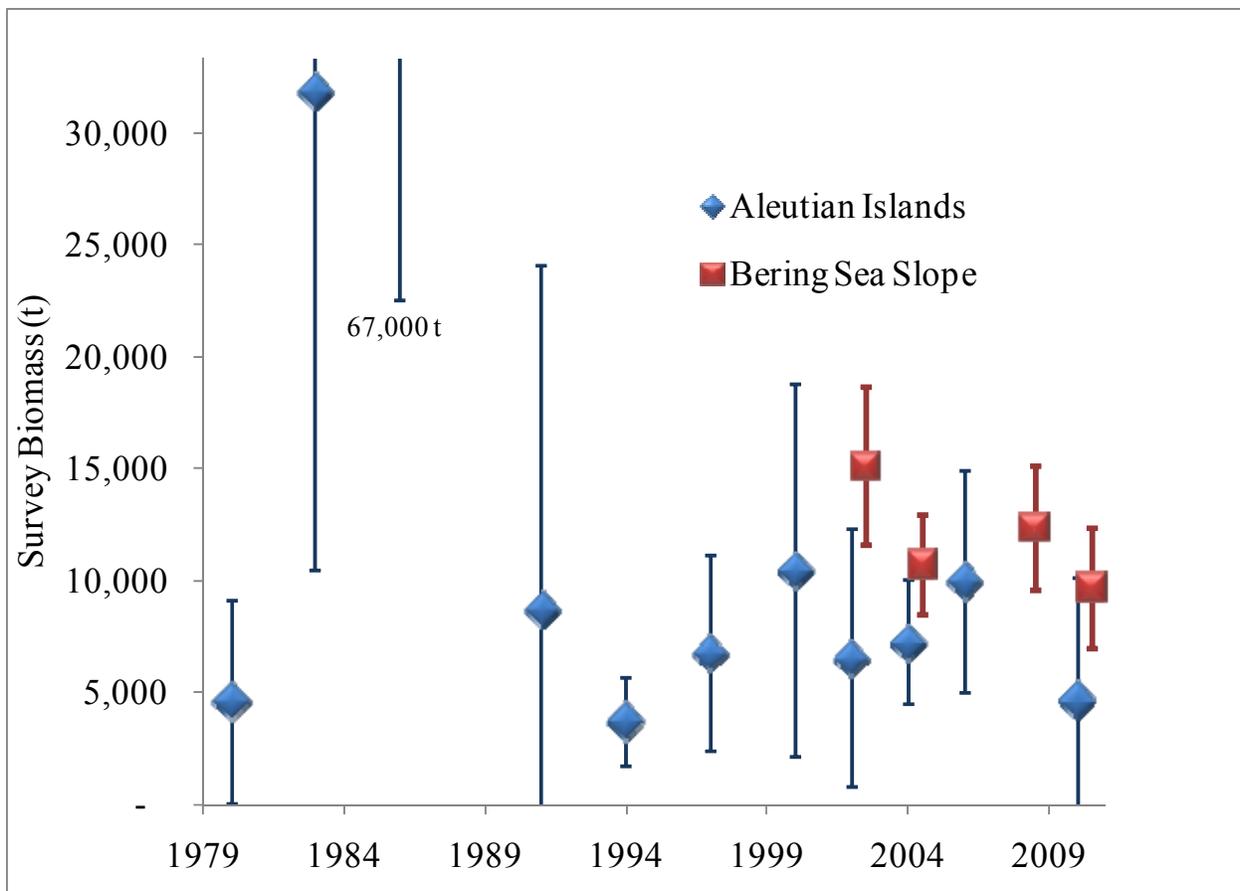
Because of their differences in variability we computed Student's t normalized residuals and plotted them for the time series (2<sup>nd</sup> figure below). The trends have begun to diverge and do not track as closely as they did in the 2010 assessment (2011, Pearson's  $r=0.42$ ,  $p\text{-value}>0.05$ , 2010  $r=0.63$ ,  $p=0.028$ ). Trends by region were similar but more variable for most areas. We will continue to examine trends in each region and at each depth interval for evidence of recruiting year classes and for comparison to the AFSC longline survey. There is some effort in depths shallower than 200 meters on the AFSC survey, and we will compute RPNs for these depths for future comparisons with the IPHC RPNs.



### Trawl surveys

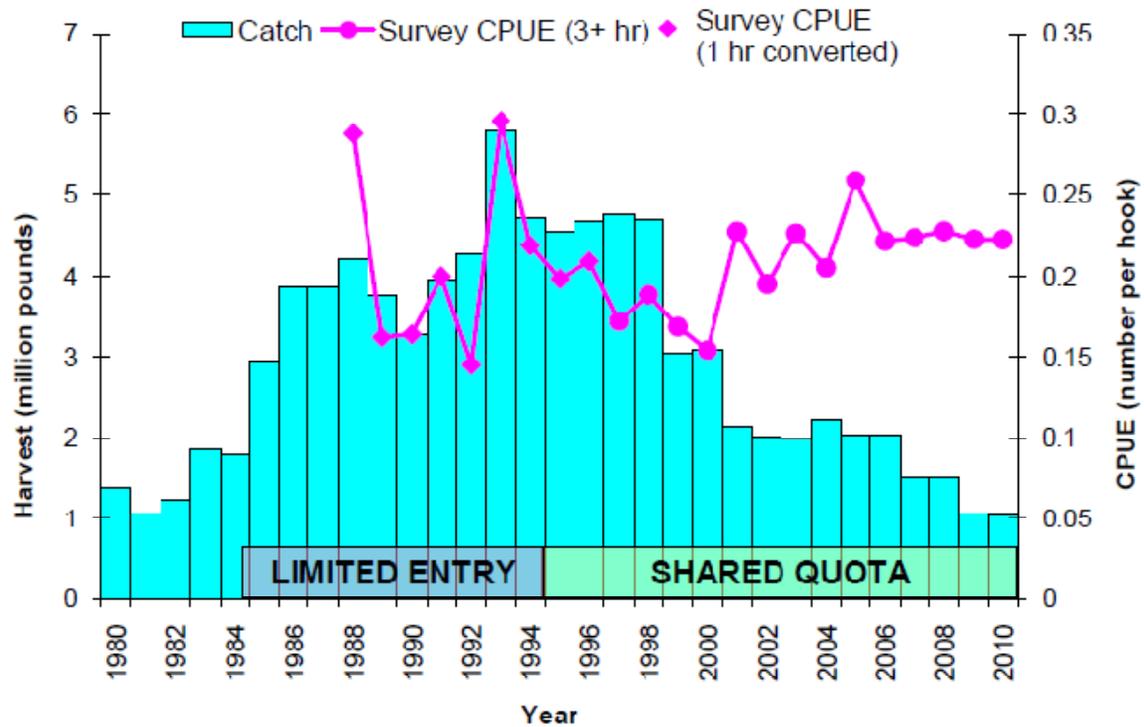
Trawl surveys of the upper continental slope that adult sablefish inhabit have been conducted biennially or triennially since 1980 in the Aleutian Islands, and 1984 in the Gulf of Alaska. Trawl surveys of the Eastern Bering Sea slope were conducted biennially from 1979-1991 and standardized for 2002, 2004, 2008, and 2010. Trawl surveys of the Eastern Bering Sea shelf are conducted annually. Trawl survey abundance indices were not previously used in the sablefish assessment because they were not considered good indicators of the sablefish relative abundance. However, there is a long time series of data available and given the trawl survey's ability to sample smaller fish, it may be a better indicator of recruitment than the longline survey. There is some difficulty with combining estimates from the Bering Sea and Aleutian Islands with the Gulf of Alaska estimates since they occur on alternating years. A method could be developed to combine these indices, but it leaves the problem of how to use the length data to predict recruitment since the data could give mixed signals on year class strength. At this time we are using only the Gulf of Alaska trawl survey biomass estimates (<500 m depth, Figure 3.3) and length data (<500 m depth, Figures 3.12, 3.13) as a recruitment index for the whole population. The largest proportion of sablefish biomass is in the Gulf of Alaska so it should be indicative of the overall population. Biomass estimates used in the assessment for 1984-2011 are shown in Table 3.9. The GOA trawl survey index is at a low level in 2011, similar to 2009 and 1999.

Aleutian Islands and Bering Sea Slope survey biomass estimates are not used in the assessment model but are tracked in the following figure:



### Other surveys/areas

The Alaska Department of Fish and Game conducts mark-recapture and a longline survey in Northern Southeast Alaska Inside (NSEI) waters. This population treated as a separate population, but some migration into and out of Inside waters has been confirmed with tagging studies. This population has been low to moderate recently, with their longline survey confirming the lows in 1999/2000 (see figure below), but showing a moderate increase through 2006 and leveling off through 2010. However, their most recent forecast estimates from a mark-recapture program, shows a sizeable decline from 2009 to 2011 with a slow long term decline since 2003 (Dressel per. comm. 2011).



**Figure.** Northern Southeast Inside sablefish long line survey catch per unit effort in round pounds per hook and harvest over time (from Dressel per. comm. 2011).

The Department of Fish and Oceans of Canada (DFO) conducts a trap survey, conducts tagging studies, and tracks fishery catch rates in British Columbia, Canada. In a 2008 report (TSC 2008) they summarized the following:

*“Catch rates from the fall standardized survey have declined by about 62% since a recent high in 2003. The 2007 stratified random survey declined about 30% from 2006 to 2007. Trap fishery catch rates in 2006 and 2007 are at about the level observed during the mid-2000 to mid-2002 period and much lower than those observed in the early 1990s. Catch rates from a survey in mainland B.C. inlets, where there is no directed sablefish fishing, have declined about 50% since a recent high in 2002.”*

In a 2011 Science Advisory Report, DFO reports

*“Stock reconstructions suggest that stock status is currently below  $B_{MSY}$  for all scenarios, with the stock currently positioned in the mid-Cautious to low-Healthy zones.”*

Under these scenarios, recent harvest rates on adult sablefish potentially have been between 0.06 – 0.15<sup>1</sup>.

The reported low abundance south of Alaska concerns us, and point to the need to attempt to better understand the contribution to Alaska sablefish productivity from B.C. sablefish. Some ideas we have proposed are to conduct an area-wide study of sablefish tag recoveries, and to attempt to model the population to include B.C. sablefish.

### *Relative abundance trends – long-term*

Relative abundance has cycled through three valleys and two peaks with peaks in about 1970 and 1985 (Table 3.9, Figures 3.2 and 3.3). The post-1970 decrease likely is due to heavy fishing. The 1985 peak likely is due to the exceptionally large late 1970's year classes. Since 1988, relative abundance has decreased substantially. Regionally, abundance decreased faster in the Eastern Bering Sea, Aleutian Islands, and western Gulf of Alaska and more slowly in the central and eastern Gulf of Alaska (Figure 3.6). These regional abundance changes likely are due to size-dependent migration. Small sablefish typically migrate westward, while large sablefish typically migrate eastward (Heifetz and Fujioka 1991). The recruitment of the strong late 1970's year classes accounted for the sharp increase in overall abundance during the early 1980's. During the late 1980's as sablefish moved eastward, abundance fell quickly in the western areas, fell slowly in the Central area, and remained stable in the Eastern area. The size-dependent migration and pattern of regional abundance changes indicate that the western areas are the outer edges of sablefish distribution and less favored habitat than the central and eastern Gulf of Alaska.

Above average year classes typically are first abundant in the western areas, another consequence of size-dependent migration. For example, an above average 1997 year class first became important in the survey in the western areas at age 4 (2001 plot), and shows up in the Central Gulf throughout 2002-3 and then the Eastern Gulf in 2004 (Figure 3.7). Overall, recent above average year classes became abundant in the western areas at ages 3-4, in the central area at ages 4-6, and in the eastern area at ages 5-7 (Table 3.12). The 2000 year class became abundant in all areas between ages 4 and 5.

In the East Yakutat/Southeast area, sablefish abundance decreased for many years until 2002, when the fishery index, but not the survey index, increased (Figure 3.4). The survey index continued to generally decrease through 2003, but stabilized in the 2004 and 2005 surveys, and increased in 2006. The recent stabilization and increase in the survey index was likely caused by the 1997 and 2000 year classes entering the fishery. However, surveys in 2008 and 2009 have shown this area to be at its lowest levels during the domestic survey. In 2010, there was a substantial increase in the abundance index in the entire Eastern Gulf of Alaska. While this is positive, there has been an overall long-term decline in abundance for this area, which is considered a part of the main spawning area (central and eastern Gulf of Alaska). We will continue to monitor this trend closely.

### *Relative abundance trends – short-term*

*Assessment results:* The fishery abundance index was down 9% from 2009 to 2010 (the 2011 data are not available yet). The survey abundance index increased 3% from 2010 to 2011 following a 10% increase from 2009 to 2010.

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<sup>1</sup> Science Advisory Report 2011/25: [http://www.dfo-mpo.gc.ca/Csas-sccs/publications/sar-as/2011/2011\\_025-eng.pdf](http://www.dfo-mpo.gc.ca/Csas-sccs/publications/sar-as/2011/2011_025-eng.pdf)

# Analytic approach

## Model Structure

The sablefish population is represented with an age-structured model. The analysis presented here extends earlier age structured models developed by Kimura (1990) and Sigler (1999), which all stem from the work by Fournier and Archibald (1982). The current model configuration follows a more complex version of the Gulf of Alaska Pacific ocean perch model (Hanselman et al. 2005a) with split sexes to attempt to more realistically represent the underlying population dynamics of sablefish. The current configuration was accepted by the Groundfish Plan Team and NPFMC in 2010 (Hanselman et al. 2010). The population dynamics and likelihood equations are described in Box 1. The analysis was completed using AD Model Builder software, a C++ based software for development and fitting of general nonlinear statistical models (ADMB Project 2009).

## Parameters Estimated Independently

The following table lists the parameters estimated independently:

Parameter name	Value	Value	Source
Time period	<u>1981-1993</u>	<u>1996-2004</u>	
Natural mortality	0.1	0.1	Johnson and Quinn (1988)
Female maturity-at-age	$m_a = 1/(1+e^{-0.84(a-6.60)})$		Sasaki (1985)
Length-at-age - females	$\bar{L}_a = 75.6(1 - e^{-0.208(a+3.63)})$	$\bar{L}_a = 80.2(1 - e^{-0.222(a+1.95)})$	Hanselman et al. (2007)
Length-at-age - males	$\bar{L}_a = 65.3(1 - e^{-0.227(a+4.09)})$	$\bar{L}_a = 67.8(1 - e^{-0.290(a+2.27)})$	Hanselman et al. (2007)
Weight-at-age - females	$\ln \hat{W}_a = \ln(5.47) + 3.02 \ln(1 - e^{-0.238(a+1.39)})$		Hanselman et al. (2007)
Weight-at-age - males	$\ln \hat{W}_a = \ln(3.16) + 2.96 \ln(1 - e^{-0.356(a+1.13)})$		Hanselman et al. (2007)
Age-age conversion	Known	Known	Heifetz et al. (1999)
Recruitment variability ( $\sigma_r$ )	1.2	1.2	Sigler et al. (2002)

*Age and Size of Recruitment:* Juvenile sablefish rear in nearshore and continental shelf waters, moving to the upper continental slope as adults. Fish first appear on the upper continental slope, where the longline survey and longline fishery primarily occur, at age 2 and a fork length of about 45 cm. A higher proportion of young fish are susceptible to trawl gear compared to longline gear because trawl fisheries usually occur on the continental shelf and shelf break inhabited by younger fish, and catching small sablefish may be hindered by the large bait and hooks on longline gear.

*Growth and maturity:* Sablefish grow rapidly in early life, growing 1.2 mm d<sup>-1</sup> during their first spring and summer (Sigler et al. 2001). Within 100 days after first increment (first daily otolith mark for larvae) formation, they average 120 mm. Sablefish are currently estimated to reach average maximum lengths and weights of 68 cm and 3.2 kg for males and 80 cm and 5.5 kg for females.

New growth relationships recently were estimated because many more age data were available (Hanselman et al. 2007); this analysis was accepted by the Plan Team in November 2007. We divided the data into two time periods based on the change in sampling design that occurred in 1995. It appears that sablefish maximum length and weight has increased slightly over time. New age-length conversion matrices were constructed using these curves with normal error fit to the standard deviations of the collected lengths at age (Figure 3.8). These new matrices provided for a superior fit to the data. Therefore,

we use a bias-corrected and updated growth curve for the older data (1981-1993) and a new growth curve describing recent randomly collected data (1996-2004).

Sablefish are difficult to age, especially those older than eight years (Kimura and Lyons 1991). To compensate, we use an ageing error matrix based on known-age otoliths (Heifetz et al. 1999).

Fifty percent of females are mature at 65 cm, while 50 percent of males are mature at 57 cm (Sasaki 1985), corresponding to ages 6.5 for females and 5 for males (Table 3.13). Maturity parameters were estimated independently of the assessment model and then incorporated into the assessment model as fixed values. The maturity - length function is  $m_l = 1 / (1 + e^{-0.40(L - 57)})$  for males and  $m_l = 1 / (1 + e^{-0.40(L - 65)})$  for females. Maturity at age was computed using logistic equations fit to the length-maturity relationships shown in Sasaki (1985, Figure 23, Gulf of Alaska). Prior to the 2006 assessment, average male and female maturity was used to compute spawning biomass. Beginning with the 2006 assessment, female-only maturity has been used to compute spawning biomass. Female maturity-at-age from Sasaki (1985) is described by the logistic fit of  $m_a = 1/(1+e^{-0.84(a-6.60)})$ .

*Maximum age and natural mortality:* Sablefish are long-lived; ages over 40 years are regularly recorded (Kimura et al. 1993). Reported maximum age for Alaska is 94 years (Kimura et al. 1998); the previous reported maximum was 62 (Sigler et al. 1997). Canadian researchers report age determinations up to 113 years<sup>1</sup>. A natural mortality rate of  $M=0.10$  has been assumed for previous sablefish assessments, compared to  $M=0.112$  assumed by Funk and Bracken (1984). Johnson and Quinn (1988) used values of 0.10 and 0.20 in a catch-at-age analysis and found that estimated abundance trends agreed better with survey results when  $M=0.10$  was used. Natural mortality has been modeled in a variety of ways in previous assessments. For sablefish assessments before 1999, natural mortality was assumed to equal 0.10. For assessments from 1999 to 2003, natural mortality was estimated rather than assumed to equal 0.10; the estimated value was about 0.10. For the 2004 assessment, a more detailed analysis of the posterior probability showed that natural mortality was not well-estimated by the available data. The posterior distribution of natural mortality was very wide, ranging to near zero. The acceptance rate during Markov Chain Monte Carlo (MCMC) runs was low, 0.10-1.15. Parameter estimates even for MCMC chains thinned to every 1000<sup>th</sup> value showed some serial correlation. For the 2005 assessment we assumed that we knew the approximate value of natural mortality very precisely (c.v. = 0.001 for prior probability distribution) and that the approximate value was 0.10. At this level of prior precision, it was essentially a fixed parameter. Using such a precise prior on a relatively unknown parameter to fix it is of no use except to acknowledge that we do not know the parameter value exactly. However, it creates confusion and is an improper use of Bayesian priors, so in 2006 we returned to fixing the parameter at 0.10.

*Variance and effective sample sizes:* Several quantities were computed in order to compare the variance of the residuals to the assumed input variances. The standardized deviation of normalized residuals (SDNR) is closely related to the root mean squared error (RMSE) or effective sample size; values of SDNR of approximately 1 indicate that the model is fitting a data component as well as would be expected for a given specified input variance. The normalized residuals for a given year  $i$  of the abundance index was computed as

$$\delta_i = \frac{\ln(I_i) - \ln(\hat{I}_i)}{\sigma_i}$$

where  $\sigma_i$  is the input sampling standard deviation of the estimated abundance index. For age or length composition data assumed to follow a multinomial distribution, the normalized residuals for age/length group  $a$  in year  $i$  were computed as

<sup>1</sup>Fisheries and Oceans Canada; <http://www.pac.dfo-mpo.gc.ca/fm-gp/commercial/ground-fond/sable-charbon/bio-eng.htm>

$$\delta_{i,a} = \frac{(y_{i,a} - \hat{y}_{i,a})}{\sqrt{\hat{y}_{i,a}(1 - \hat{y}_{i,a})/n_i}}$$

where  $y$  and  $\hat{y}$  are the observed and estimated proportion, respectively, and  $n$  is the input assumed sample size for the multinomial distribution. The effective sample size was also computed for the age and length compositions modeled with a multinomial distribution, and for a given year  $i$  was computed as

$$E_i = \frac{\sum_a \hat{y}_a * (1 - \hat{y}_a)}{\sum_a (\hat{y}_a - y_a)^2}.$$

An effective sample size that is nearly equal to the input sample size can be interpreted as having a model fit that is consistent with the input sample size.

For the 2010 recommended assessment model, we used average SDNR as a criterion to help reweight the age and length compositions. SDNR is a common metric used for goodness of fit in other fisheries, particularly in New Zealand (e.g. Langley and Maunder 2009) and has been recommended for use in fisheries models in Alaska during multiple CIE reviews such as Atka mackerel (R.I.C.C. Francis) and rockfish (P. Cordue). We iteratively reweighted the model by setting an objective function penalty to reduce the deviations of average SDNR of a data component from one. Initially, we tried to fit all multinomial components this way, but due to tradeoffs in fit, it was found that the input sample sizes became too large and masked the influence of important data such as abundance indices. Given that we have age and length samples from nearly all years of the longline surveys, we chose to eliminate the attempt to fit the length data well enough to achieve an average SDNR of one, and reweighted all age components and only length components where no age data exists (e.g. domestic trawl fishery). The abundance index SDNRs were calculated, but no attempt was made to adjust their input variance because we have *a priori* knowledge about their sampling variances. This process was completed before the 2010 data were added into the assessment and endorsed by the Plan Teams and SSC in 2010. We continue to use these weightings. The table below shows the input CVs/sample sizes for the data sources and their associated output SDNR for the recommended model. This reweighting is intended to remain fixed for at least several years.

Multinomial Compositions	Input N/CV	SDNR	Effective N
Domestic LL Fishery Ages	200	0.99	187
Domestic LL Fishery Lengths	120	0.85	326
Trawl Fishery Lengths	50	1.07	89
LL Survey Ages	160	0.97	182
NMFS Trawl Survey Lengths	140	0.98	147
Domestic LL Survey Lengths	20	0.30	207
Japanese/Coop LL Survey Lengths	20	0.32	200
Lognormal abundance indices			
Domestic RPN	5%	3.97	
Japanese/Coop RPN	5%	2.99	
Domestic Fishery RPW	10%	0.87	
Foreign Fishery RPW	10%	1.15	
NMFS Trawl Survey	8-14%	2.41	

### ***Parameters Estimated Conditionally***

Below is a summary of the parameter totals estimated conditionally in the recommended model:

Parameter name	Symbol	Number
Catchability	$q$	6
Log-mean-recruitment	$\mu_r$	1
Spawners-per-recruit levels	$F_{35}, F_{40}, F_{50}$	3
Recruitment deviations	$\tau_y$	79
Average fishing mortality	$\mu_f$	2
Fishing mortality deviations	$\phi_y$	104
Fishery selectivity	$fs_a$	8
Survey selectivity	$ss_a$	7
Total		210

Catchability is separately estimated for the Japanese longline fishery, the cooperative longline survey, the domestic longline survey, U.S. longline derby fishery, U.S. longline IFQ fishery, and the NMFS GOA trawl survey. Information is available to link these estimates of catchability. Kimura and Zenger (1997) analyzed the relationship between the cooperative and domestic longline surveys. For assessments through 2006, we used their results to create a prior distribution which linked catchability estimates for the two surveys. For 2007, we estimated new catchability prior distributions based on the ratio of the various abundance indices to a combined Alaskan trawl index. This resulted in similar mean estimates of catchability to those previously used, but allowed us to estimate a prior variance to be used in the model. This also facilitates linking the relative catchabilities between indices. These priors were used in the recommended model for 2008. This analysis was presented at the September 2007 Plan Team and is presented in its entirety in Hanselman et al. (2007). Lognormal prior distributions were used with the parameters shown below and in Figure 3.9:

<u>Index</u>	<u>U.S. LL Survey</u>	<u>Jap. LL Survey</u>	<u>Fisheries</u>	<u>GOA Trawl</u>
Mean	7.857	4.693	4.967	0.692
CV	33%	24%	33%	30%

Recruitment is not estimated with a stock-recruit relationship, but is estimated with a level of average recruitment with deviations from average recruitment for the years 1933-2010.

Fishing mortality is estimated with two average fishing mortality parameters for the two fisheries (fixed gear and trawl) and deviations from the average for years 1960-2011 for each fishery.

Selectivity is represented using a function and is separately estimated by sex for the longline survey, fixed-gear fishery (pot and longlines combined), and the trawl survey. Selectivity for the trawl fishery is estimated the same for both sexes. Selectivity for the longline surveys and fixed-gear fishery is restricted to be asymptotic by using the logistic function. Selectivity for the trawl fishery and trawl survey are dome-shaped (right descending limb) and estimated with a two-parameter gamma-function and a power function respectively (see Box 1 for equations). This right-descending limb is allowed because we do not expect that the trawl survey and fishery will catch older aged fish as frequently because they fish shallower than the fixed-gear fishery. Selectivity for the fixed-gear fishery is estimated separately for the “derby” fishery prior to 1995 and the IFQ fishery from 1995 thereafter. Fishers may choose where they fish in the IFQ fishery, compared to the crowded fishing grounds during the 1985-1994 “derby” fishery, when fishers reportedly often fished in less productive depths due to crowding (Sigler and Lunsford 2001). In choosing their ground, they presumably target bigger, older fish, and depths that produce the

most abundant catches.

### ***Bayesian analysis***

Since the 1999 assessment, we developed a limited Bayesian analysis that considered uncertainty in the value of natural mortality as well as survey catchability. The Bayesian analysis has been modified in various ways since the 1999 assessment. In this assessment, the Bayesian analysis considers additional uncertainty in the remaining model parameters. The multidimensional posterior distribution is mapped by Bayesian integration methods. The posterior distribution was computed based on 20 million MCMC simulations drawn from the posterior distribution. A burn-in of 2 million draws was removed from the beginning of the chain and then thinned to 4,500 parameter draws to remove serial correlation between successive draws. This was determined to be sufficient through simple chain plots, and comparing the means and standard deviations of the first half of the chain with the second half.

In previous assessments, we estimated the posterior probability that projected abundance will fall below the decision analysis thresholds based on Mace and Sissenwine (1993). However, in the North Pacific Fishery Management Council setting we have thresholds that are defined in the Council harvest rules. These are when the spawning biomass falls below  $B_{40\%}$ ,  $B_{35\%}$ , and when the spawning biomass falls below  $\frac{1}{2}$  MSY or  $B_{17.5\%}$  which calls for a rebuilding plan under the Magnuson-Stevens Act. For the previous analysis based on Mace and Sissenwine (1993), see Hanselman et al. 2005b. To examine the posterior probability, we project spawning biomass into the future with recruitments varied as random draws from a lognormal distribution with the mean and standard deviation of 1979-2009 recruitments. In addition, model uncertainty is propagated during the MCMC simulations.

**Box 1 Model Description**

$Y$	Year, $y=1, 2, \dots, T$
$T$	Terminal year of the model
$A$	Model age class, $a = a_0, a_0+1, \dots, a_+$
$a_0$	Age at recruitment to the model
$a_+$	Plus-group age class (oldest age considered plus all older ages)
$L$	Length class
$\Omega$	Number of length bins (for length composition data)
$G$	Gear-type ( $g$ = longline surveys, longline fisheries, or trawl fisheries)
$X$	Index for likelihood component
$w_{a,s}$	Average weight at age $a$ and sex $s$
$\varphi_a$	Proportion of females mature at age $a$
$\mu_r$	Average log-recruitment
$\mu_f$	Average log-fishing mortality
$\phi_{y,g}$	Annual fishing mortality deviation
$\tau_y$	Annual recruitment deviation $\sim (0, \sigma_r)$
$\sigma_r$	Recruitment standard deviation
$N_{y,a,s}$	Numbers of fish at age $a$ in year $y$ of sex $s$
$M$	Natural mortality
$F_{y,a,g}$	Fishing mortality for year $y$ , age class $a$ and gear $g$ ( $= s_a^g \mu_f e^{\phi_{y,g}}$ )
$Z_{y,a}$	Total mortality for year $y$ and age class $a$ ( $= \sum_g F_{y,a,g} + M$ )
$R_y$	Recruitment in year $y$
$B_y$	Spawning biomass in year $y$
$s_{a,s}^g$	Selectivity at age $a$ for gear type $g$ and sex $s$
$A_{50\%}, d_{50\%}$	Age at 50% selection for ascending limb, age at 50% deselection for descending limb
$\delta$	Slope/shape parameters for different logistic curves
$\mathbf{A}$	Ageing-error matrix dimensioned $a_+ \times a_+$
$\mathbf{A}^l$	Age to length conversion matrix dimensioned $a_+ \times \Omega$
$q_g$	Abundance index catchability coefficient by gear
$\lambda_x$	Statistical weight (penalty) for component $x$
$I_y, \hat{I}_y$	Observed and predicted survey index in year $y$
$P_{y,l,s}^g, \hat{P}_{y,l,s}^g$	Observed and predicted proportion at length $l$ for gear $g$ in year $y$ and sex $s$
$P_{y,a,s}^g, \hat{P}_{y,a,s}^g$	Observed and predicted proportion at observed age $a$ for gear $g$ in year $y$ and sex $s$
$\psi_y^g$	Sample size assumed for gear $g$ in year $y$ (for multinomial likelihood)
$n_g$	Number of years that age (or length) composition is available for gear $g$
$q_{\mu,g}, \sigma_{q,g}$	Prior mean, standard deviation for catchability coefficient for gear $g$
$M_{\mu}, \sigma_M$	Prior mean, standard deviation for natural mortality
$\sigma_{r_{\mu}}, \sigma_{\sigma_r}$	Prior mean, standard deviation for recruitment variability

Equations describing state dynamics	Model Description (continued)
$N_{1,a} = \begin{cases} R_1, & a = a_0 \\ e^{(\mu_r + \tau_{a_0 - a + 1})} e^{-(a - a_0)M}, & a_0 < a < a_+ \\ e^{(\mu_r)} e^{-(a - a_0)M} (1 - e^{-M})^{-1}, & a = a_+ \end{cases}$	Initial year recruitment and numbers at ages.
$N_{y,a} = \begin{cases} R_y, & a = a_0 \\ N_{y-1,a-1} e^{-Z_{y-1,a-1}}, & a_0 < a < a_+ \\ N_{y-1,a-1} e^{-Z_{y-1,a-1}} + N_{y-1,a} e^{-Z_{y-1,a}}, & a = a_+ \end{cases}$	Subsequent years recruitment and numbers at ages
$R_y = e^{(\mu_r + \tau_y)}$	Recruitment
<b>Selectivity equations</b>	
$s_{a,s}^g = \left(1 + e^{(-\delta_{g,s}^g (a - a_{50\%,g,s}))}\right)^{-1}$	Logistic selectivity
$s_{a,s}^g = \frac{a^{\delta_{g,s}^g}}{\max(s_{a,s}^g)}$	Inverse power family
$s_{a,s}^g = \left(\frac{a}{a_{\max}}\right)^{a_{\max,g,s}/p} e^{(a_{\max,g,s} - a)/p}$	Reparameterized gamma distribution
$p = 0.5 \left[ \sqrt{a_{\max,g,s}^2 + 4\delta_{g,s}^g} - a_{\max,g,s} \right]$	
$s_{a,s}^g = (1 - \phi_s^g)^{-1} \left( \frac{(1 - \phi_s^g)}{\phi_s^g} \right)^{\phi_s^g} \frac{e^{(\delta_{g,s}^g \phi_s^g (a_{50\%,g,s} - a))}}{(1 + e^{(\delta_{g,s}^g (a_{50\%,g,s} - a))})}$	Exponential-logistic selectivity
<b>Observation equations</b>	
$\hat{C}_{y,g} = \sum_1^g \sum_1^s w_{a,s} N_{y,a,g,s} F_{y,a,g,s} \left(1 - e^{-Z_{y,a,g,s}}\right) Z_{y,a,g,s}^{-1}$	Catch biomass in year y
$\hat{I}_{y,g} = q^g \sum_{a_0}^{a_+} N_{y,a,s} \frac{s_{a,s}^g}{\max(s_{a,s}^g)} w_{a,s}$	Survey biomass index (RPW)
$\hat{I}_{y,g} = q^g \sum_{a_0}^{a_+} N_{y,a,s} \frac{s_{a,s}^g}{\max(s_{a,s}^g)}$	Survey biomass index (RPN)
$\hat{P}_{y,s}^g = N_{y,a,s} s_{a,s}^g \left( \sum_{a_0}^{a_+} N_{y,a,s} s_{a,s}^g \right)^{-1} \mathbf{A}_s$	Vector of fishery or survey predicted proportions at age
$\hat{P}_{y,s}^g = N_{y,s} s_s^g \left( \sum_{a_0}^{a_+} N_{y,a,s} s_{a,s}^g \right)^{-1} \mathbf{A}_s^l$	Vector of fishery or survey predicted proportions at length

Posterior distribution components	Model Description (continued)
$L_C = \lambda_c \sum_1^g \sum_y \left( \ln C_{g,y} - \ln \hat{C}_{g,y} \right)^2 / (2\sigma_C^2)$	Catch likelihood
$L_I = \lambda_I \sum_1^g \sum_y \left( \ln I_{g,y} - \ln \hat{I}_{g,y} \right)^2 / (2\sigma_I^2)$	Survey biomass index likelihood
$L_{age} = \lambda_{age} \sum_{i=1}^{n_g} -\psi_y^g \sum_{a_0}^{a_+} (P_{i,a}^g + \nu) \ln(\hat{P}_{i,a}^g + \nu)$	Age composition likelihood
$L_{length} = \lambda_{length} \sum_{i=1}^{n_g} -\psi_y^g \sum_{l=1}^{\Omega} (P_{i,l}^g + \nu) \ln(\hat{P}_{i,l}^g + \nu)$	Length composition likelihood ( $\psi_y^g$ = sample size, $n_g$ = number of years of data for gear $g$ , $i$ = year of data availability, $\nu$ is a constant set at 0.001)
$L_q = \left( \ln \hat{q}^g - \ln q_{\mu}^g \right)^2 / 2\sigma_q^2$	Prior on survey catchability coefficient for gear $g$
$L_M = \left( \ln \hat{M} - \ln M_{\mu} \right)^2 / 2\sigma_M^2$	Prior for natural mortality
$L_{\sigma_r} = \left( \ln \hat{\sigma}_r - \ln \sigma_{r,\mu} \right)^2 / 2\sigma_{\sigma_r}^2$	Prior distribution for $\sigma_r$
$L_{\tau} = 0.1 \sum_{y=1}^T \frac{\tau_y^2}{2\hat{\sigma}_r^2} + n \ln \hat{\sigma}_r$	Prior on recruitment deviations
$L_f = \lambda_f \sum_1^g \sum_{y=1}^T \phi_{y,g}^2$	Regularity penalty on fishing mortality
$L_{Total} = \sum_x L_x$	Total objective function value

## Model Evaluation

For this assessment, we present last year's model updated for 2011 with no model changes. A comparison of the model likelihood components and key parameter estimates from 2010 are compared with the 2011 updated model.

**Box 2:** Model comparison of the 2010 and 2011 models by contribution to the objective function (negative log-likelihood values) and key parameters.

Model	<u>2010</u>	<u>2011</u>
<b>Likelihood Components (Data)</b>		
Catch	8	9
Domestic LL survey RPN	40	43
Japanese LL survey RPN	18	18
Domestic LL fishery RPW	7	8
Japanese LL fishery RPW	11	10
NMFS GOA trawl survey	14	17
Domestic LL survey ages	141	154
Domestic LL fishery ages	148	159
Domestic LL survey lengths	53	52
Japanese LL survey ages	143	144
Japanese LL survey lengths	46	46
NMFS trawl survey lengths	216	269
Domestic LL fishery lengths	195	199
Domestic trawl fishery lengths	126	158
Data likelihood	1165	1286
Total objective function value	1184	1307
<b>Key parameters</b>		
Number of parameters	207	<b>210</b>
$B_{2012}$ (Female spawning biomass)	102	101
$B_{40\%}$ (Female spawning biomass)	110	108
$B_{1960}$ (Female spawning biomass)	177	180
$B_{0\%}$ (Female spawning biomass)	275	271
$SPR\%$ current	37%	37%
$F_{40\%}$	0.097	0.096
$F_{40\%}$ (adjusted)	0.089	0.089
$ABC$	16.0	<b>17.2</b>
$q_{Domestic\ LL\ survey}$	7.7	7.8
$q_{Japanese\ LL\ survey}$	6.3	6.3
$q_{Domestic\ LL\ fishery}$	4.2	4.1
$q_{Trawl\ Survey}$	1.0	1.3
$a_{50\%}$ (domestic LL survey selectivity)	3.9	3.9
$a_{50\%}$ (LL fishery selectivity)	4.1	4.1
$\mu_r$ (average recruitment)	18.5	18
$\sigma_r$ (recruitment variability)	1.20	1.20

The two models are identical in all aspects except for inclusion of new data. Our usual criteria for choosing a superior model are: (1) the best overall fit to the data (in terms of negative log-likelihood), (2) biologically reasonable patterns of estimated recruitment, catchabilities, and selectivities, (3) a good visual fit to length and age compositions, and (4) parsimony.

Because the models presented have different amounts of data and different data weightings, it is not reasonable to compare their negative log likelihoods so we cannot compare them by the first criterion above. In general we can only evaluate the 2011 model based on changes in results from 2010. The model generally produces good visual fits to the data, and biologically reasonable patterns of recruitment, abundance, and selectivities. The 2011 update shows some increases in recent recruitment and an increase in total biomass from previous projections. Therefore the 2011 model is utilizing the new information effectively, and we use it to recommend 2012 ABC and OFL.

## Model Results

### Definitions

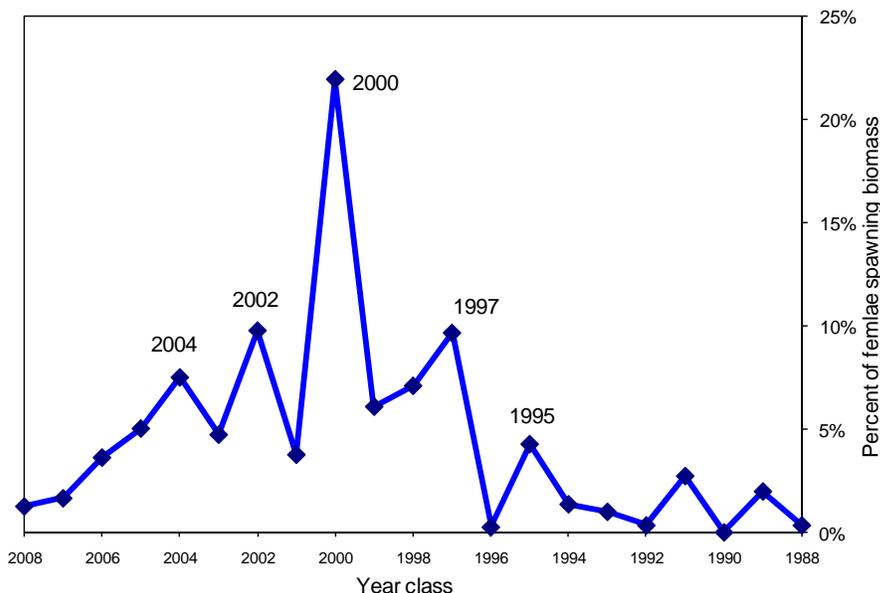
Spawning biomass is the biomass estimate of mature females. Total biomass is the estimate of all sablefish age two and greater. Recruitment is measured as number of age two sablefish. Fishing mortality is fully-selected F, meaning the mortality at the age the fishery has fully selected the fish.

### Abundance trends

Sablefish abundance increased during the mid-1960's (Table 3.15, Figure 3.10) due to strong year classes in the early 1960's. Abundance subsequently dropped during the 1970's due to heavy fishing and relatively low recruitment; catches peaked at 53,080 t in 1972. The population recovered due to a series of strong year classes from the late 1970's (Figure 3.18, Table 3.14) and also recovered at different rates in different areas (Table 3.15); spawning abundance peaked again in 1987. The population then decreased because these strong year classes expired. The model suggested an increasing trend in spawning biomass since the all-time low in 2002, but has leveled out in 2011 (Figure 3.10).

**Projected 2012 spawning biomass is 37% of unfished spawning biomass.** Spawning biomass has increased from a low of 30% of unfished biomass in 2002 to 37% projected for 2012. The 1997 year class has been an important contributor to the population but has been reduced and should comprise 10% of the 2012 spawning biomass. The 2000 year class appears to be larger than the 1997 year class, and is now mature and should comprise 23% of the spawning biomass in 2012. The 2002 year class is beginning to show signs of strength and will comprise 10% of spawning biomass in 2012 and is 92% mature.

The following figure shows the age composition of spawning biomass projected for 2011 for the last 20 year-classes.



### Recruitment trends

Annual estimated recruitment varies widely (Figure 3.18b). The two recent strong year classes in 1997 and 2000 are evident in all data sources. After 2000, few strong year classes are apparent. Few small fish were caught in the 2005 through 2009 trawl surveys, but a likely 2008 year class is appearing in the 2011 trawl survey length composition (Figures 3.12-13). The 2001 year class appeared to be an above-average year class in the Aleutian Islands and Western Gulf in the 2005-2007 longline survey age compositions. However, the 2001 year class appeared moderate in the Central Gulf in the 2006-2007 survey age composition (Figure 3.7) and is still low in the overall age compositions (Figure 3.17). The 2002 year class appears weak in the 2005 and 2006 longline survey age composition, but showed up somewhat in the Central Gulf in the 2007 age compositions and again in the 2008 Eastern Gulf age compositions. The RPN by age class is quite low in the 2008 age composition (Figure 3.7), but shows an interesting flattening of the middle age distribution. In the Central Gulf, the 1998-2003 year classes all have almost identical RPNs. One possible explanation is that the targeting of year classes discussed earlier is removing the initial peaks caused by large year classes like 2000. The 2009 survey age composition class shows some different year classes looking stronger such as the 2003 year class in the Bering Sea and Western GOA. In the 2010 longline survey age composition, the 2008 year class shows up in all three areas relatively strongly for lightly selected 2 year old fish.

Year classes are classified as weak if they were in the bottom 25% of recruitment values, strong if they were in the top 25% of recruitment values, and average if they were in the middle 50% of recruitment values. The following table estimated recruitment values shows that only one of the last ten year classes (1999-2009) was above average.

<b>Strong</b>	1960	1963	1964	1970	1971	1977	1978	1980	1982	1989	1991	1997	2000
<b>Average</b>	1961	1962	1965	1966	1967	1974	1979	1981	1984	1985	1987	1988	1993
<b>Weak</b>	1958	1959	1968	1969	1972	1973	1975	1976	1983	1986	1990	1992	1996

Average recruitment during 1979-2009 is 18.0 million 2-year-old sablefish per year, which is similar to the average recruitment for the 1958-2009 year classes. Estimates of recruitment strength during the 1960's are less certain because they depend on length data but not age data and because the abundance index is based only on the fishery catch rate, which may be a weak measure of abundance. The 2008 year class is being estimated at slightly above average in this year's model. Assuming the 2008 year class is strong when 2011 longline survey age compositions are included next year, the strength of this year class will likely increase.

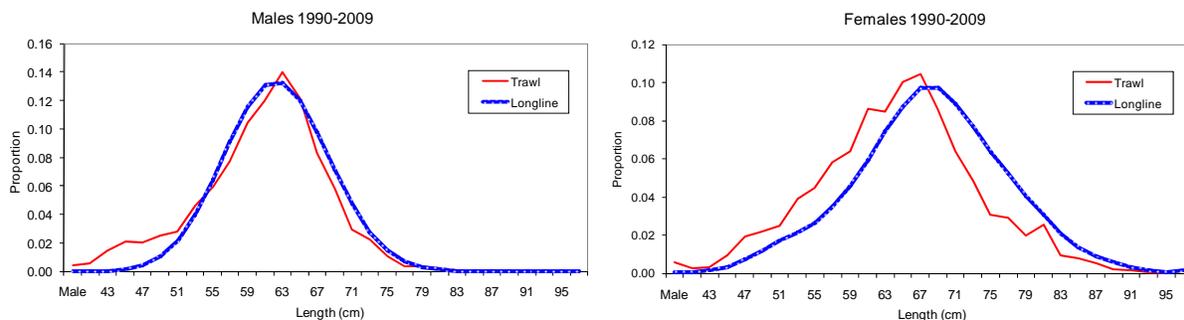
Juvenile sablefish are pelagic and at least part of the population inhabits shallow near-shore areas for their first one to two years of life (Rutecki and Varosi 1997). In most years, juveniles are found only in a few places such as Saint John Baptist Bay near Sitka, Alaska. Widespread, abundant age-1 juveniles likely indicate a strong year class. Abundant age-1 juveniles were reported for the 1960 (J. Fujioka & H. Zenger, NMFS, pers. commun.), 1977 (Bracken 1983), 1980, 1984, and 1998 year classes in southeast Alaska, the 1997 and 1998 year classes in Prince William Sound (W. Bechtol, ADFG, pers. commun.), the 1998 year class near Kodiak Island (D. Jackson, ADFG, pers. commun.), and the 2008 year class in Uganik Bay on Kodiak Island (P. Rigby, NOAA, pers. commun.).

Sablefish recruitment varies greatly from year to year (Figure 3.18), but shows some relationship to environmental conditions. Sablefish recruitment success is related to winter current direction and water temperature; above average recruitment is more common for years with northerly drift or above average sea surface temperature (Sigler et al. 2001). Sablefish recruitment success is also coincidental to recruitment success of other groundfish species. Strong year classes were synchronous for many northeast Pacific groundfish stocks for the 1961, 1970, 1977, and 1984 year classes (Hollowed and Wooster 1992). For sablefish in Alaska, the 1960-1961 and 1977 year classes also were strong. Some of the largest year classes of sablefish occurred when abundance was near the historic low, the 1977-1978 and 1980-1981 year classes. These strong year classes followed the 1976/1977 North Pacific regime shift. The 1977 year

class was associated with the Pacific Decadal Oscillation (PDO) phase change and the 1977 and 1981 year classes were associated with warm water and unusually strong northeast Pacific pressure index (NEPI, Hollowed and Wooster 1992). Larger than average year classes were produced again in 1997-2000, when the population was low. Some species such as walleye pollock and sablefish may exhibit increased production at the beginning of a new environmental regime, when bottom up forcing prevails and high turnover species compete for dominance, which later shifts to top down forcing once dominance is established (Bailey 2000, Hunt et al. 2002). The large year classes of sablefish indicate that the population, though low, still was able to take advantage of favorable environmental conditions and produce large year classes.

### Selectivities

Selectivity is asymptotic for the longline survey and fisheries and dome-shaped (or descending right limb) for the trawl survey and trawl fishery (Figure 3.19). The age-of-50% selection is 3.9 years for females in the longline survey and 4.1 years in the IFQ longline fishery. Females are selected at an older age in the IFQ fishery than in the derby fishery (Figure 3.19a). Males were selected at an older age than females in both the derby and IFQ fisheries. Selection of younger fish during short open-access seasons likely was due to crowding of the fishing grounds, so that some fishers were pushed to fish shallower water that young fish inhabit (Sigler and Lunsford 2001). Relative to the longline survey, small fish are more vulnerable and older fish are less vulnerable to the trawl fishery (see following figure) because trawling often occurs on the continental shelf in shallower waters (< 300 m) where young sablefish reside. The trawl fishery selectivity is the same for males and females (Figure 3.19a). The trawl survey selectivity curves differ between males and females where males stay selected by the trawl survey longer (Figure 3.19). These patterns are consistent with the idea that sablefish move out on the shelf at 2 years of age and then gradually become less available to the trawl fishery and survey as they move offshore into deeper waters. The trawl survey selectivity has a reasonably smooth descending shape that probably describes trawl selectivity to 500 m in the Gulf of Alaska (Figure 3.19b).



### Fishing mortality and management path

Fishing mortality was estimated to be high in the 1970s, relatively low in the early 1980s and then increased and held relatively steady in the 1990s and 2000s (Figure 3.20). Goodman et al. (2002) suggested that stock assessment authors use a “management path” graph as a way to evaluate management and assessment performance over time. Previously we used the management path as suggested by Goodman et al. (2002), but several reviews have suggested a similar phase-plane plot that shows our harvest control rules. In this “management path” we plot estimated fishing mortality relative to the (current) limit value and the estimated spawning biomass relative to target spawning biomass ( $B_{35\%}$ ). Figure 3.21 shows that recent management has generally constrained fishing mortality below the limit rate, and has recently kept the stock above the  $B_{35\%}$  limit.

## Uncertainty

We compared a selection of parameter estimates from the Markov-Chain Monte Carlo (MCMC) simulations with the maximum-likelihood estimates, and compared each method's associated level of uncertainty (see following table). Mean and median catchability estimates were identical. The estimate of  $F_{40\%}$  was lower by maximum likelihood and shows some skewness as indicated by the difference between the MCMC mean and median values. Under both methods the variances are similar except for estimation of a large year class (2000) where the uncertainty is higher for MCMC methods. Ending female spawning biomass and the last large recruitment (2000) are estimated precisely by both methods. The more recent 2008 year class is not estimated as precisely, and the MCMC estimates are slightly higher.

**Table of key parameter estimates and their uncertainty and Bayesian credible intervals (BCI)**

Parameter	$\mu$ (MLE)	$\mu$ (MCMC)	Median (MCMC)	$\sigma$ (Hessian)	$\sigma$ (MCMC)	BCI- Lower	BCI- Upper
$q_{domesticLL}$	7.80	7.78	7.78	0.11	0.24	7.32	8.24
$q_{coopLL}$	6.32	6.32	6.32	0.11	0.21	5.93	6.72
$q_{trawl}$	1.35	1.35	1.34	0.33	0.10	1.16	1.55
$F_{40\%}$	0.10	0.11	0.10	0.02	0.03	0.06	0.18
2011 SSB (kt)	103.6	104.0	103.8	4.0	4.3	95.7	112.7
2000 Year Class	43.3	45.8	45.8	4.1	4.7	36.7	54.8
2008 Year Class	20.1	23.0	22.7	5.4	5.5	12.8	34.7

## Retrospective analysis

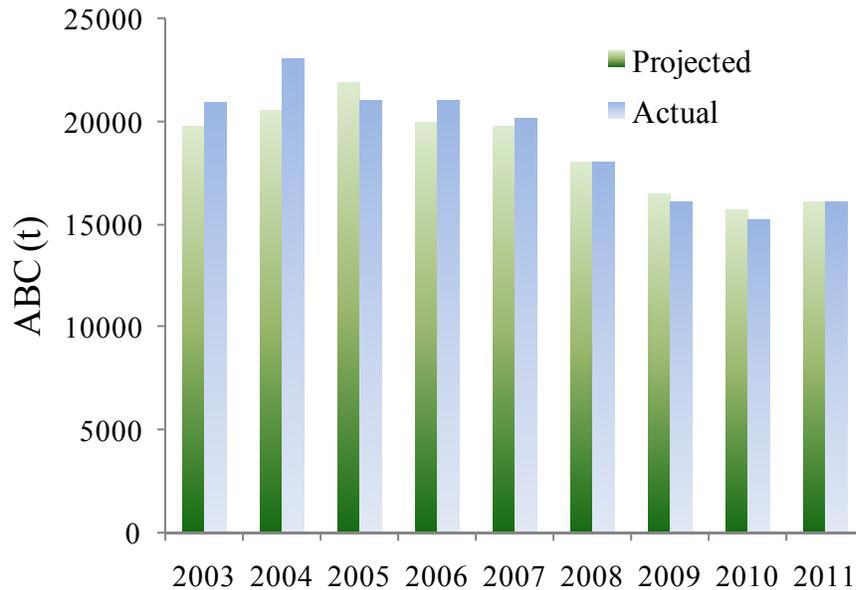
Retrospective analysis is the examination of the consistency among successive estimates of the same parameters obtained as new data are added to a model. Retrospective analysis has been applied most commonly to age-structured assessments. Retrospective biases can arise for many reasons, ranging from bias in the data (e.g., catch misreporting, non-random sampling) to different types of model misspecification such as wrong values of natural mortality, or temporal trends in values set to be invariant. Classical retrospective analysis involves starting from some time period earlier in the model and successively adding data and testing if there is a consistent bias in the outputs (NRC 1998).

For this assessment, we show the retrospective trend in spawning biomass and total biomass for six years (2006-2011). This analysis is simply removing all new data that have been added for each consecutive year for the preferred model. Each year of the assessment generally adds one year of longline fishery lengths, trawl fishery lengths, longline survey lengths, longline and fishery ages (from one year prior), fishery abundance index, and longline survey index. Every other year, a trawl survey estimate and corresponding length composition are added.

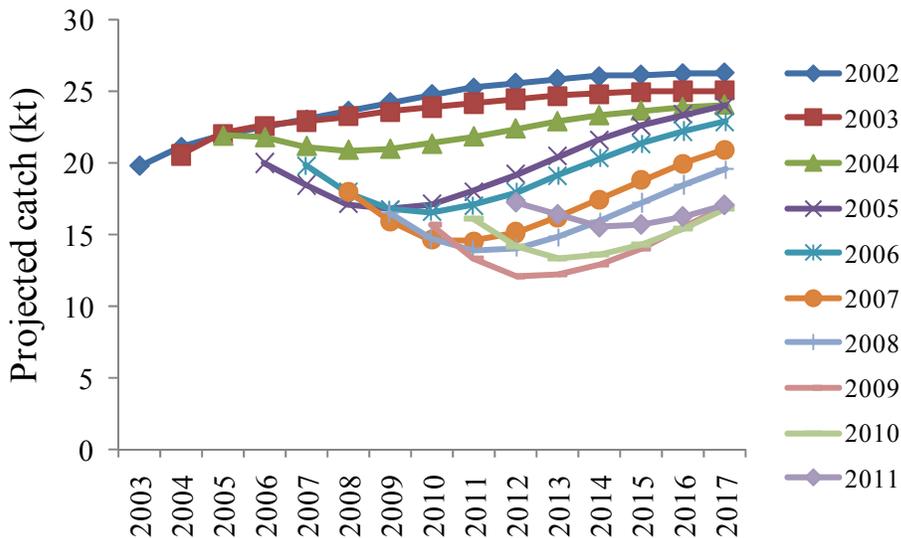
When the model was reweighted and RPWs were removed in 2010, the previous retrospective pattern all but disappeared. In the recommended model for 2011, this retrospective analysis of spawning biomass shows some small changes in recent estimates but appears to be a reflection of new data (Table 3.16, Figure 3.22a). The 2011 estimates of total biomass are turning toward a neutral trend which is based on two relatively high longline survey abundance indices in succession and not a retrospective pattern (Table 3.16, Figure 3.22b).

The 2010 Joint Plan Team requested that we examine what the current model configuration would have recommended for ABCs going back in time to see how much model and author changes has affected management advice. To examine this, the current model configuration was run backwards with what data we had in each year back to 2002. We then projected an ABC for 2003-2011 in each of those assessment years and compared them to the actual ABC in each of those years. In the following figure, it can be seen that despite many model changes, including growth updates and a split-gender model, the management

advice would have been similar.



A second question raised during the same Plan Team discussion was that the projection always seemed to be pointing down then eventually returning as average recruitment was realized. To examine this, we ran multi-year projections from 2002-2010 using the current model configuration. In the following figure, projections in 2002 and 2003 were always increasing into the future, while in 2004-2009 the projections became increasingly negative in the first few years of the projection because of a series of relatively low longline survey abundance estimates. In 2010 and 2011, these projections have started to flatten out again in response to several relatively high longline survey abundance estimates (see following figure).



Examining retrospective trends can show potential biases in the model, but may not identify what their source is. Other times a retrospective trend is merely a matter of the model having too much inertia in the age-structure and other historic data to respond to the most recent data. We will monitor and explore these patterns in the future.

## Projections and Harvest Alternatives

### *Reference fishing mortality rate*

Sablefish are managed under Tier 3 of NPFMC harvest rules which specify that the fishing rate be adjusted downward when biomass is below the target reference biomass. Compared to a constant fishing rate strategy, the adjustable rate strategy was shown in simulations by Sigler and Fujioka (1993) to significantly reduce the risk of overfishing of sablefish, while attaining nearly the same yield with lower fishing effort. Fujioka et al. (1997) showed analytically the same advantages of an adjustable fishing rate compared to a constant fishing rate strategy. Reference points are calculated using recruitments from 1979-2009. The updated point estimates of  $B_{40\%}$ ,  $F_{40\%}$ , and  $F_{35\%}$  from this assessment are 108,574 t (combined across the EBS, AI, and GOA), 0.096, and 0.114, respectively. Projected female spawning biomass (combined areas) for 2012 is 101,325 t (93% of  $B_{40\%}$ ), placing sablefish in sub-tier “b” of Tier 3. The maximum permissible value of  $F_{ABC}$  under Tier 3b is 0.089, which translates into a 2012 ABC (combined areas) of 17,240 t. The OFL fishing mortality rate is 0.106 which translates into a 2012 OFL (combined areas) of 20,400 t. Model projections indicate that this stock is neither overfished nor approaching an overfished condition.

### *Population projections*

A standard set of projections is required for each stock managed under Tiers 1, 2, or 3 of Amendment 56. This set of projections encompasses seven harvest scenarios designed to satisfy the requirements of Amendment 56, the National Environmental Policy Act, and the Magnuson-Stevens Fishery Conservation and Management Act (MSFCMA).

For each scenario, the projections begin with the vector of 2011 numbers at age as estimated in the assessment. This vector is then projected forward to the beginning of 2012 using the schedules of natural mortality and selectivity described in the assessment and the best available estimate of total (year-end) catch for 2011. In each subsequent year, the fishing mortality rate is prescribed on the basis of the spawning biomass in that year and the respective harvest scenario. In each year, recruitment is drawn from an inverse Gaussian distribution whose parameters consist of maximum likelihood estimates determined from recruitments estimated in the assessment. Spawning biomass is computed in each year based on the time of peak spawning and the maturity and weight schedules described in the assessment. Total catch after 2011 is assumed to equal the catch associated with the respective harvest scenario in all years. This projection scheme is run 1,000 times to obtain distributions of possible future stock sizes, fishing mortality rates, and catches.

Five of the seven standard scenarios will be used in an Environmental Assessment prepared in conjunction with the final SAFE. These five scenarios, which are designed to provide a range of harvest alternatives that are likely to bracket the final TAC for 2012, are as follow (“ $max F_{ABC}$ ” refers to the maximum permissible value of  $F_{ABC}$  under Amendment 56):

*Scenario 1:* In all future years,  $F$  is set equal to  $max F_{ABC}$ . (Rationale: Historically, TAC has been constrained by ABC, so this scenario provides a likely upper limit on future TACs.)

*Scenario 2:* In 2012 and 2013,  $F$  is set equal to a constant fraction of  $max F_{ABC}$ , where this fraction is equal to the ratio of the realized catches in 2008-2010 to the ABC recommended in the assessment for each of those years. For the remainder of the future years, maximum permissible ABC is used. (Rationale: In many fisheries the ABC is routinely not fully utilized, so assuming an average ratio of  $F$  will yield more realistic projections.)

*Scenario 3:* In all future years,  $F$  is set equal to 50% of  $\max F_{ABC}$ . (Rationale: This scenario provides a likely lower bound on  $F_{ABC}$  that still allows future harvest rates to be adjusted downward when stocks fall below reference levels.)

*Scenario 4:* In all future years,  $F$  is set equal to the 2006-2011 average  $F$ . (Rationale: For some stocks, TAC can be well below ABC, and recent average  $F$  may provide a better indicator of  $F_{TAC}$  than  $F_{ABC}$ .)

*Scenario 5:* In all future years,  $F$  is set equal to zero. (Rationale: In extreme cases, TAC may be set at a level close to zero.)

Two other scenarios are needed to satisfy the MSFCMA's requirement to determine whether a stock is currently in an overfished condition or is approaching an overfished condition. These two scenarios are as follows (for Tier 3 stocks, the MSY level is defined as  $B_{35\%}$ ):

*Scenario 6:* In all future years,  $F$  is set equal to  $F_{OFL}$ . (Rationale: This scenario determines whether a stock is overfished. If the stock is expected to be above 1) above its MSY level in 2011 or 2) above  $\frac{1}{2}$  of its MSY level in 2011 and above its MSY level in 2020 under this scenario, then the stock is not overfished.)

*Scenario 7:* In 2012 and 2013,  $F$  is set equal to  $\max F_{ABC}$ , and in all subsequent years  $F$  is set equal to  $F_{OFL}$ . (Rationale: This scenario determines whether a stock is approaching an overfished condition. If the stock is expected to be above its MSY level in 2023 under this scenario, then the stock is not approaching an overfished condition.)

Spawning biomass, fishing mortality, and yield are tabulated for the seven standard projection scenarios (Table 3.17). The difference for this assessment for projections is in Scenario 2 (Author's F); we use pre-specified catches to increase accuracy of short-term projections in fisheries (such as sablefish) where the catch is usually less than the ABC. This was suggested to help management with setting more accurate preliminary ABCs and OFLs for 2012 and 2013. The methodology for determining these pre-specified catches is described below in *Specified catch estimation*.

### *Status determination*

In addition to the seven standard harvest scenarios, Amendments 48/48 to the BSAI and GOA Groundfish Fishery Management Plans require projections of the likely OFL two years into the future. While Scenario 6 gives the best estimate of OFL for 2012, it does not provide the best estimate of OFL for 2012, because the mean 2012 catch under Scenario 6 is predicated on the 2012 catch being equal to the 2012 OFL, whereas the actual 2012 catch will likely be less than the 2011 OFL. The executive summary contains the appropriate one- and two-year ahead projections for both ABC and OFL.

Under the MSFCMA, the Secretary of Commerce is required to report on the status of each U.S. fishery with respect to overfishing. This report involves the answers to three questions: 1) Is the stock being subjected to overfishing? 2) Is the stock currently overfished? 3) Is the stock approaching an overfished condition?

*Is the stock being subjected to overfishing?* The official catch estimate for the most recent complete year (2010) is 11,916 t. This is less than the 2010 OFL of 18,030 t. Therefore, the stock is not being subjected to overfishing.

Harvest Scenarios #6 and #7 are intended to permit determination of the status of a stock with respect to its minimum stock size threshold (MSST). Any stock that is below its MSST is defined to be *overfished*.

Any stock that is expected to fall below its MSST in the next two years is defined to be *approaching* an overfished condition. Harvest Scenarios #6 and #7 are used in these determinations as follows:

*Is the stock currently overfished?* This depends on the stock's estimated spawning biomass in 2011:

- a. If spawning biomass for 2011 is estimated to be below  $\frac{1}{2} B_{35\%}$ , the stock is below its MSST.
- b. If spawning biomass for 2011 is estimated to be above  $B_{35\%}$  the stock is above its MSST.
- c. If spawning biomass for 2011 is estimated to be above  $\frac{1}{2} B_{35\%}$  but below  $B_{35\%}$ , the stock's status relative to MSST is determined by referring to harvest Scenario #6 (Table 3.17). If the mean spawning biomass for 2021 is below  $B_{35\%}$ , the stock is below its MSST. Otherwise, the stock is above its MSST.

*Is the stock approaching an overfished condition?* This is determined by referring to harvest Scenario #7:

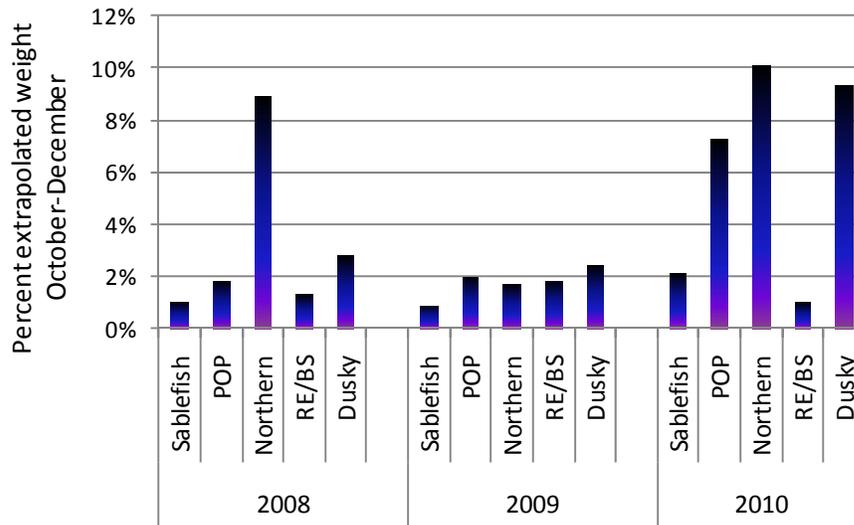
- a. If the mean spawning biomass for 2014 is below  $\frac{1}{2} B_{35\%}$ , the stock is approaching an overfished condition.
- b. If the mean spawning biomass for 2014 is above  $B_{35\%}$ , the stock is not approaching an overfished condition.
- c. If the mean spawning biomass for 2014 is above  $\frac{1}{2} B_{35\%}$  but below  $B_{35\%}$ , the determination depends on the mean spawning biomass for 2024. If the mean spawning biomass for 2024 is below  $B_{35\%}$ , the stock is approaching an overfished condition. Otherwise, the stock is not approaching an overfished condition.

Based on the above criteria and Table 3.17, the stock is not overfished and is not approaching an overfished condition.

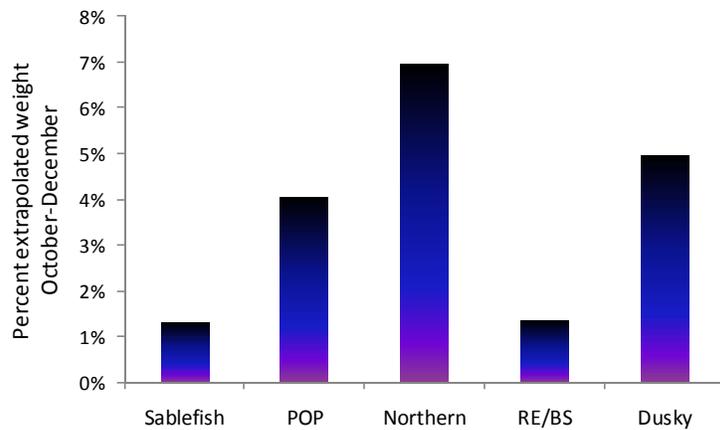
### ***Specified catch estimation***

In response to Gulf of Alaska Plan Team minutes in 2010, we have established a consistent methodology for estimating current-year and future year catches in order to provide more accurate two-year projections of ABC and OFL to management. In the past, two different approaches have been used in GOA rockfish models; assume the full TAC will be taken, or use a certain date prior to publication of assessments as a final estimate of catch for that year. Both methods have disadvantages. If the author assumes the full TAC is taken every year, but it rarely is, the ABC will consistently be underestimated. Conversely, if the author assumes that the catch taken by around October 2011 is the final catch, and substantial catch is taken thereafter, ABC will consistently be overestimated. Therefore, going forward, for current year catch, we are applying an expansion factor to the official catch on or near October 1 by the 3-year average of catch taken between October 1 and December 31 in the last three complete catch years (e.g. 2008-2010 for this year, see example figures below). For sablefish, the expansion factor for 2011 catch is 1.013. Examples are shown in the following figures.

Catches that occur after October 1<sup>st</sup> from 2008-2010 are shown below:

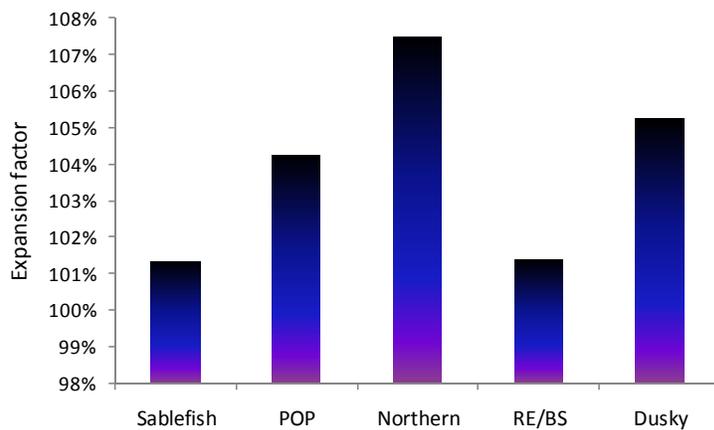


The mean proportion of catch between October-December, 2008-2010, is shown below:



We converted this to an expansion factor:

$$x = \frac{\sum_1^{12} C_y}{\sum_1^9 C_y}, \text{ where } C_y \text{ is catch in month } y \text{ shown in the figure below:}$$



For catch projections into the next two years, we are using the ratio of the last three official catches to the last three TACs multiplied against the future two years' ABCs (if TAC is normally the same as ABC). This method results in slightly higher ABCs in each of the future two years of the projection, based on both the lower catch in the first year out, and based on the amount of catch taken before spawning in the projection two years out.

### *Bayesian analysis*

The model estimates of spawning biomass fall near the center of the posterior distribution of spawning biomass. Most of the probability lies between 80,000 and 140,000 t (Figure 3.23). The probability changes smoothly and exhibits a relatively normal distribution.

Scatter plots of selected pairs of model parameters were produced to evaluate the shape of the posterior distribution (Figure 3.24). The plots indicate that the parameters are reasonably well defined by the data. As expected, catchabilities and ending spawning biomass are confounded. The catchability of the longline survey is most confounded with ending spawning biomass because it has the most influence in the model in recent abundance predictions.

We estimated the posterior probability that projected abundance will fall, or stay below thresholds of 17.5% (MSST), and 35% (MSY), and 40% ( $B_{target}$ ) of the unfished spawning biomass based on the posterior probability estimates. Abundance was projected for 14 years. For management, it is important to know the risk of falling under these thresholds. The probability that spawning biomass falls below key biological reference points was estimated based on the posterior probability distribution for spawning biomass. The probability that next year's spawning biomass was below  $B_{35\%}$  was 0.33. During the next three years, the probability of falling below  $B_{17.5\%}$  is near zero, the probability of falling below  $B_{35\%}$  is 0.6 (down from 0.99 last year), and the probability of staying below  $B_{40\%}$  is near 100% (Figure 3.25).

### *Alternate Projection*

During the 2007 rockfish CIE review, it was suggested that projections should account for uncertainty in the entire assessment, not just recruitment from the endpoint of the assessment. For this assessment we show a projection that considers uncertainty from the whole model by running projections within the model. This projection propagates uncertainty throughout the entire assessment procedure and is based on 20,000,000 MCMC (burnt-in and thinned) using the standard Tier 3 harvest rules. The projection shows wide credible intervals on future spawning biomass (Figure 3.26). The  $B_{35\%}$  and  $B_{40\%}$  reference points are based on the 1979-2009 recruitments, and this projection predicts that the median spawning biomass will dip below  $B_{35\%}$  by 2014, and then return to  $B_{40\%}$  if average recruitment is attained. This projection is run with full ABC taken in each year, so its results are more similar to Alternative 1 in Table 3.17.

### *Acceptable biological catch*

**We recommend a 2012 ABC of 17,240 t.** The maximum permissible ABC for 2012 from an adjusted F40% strategy is 17,240 t. The maximum permissible ABC for 2012 is a 7% increase from the 2011 ABC of 16,040 t. This increase is supported by a substantial increase in the domestic longline survey index in the past two years that offset the prior year's decrease in the fishery abundance index and a low Gulf of Alaska trawl survey biomass estimate. There was also a slight increase in estimates of incoming recruitment year classes. Spawning biomass is projected to decline through 2016, and then is expected to increase assuming average recruitment is achieved. Because of the indications of recent slightly stronger year classes, the maximum permissible ABC is projected to stabilize at 17,019 t in 2013 and 16,769 in 2014 (using estimated catches, instead of maximum permissible, see Table 3.17).

**Projected 2012 spawning biomass is 37% of unfished spawning biomass.** Spawning biomass has increased from a low of 30% of unfished biomass in 2002 to 37% projected for 2012. The 1997 year class

has been an important contributor to the population but has been reduced and should comprise 10% of the 2012 spawning biomass. The 2000 year class appears to be larger than the 1997 year class, and is now mature and should comprise 23% of the spawning biomass in 2012. The 2002 year class is beginning to show signs of strength and will comprise 10% of spawning biomass in 2012 and is 92% mature.

The following table shows the maximum permissible ABC, and ABCs recommended by the stock assessment authors, Plan Teams, SSC, and NPFMC, by fishing year 1997-2010.

Year	Maximum permissible	Authors	Plan Teams	SSC	NPFMC
1997	23,200	17,200	19,600	17,200	17,200
1998	19,000	16,800	16,800	16,800	16,800
1999	15,900	15,900	15,900	15,900	15,900
2000	17,300	17,000	17,300	17,300	17,300
2001	16,900	16,900	16,900	16,900	16,900
2002	21,300	17,300	17,300	17,300	17,300
2003	25,400	18,400	18,400	20,900	20,900
2004	25,400	23,000 or 20,700	23,000	23,000	23,000
2005	21,000	21,000	21,000	21,000	21,000
2006	21,000	21,000	21,000	21,000	21,000
2007	20,100	20,100	20,100	20,100	20,100
2008	18,030	18,030	18,030	18,030	18,030
2009	16,080	16,080	16,080	16,080	16,080
2010	15,230	15,230	15,230	15,230	15,230
2011	16,040	16,040	16,040	16,040	16,040

### *Area apportionment of harvests*

The combined ABC has been apportioned to regions using weighted moving average methods since 1993; these methods reduce the magnitude of inter-annual changes in the apportionment. Weighted moving average methods are robust to uncertainties about movement rates and measurement error of biomass distribution, while adapting to current information about biomass distribution. The 1993 TAC was apportioned using a 5 year running average with emphasis doubled for the current year survey abundance index in weight (relative population weight or RPW). Since 1995, the ABC was apportioned using an exponential weighting of regional RPWs. Exponential weighting is implied under certain conditions by the Kalman filter. The exponential factor is the measurement error variance divided by the prediction error variance (Meinhold and Singpurwalla 1983). Prediction error variance depends on the variances of the previous year's estimate, the process error, and the measurement error. When the ratio of measurement error variance to process error variance is  $r$ , the exponential factor is equal to

$1 - 2 / (\sqrt{4r + 1} + 1)$  (Thompson 2004). For sablefish we do not estimate these values, but instead set the exponential factor at  $1/2$ , so that, except for the first year, the weight of each year's value is  $1/2$  the weight of the following year. The weights are year index 5: 0.0625; 4: 0.0625; 3: 0.1250; 2: 0.2500; 1: 0.5000. A  $(1/2)^x$  weighting scheme reduced annual fluctuations in regional ABC, while keeping regional fishing rates from exceeding overfishing levels in a stochastic migratory model, where  $x$  is the year index (J. Heifetz, Auke Bay Lab, pers. comm.). Because mixing rates for sablefish are sufficiently high and fishing rates sufficiently low, moderate variations of biomass-based apportionment would not significantly change overall sablefish yield unless there are strong differences in recruitment, growth, and survival by area (Heifetz et al. 1997).

Previously, the Council approved apportionments of the ABC based on survey data alone. Starting with the 2000 ABC, the Council approved an apportionment based on survey and fishery data. We continue to

use survey and fishery data to apportion the 2012 ABC. The fishery and survey information were combined to apportion ABC using the following method. The RPWs based on the fishery data were weighted with the same exponential weights used to weight the survey data (year index 5: 0.0625; 4: 0.0625; 3: 0.1250; 2: 0.2500; 1: 0.5000). The fishery and survey data were combined by computing a weighted average of the survey and fishery estimates, with the weight inversely proportional to the variability of each data source. The variance for the fishery data has typically been twice that of the survey data, so the survey data was weighted twice as much as the fishery data. Recent improvements in sample size of observer and logbook collections have reduced the variance on the fishery sources.

<b>Apportionments are based on survey and fishery information</b>	<b>2011 ABC Percent</b>	<b>2011 Survey RPW</b>	<b>2010 Fishery RPW</b>	<b>2012 ABC Percent</b>	<b>2011 ABC</b>	<b>2012 ABC</b>	<b>Change</b>
Total					16,040	<b>17,240</b>	7%
Bering Sea	18%	6%	13%	13%	2,850	<b>2,230</b>	-22%
Aleutians	12%	11%	14%	12%	1,900	<b>2,050</b>	8%
Gulf of Alaska	70%	83%	73%	75%	11,290	<b>12,960</b>	15%
Western	14%	14%	12%	14%	1,620	<b>1,780</b>	9%
Central	42%	51%	38%	44%	4,740	<b>5,760</b>	22%
W. Yakutat	16%	15%	18%	16%	1,830	<b>2,080*</b>	14%
E. Yakutat / Southeast	28%	20%	32%	26%	3,100	<b>3,350</b>	8%

*\*After the adjustment for the 95:5 hook-and-line:trawl split in the Eastern Gulf of Alaska, the 2012 ABC for West Yakutat is 2,247 t and for East Yakutat/Southeast is 3,173 t. This adjustment projected to 2013 is 2,218 t for W. Yakutat and 3,132 t for E. Yakutat.*

<b>Adjusted for 95:5 hook-and-line: trawl split in EGOA</b>	<u>Year</u>	<u>W. Yakutat</u>	<u>E. Yakutat/Southeast</u>
	2012	2,247 t	3,173 t
	2013	2,218 t	3,132 t

This year's apportionment reflects a substantial increase in the longline survey index in the Central and Western Gulf areas, while the survey index declined severely in the Bering Sea, and modestly in the Eastern Gulf areas. The Bering Sea and Aleutian Islands fishery RPWs remained low, while all other areas declined (Figure 3.27a). The standard weighted average approach described above, which includes values from 2006-2011 for survey RPWs and 2005-2010 for fishery RPWs, greatly alleviates the effect of an individual year's change in RPW (Figure 3.27b). The Gulf of Alaska, mainly due to the increase in the Central Gulf, is now capturing a larger share of the apportionment. However, the current apportionment is characteristic of most prior years (Figure 3.27c).

### *Overfishing level (OFL)*

Applying an adjusted  $F_{35\%}$  as prescribed for OFL in Tier 3b results in a value of 20,400 t for the combined stock. The OFL is apportioned by region, Bering Sea (2,640 t), Aleutian Islands (2,430 t), and Gulf of Alaska (15,330 t), by the same method as the ABC apportionment.

## **Ecosystem considerations**

Preliminary results of first-order trophic interactions for sablefish have recently been provided from the ECOPATH model, an ecosystem modeling software package. While prominence of some interactions may be the result of insufficient data, estimation of prey interactions of adult sablefish in the Gulf of Alaska appear reasonable. However, most diet information is from the trawl survey, which does not fully sample the sablefish population. Sampling coverage appeared the broadest geographically in 2005 in the Gulf so we show that data as an example (Figure 3.28). In 2005, more than half of adult sablefish diet consisted of offal, squid, pandalid shrimp, and walleye pollock. Further analysis of prey data may help

form hypotheses to explain increases and decreases in sablefish abundance.

Significant predator interactions on sablefish may be more difficult to predict accurately. Sablefish may not be sufficiently abundant to be prominent or consistent enough in predator diets to discern their major predators, given the current level of sampling of potential predators. Sufficient sampling of potential predators of adult sablefish, such as sharks and whales, may not be feasible. We will closely monitor developments in these models and their corresponding data for interesting trends and hypotheses.

Ecosystem considerations for the Alaska sablefish fishery are summarized in Table 3.18.

### ***Ecosystem effects on the stock***

*Prey population trends:* Young-of-the-year sablefish prey mostly on euphausiids (Sigler et al. 2001) and copepods (Grover and Olla 1990), while juvenile and adult sablefish are opportunistic feeders. Larval sablefish abundance has been linked to copepod abundance and young-of-the-year abundance may be similarly affected by euphausiid abundance because of their apparent dependence on a single species (McFarlane and Beamish 1992). The dependence of larval and young-of-the-year sablefish on a single prey species may be the cause of the observed wide variation in annual sablefish recruitment. No time series is available for copepod and euphausiid abundance, so predictions of sablefish abundance based on this predator-prey relationship are not possible.

Juvenile and adult sablefish feed opportunistically, so diets differ throughout their range. In general, sablefish < 60 cm FL consume more euphausiids, shrimp, and cephalopods, while sablefish > 60 cm FL consume more fish (Yang and Nelson 2000). In the Gulf of Alaska, fish constituted 3/4 of the stomach content weight of adult sablefish with the remainder being invertebrates (Yang and Nelson 2000). Of the fish found in the diets of adult sablefish, pollock were the most abundant item while eulachon, capelin, Pacific herring, Pacific cod, Pacific sand lance, and flatfish also were found. Squid were the most important invertebrate and euphausiids and jellyfish were also present. In southeast Alaska, juvenile sablefish also consume juvenile salmon at least during the summer months (Sturdevant et al. 2009). Off the coast of Oregon and California, fish made up 76 percent of the diet (Laidig et al. 1997), while euphausiids dominated the diet off the southwest coast of Vancouver Island (Tanasichuk 1997). Off Vancouver Island, herring and other fish were increasingly important as sablefish size increased; however, the most important prey item was euphausiids. It is unlikely that juvenile and adult sablefish are affected by availability and abundance of individual prey species because they are opportunistic feeders. The only likely way prey could affect growth or survival of juvenile and adult sablefish is by overall changes in ecosystem productivity.

*Predators/Competitors:* The main juvenile sablefish predators are adult coho and chinook salmon, which prey on young-of-the-year sablefish during their pelagic stage. Sablefish were the fourth most commonly reported prey species in the salmon troll logbook program from 1977 to 1984 (Wing 1985), however the effect of salmon predation on sablefish survival is unknown. The only other fish species reported to prey on sablefish in the Gulf of Alaska is Pacific halibut; however, sablefish comprised less than 1% of their stomach contents (M-S. Yang, Alaska Fisheries Science Center, 14 October 1999). Although juvenile sablefish may not be a prominent prey item because of their relatively low and sporadic abundance compared to other prey items, they share residence on the continental shelf with arrowtooth flounder, halibut, Pacific cod, bigmouth sculpin, big skate, and Bering skate, which are the main piscivorous groundfishes in the Gulf of Alaska (Yang et al. 2006). It seems possible that predation of sablefish by other fish is significant to the success of sablefish recruitment even though they are not a common prey item.

Sperm whales are likely a major predator of adult sablefish. Fish are an important part of sperm whale diet in some parts of the world, including the northeastern Pacific Ocean (Kawakami 1980). Fish have appeared in the diets of sperm whales in the eastern Aleutians and Gulf of Alaska. Although fish species

were not identified in sperm whale diets in Alaska, sablefish were found in 8.3% of sperm whale stomachs off of California (Kawakami 1980).

Sablefish distribution is typically thought to be on the upper continental slope in deeper waters than most groundfish. However, during the first two to three years of their life sablefish inhabit the continental shelf. Length samples from the NMFS bottom trawl survey suggest that the range of juvenile sablefish on the shelf varies dramatically from year to year. In particular, juveniles utilize the Bering Sea shelf extensively in some years, while not at all in others (Hanselman et al. 2008). Juvenile sablefish (< 60 cm FL) prey items overlap with the diet of small arrowtooth flounder. On the continental shelf of the Gulf of Alaska, both species consumed euphausiids and shrimp predominantly; these prey are prominent in the diet of many other groundfish species as well. This diet overlap may cause competition for resources between small sablefish and other groundfish species.

*Changes in the physical environment:* Mass water movements and temperature changes appear related to recruitment success. Above-average recruitment was somewhat more likely with northerly winter currents and much less likely for years when the drift was southerly. Recruitment was above average in 61% of the years when temperature was above average, but was above average in only 25% of the years when temperature was below average. Growth rate of young-of-the-year sablefish is higher in years when recruitment is above average (Sigler et al. 2001).

*Anthropogenic changes in the physical environment:* The Essential Fish Habitat Environmental Impact Statement (EFH EIS) (NMFS 2005) concluded that the effects of commercial fishing on the habitat of sablefish is minimal or temporary in the current fishery management regime primarily based on the criterion that sablefish are currently above Minimum Stock Size Threshold (MSST).

Juvenile sablefish are substantially dependent on benthic prey (18% of diet by weight) and the availability of benthic prey may be adversely affected by fishing. Little is known about effects of fishing on benthic habitat or the habitat requirements for growth to maturity. Although sablefish do not appear to be directly dependent on physical structure, reduction of living structure is predicted in much of the area where juvenile sablefish reside and this may indirectly reduce juvenile survivorship by reducing prey availability or by altering the abilities of competing species to feed and avoid predation.

### ***Effects of the sablefish fishery on the ecosystem***

*Fishery-specific contribution to bycatch of prohibited species, forage species, HAPC biota, marine mammals and birds, and other sensitive non-target species:* The sablefish fishery catches significant portions of the spiny dogfish and unidentified shark total catch, but there is no distinct trend through time (Table 3.4). The sablefish fishery catches the majority of grenadier total catch and the trend is decreasing (Table 3.5). The trend in seabird catch is variable but appears to be decreasing, presumably due to widespread use of measures to reduce seabird catch. Prohibited species catches (PSC) in the targeted sablefish fisheries are dominated by halibut (1,700 t/year) and golden king crab (157,000/year). However, catches of both species declined greatly in 2010 (1000 t and 27,000 individuals respectively, Table 3.6).

The shift from an open-access to an IFQ fishery has nearly doubled catching efficiency which has reduced the number of hooks deployed (Sigler and Lunsford 2001). Although the effects of longline gear on bottom habitat are poorly known, the reduced number of hooks deployed during the IFQ fishery must reduce the effects on benthic habitat. The IFQ fishery likely has also reduced discards of other species because of the slower pace of the fishery and the incentive to maximize value from the catch.

*Fishery-specific concentration of target catch in space and time relative to predator needs in space and time (if known) and relative to spawning components:* The sablefish fishery largely is dispersed in space and time. The longline fishery lasts 8-1/2 months. The quota is apportioned among six regions of Alaska.

*Fishery-specific effects on amount of large size target fish:* The longline fishery catches mostly medium and large-size fish which are typically mature. The trawl fishery, which on average accounts for about

10% of the total catch, often catches slightly smaller fish. The trawl fishery typically occurs on the continental shelf where juvenile sablefish sometimes occur. Catching these fish as juveniles reduces the yield available from each recruit.

*Fishery-specific contribution to discards and offal production:* Discards of sablefish in the longline fishery are small, typically less than 5% of total catch (Table 3.3). The catch of sablefish in the longline fishery typically consists of a high proportion of sablefish, 90% or more. However at times grenadiers may be a significant catch and they are almost always discarded.

*Fishery-specific effects on age-at-maturity and fecundity of the target species:* The shift from an open-access to an IFQ fishery has decreased harvest of immature fish and improved the chance that individual fish will reproduce at least once. Spawning potential of sablefish, expressed as spawning biomass per recruit, increased 9% from the derby fishery (1990-1994) to the IFQ fishery (1995-1998) (Sigler and Lunsford 2001).

*Fishery-specific effects on EFH non-living substrate:*

The primary fishery for sablefish is with longline gear. While it is possible that longlines could move small boulders it is unlikely fishing would persist where this would often occur. Relative to the effect on living structures and relative to the effect by bottom tending mobile gear, a significant effect of longlines on bedrock, cobbles, or sand is not easily envisioned.

### ***Data gaps and research priorities***

There is little information on early life history of sablefish and recruitment processes. A better understanding of juvenile distribution, habitat utilization, and species interactions would improve understanding of the processes that determine the productivity of the stock. Better estimation of recruitment and year class strength would improve assessment and management of the sablefish population. Improved fishery observer coverage in the Bering Sea and Aleutian Islands would provide additional data to monitor the emerging pot fishery in these areas and would improve the fishery catch rate analyses.

Future sablefish research is going to focus on several directions:

- 1) Refine survey index model for inclusion in the 2012 assessment model that accounts for whale depredation and potentially includes gully abundance data and other covariates.
- 2) Improve knowledge of sperm whale and killer whale depredation in the fishery and begin to quantify depredation effects on fishery catch rates.
- 3) Explore the use of environmental data to aid in determining recruitment
- 4) An integrated Gulf of Alaska Ecosystem project funded by the North Pacific Research Board is underway and is looking at recruitment processes of major groundfish including sablefish. We hope to work closely with this project to help understand sablefish recruitment dynamics.
- 5) We hope to develop a spatially explicit research assessment model that includes movement, which will help in examining smaller-scale population dynamics while retaining a single stock hypothesis Alaska-wide sablefish model.

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## Tables

Table 3.1. Alaska sablefish catch (t). The values include landed catch and discard estimates. Discards were estimated for U.S. fisheries before 1993 by multiplying reported catch by 2.9% for fixed gear and 26.9% for trawl gear (1994-1997 averages) because discard estimates were unavailable. Eastern includes both West Yakutat and East Yakutat / Southeast.

Year	Grand total	BY AREA								BY GEAR	
		Bering Sea	Aleutians	Western	Central	Eastern	West Yakutat	East Yakutat/ SEO.	Un-known	Fixed	Trawl
1956	773	0	0	0	0	773			0	773	0
1957	2,059	0	0	0	0	2,059			0	2,059	0
1958	477	6	0	0	0	471			0	477	0
1959	910	289	0	0	0	621			0	910	0
1960	3,054	1,861	0	0	0	1,193			0	3,054	0
1961	16,078	15,627	0	0	0	451			0	16,078	0
1962	26,379	25,989	0	0	0	390			0	26,379	0
1963	16,901	13,706	664	266	1,324	941			0	10,557	6,344
1964	7,273	3,545	1,541	92	955	1,140			0	3,316	3,957
1965	8,733	4,838	1,249	764	1,449	433			0	925	7,808
1966	15,583	9,505	1,341	1,093	2,632	1,012			0	3,760	11,823
1967	19,196	11,698	1,652	523	1,955	3,368			0	3,852	15,344
1968	30,940	14,374	1,673	297	1,658	12,938			0	11,182	19,758
1969	36,831	16,009	1,673	836	4,214	14,099			0	15,439	21,392
1970	37,858	11,737	1,248	1,566	6,703	16,604			0	22,729	15,129
1971	43,468	15,106	2,936	2,047	6,996	16,382			0	22,905	20,563
1972	53,080	12,758	3,531	3,857	11,599	21,320			15	28,538	24,542
1973	36,926	5,957	2,902	3,962	9,629	14,439			37	23,211	13,715
1974	34,545	4,258	2,477	4,207	7,590	16,006			7	25,466	9,079
1975	29,979	2,766	1,747	4,240	6,566	14,659			1	23,333	6,646
1976	31,684	2,923	1,659	4,837	6,479	15,782			4	25,397	6,287
1977	21,404	2,718	1,897	2,968	4,270	9,543			8	18,859	2,545
1978	10,394	1,193	821	1,419	3,090	3,870			1	9,158	1,236
1979	11,814	1,376	782	999	3,189	5,391			76	10,350	1,463
1980	10,444	2,205	275	1,450	3,027	3,461			26	8,396	2,048
1981	12,604	2,605	533	1,595	3,425	4,425			22	10,994	1,610
1982	12,048	3,238	964	1,489	2,885	3,457			15	10,204	1,844
1983	11,715	2,712	684	1,496	2,970	3,818			35	10,155	1,560
1984	14,109	3,336	1,061	1,326	3,463	4,618			305	10,292	3,817
1985	14,465	2,454	1,551	2,152	4,209	4,098			0	13,007	1,457
1986	28,892	4,184	3,285	4,067	9,105	8,175			75	21,576	7,316
1987	35,163	4,904	4,112	4,141	11,505	10,500			2	27,595	7,568
1988	38,406	4,006	3,616	3,789	14,505	12,473			18	29,282	9,124
1989	34,829	1,516	3,704	4,533	13,224	11,852			0	27,509	7,320
1990	32,115	2,606	2,412	2,251	13,786	11,030			30	26,598	5,518
1991	27,073	1,318	2,168	1,821	11,662	10,014			89	23,124	3,950
1992	24,932	586	1,497	2,401	11,135	9,171			142	21,614	3,318
1993	25,433	668	2,080	739	11,971	9,975	4,619	5,356	0	22,912	2,521
1994	23,580	694	1,727	539	9,377	11,243	4,493	6,750	0	20,642	2,938
1995	20,692	930	1,119	1,747	7,673	9,223	3,872	5,352	0	18,079	2,613
1996	17,393	648	764	1,649	6,773	7,558	2,899	4,659	0	15,206	2,187
1997	14,607	552	781	1,374	6,234	5,666	1,930	3,735	0	12,976	1,632
1998	13,874	563	535	1,432	5,922	5,422	1,956	3,467	0	12,387	1,487
1999	13,587	675	683	1,488	5,874	4,867	1,709	3,159	0	11,603	1,985
2000	15,570	742	1,049	1,587	6,173	6,020	2,066	3,953	0	13,551	2,019
2001	14,065	864	1,074	1,588	5,518	5,021	1,737	3,284	0	12,281	1,783
2002	14,748	1,144	1,119	1,865	6,180	4,441	1,550	2,891	0	12,505	2,243
2003	16,491	999	1,120	2,118	7,084	5,170	1,822	3,347	0	14,398	2,093
2004	17,670	1,038	955	2,170	7,457	6,050	2,250	3,800	0	16,014	1,656
2005	16,574	1,064	1,481	1,929	6,701	5,399	1,824	3,575	0	15,018	1,556
2006	15,339	1,037	1,132	2,140	5,870	5,161	1,865	3,296	0	14,097	1,242
2007	15,014	1,173	1,149	2,064	5,613	5,015	1,772	3,243	0	13,778	1,235
2008	14,626	1,135	900	1,670	5,547	5,373	2,055	3,318	0	13,504	1,122
2009	13,091	891	1,096	1,391	4,971	4,743	1,794	2,948	0	12,034	1,057
2010	11,915	754	1,076	1,351	4,477	4,258	1,576	2,682	0	10,912	1,004
2011	12,149	617	849	1,321	4,610	4,752	1,844	2,908	0	11,110	1,039

Table 3.2. Retained Alaska sablefish catch (t) in the Aleutian Islands and the Bering Sea by gear type. Both CDQ and non-CDQ catches are included. Catches in 1991-1999 are averages.

Aleutian Islands				
<u>Year</u>	<u>Pot</u>	<u>Trawl</u>	<u>Longline</u>	<u>Total</u>
1991-1999	6	73	1,210	1,289
2000	103	33	913	1,049
2001	111	39	925	1,074
2002	105	39	975	1,119
2003	316	42	761	1,120
2004	384	32	539	955
2005	688	115	679	1,481
2006	458	60	614	1,132
2007	632	40	476	1,149
2008	177	76	647	900
2009	78	75	943	1,096
2010	59	74	943	1,076
2011	124	31	694	849
Bering Sea				
1991-1999	5	189	539	733
2000	40	284	418	742
2001	106	353	405	864
2002	382	295	467	1,144
2003	355	231	413	999
2004	432	293	312	1,038
2005	590	273	202	1,064
2006	584	84	368	1,037
2007	878	92	203	1,173
2008	754	183	199	1,135
2009	557	93	240	891
2010	452	30	272	754
2011	342	37	238	617

Table 3.3. Discarded catches of sablefish (amount [t], percent of total catch, total catch [t]) by gear (H&L=hook & line, Other = Pot, trawl, and jig, combined for confidentiality) by FMP area for 2005-2010. Source: NMFS Alaska Regional Office via AKFIN, October 10, 2011.

YEAR	Gear	BSAI			GOA			Combined		
		Discard	% Discard	Catch	Discard	% Discard	Catch	Discard	% Discard	Catch
2005	H&L	28	3.18%	880	255	1.98%	12,860	283	2.06%	13,741
	Other	65	3.90%	1,665	181	15.46%	1,169	246	8.67%	2,834
	Total	93	3.65%	2,545	436	3.11%	14,029	529	3.19%	16,574
2006	H&L	46	4.68%	982	286	2.37%	12,073	332	2.55%	13,055
	Other	16	1.38%	1,186	269	24.54%	1,098	286	12.51%	2,284
	Total	62	2.87%	2,168	556	4.22%	13,171	618	4.03%	15,339
2007	H&L	16	2.32%	679	242	2.09%	11,586	258	2.10%	12,265
	Other	54	3.29%	1,643	177	16.00%	1,106	231	8.40%	2,748
	Total	70	3.01%	2,322	419	3.30%	12,692	489	3.26%	15,014
2008	H&L	92	10.86%	845	737	6.28%	11,727	829	6.59%	12,572
	Other	7	0.55%	1,190	72	8.36%	864	79	3.83%	2,053
	Total	98	4.83%	2,035	809	6.43%	12,590	907	6.20%	14,626
2009	H&L	18	1.49%	1,183	736	7.20%	10,216	754	6.61%	11,399
	Other	8	0.98%	803	81	9.10%	889	89	5.25%	1,692
	Total	26	1.28%	1,986	817	7.36%	11,105	842	6.43%	13,091
2010	H&L	34	2.81%	1,215	368	4.01%	9,186	402	3.87%	10,400
	Other	7	1.19%	615	47	5.26%	900	55	3.61%	1,515
	Total	41	2.26%	1,830	416	4.12%	10,085	457	3.84%	11,915
2005-2010 Average	H&L	39	4.03%	964	437	3.88%	11,275	476	3.89%	12,239
	Other	26	2.21%	1,184	138	13.74%	1,004	164	7.50%	2,188
	Total	65	3.03%	2,148	575	4.69%	12,279	640	4.44%	14,427

Table 3.4. Bycatch (t) of FMP Groundfish species in the targeted sablefish fishery averaged from 2006-2010. Other = Pot and trawl combined because of confidentiality. Source: NMFS AKRO Blend/Catch Accounting System via AKFIN, October 10, 2011.

Species	Hook and Line			Other Gear			All Gear		
	Discard	Retained	Total	Discard	Retained	Total	Discard	Retained	Total
Arrowtooth Flounder	336	71	407	152	15	166	488	85	573
Thornyhead rockfish	49	293	342	3	22	25	52	315	367
Shortraker Rockfish	87	103	190	6	12	19	94	115	209
Other Species	180	2	181	3	1	4	183	3	185
GOA Other Skate	142	5	147	1	0	1	143	5	148
GOA Longnose Skate	133	4	137	2	1	4	135	5	140
Greenland Turbot	46	56	102	18	2	21	64	58	122
Other Rockfish	35	77	112	2	1	4	38	78	116
Rougheye Rockfish	38	78	116	3	2	5	42	80	122
Pacific Cod	18	64	82	1	8	9	19	72	91
Pacific Ocean Perch	9	0	9	2	16	18	11	16	27
GOA Deep Water Flatfish	8	0	8	14	4	18	22	4	27
GOA Demersal Shelf Rockfish	8	7	16	0	0	1	9	7	16
GOA Shallow Water Flatfish	9	1	10	1	0	1	9	1	10
Pollock	0	0	1	4	4	7	4	4	8
BSAI Other Flatfish	4	3	7	0	0	1	4	3	7
GOA Pelagic Shelf Rockfish	5	0	5	0	2	2	5	2	7
GOA Rex Sole	0	0	0	5	2	6	5	2	7
GOA Big Skate	4	1	5	1	0	1	5	1	6
Flathead Sole	0	0	0	2	2	4	2	2	4
<b>Total</b>	<b>1,112</b>	<b>765</b>	<b>1,876</b>	<b>222</b>	<b>95</b>	<b>316</b>	<b>1,333</b>	<b>859</b>	<b>2,193</b>

Table 3.5. Bycatch of nontarget species and HAPC biota in the targeted sablefish fishery. Source: NMFS AKRO Blend/Catch Accounting System via AKFIN, October 10, 2011.

<u>Group Name</u>	<u>Estimated Catch (t)</u>					
	<u>2005</u>	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>
Benthic urochordata	0.00	0.07	0.00	-	0.01	0.12
Birds	0.61	0.89	1.57	0.55	0.40	0.35
Bivalves	-	0.00	Conf.	-	0.02	0.00
Brittle star unidentified	0.23	0.05	0.10	0.06	0.33	0.11
Corals Bryozoans	0.64	1.56	0.16	1.55	1.63	2.45
Dark Rockfish	-	-	-	Conf.	0.15	Conf.
Eelpouts	1.52	1.30	2.26	7.86	1.77	1.34
Eulachon	-	-	0.29	Conf.	0.10	Conf.
Giant Grenadier	3,167	3,905	9,181	8,848	5,366	4,385
Greenlings	0.01	-	75.83	0.02	0.02	-
Grenadier	3,663	4,782	109	127	961	745
Hermit crab unidentified	0.02	0.05	0.05	0.07	0.09	0.19
Invertebrate unidentified	0.00	0.07	0.02	0.01	0.32	0.76
Lanternfishes (myctophidae)	0.00	-	-	-	-	-
Misc crabs	0.32	0.47	1.12	0.94	3.21	1.90
Misc crustaceans	-	-	-	-	1.53	0.00
Misc deep fish	-	0.00	0.00	-	0	-
Misc fish	20.63	18.06	16.93	21.06	4.64	4.00
Misc inverts (worms etc)	-	0.00	Conf.	0.00	0.01	0.00
Other osmerids	-	-	-	Conf.	-	-
Pandalid shrimp	-	0.00	0.00	0.00	0.01	0.00
Polychaete unidentified	-	-	-	0.00	0.00	0.00
Scypho jellies	0.16	0.10	0.00	Conf.	0	0
Sea anemone unidentified	0.12	0.29	3.34	0.67	1.99	1.32
Sea pens whips	0.03	0.19	0.07	0.32	0.49	0.03
Sea star	1.24	5.13	35.24	1.54	2.45	2.55
Snails	4.29	9.41	8.09	6.43	11.22	11.56
Sponge unidentified	0.63	0.70	0.16	14.64	1.92	0.76
Urchins, dollars, cucumbers	0.21	0.15	0.14	0.47	1.05	0.55



Table 3.7. Summary of management measures with time series of catch, ABC, and TAC.

<b>Year</b>	<b>Catch(t)</b>	<b>ABC</b>	<b>TAC</b>	<b>Management measure</b>
1980	10,444		18,000	Amendment 8 to the Gulf of Alaska Fishery Management Plan established the West and East Yakutat management areas for sablefish.
1981	12,604		19,349	
1982	12,048		17,300	
1983	11,715		14,480	
1984	14,109		14,820	
1985	14,465		13,480	Amendment 14 of the GOA FMP allocated sablefish quota by gear type: 80% to fixed gear and 20% to trawl gear in WGOA and CGOA and 95% fixed to 5% trawl in the EGOA.
1986	28,892		21,450	Pot fishing banned in Eastern GOA.
1987	35,163		27,700	Pot fishing banned in Central GOA.
1988	38,406		36,400	
1989	34,829		32,200	Pot fishing banned in Western GOA.
1990	32,115		33,200	Amendment 15 of the BSAI FMP allocated sablefish quota by gear type: 50% to fixed gear in and 50% to trawl in the EBS, and 75% fixed to 25% trawl in the Aleutian Islands.
1991	27,073		28,800	
1992	24,932		25,200	Pot fishing banned in Bering Sea (57 FR 37906).
1993	25,433		25,000	
1994	23,760		28,840	
1995	20,954		25,300	Amendment 20 to the Gulf of Alaska Fishery Management Plan and 15 to the Bering Sea/Aleutian Islands Fishery Management Plan established IFQ management for sablefish beginning in 1995. These amendments also allocated 20% of the fixed gear allocation of sablefish to a CDQ reserve for the Bering Sea and Aleutian Islands. In 1997, maximum retainable allowances for sablefish were revised in the Gulf of Alaska.
1996	17,577		19,380	Pot fishing ban repealed in Bering Sea except from June 1-30.
1997	14,922	19,600	17,200	Maximum retainable allowances for sablefish were revised in the Gulf of Alaska. The percentage depends on the basis species.
1998	14,108	16,800	16,800	
1999	13,575	15,900	15,900	
2000	15,919	17,300	17,300	
2001	14,097	16,900	16,900	
2002	14,789	17,300	17,300	
2003	16,371	18,400	20,900	
2004	17,720	23,000	23,000	
2005	16,619	21,000	21,000	
2006	15,417	21,000	21,000	
2007	15,011	20,100	20,100	
2008	14,335	18,030	18,030	Pot fishing ban repealed in Bering Sea for June 1-30 (74 FR 28733).
2009	13,206	16,080	16,080	
2010	11,916	15,230	15,230	
2011	12,149	16,040	16,040	

Table 3.8. Sample sizes for age and length data collected from Alaska sablefish. Japanese fishery data from Sasaki (1985), U.S. fishery data from the observer databases, and longline survey data from longline survey databases. All fish were sexed before measurement, except for the Japanese fishery data.

Year	LENGTH						AGE			
	U.S. NMFS trawl survey (GOA)	Japanese fishery		U.S. fishery		Cooperative longline survey	Domestic longline survey	Cooperative longline survey	Domestic longline survey	U.S. longline fishery
		Trawl	Longline	Trawl	Longline					
1963			30,562							
1964		3,337	11,377							
1965		6,267	9,631							
1966		27,459	13,802							
1967		31,868	12,700							
1968		17,727								
1969		3,843								
1970		3,456								
1971		5,848	19,653							
1972		1,560	8,217							
1973		1,678	16,332							
1974			3,330							
1975										
1976			7,704							
1977			1,079							
1978			9,985							
1979			1,292			19,349				
1980			1,944			40,949				
1981						34,699		1,146		
1982						65,092				
1983						66,517		889		
1984	12,964					100,029				
1985						125,129		1,294		
1986						128,718				
1987	9,610					102,639		1,057		
1988						114,239				
1989						115,067		655		
1990	4,969			1,229	33,822	78,794	101,530			
1991				721	29,615	69,653	95,364	902		
1992				0	21,000	79,210	104,786			
1993	7,282			468	23,884	80,596	94,699	1,178		
1994				89	13,614	74,153	70,431			
1995				87	18,174		80,826			
1996	4,650			239	15,213		72,247		1,175	
1997				0	20,311		82,783		1,211	
1998				35	8,900		57,773		1,183	
1999	4,408			1,268	26,662		79,451		1,188	1,145
2000				472	29,240		62,513		1,236	1,152
2001	*partial			473	30,362		83,726		1,214	1,023
2002				526	35,380		75,937		1,136	1,061
2003	5,039			503	37,386		77,678		1,198	1,128
2004				694	31,746		82,767		1,185	1,029
2005	4,956			2,306	33,914		74,433		1,187	1,040
2006				721	30,594		78,625		1,178	1,154
2007	3,804			860	28,650		73,480		1,174	1,115
2008				2,018	23,893		71,661		1,182	1,146
2009	3,975			1,837	25,945		67,978		1,198	1,126
2010				1,634	24,410		75,010		1,176	1,160
2011	2,118						87,498			

Table 3.9. Sablefish abundance index values (1,000's) for Alaska (200-1,000 m) including deep gully habitat, from the Japan-U.S. Cooperative Longline Survey, Domestic Longline Survey, and Japanese and U.S. longline fisheries. Relative population number equals CPUE in numbers weighted by respective strata areas. Relative population weight equals CPUE measured in weight multiplied by strata areas. Indices were extrapolated for survey areas not sampled every year, including Aleutian Islands 1979, 1995, 1997, 1999, 2001, 2003, 2005, and 2007 and Bering Sea 1979-1981, 1995, 1996, 1998, 2000, 2002, 2004, 2006, 2008, 2009, and 2010. NMFS trawl survey estimates are from the Gulf of Alaska at depths <500 m.

Year	RELATIVE POPULATION NUMBER		RELATIVE POPULATION WEIGHT/BIOMASS				
	Coop. longline survey	Dom. longline survey	Jap. longline fishery	Coop. longline survey	Dom. longline survey	U.S. fishery	NMFS Trawl survey
1964			1,452				
1965			1,806				
1966			2,462				
1967			2,855				
1968			2,336				
1969			2,443				
1970			2,912				
1971			2,401				
1972			2,247				
1973			2,318				
1974			2,295				
1975			1,953				
1976			1,780				
1977			1,511				
1978			942				
1979	413		809	1,075			
1980	388		1,040	968			
1981	460		1,343	1,153			
1982	613			1,572			
1983	621			1,595			
1984	685			1,822			294
1985	903			2,569			
1986	838			2,456			
1987	667			2,068			271
1988	707			2,088			
1989	661			2,178			
1990	450	649		1,454	2,141	1,201	214
1991	386	593		1,321	2,071	1,066	
1992	402	511		1,390	1,758	908	
1993	395	563		1,318	1,894	904	250
1994	366	489		1,288	1,882	822	
1995		501			1,803	1,243	
1996		520			2,017	1,201	145
1997		491			1,764	1,341	
1998		477			1,662	1,130	
1999		520			1,740	1,316	104
2000		462			1,597	1,139	
2001		535			1,798	1,111	238
2002		561			1,916	1,152	
2003		532			1,759	1,218	189
2004		544			1,738	1,357	
2005		533			1,695	1,304	179
2006		580			1,848	1,206	
2007		500			1,584	1,268	111
2008		472			1,550	1,361	
2009		491			1,580	1,152	107
2010		542			1,778	1,054	
2011		556			1,888		84

Table 3.10. Average catch rate (pounds/hook) for fishery data by year and region. SE = standard error, CV = coefficient of variation. The standard error is not available when vessel sample size equals one.

Observer Fishery Data											
Aleutian Islands-Observer						Bering Sea-Observer					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1990	0.53	0.05	0.10	193	8	1990	0.72	0.11	0.15	42	8
1991	0.50	0.03	0.07	246	8	1991	0.28	0.06	0.20	30	7
1992	0.40	0.06	0.15	131	8	1992	0.25	0.11	0.43	7	4
1993	0.28	0.04	0.14	308	12	1993	0.09	0.03	0.36	4	3
1994	0.29	0.05	0.18	138	13	1994	0.35	0.16	0.45	2	2
1995	0.30	0.04	0.14	208	14	1995	0.41	0.07	0.17	38	10
1996	0.23	0.03	0.12	204	17	1996	0.63	0.19	0.30	35	15
1997	0.35	0.07	0.20	117	9	1997				0	0
1998	0.29	0.05	0.17	75	12	1998	0.17	0.03	0.18	28	9
1999	0.38	0.07	0.17	305	14	1999	0.29	0.09	0.32	27	10
2000	0.29	0.03	0.11	313	15	2000	0.28	0.09	0.31	21	10
2001	0.26	0.04	0.15	162	9	2001	0.31	0.02	0.07	18	10
2002	0.32	0.03	0.11	245	10	2002	0.10	0.02	0.22	8	4
2003	0.26	0.04	0.17	170	10	2003	0.16	0.05	0.29	8	2
2004	0.21	0.04	0.21	138	7	2004	0.17	0.05	0.31	9	4
2005	0.15	0.05	0.34	23	6	2005	0.23	0.02	0.16	9	6
2006	0.23	0.04	0.16	205	11	2006	0.17	0.05	0.21	68	15
2007	0.35	0.10	0.29	198	7	2007	0.28	0.05	0.18	34	8
2008	0.37	0.04	0.10	247	6	2008	0.38	0.22	0.58	12	5
2009	0.29	0.05	0.22	335	10	2009	0.14	0.04	0.21	24	5
2010	0.27	0.04	0.14	459	12	2010	0.17	0.03	0.19	26	9

Western Gulf-Observer						Central Gulf-Observer					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1990	0.64	0.14	0.22	178	7	1990	0.54	0.04	0.07	653	32
1991	0.44	0.06	0.13	193	16	1991	0.62	0.06	0.09	303	24
1992	0.38	0.05	0.14	260	12	1992	0.59	0.05	0.09	335	19
1993	0.35	0.03	0.09	106	12	1993	0.60	0.04	0.07	647	32
1994	0.32	0.03	0.10	52	5	1994	0.65	0.06	0.09	238	15
1995	0.51	0.04	0.09	432	22	1995	0.90	0.07	0.08	457	41
1996	0.57	0.05	0.10	269	20	1996	1.04	0.07	0.07	441	45
1997	0.50	0.05	0.10	349	20	1997	1.07	0.08	0.08	377	41
1998	0.50	0.03	0.07	351	18	1998	0.90	0.06	0.06	345	32
1999	0.53	0.07	0.12	244	14	1999	0.87	0.08	0.10	269	28
2000	0.49	0.06	0.13	185	12	2000	0.93	0.05	0.06	319	30
2001	0.50	0.05	0.10	273	16	2001	0.70	0.04	0.06	347	31
2002	0.51	0.05	0.09	348	15	2002	0.84	0.07	0.08	374	29
2003	0.45	0.04	0.10	387	16	2003	0.99	0.07	0.07	363	34
2004	0.47	0.08	0.17	162	10	2004	1.08	0.10	0.09	327	29
2005	0.58	0.07	0.13	447	13	2005	0.89	0.06	0.07	518	32
2006	0.42	0.04	0.13	306	15	2006	0.82	0.06	0.08	361	33
2007	0.37	0.04	0.11	255	12	2007	0.93	0.06	0.07	289	30
2008	0.46	0.07	0.16	255	11	2008	0.84	0.07	0.08	207	27
2009	0.44	0.09	0.21	208	11	2009	0.77	0.06	0.07	320	33
2010	0.42	0.06	0.14	198	10	2010	0.80	0.05	0.07	286	31

Table 3.10 (cont.)

Observer Fishery Data											
West Yakutat-Observer						East Yakutat/SE-Observer					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1990	0.95	0.24	0.25	75	9	1990				0	0
1991	0.65	0.07	0.10	164	12	1991	0.52	0.37	0.71	17	2
1992	0.64	0.18	0.27	98	6	1992	0.87			20	1
1993	0.71	0.07	0.10	241	12	1993	1.02	0.19	0.19	26	2
1994	0.65	0.17	0.27	81	8	1994	0.36			5	1
1995	1.02	0.10	0.10	158	21	1995	1.45	0.20	0.14	101	19
1996	0.97	0.07	0.07	223	28	1996	1.20	0.11	0.09	137	24
1997	1.16	0.11	0.09	126	20	1997	1.10	0.14	0.13	84	17
1998	1.21	0.10	0.08	145	23	1998	1.27	0.12	0.10	140	25
1999	1.20	0.15	0.13	110	19	1999	0.94	0.12	0.13	85	11
2000	1.28	0.10	0.08	193	32	2000	0.84	0.13	0.16	81	14
2001	1.03	0.07	0.07	184	26	2001	0.84	0.08	0.09	110	14
2002	1.32	0.13	0.10	155	23	2002	1.20	0.23	0.19	121	14
2003	1.36	0.10	0.07	216	27	2003	1.29	0.13	0.10	113	19
2004	1.23	0.09	0.08	210	24	2004	1.08	0.10	0.09	135	17
2005	1.32	0.09	0.07	352	24	2005	1.18	0.13	0.11	181	16
2006	0.96	0.10	0.10	257	30	2006	0.93	0.11	0.11	104	18
2007	1.02	0.11	0.11	208	24	2007	0.92	0.15	0.17	85	16
2008	1.40	0.12	0.08	173	23	2008	1.06	0.13	0.12	103	17
2009	1.34	0.12	0.09	148	23	2009	0.98	0.12	0.12	94	13
2010	1.11	0.09	0.08	136	22	2010	0.97	0.17	0.17	76	12

Table 3.10 (cont.)

## Logbook Fishery Data

Aleutian Islands-Logbook						Bering Sea-Logbook					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1999	0.29	0.04	0.15	167	15	1999	0.56	0.08	0.14	291	43
2000	0.24	0.05	0.21	265	16	2000	0.21	0.05	0.22	169	23
2001	0.38	0.16	0.41	36	5	2001	0.35	0.11	0.33	61	8
2002	0.48	0.19	0.39	33	5	2002	0.24	0.15	0.63	5	2
2003	0.36	0.11	0.30	139	10	2003	0.24	0.13	0.53	25	6
2004	0.45	0.11	0.25	102	7	2004	0.38	0.09	0.24	202	8
2005	0.46	0.15	0.33	109	8	2005	0.36	0.07	0.19	86	10
2006	0.51	0.16	0.31	61	5	2006	0.38	0.07	0.18	106	9
2007	0.38	0.22	0.58	61	3	2007	0.37	0.08	0.21	147	8
2008	0.30	0.03	0.12	119	4	2008	0.52	0.20	0.39	94	7
2009	0.23	0.07	0.06	204	7	2009	0.25	0.04	0.14	325	18
2010	0.25	0.05	0.20	497	9	2010	0.30	0.07	0.23	766	12

Western Gulf-Logbook						Central Gulf-Logbook					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1999	0.64	0.06	0.09	245	27	1999	0.80	0.05	0.06	817	60
2000	0.60	0.05	0.09	301	32	2000	0.79	0.04	0.05	746	64
2001	0.47	0.05	0.10	109	24	2001	0.74	0.06	0.08	395	52
2002	0.60	0.08	0.13	78	14	2002	0.83	0.06	0.07	276	41
2003	0.39	0.04	0.11	202	24	2003	0.87	0.07	0.08	399	45
2004	0.65	0.06	0.09	766	26	2004	1.08	0.05	0.05	1676	80
2005	0.78	0.08	0.11	571	33	2005	0.98	0.07	0.07	1154	63
2006	0.69	0.08	0.11	1067	38	2006	0.87	0.04	0.05	1358	80
2007	0.59	0.06	0.10	891	31	2007	0.83	0.04	0.05	1190	69
2008	0.71	0.06	0.08	516	29	2008	0.88	0.05	0.06	1039	68
2009	0.53	0.06	0.11	824	33	2009	0.95	0.08	0.08	1081	73
2010	0.48	0.04	0.08	1297	46	2010	0.66	0.03	0.05	1171	80

West Yakutat-Logbook						East Yakutat/SE-Logbook					
Year	CPUE	SE	CV	Sets	Vessels	Year	CPUE	SE	CV	Sets	Vessels
1999	1.08	0.08	0.08	233	36	1999	0.91	0.08	0.08	183	22
2000	1.04	0.06	0.06	270	42	2000	0.98	0.08	0.08	190	26
2001	0.89	0.09	0.11	203	29	2001	0.98	0.09	0.09	109	21
2002	0.99	0.07	0.07	148	28	2002	0.83	0.06	0.07	108	22
2003	1.26	0.10	0.08	104	23	2003	1.13	0.10	0.09	117	22
2004	1.27	0.06	0.05	527	54	2004	1.19	0.05	0.04	427	55
2005	1.13	0.05	0.04	1158	70	2005	1.15	0.05	0.05	446	77
2006	0.97	0.05	0.06	1306	84	2006	1.06	0.04	0.04	860	107
2007	0.97	0.05	0.05	1322	89	2007	1.13	0.04	0.04	972	122
2008	0.97	0.05	0.05	1118	74	2008	1.08	0.05	0.05	686	97
2009	1.23	0.07	0.06	1077	81	2009	1.12	0.05	0.05	620	87
2010	0.98	0.05	0.05	1077	85	2010	1.04	0.05	0.05	744	99

Table 3.11. Count of stations where sperm (S) or killer whale (K) depredation occurred in the six sablefish management areas. The number of stations sampled that are used for RPN calculations are in parentheses. Areas not surveyed in a given year are left blank. If there were no whale depredation data taken, it is denoted with an “n/a”.

Year	BS (16)		AI (14)		WG (10)		CG (16)		WY (8)		EY/SE (17)	
	S	K	S	K	S	K	S	K	S	K	S	K
1996			n/a	1	n/a	0	n/a	0	n/a	0	n/a	0
1997	n/a	2			n/a	0	n/a	0	n/a	0	n/a	0
1998			0	1	0	0	0	0	4	0		0
1999	0	7			0	0	3	0	6	0	4	0
2000			0	1	0	1	0	0	4	0	2	0
2001	0	5			0	0	3	0	2	0	2	0
2002			0	1	0	4	3	0	4	0	2	0
2003	0	7			0	3	2	0	1	0	2	0
2004			0	0	0	4	3	0	4	0	6	0
2005	0	2			0	4	0	0	2	0	8	0
2006			0	1	0	3	2	1	4	0	2	0
2007	0	7			0	5	1	1	5	0	6	0
2008			0	3	0	2	2	0	8	0	9	0
2009	0	10			0	2	5	1	3	0	2	0
2010			0	3	0	1	2	1	2	0	6	0
2011	0	7			0	5	1	1	4	0	9	0

Table 3.12. Years that the above average 1995, 1997, and 2000 year classes became abundant by region (RPN>10,000). “Western” includes the Bering Sea, Aleutian Islands, and western Gulf of Alaska.

Year class	Western	Central	Eastern
1995	1998	2001	2002
1997	2000	2001	2002
2000	2004	2004	2005

Table 3.13. Sablefish fork length (cm), weight (kg), and proportion mature by age and sex (weights from 1996-2004 age-length data).

Age	Fork length (cm)		Weight (kg)		Fraction mature	
	Male	Female	Male	Female	Male	Female
2	48.1	46.8	1.0	0.9	0.059	0.006
3	53.1	53.4	1.5	1.5	0.165	0.024
4	56.8	58.8	1.9	2.1	0.343	0.077
5	59.5	63.0	2.2	2.6	0.543	0.198
6	61.6	66.4	2.5	3.1	0.704	0.394
7	63.2	69.2	2.7	3.5	0.811	0.604
8	64.3	71.4	2.8	3.9	0.876	0.765
9	65.2	73.1	2.9	4.2	0.915	0.865
10	65.8	74.5	3.0	4.4	0.939	0.921
11	66.3	75.7	3.0	4.6	0.954	0.952
12	66.7	76.6	3.1	4.8	0.964	0.969
13	67.0	77.3	3.1	4.9	0.971	0.979
14	67.2	77.9	3.1	5.1	0.976	0.986
15	67.3	78.3	3.1	5.1	0.979	0.99
16	67.4	78.7	3.1	5.2	0.982	0.992
17	67.5	79.0	3.1	5.3	0.984	0.994
18	67.6	79.3	3.2	5.3	0.985	0.995
19	67.6	79.4	3.2	5.3	0.986	0.996
20	67.7	79.6	3.2	5.4	0.987	0.997
21	67.7	79.7	3.2	5.4	0.988	0.997
22	67.7	79.8	3.2	5.4	0.988	0.998
23	67.7	79.9	3.2	5.4	0.989	0.998
24	67.7	80.0	3.2	5.4	0.989	0.998
25	67.7	80.0	3.2	5.4	0.989	0.998
26	67.8	80.1	3.2	5.4	0.99	0.998
27	67.8	80.1	3.2	5.4	0.99	0.999
28	67.8	80.1	3.2	5.4	0.99	0.999
29	67.8	80.1	3.2	5.5	0.99	0.999
30	67.8	80.2	3.2	5.5	0.99	0.999
31+	67.8	80.2	3.2	5.5	1	1

Table 3.14. Sablefish recruits, total biomass (2+), and spawning biomass plus upper and lower 95% credible intervals (2.5%, 97.5%). Recruits are in millions, and biomass is in kt.

Year	Recruits (Age 2)			Total Biomass			Spawning Biomass		
	Mean	2.5%	97.5%	Mean	2.5%	97.5%	Mean	2.5%	97.5%
1960	3	0	53	533	468	628	180	136	242
1961	4	0	65	543	480	645	185	154	235
1962	95	12	145	613	543	704	195	170	239
1963	5	0	79	614	546	713	204	178	246
1964	5	0	61	609	543	716	217	189	259
1965	41	0	108	636	560	731	233	204	275
1966	60	2	133	683	615	765	249	220	291
1967	6	0	76	682	627	767	260	231	303
1968	23	0	57	680	626	753	266	237	309
1969	5	0	39	646	600	712	266	238	306
1970	2	0	24	593	553	656	262	236	299
1971	2	0	25	533	498	594	253	229	287
1972	24	0	50	486	440	536	235	213	267
1973	28	4	60	442	410	483	207	188	235
1974	2	0	14	398	370	436	183	165	209
1975	3	0	21	355	330	389	161	144	184
1976	18	0	27	329	307	357	144	129	164
1977	1	0	13	290	271	315	128	115	145
1978	2	0	9	260	243	283	117	106	132
1979	82	66	106	316	299	346	112	102	126
1980	28	0	46	349	330	374	107	98	119
1981	7	0	32	366	346	391	105	97	116
1982	49	28	72	410	391	440	108	101	119
1983	21	1	41	437	417	461	120	113	131
1984	43	32	57	479	460	505	136	128	147
1985	0	0	3	482	463	508	151	143	163
1986	22	10	33	492	473	516	164	156	177
1987	21	13	31	483	465	506	171	162	183
1988	3	0	13	449	433	471	169	161	181
1989	6	0	12	408	393	427	162	153	174
1990	6	3	11	367	354	384	153	144	163
1991	28	22	33	348	336	364	142	134	152
1992	0	0	2	318	306	333	131	123	140
1993	25	21	30	310	299	326	120	113	129
1994	3	0	8	288	276	302	110	103	118
1995	6	2	11	267	256	281	101	95	109
1996	7	5	10	249	238	263	97	90	104
1997	20	16	23	245	234	259	94	88	101
1998	1	0	4	231	219	245	91	85	98
1999	31	27	36	242	230	257	87	81	94
2000	19	13	29	252	239	269	84	78	90
2001	14	2	21	255	241	271	81	76	87
2002	43	37	55	287	273	306	80	75	87
2003	7	0	12	292	277	311	83	77	90
2004	15	10	21	297	281	317	87	81	94
2005	7	4	11	290	273	310	92	85	99
2006	12	7	16	284	268	304	99	92	107
2007	9	6	14	277	260	297	104	97	113
2008	10	4	14	269	252	288	107	99	116
2009	9	6	13	260	242	280	107	99	116
2010	20	13	35	263	245	288	105	98	114
2011	18	11	33	258	237	283	104	96	113
2012	-	-	-	-	-	-	101	64	143

Table 3.15. Regional estimates of sablefish total biomass (Age 2+). Partitioning was done using RPWs from Japanese LL survey from 1979-1989 and domestic LL survey from 1990-2011. For 1960-1978, a retrospective 4:6:9 pseudo-exponential 3 - year average of proportions was used.

Year	Bering Sea	Aleutian Islands	Western GOA	Central GOA	West Yakutat	EYakutat/Southeast	Alaska
1960	98	118	51	148	46	71	533
1961	100	120	52	151	47	72	543
1962	113	135	59	171	53	82	613
1963	113	136	59	171	53	82	614
1964	113	135	58	170	53	81	609
1965	118	141	61	177	55	85	636
1966	126	151	66	190	59	91	683
1967	126	151	65	190	59	91	682
1968	126	150	65	189	59	91	680
1969	119	143	62	180	56	86	646
1970	110	131	57	165	52	79	593
1971	99	118	51	148	46	71	533
1972	90	107	47	135	42	65	486
1973	82	98	42	123	38	59	442
1974	74	88	38	111	35	53	398
1975	66	79	34	98	31	47	355
1976	61	72	31	92	29	44	329
1977	53	64	28	81	25	38	290
1978	48	59	25	71	23	35	260
1979	60	65	30	94	27	41	316
1980	63	83	34	93	30	46	349
1981	65	91	39	81	34	56	366
1982	74	85	53	100	40	59	410
1983	78	91	68	110	36	53	437
1984	90	111	76	115	34	53	479
1985	99	110	69	120	35	48	482
1986	105	103	67	123	42	52	492
1987	79	105	64	129	48	58	483
1988	47	92	60	145	46	59	449
1989	55	80	47	131	43	53	408
1990	56	60	39	113	43	56	367
1991	38	41	37	110	46	77	348
1992	23	36	25	100	50	84	318
1993	15	34	28	103	53	78	310
1994	17	33	31	95	44	67	288
1995	25	30	27	87	38	60	267
1996	24	26	27	90	32	51	249
1997	23	23	26	95	30	49	245
1998	20	29	26	81	27	48	231
1999	20	40	28	80	26	49	242
2000	20	41	33	84	26	48	252
2001	28	40	40	80	22	45	255
2002	40	44	43	93	23	45	287
2003	40	45	41	99	25	42	292
2004	39	46	37	105	27	42	297
2005	42	44	38	94	26	47	290
2006	45	40	40	86	26	48	284
2007	48	35	30	86	29	49	277
2008	52	34	26	84	26	46	269
2009	50	34	30	81	23	42	260
2010	52	29	28	77	29	49	263
2011	33	28	28	92	31	46	258

Table 3.16. Comparison of 2010 results versus 2011 results. Biomass is in kilotons.

Year	2010 SAFE	2011 SAFE	2010 SAFE	2011 SAFE
	Spawning Biomass	Spawning Biomass	Total Biomass	Total Biomass
1960	177	180	528	533
1961	183	185	540	543
1962	193	195	605	613
1963	202	204	605	614
1964	215	217	603	609
1965	231	233	628	636
1966	246	249	674	683
1967	257	260	677	682
1968	264	266	674	680
1969	264	266	641	646
1970	261	262	589	593
1971	252	253	530	533
1972	235	235	484	486
1973	207	207	440	442
1974	184	183	397	398
1975	162	161	354	355
1976	145	144	329	329
1977	130	128	290	290
1978	118	117	261	260
1979	113	112	317	316
1980	108	107	350	349
1981	106	105	368	366
1982	110	108	412	410
1983	121	120	440	437
1984	137	136	480	479
1985	152	151	483	482
1986	166	164	490	492
1987	172	171	482	483
1988	171	169	448	449
1989	163	162	406	408
1990	153	153	368	367
1991	142	142	347	348
1992	131	131	317	318
1993	120	120	312	310
1994	110	110	289	288
1995	102	101	268	267
1996	97	97	252	249
1997	94	94	247	245
1998	92	91	234	231
1999	88	87	244	242
2000	85	84	255	252
2001	82	81	259	255
2002	82	80	290	287
2003	84	83	297	292
2004	88	87	300	297
2005	94	92	294	290
2006	101	99	286	284
2007	107	104	276	277
2008	109	107	262	269
2009	108	107	248	260
2010	106	105	240	263
2011		104		258

Table 3.17. Sablefish spawning biomass (kilotons), fishing mortality, and yield (kilotons) for seven harvest scenarios. Abundance projected using 1979-2009 recruitments. Sablefish are not classified as overfished because abundance currently exceeds  $B_{35\%}$ .

Year	Maximum permissible F	Author's F* (specified catch)	Half max. F	5-year average F	No fishing	Overfished?	Approaching overfished?
Spawning biomass (kt)							
2011	103.6	103.6	103.6	103.6	103.6	103.6	103.6
2012	101.3	101.3	101.3	101.3	101.3	101.3	101.3
2013	97.2	99.0	101.1	99.6	105.6	95.6	97.2
2014	94.6	98.1	101.3	98.8	111.0	91.9	94.6
2015	93.5	96.5	101.3	99.2	117.5	89.9	92.1
2016	93.9	96.3	100.7	100.9	125.2	89.5	91.3
2017	95.6	97.6	100.1	103.9	134.2	90.6	92.1
2018	98.2	99.8	101.2	107.6	144.2	92.6	93.7
2019	100.9	102.2	103.4	111.6	154.3	94.7	95.6
2020	103.6	104.6	106.4	115.4	164.2	96.8	97.4
2021	106.0	106.8	110.8	118.9	173.6	98.6	99.1
2022	108.2	108.8	114.4	122.2	182.5	100.3	100.7
2023	110.2	110.7	117.2	125.3	191.1	101.9	102.2
2024	112.1	112.5	121.2	128.2	199.1	103.4	103.6
Fishing mortality							
2011	0.062	0.062	0.062	0.062	0.062	0.062	0.062
2012	0.089	0.069	0.045	0.063	-	0.106	0.106
2013	0.085	0.065	0.044	0.063	-	0.100	0.100
2014	0.083	0.086	0.045	0.063	-	0.096	0.096
2015	0.081	0.084	0.045	0.063	-	0.093	0.093
2016	0.080	0.082	0.044	0.063	-	0.091	0.091
2017	0.079	0.080	0.044	0.063	-	0.090	0.090
2018	0.078	0.079	0.045	0.063	-	0.088	0.088
2019	0.077	0.078	0.046	0.063	-	0.088	0.088
2020	0.077	0.078	0.047	0.063	-	0.088	0.088
2021	0.078	0.078	0.048	0.063	-	0.088	0.088
2022	0.078	0.078	0.048	0.063	-	0.089	0.089
2023	0.079	0.079	0.048	0.063	-	0.090	0.090
2024	0.079	0.080	0.048	0.063	-	0.091	0.091
Yield (kt)							
2011	12.2	12.2	12.2	12.2	12.2	12.2	12.2
2012	17.2	17.2	8.8	12.4	-	20.4	17.2
2013	16.4	17.0	9.1	12.6	-	18.8	16.4
2014	15.7	16.8	9.2	12.5	-	17.5	18.5
2015	16.1	17.0	9.9	13.1	-	17.8	18.6
2016	16.7	17.4	10.5	13.7	-	18.4	18.9
2017	17.2	17.7	10.9	14.2	-	18.9	19.3
2018	17.7	18.1	11.3	14.7	-	19.4	19.7
2019	18.2	18.5	11.7	15.2	-	19.8	20.0
2020	18.7	18.9	12.1	15.5	-	20.3	20.4
2021	19.1	19.3	12.5	15.9	-	20.8	20.9
2022	19.6	19.7	12.9	16.3	-	21.2	21.3
2023	20.1	20.1	13.3	16.6	-	21.7	21.7
2024	20.5	20.6	13.6	17.0	-	22.2	22.3

\* Projections in Author's F (Alternative 2) are based on estimated catches of 13,539 t and 12,896 t used in place of maximum permissible ABC for 2012 and 2013. This was done in response to management requests for a more accurate two-year projection.

Table 3.18. Analysis of ecosystem considerations for the sablefish fishery.

<i>Indicator</i>	<i>Observation</i>	<i>Interpretation</i>	<i>Evaluation</i>
<b><i>ECOSYSTEM EFFECTS ON STOCK</i></b>			
<i>Prey availability or abundance trends</i>			
Zooplankton	None	None	Unknown
<i>Predator population trends</i>			
Salmon	Decreasing	Increases the stock	No concern
<i>Changes in habitat quality</i>			
Temperature regime	Warm increases recruitment	Variable recruitment	No concern (can't affect)
Prevailing currents	Northerly increases recruitment	Variable recruitment	No concern (can't affect)
<b><i>FISHERY EFFECTS ON ECOSYSTEM</i></b>			
<i>Fishery contribution to bycatch</i>			
Prohibited species	Small catches	Minor contribution to mortality	No concern
Forage species	Small catches	Minor contribution to mortality	No concern
HAPC biota (seapens/whips, corals, sponges, anemones)	Small catches, except long-term reductions predicted	Long-term reductions predicted in hard corals and living structure	Possible concern
Marine mammals and birds	Bird catch about 10% total	Appears to be decreasing	Possible concern
Sensitive non-target species	Grenadier, spiny dogfish, and unidentified shark catch notable	Grenadier catch high but stable, recent shark catch is small	Possible concern for grenadiers
<i>Fishery concentration in space and time</i>			
	IFQ less concentrated	IFQ improves	No concern
<i>Fishery effects on amount of large size target fish</i>			
	IFQ reduces catch of immature	IFQ improves	No concern
<i>Fishery contribution to discards and offal production</i>			
	sablefish <5% in longline fishery, but 30% in trawl fishery	IFQ improves, but notable discards in trawl fishery	Trawl fishery discards definite concern
<i>Fishery effects on age-at-maturity and fecundity</i>			
	trawl fishery catches smaller fish, but only small part of total catch	slightly decreases	No concern

# Figures

## Sablefish catch by area

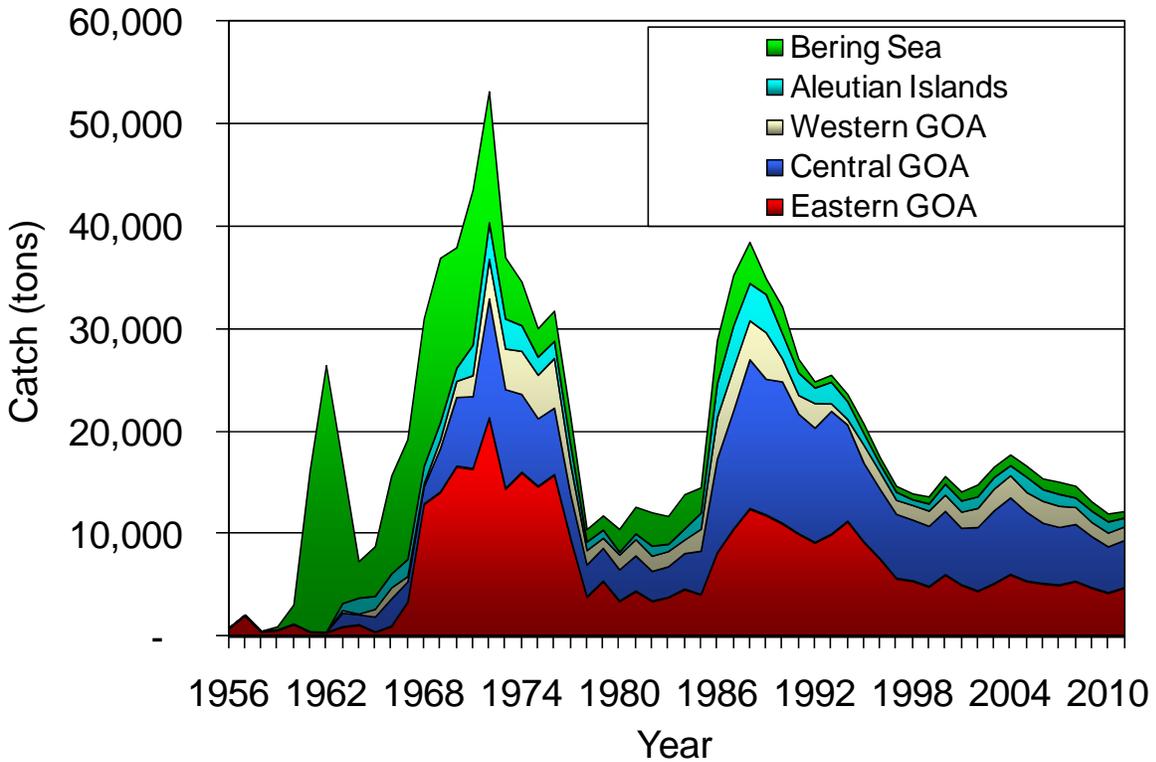


Figure 3.1. Sablefish fishery total reported catch (t) by North Pacific Fishery Management Council area and year.

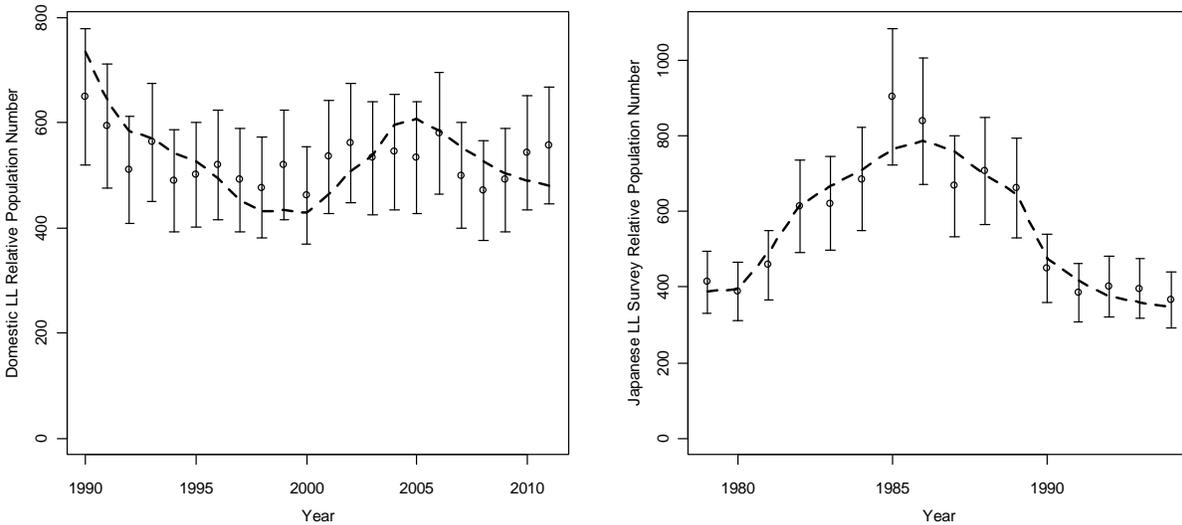


Figure 3.2. Observed and predicted sablefish relative population weight and numbers versus year. Points are observed estimates with approximate 95% confidence intervals, dashed line is model predicted.

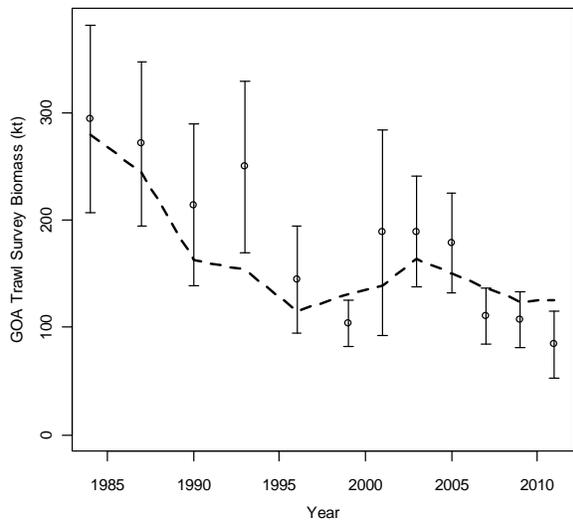
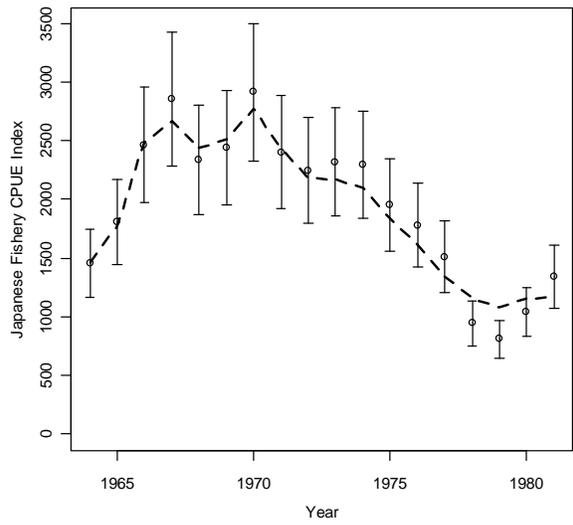
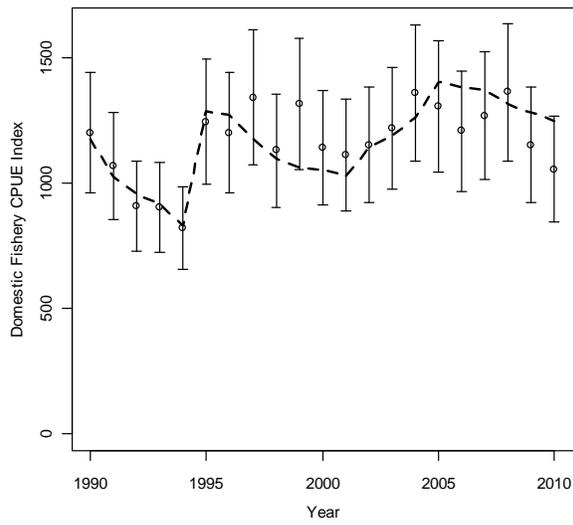


Figure 3.3. Observed and predicted sablefish abundance indices. Fishery indices are on top two panels, GOA trawl survey is on the bottom left panel. Points are observed estimates with approximate 95% confidence intervals while dashed lines are model predictions.

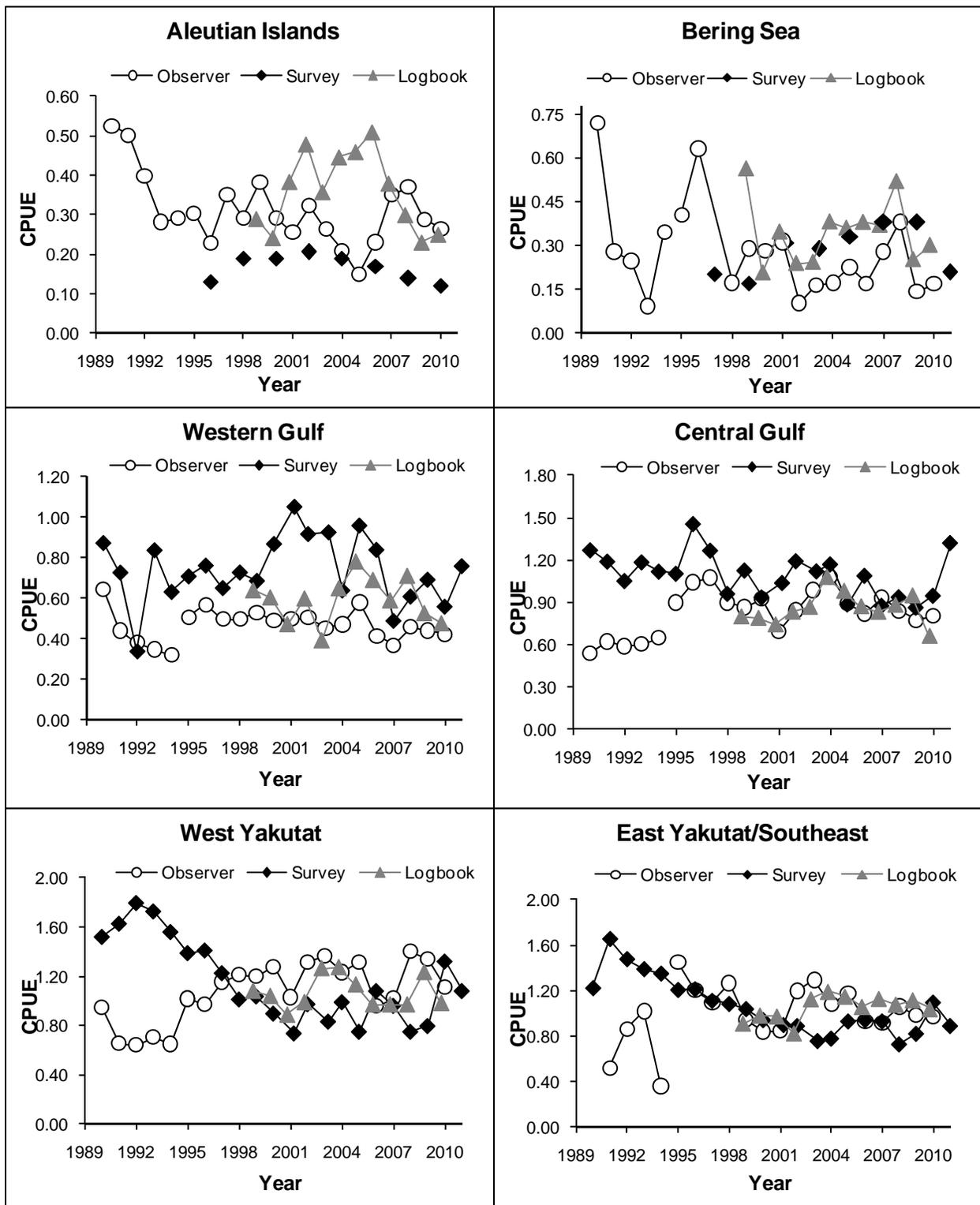


Figure 3.4. Average fishery catch rate (pounds/hook) by region and data source for longline survey and fishery data. The fishery switched from open-access to individual quota management in 1995.

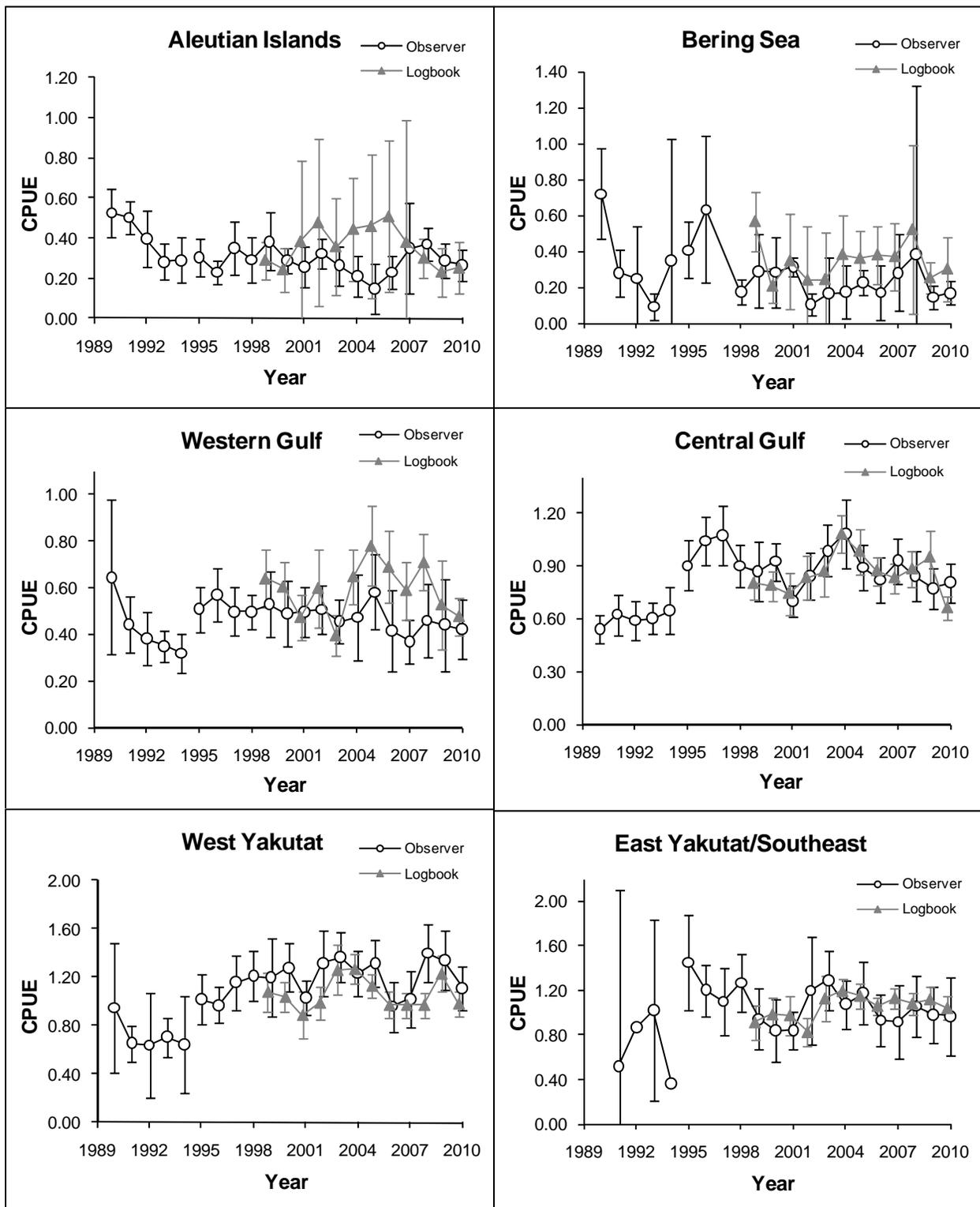


Figure 3.5. Average fishery catch rate (pounds/hook) and associated 95% confidence intervals by region and data source. The fishery switched from open-access to individual quota management in 1995.

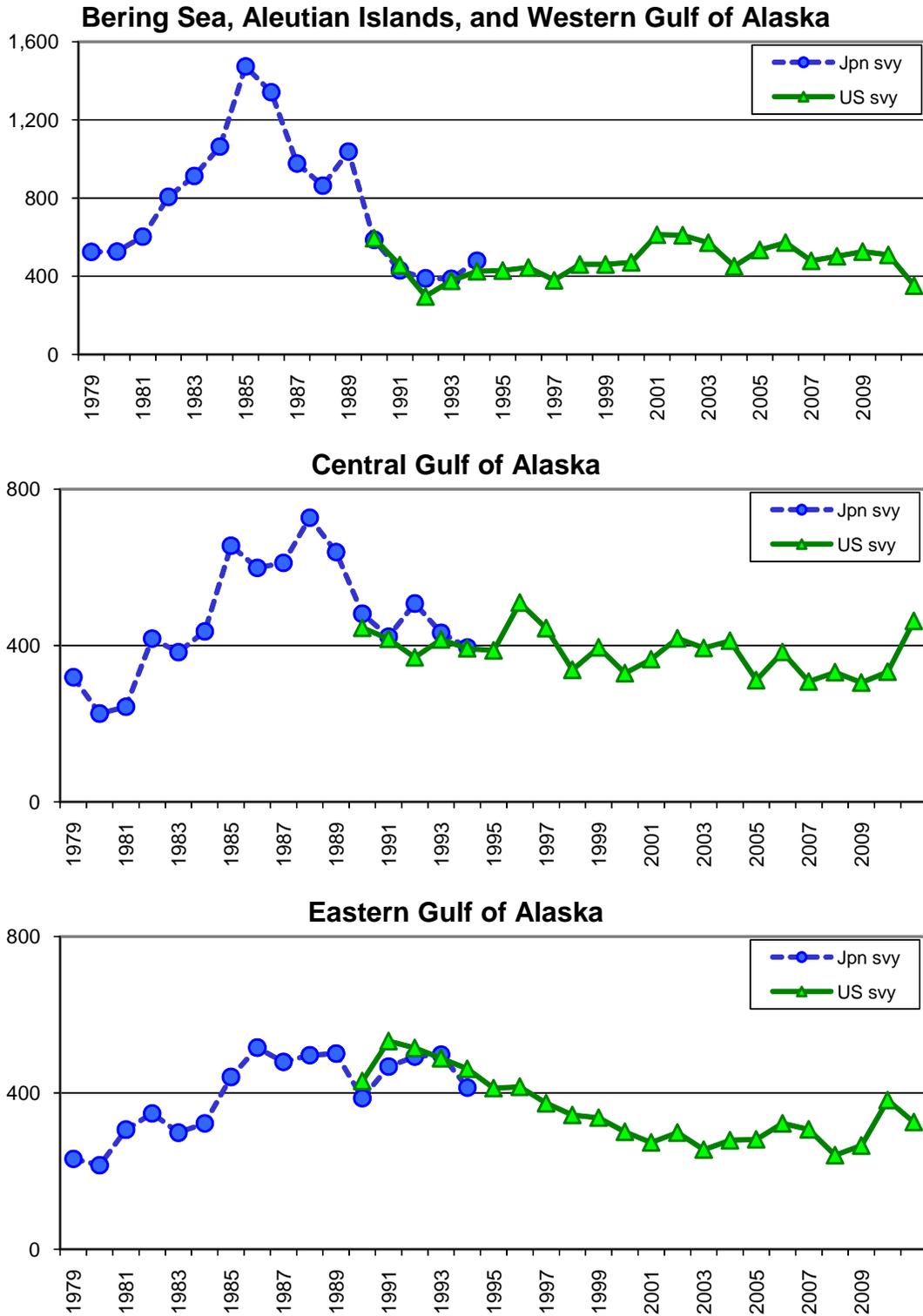


Figure 3.6a. Relative abundance (weight) by region and survey. The regions Bering Sea, Aleutians Islands, and western Gulf of Alaska are combined in the first plot. The two surveys are the Japan-U.S. cooperative longline survey and the domestic (U.S.) longline survey. In this plot, the values for the U.S. survey were adjusted to account for the higher efficiency of the U.S. survey gear.

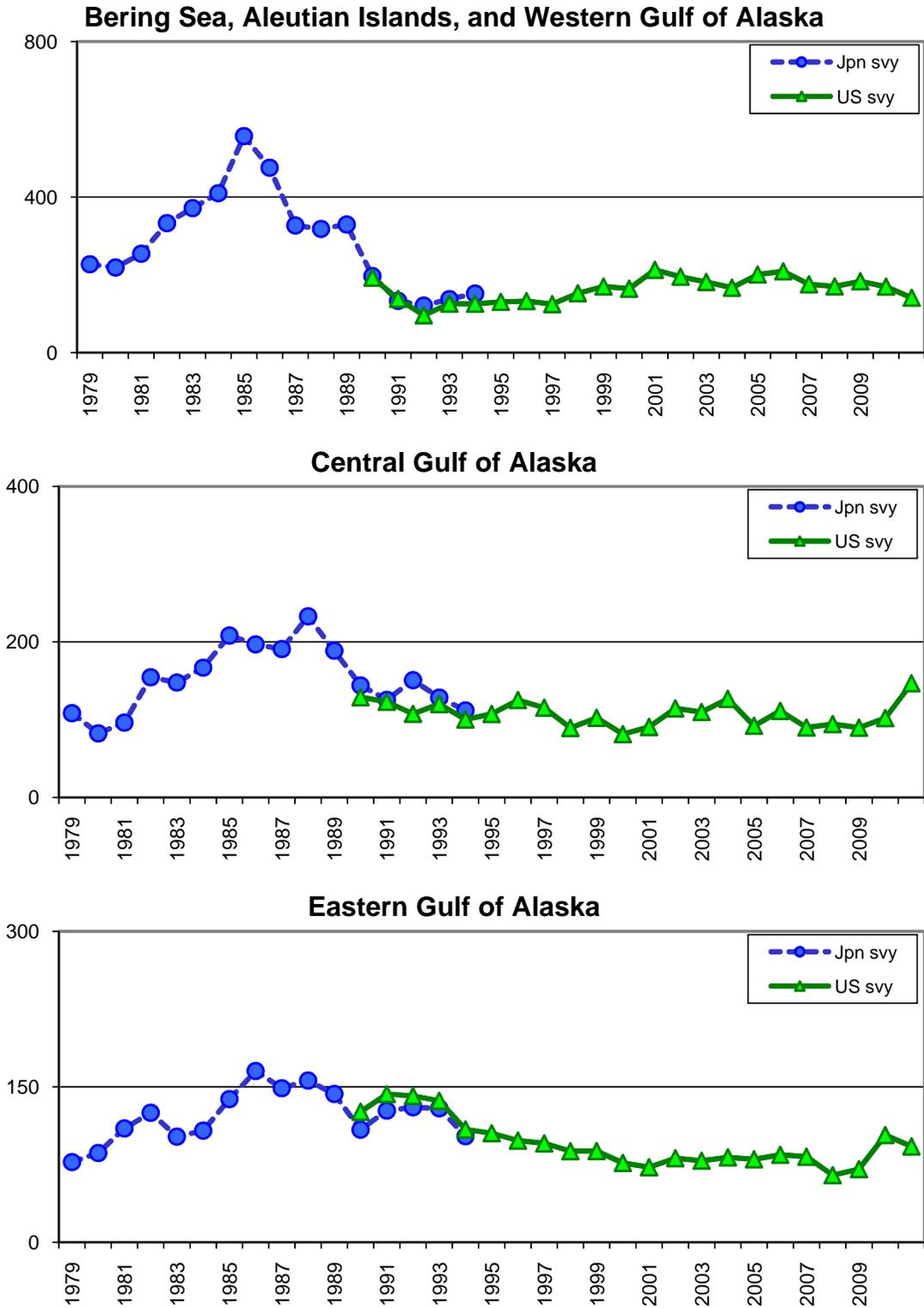


Figure 3.6b. Relative abundance (numbers) by region and survey. The regions Bering Sea, Aleutians Islands, and western Gulf of Alaska are combined in the first plot. The two surveys are the Japan-U.S. cooperative longline survey and the domestic (U.S.) longline survey. In this plot, the values for the U.S. survey were adjusted to account for the higher efficiency of the U.S. survey gear.

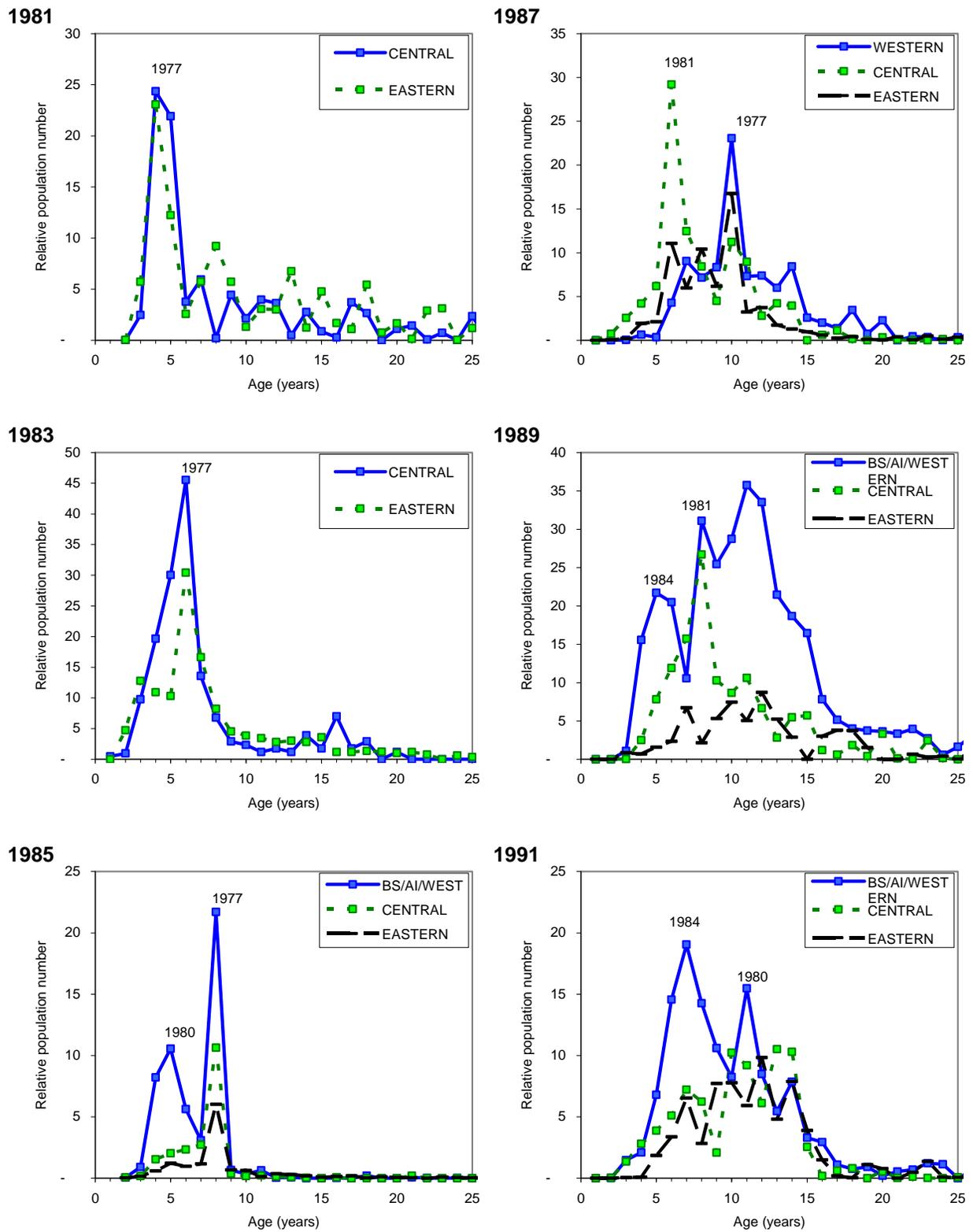
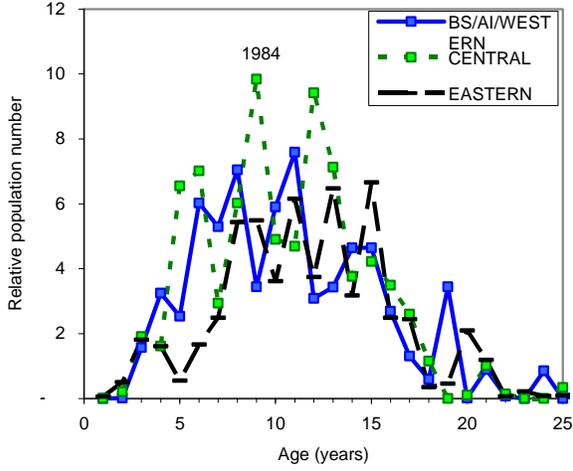
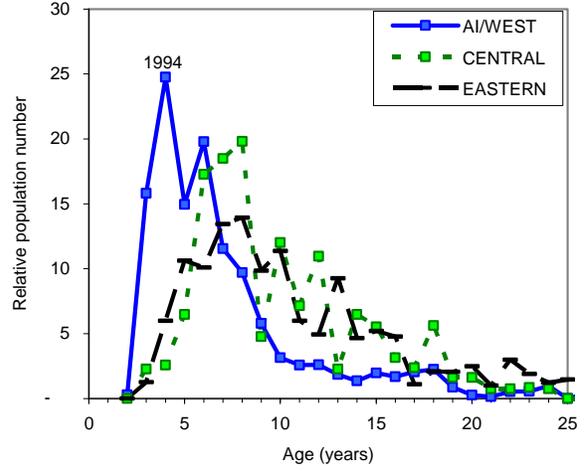


Figure 3.7. Relative abundance (number in thousands) by age and region from two surveys, the Japan-U.S. cooperative longline survey and the domestic (U.S.) longline survey. The regions Bering Sea, Aleutian Islands, and Western Gulf of Alaska are combined.

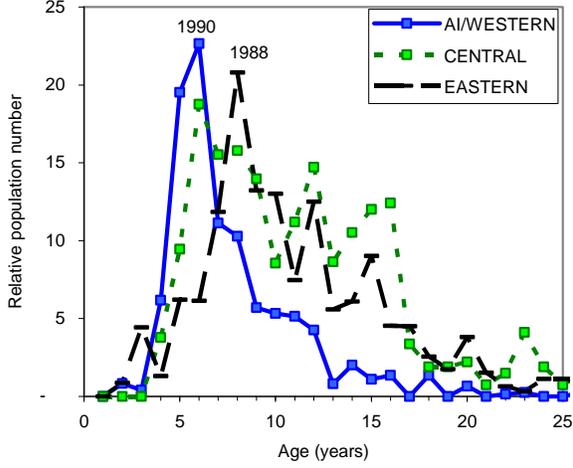
1993



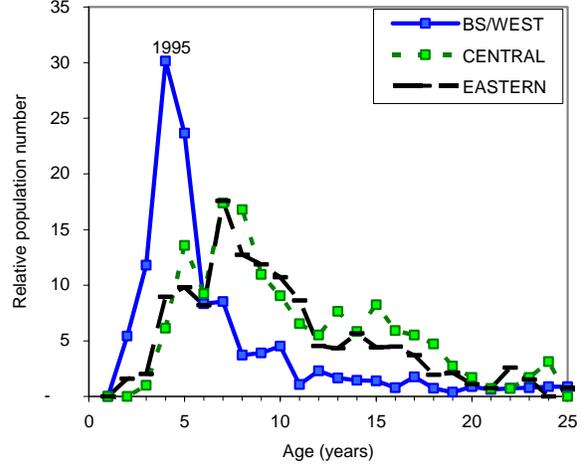
1998



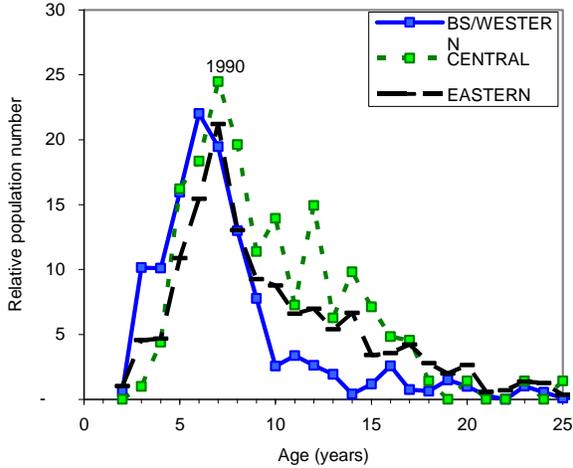
1996



1999



1997



2000

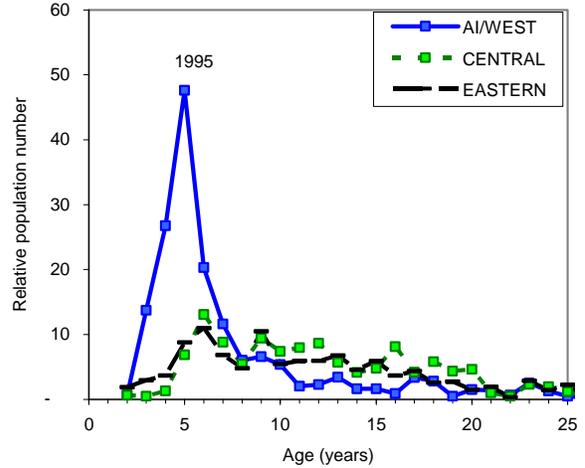
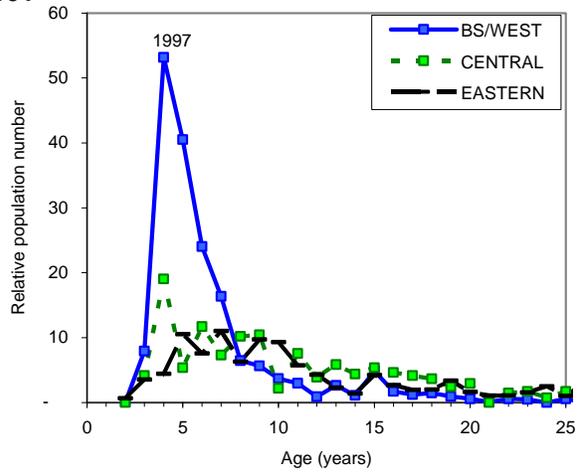
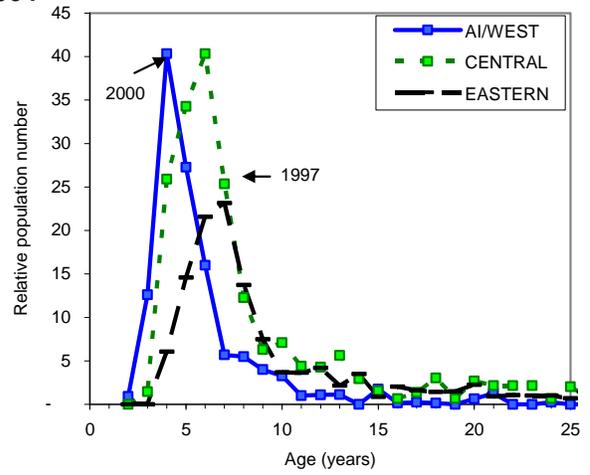


Figure 3.7 cont.

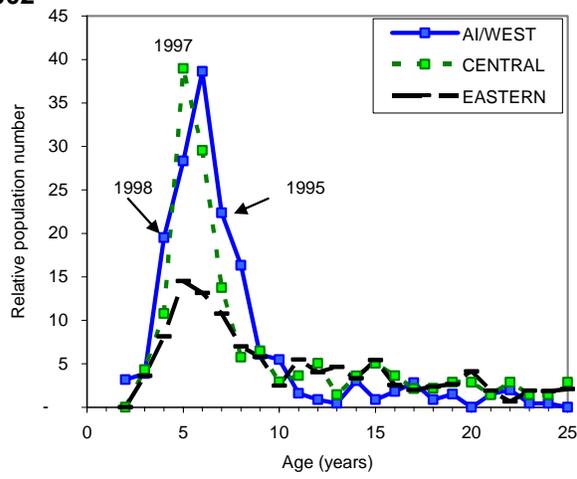
2001



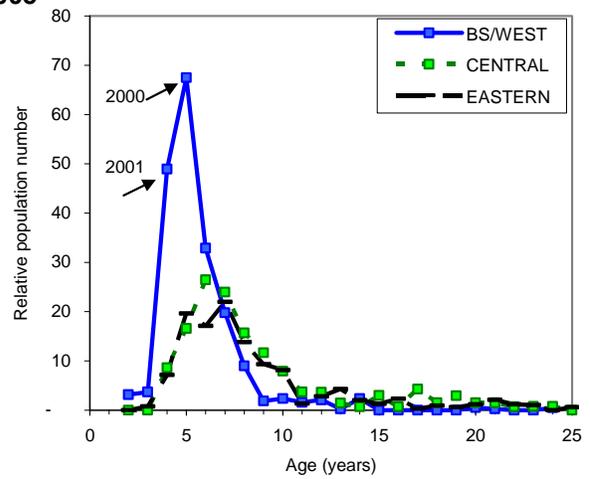
2004



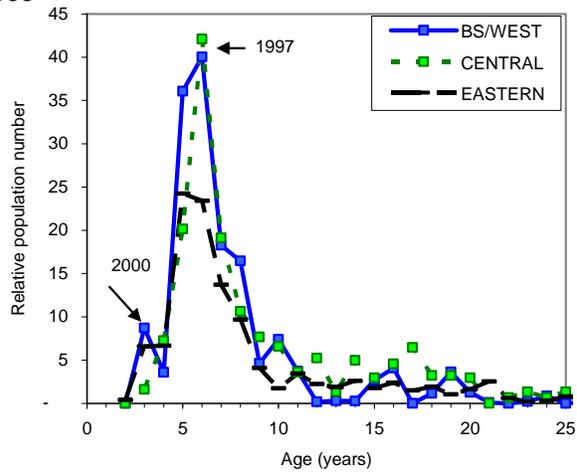
2002



2005



2003



2006

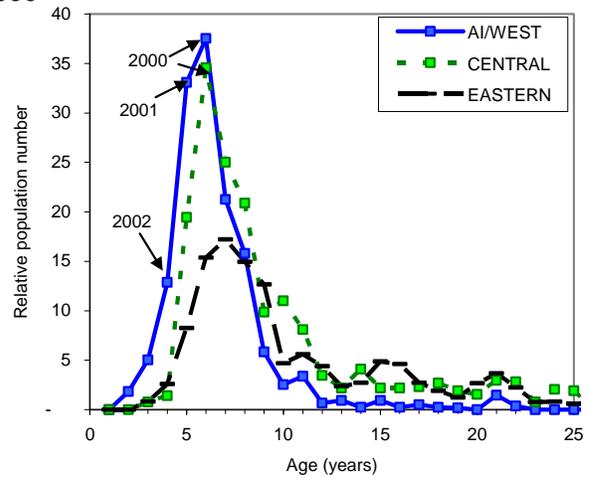
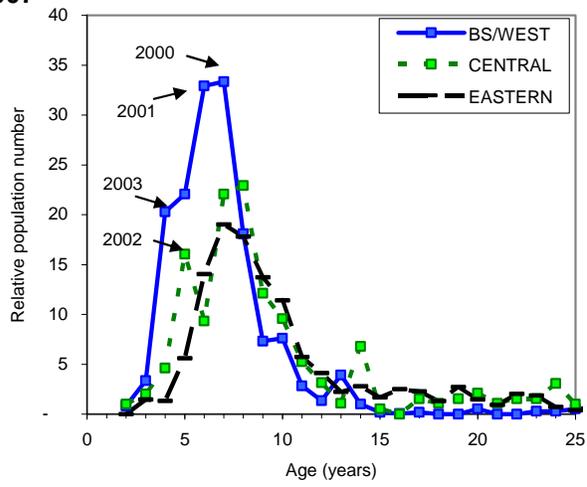
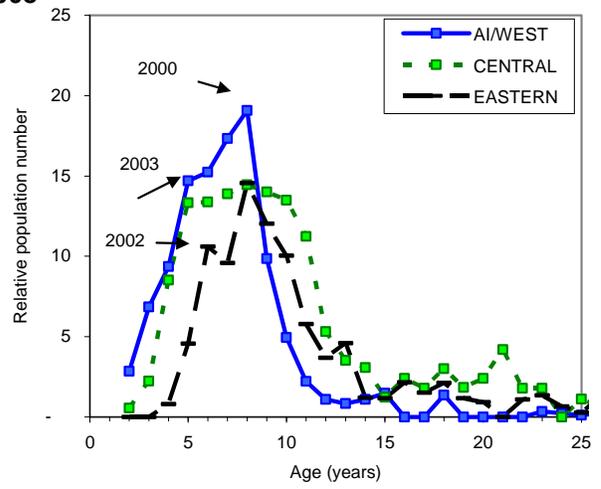


Figure 3.7 cont.

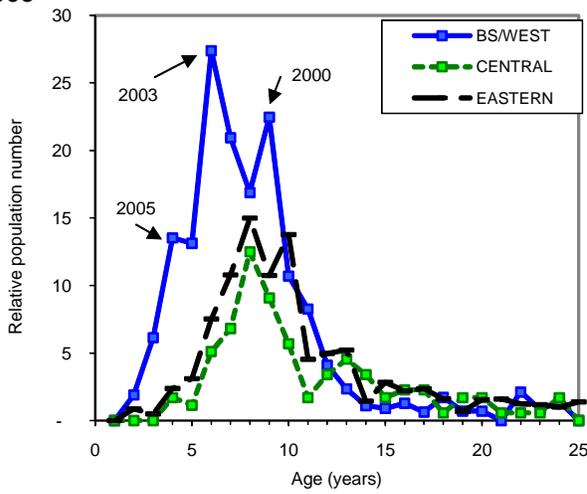
2007



2008



2009



2010

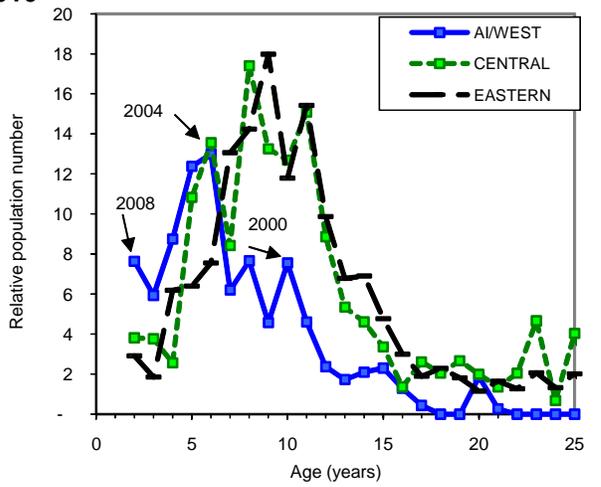


Figure 3.7. cont.

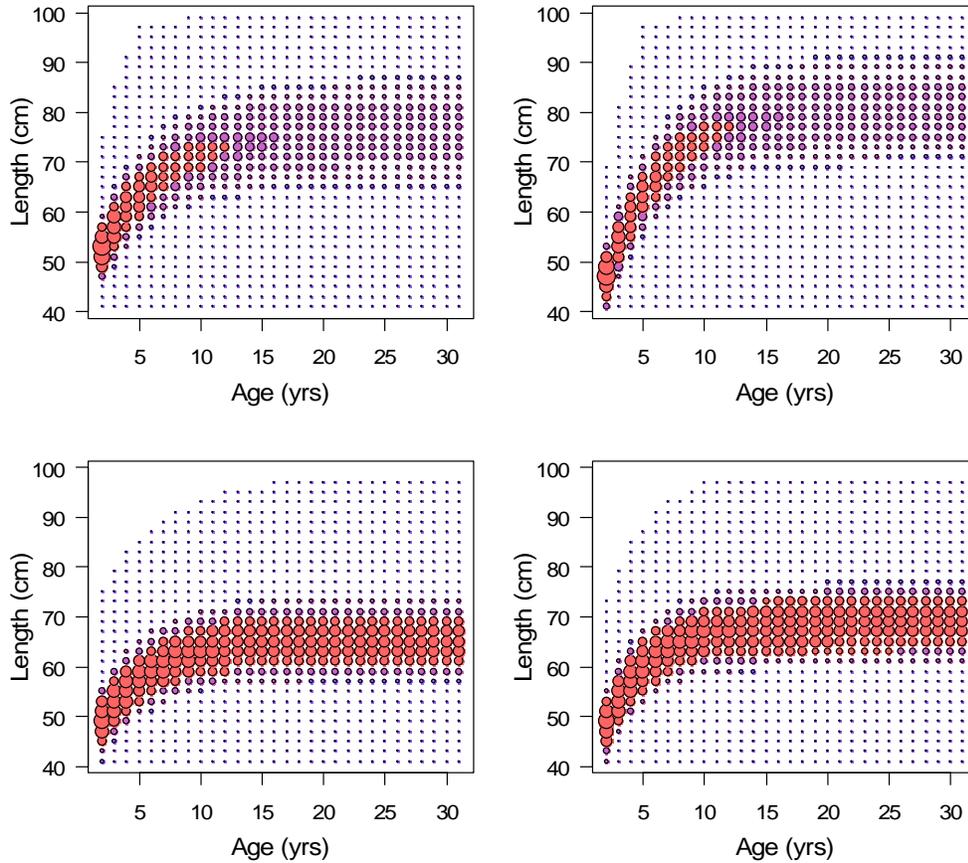


Figure 3.8. Age-length conversion matrices for sablefish. Top panels are female, bottom panel are males, left is 1981-1993, right is 1996-2011.

### Prior distributions for catchability ( $q$ )

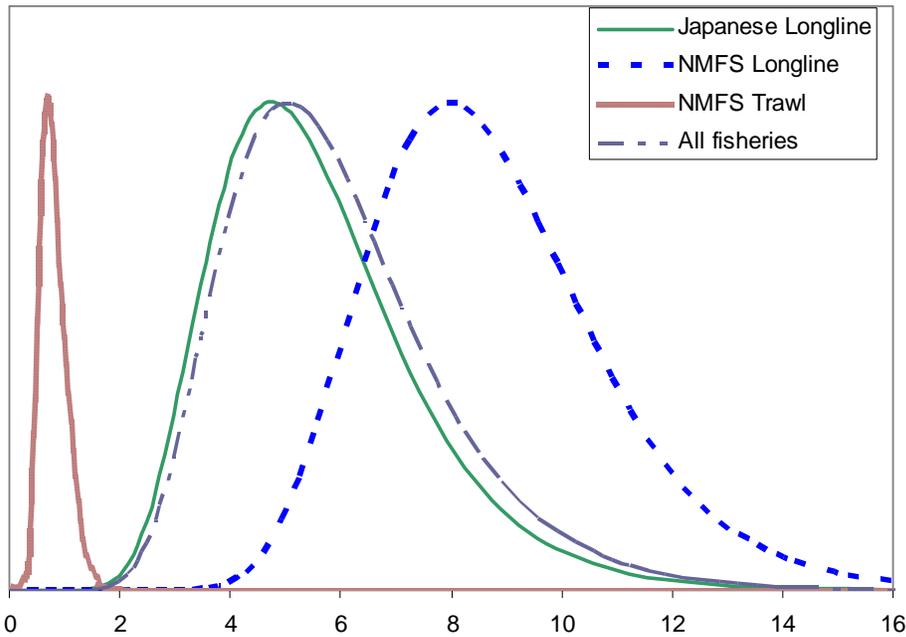


Figure 3.9. Prior distributions for catchability for four sablefish abundance indices.

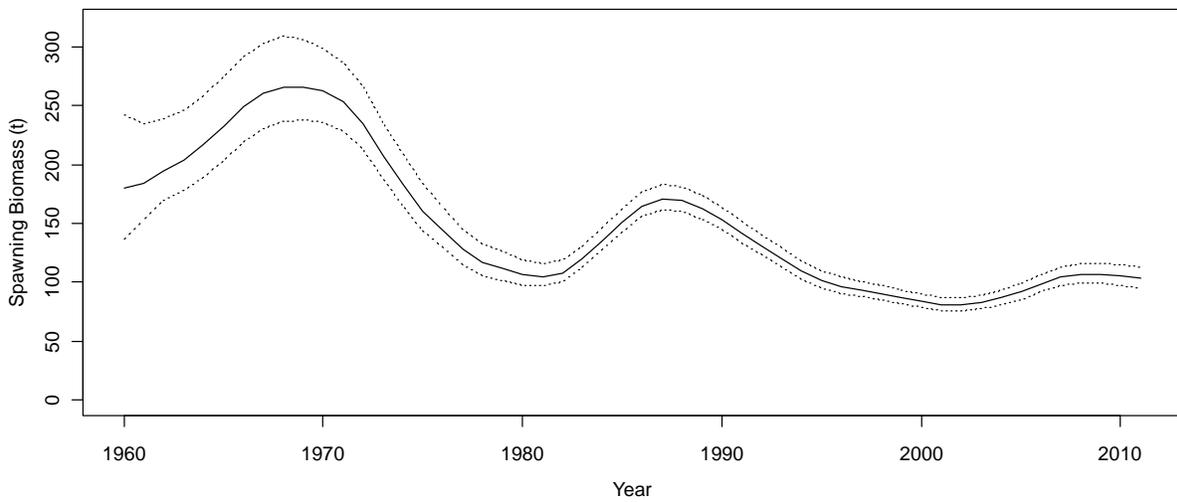
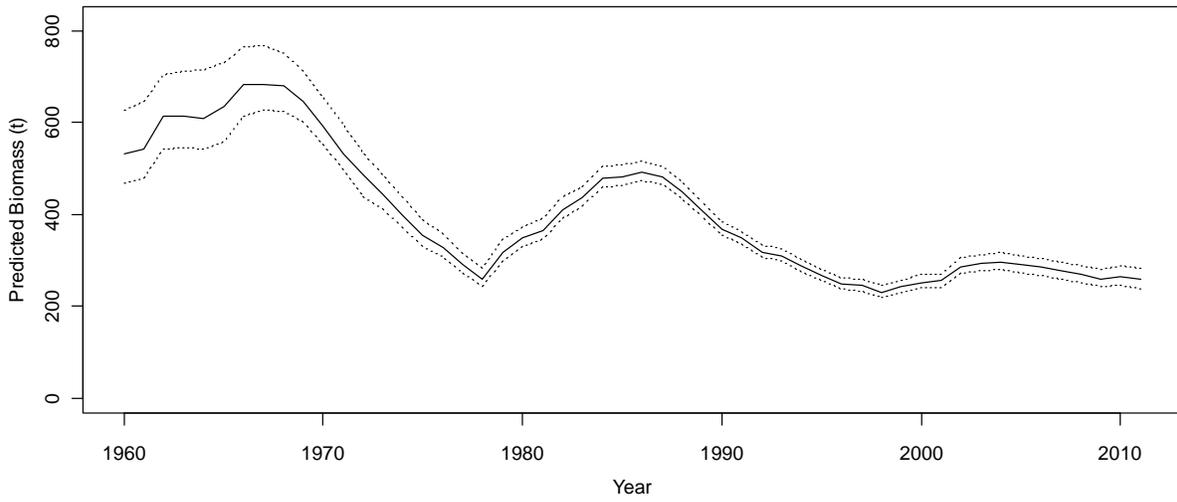


Figure 3.10.--Estimated sablefish total biomass (thousands t) and spawning biomass (bottom) with 95% MCMC credible intervals.

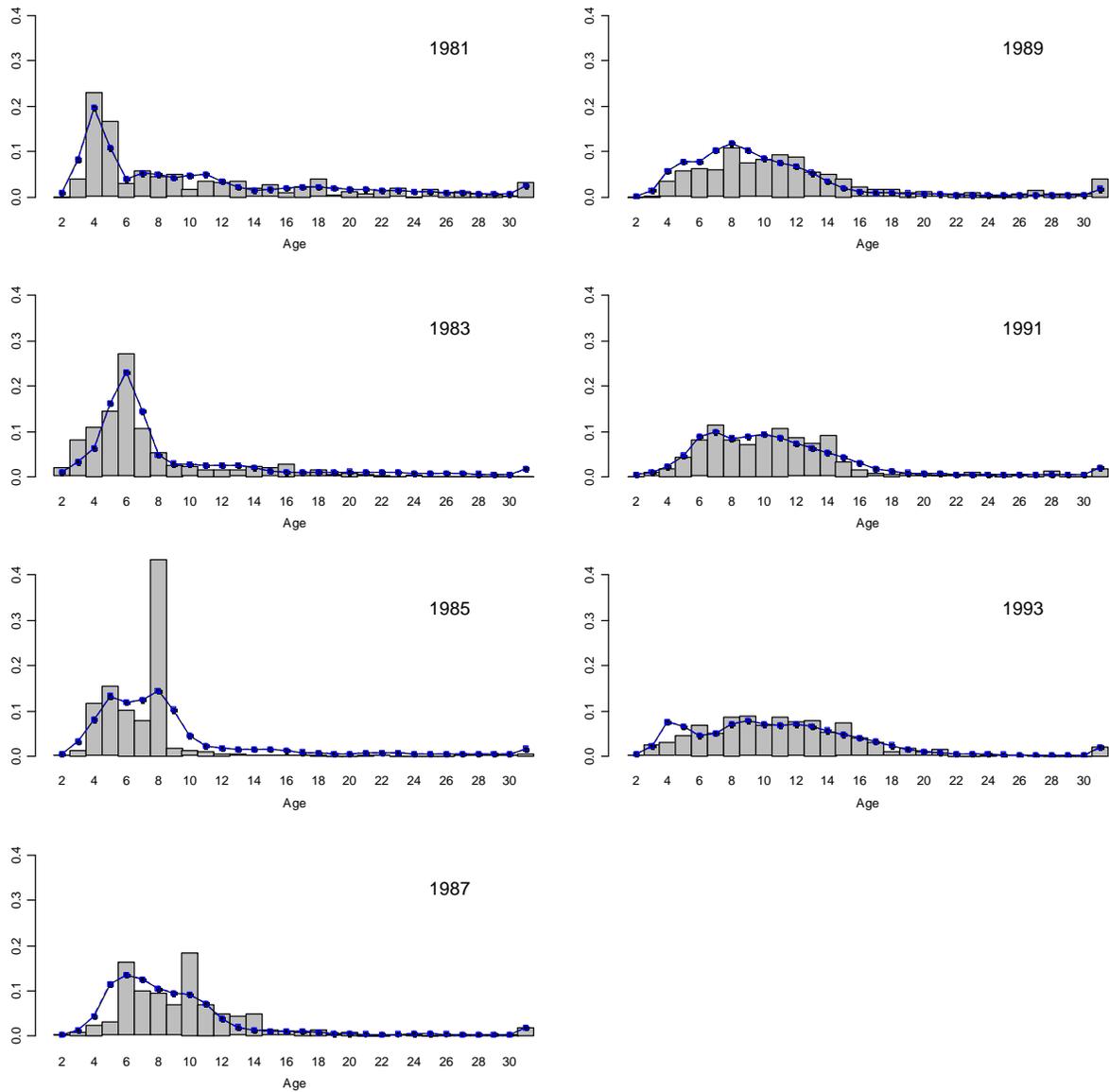


Figure 3.11. Japanese longline survey age compositions. Bars are observed frequencies and line is predicted frequencies.

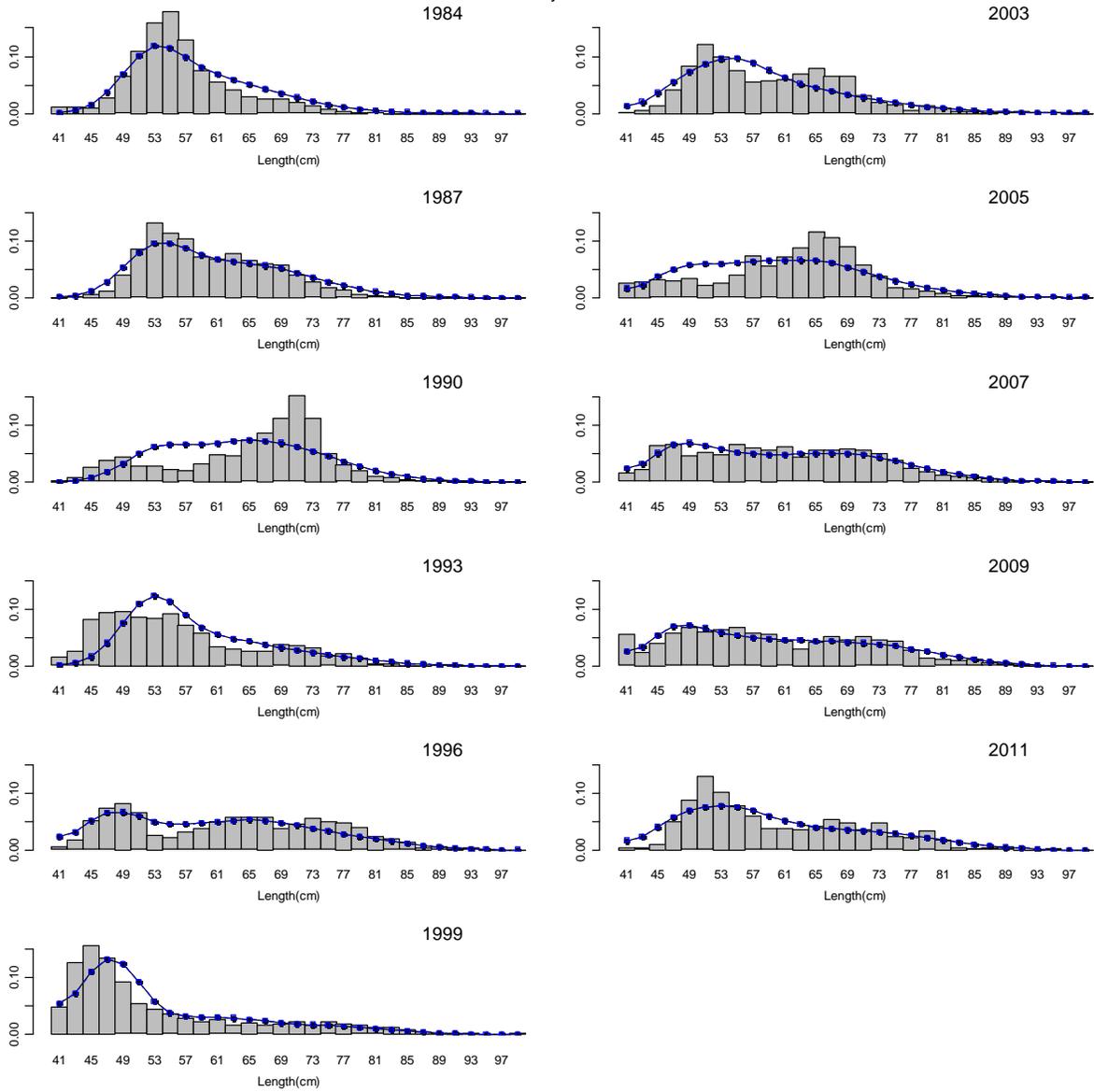


Figure 3.12. Gulf of Alaska bottom trawl survey lengths for female sablefish at depths <500 m. Bars are observed frequencies and line is predicted frequencies.

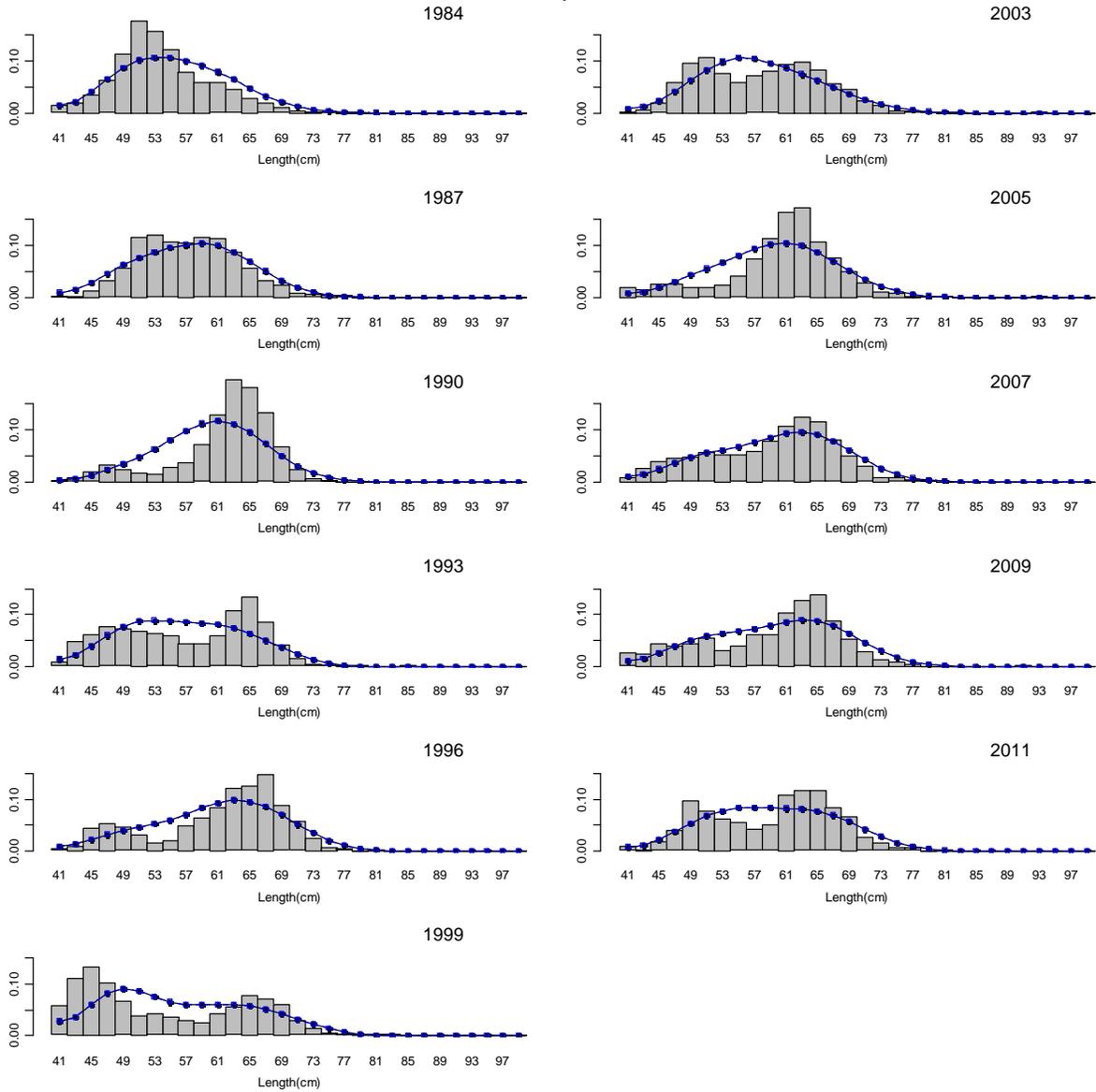


Figure 3.13. Gulf of Alaska bottom trawl survey lengths for male sablefish at depths <500 m. Bars are observed frequencies and line is predicted frequencies.

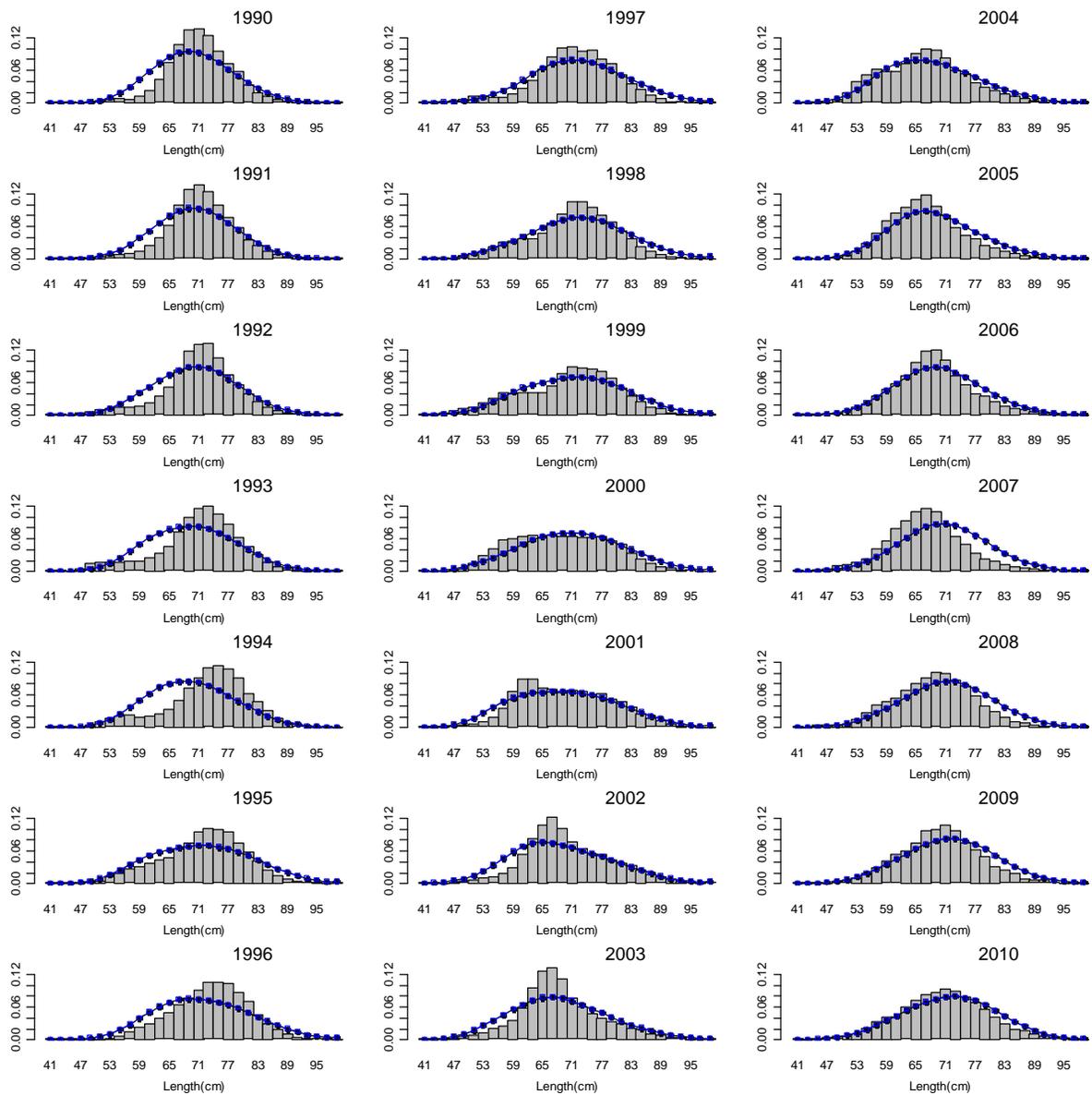


Figure 3.14. Domestic fixed gear fishery lengths compositions for females. Bars are observed frequencies and line is predicted frequencies.

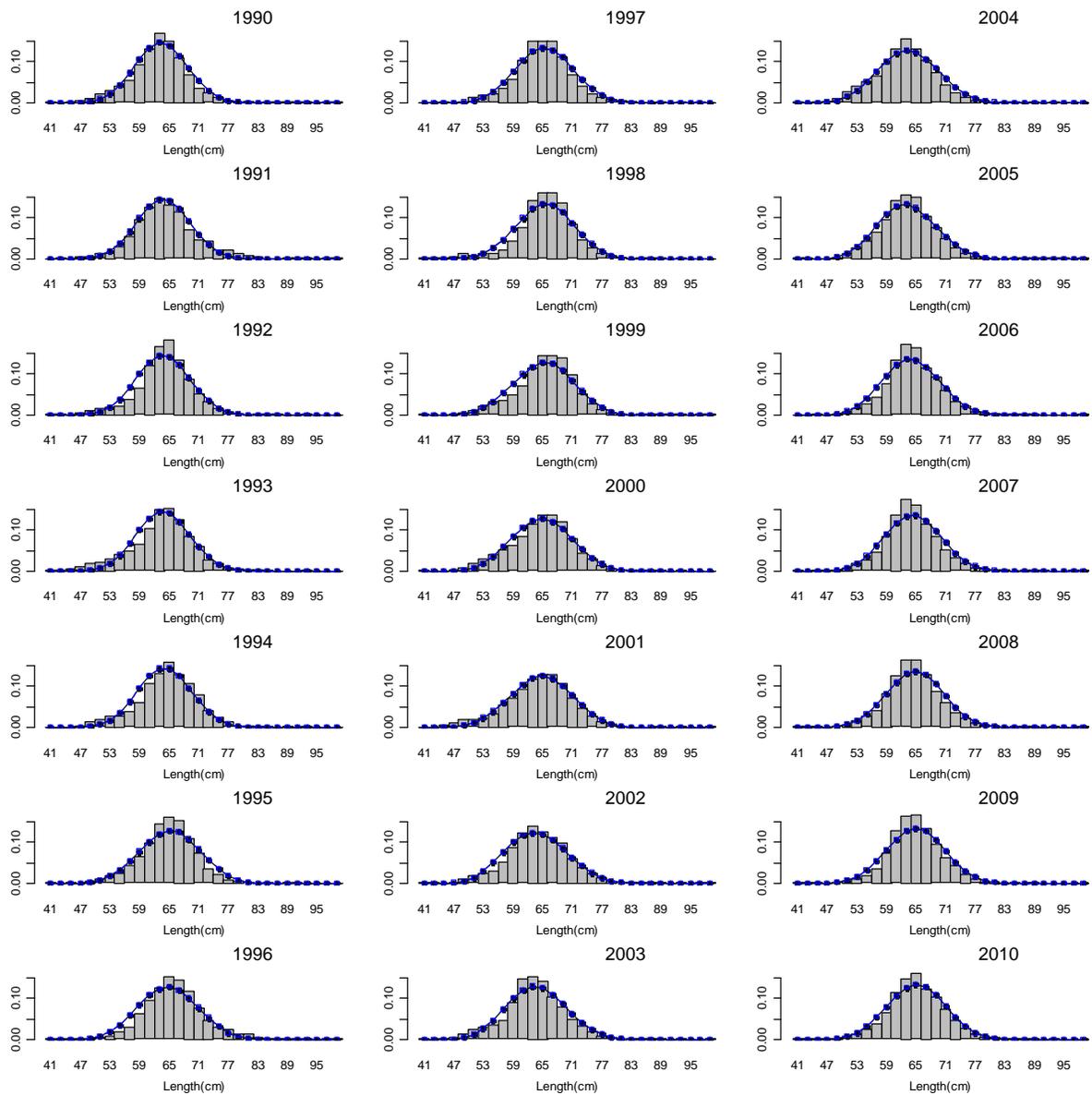


Figure 3.15. Domestic fixed gear fishery lengths compositions for males. Bars are observed frequencies and line is predicted frequencies.

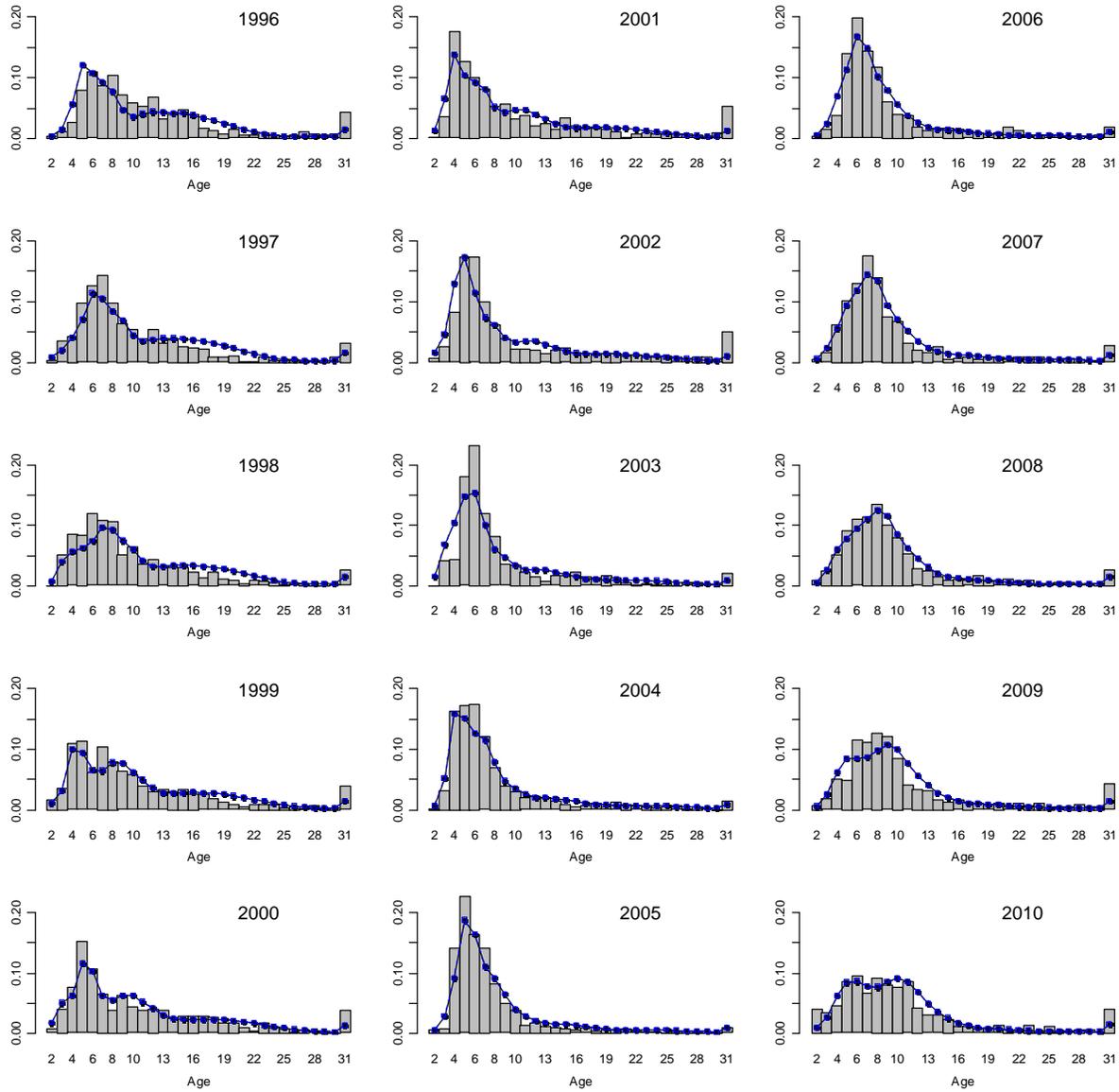


Figure 3.16. Domestic longline survey age compositions. Bars are observed frequencies and line is predicted frequencies.

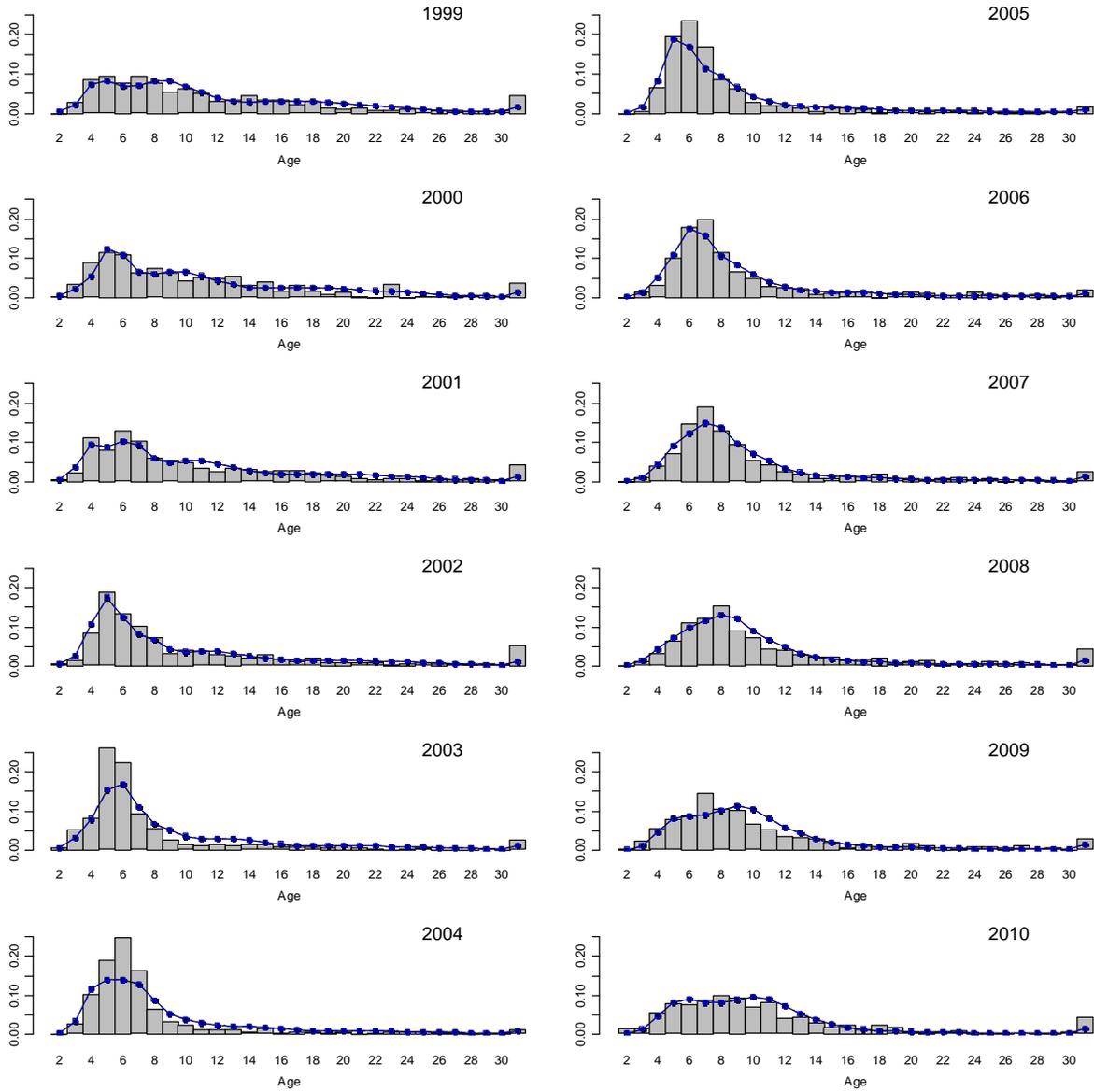


Figure 3.17. Domestic fishery age compositions. Bars are observed frequencies and line is predicted frequencies.

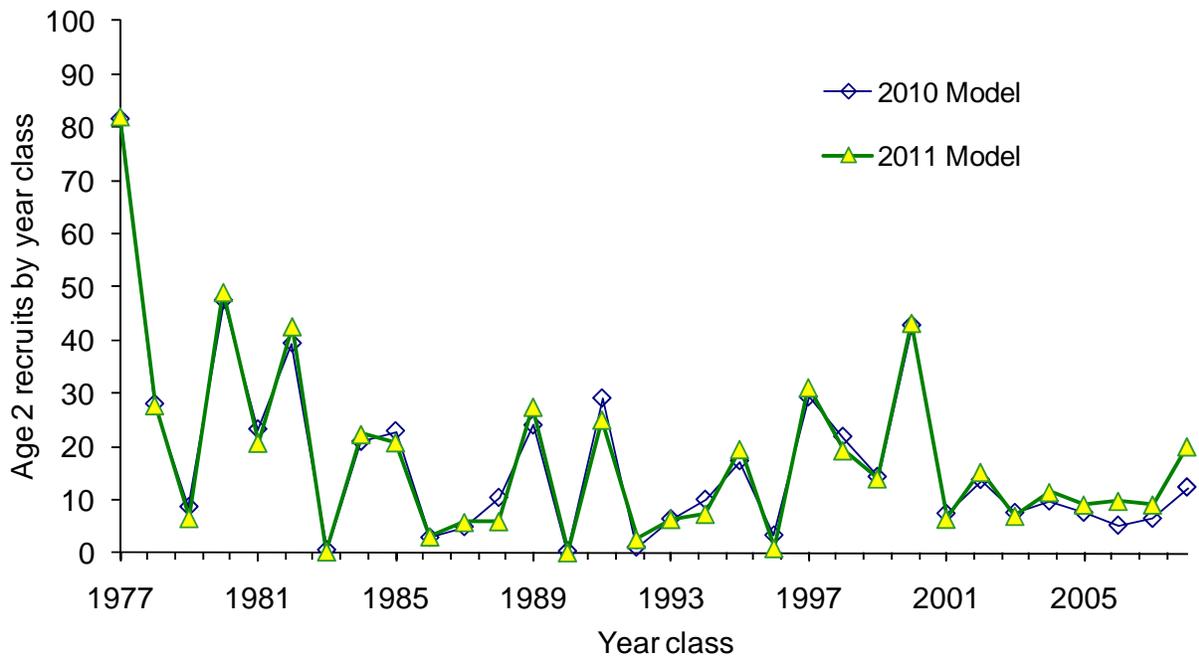


Figure 3.18a. Estimated recruitment (number at age 2, millions) versus year for 2010 and 2011 models.

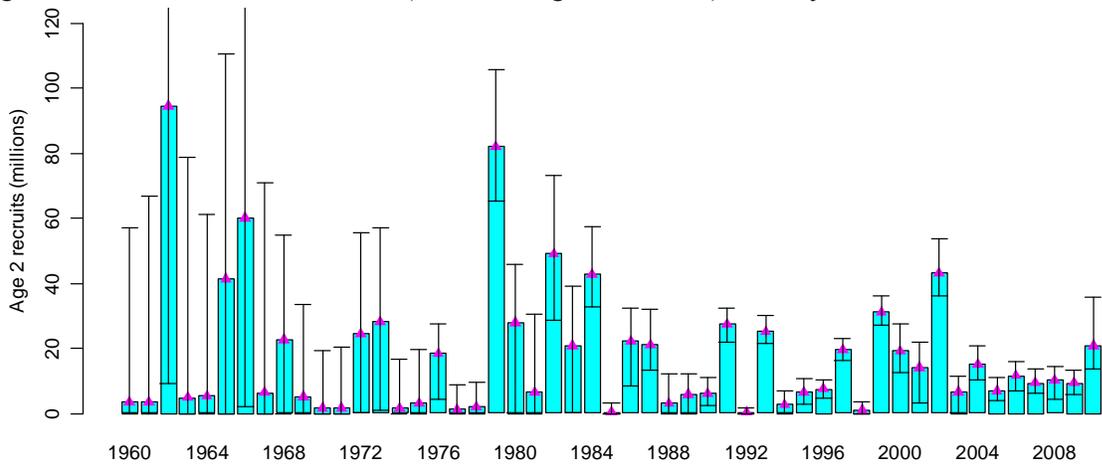


Figure 3.18b. Estimates of the number of age-2 sablefish (millions) with 95% credible intervals by year class. Credible intervals are based on 20,000,000 MCMC runs.

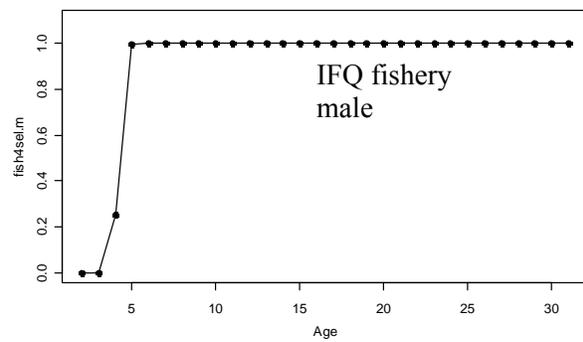
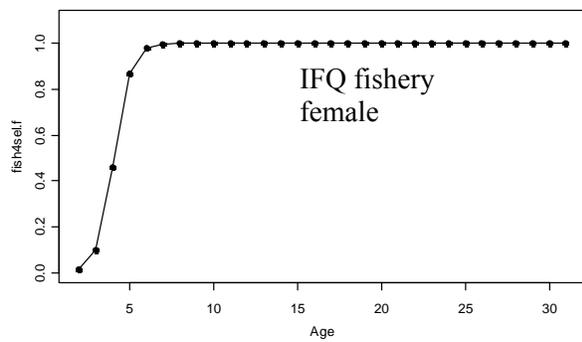
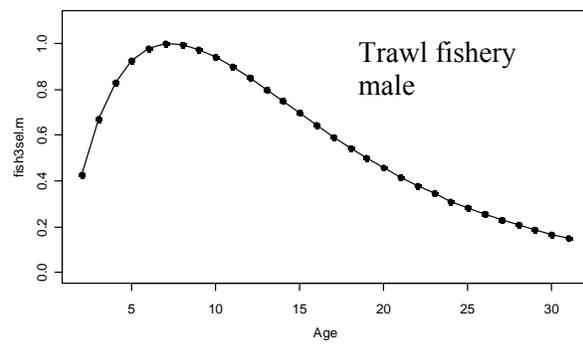
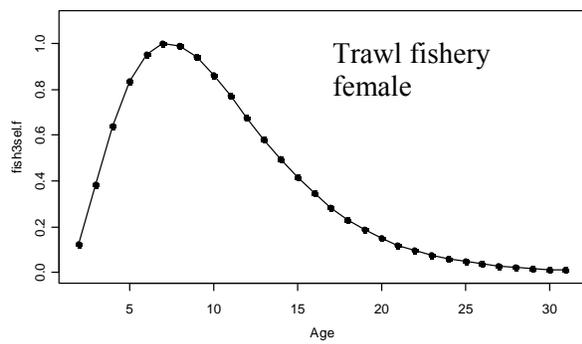
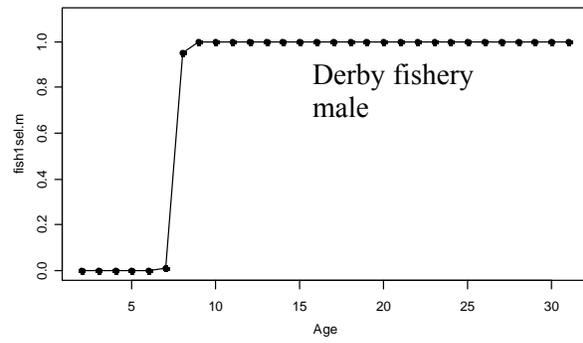
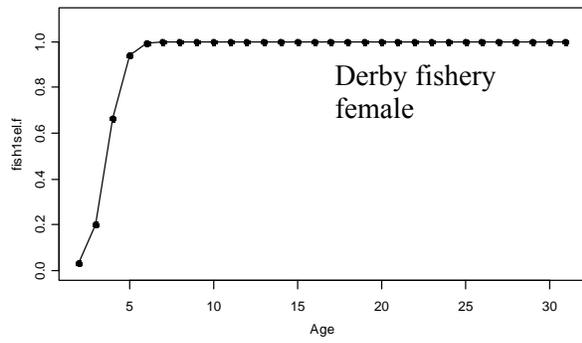


Figure 3.19a. Sablefish selectivities for fisheries.

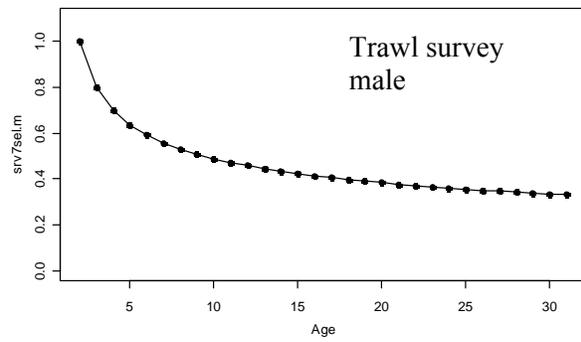
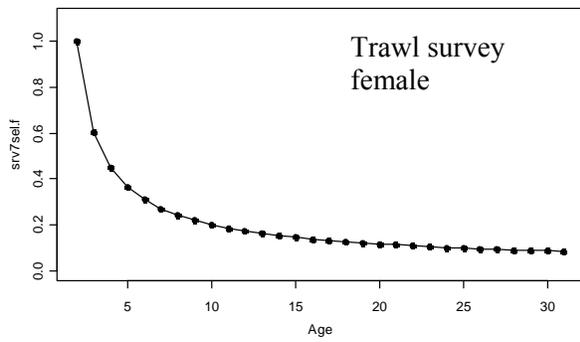
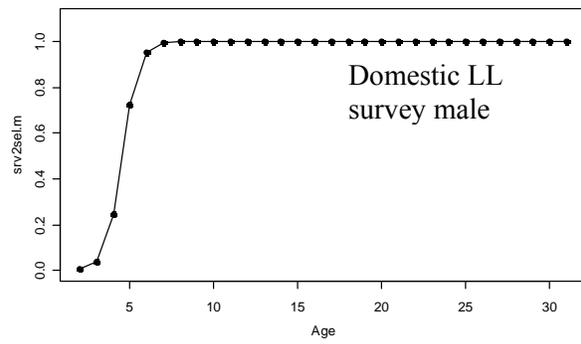
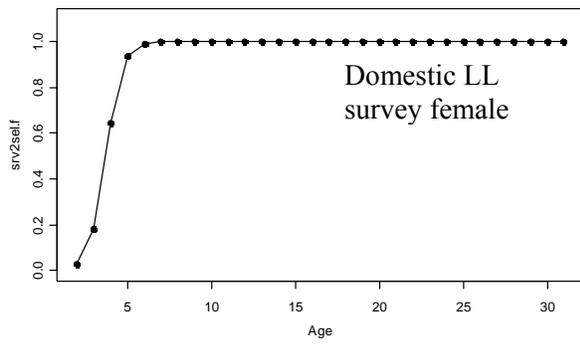
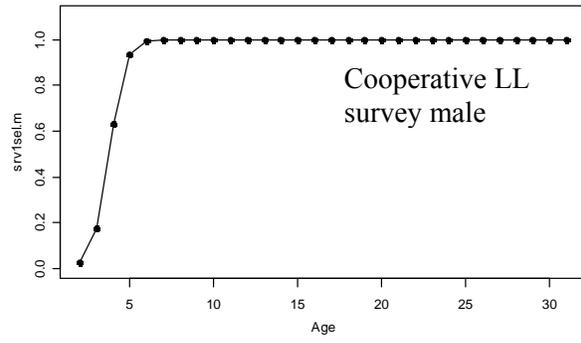
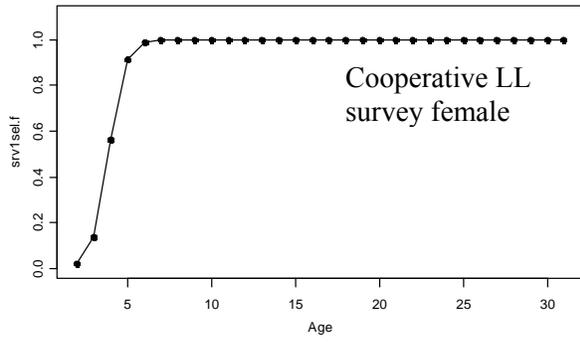


Figure 3.19b. Sablefish selectivities for surveys.

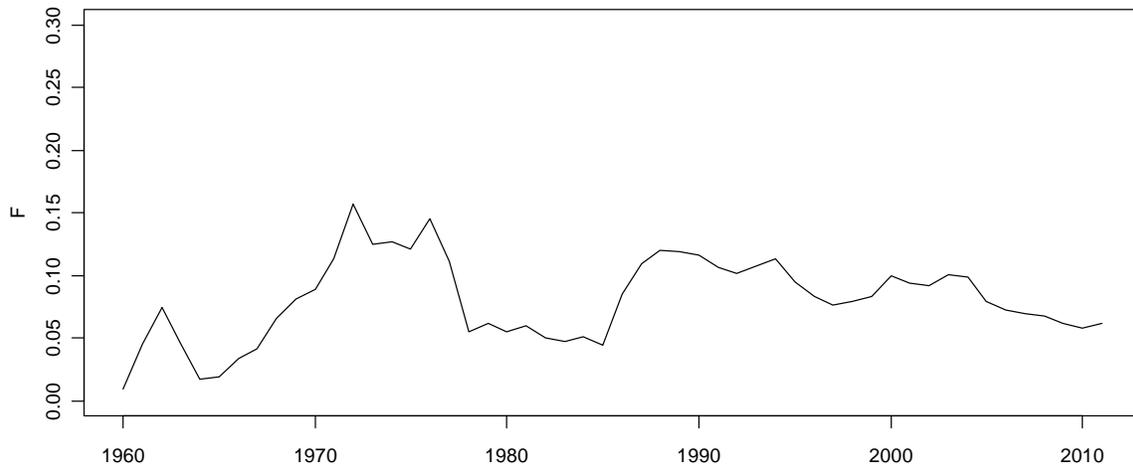


Figure 3.20. Time series of combined fully-selected fishing mortality for fixed and trawl gear for sablefish.

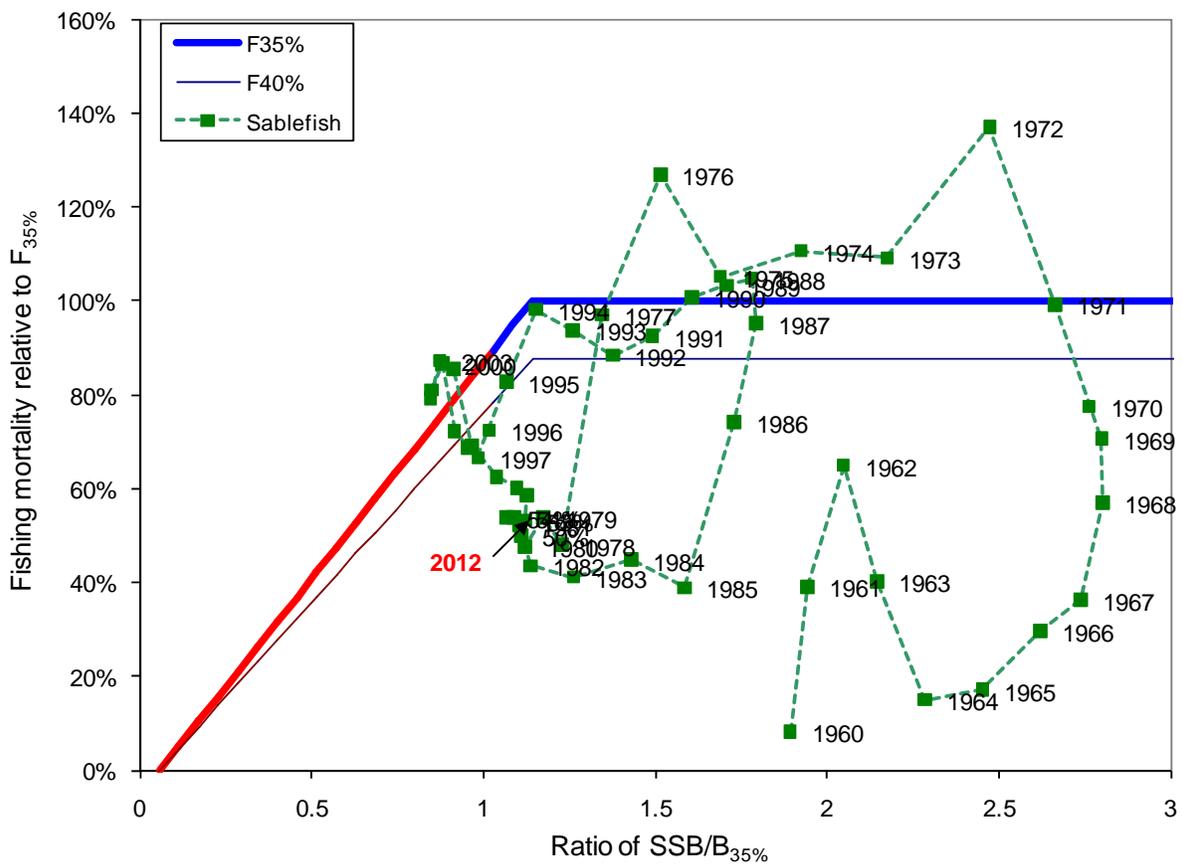


Figure 3.21. Phase-plane diagram of time series of sablefish estimated spawning biomass relative to the unfished level and fishing mortality relative to  $F_{OFL}$  for author recommended model.

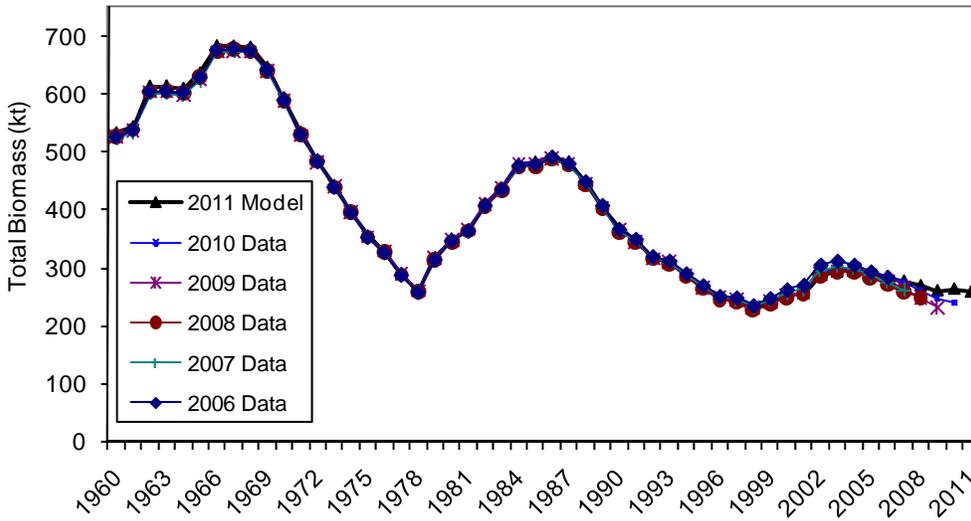
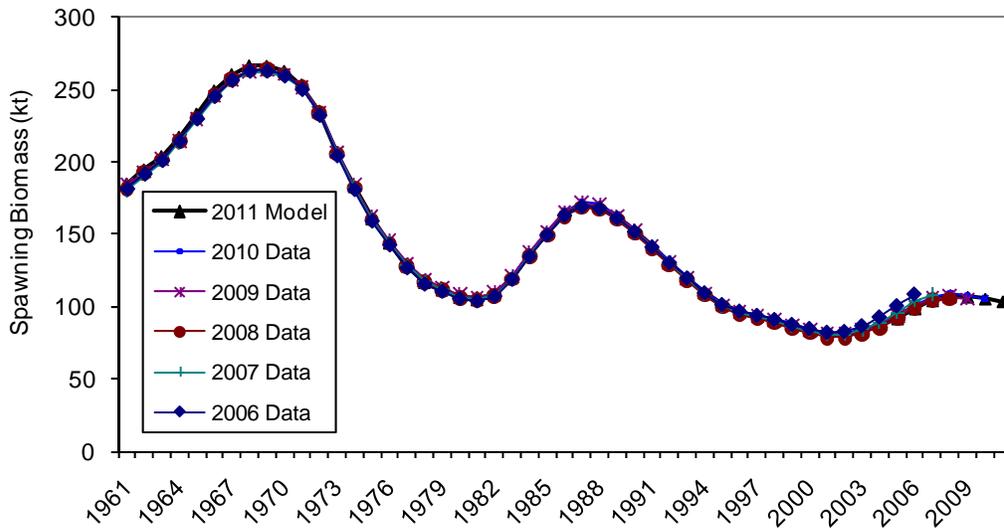


Figure 3.22a. Retrospective trends for spawning biomass (top) and total biomass (bottom) from 2006-2011.

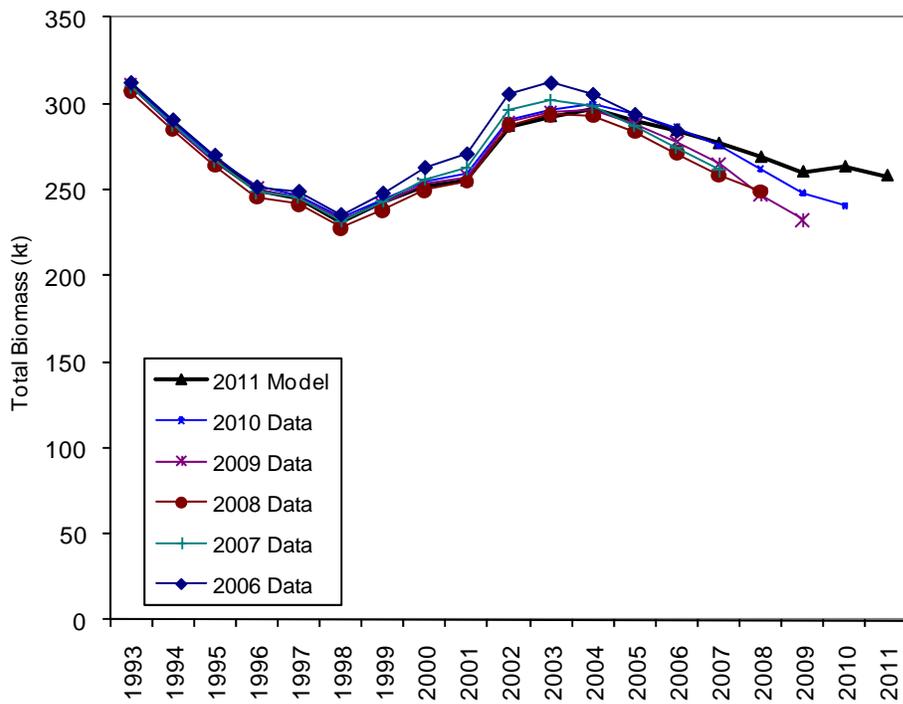
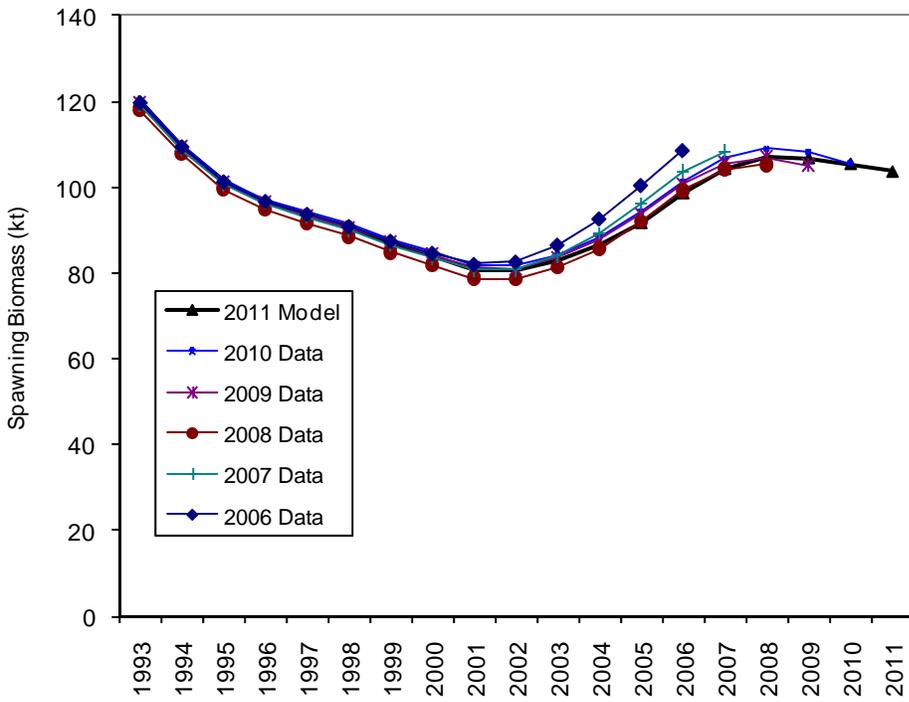


Figure 3.22b. Recent retrospective trends for spawning biomass and total biomass 2006-2011.

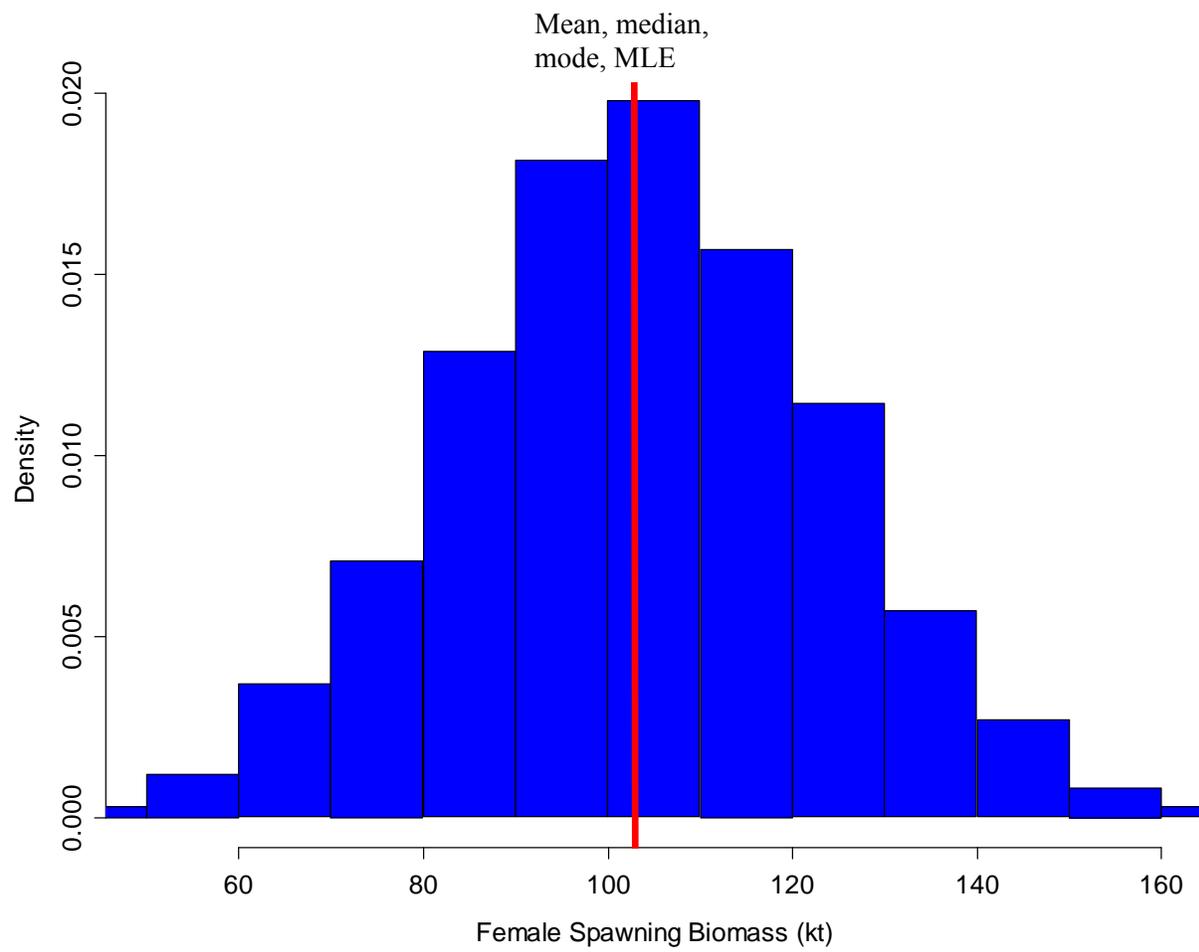


Figure 3.23. Posterior probability distribution for projected spawning biomass (thousands t) in 2012.

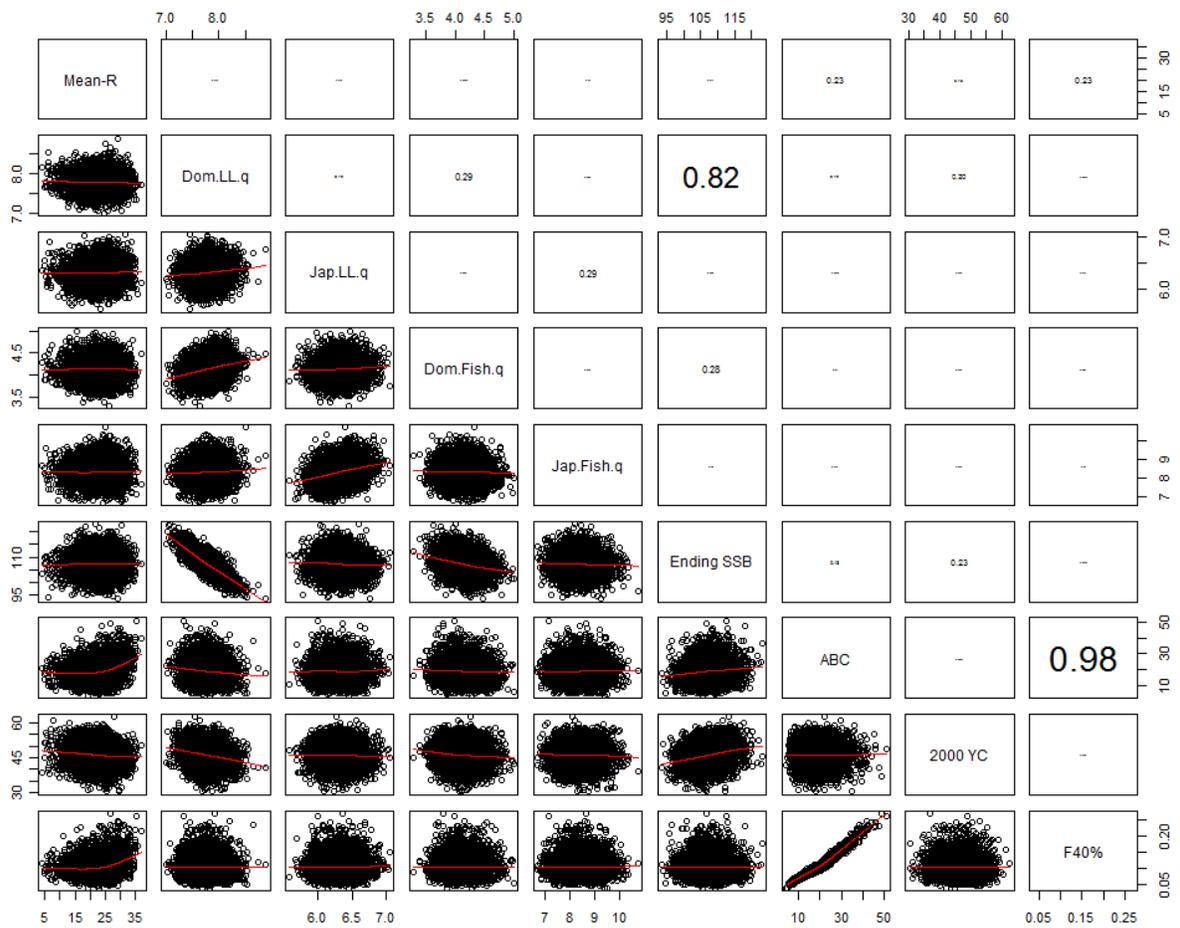


Figure 3.24. Pairwise scatterplots of key parameter MCMC runs. Red curve is loess smooth. Numbers in upper right hand panel are correlation coefficients between parameters.

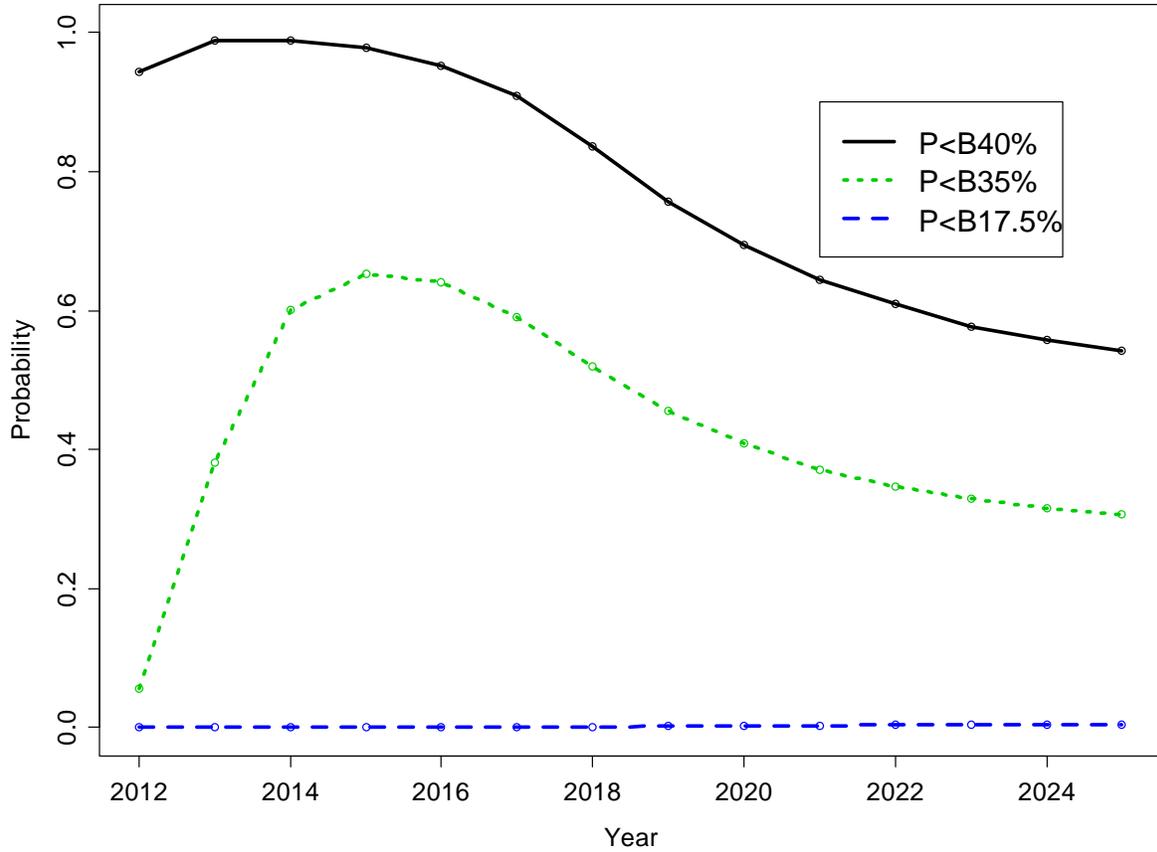


Figure 3.25. Probability that projected spawning biomass (from MCMC) will fall below  $B_{40\%}$ ,  $B_{35\%}$  and  $B_{17.5\%}$ .

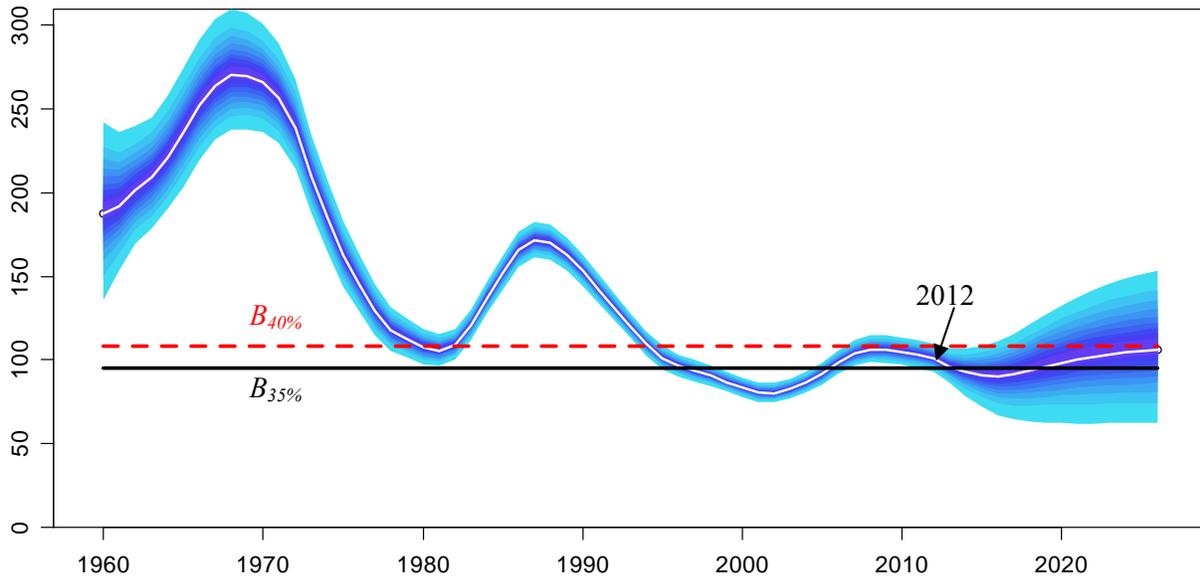


Figure 3.26. Estimates of female spawning biomass (thousands t) and their uncertainty. White line is the median and shaded fills are 5% increments of the posterior probability distribution of spawning biomass based on 20,000,000 MCMC simulations. Width of shaded area is the 95% credibility interval. Harvest policy is least conservative with catch at maximum permissible ABC.

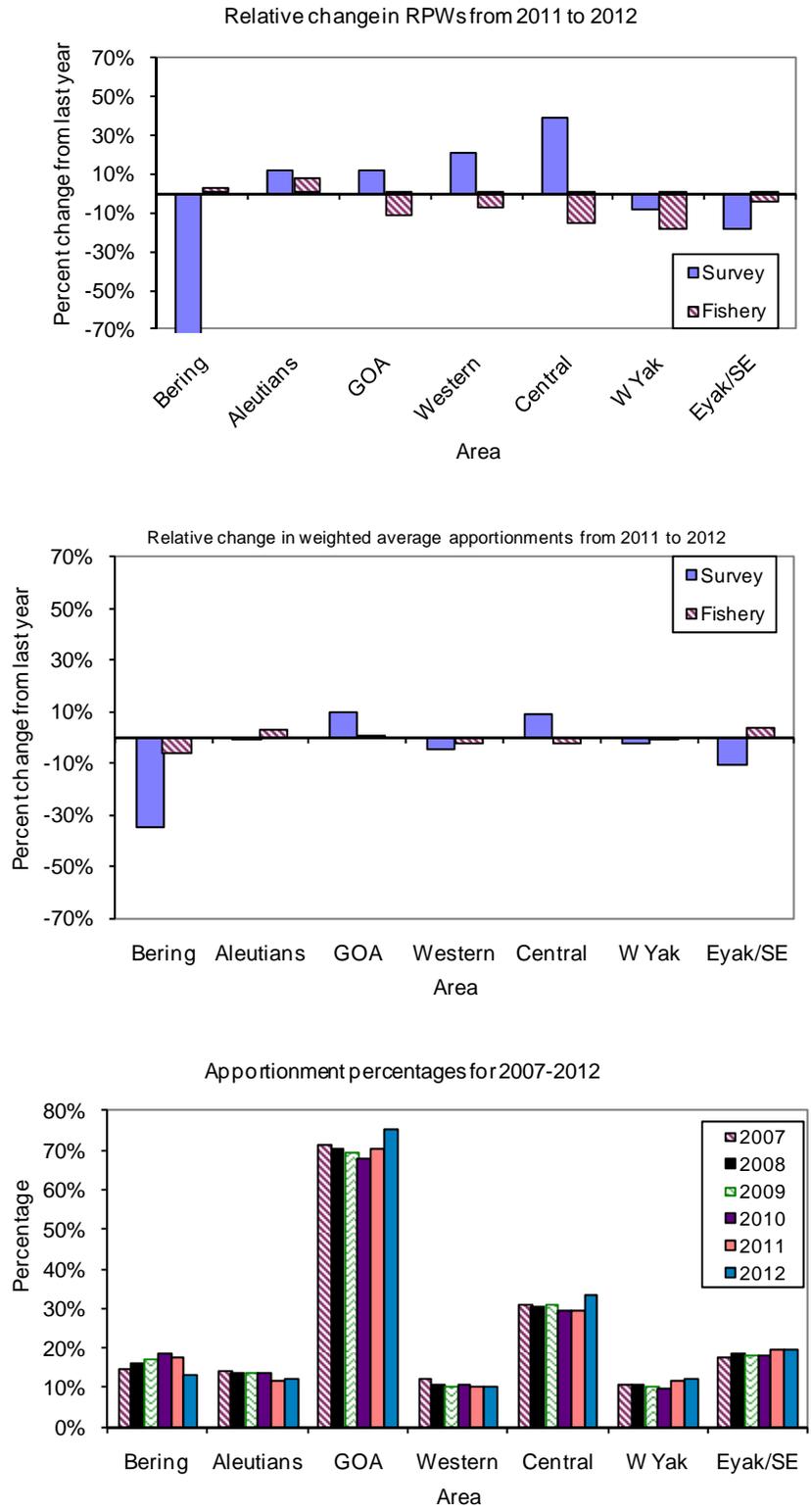


Figure 3.27. (a) The percentage change of each Relative Population Weight (RPW) index by area from 2011 apportionment to the 2012 apportionment. (b) The percentage change of the weighted average of apportionment by area. (c) The apportionment percentages by area of ABCs for 2007-2012.

**2005 GOA Adult sablefish consumption (tons)**

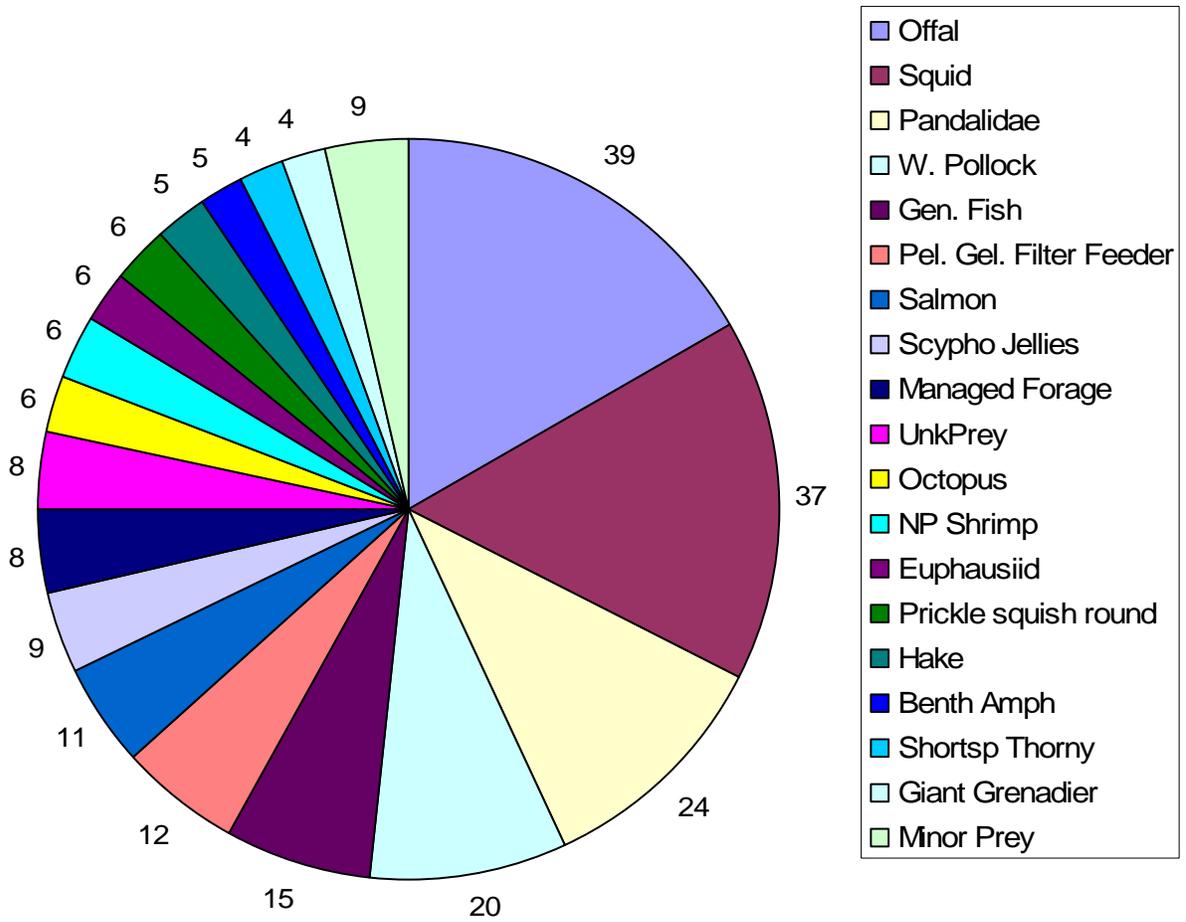


Figure 3.28. Consumption of prey in tons by sablefish in the Gulf of Alaska in 2005. Minor prey category are prey that totaled less than 4 tons of consumption.

## Appendix 3A.--Sablefish longline survey - fishery interactions

NMFS has requested the assistance of the fishing fleet to avoid the annual sablefish longline survey since the inception of sablefish IFQ management in 1995. We requested that fishermen stay at least five nautical miles away from each survey station for 7 days before and 3 days after the planned sampling date (3 days allow for survey delays). Beginning in 1998, we also revised the longline survey schedule to avoid the July 1 rockfish trawl fishery opening as well as other short, but less intense fisheries.

### *History of interactions*

Publicity, the revised longline survey schedule, and fishermen cooperation generally have been effective at reducing fishery interactions. Distribution of the survey schedule to all IFQ permit holders, radio announcements from the survey vessel, and the threat of a regulatory rolling closure have had intermittent success at reducing the annual number of longline fishery interactions.

Since 2000, the number of vessels fishing near survey stations has remained relatively low. During the past several surveys, many fishing vessels were contacted by the survey vessel and in most cases fishermen were aware of the survey or willing to help out by fishing other grounds to avoid potential survey interactions.

### Longline Survey-Fishery Interactions

Year	<u>Longline</u>		<u>Trawl</u>		<u>Pot</u>		<u>Total</u>	
	Stations	Vessels	Stations	Vessels	Stations	Vessels	Stations	Vessels
1995	8	7	9	15	0	0	17	22
1996	11	18	15	17	0	0	26	35
1997	8	8	8	7	0	0	16	15
1998	10	9	0	0	0	0	10	9
1999	4	4	2	6	0	0	6	10
2000	10	10	0	0	0	0	10	10
2001	1	1	1	1	0	0	2	2
2002	3	3	0	0	0	0	3	3
2003	4	4	2	2	0	0	6	6
2004	5	5	0	0	1	1	6	6
2005	1	1	1	1	0	0	2	2
2006	6	6	1	2	0	0	7	8
2007	8	6	2	2	0	0	10	8
2008	2	2	2	2	0	0	4	4
2009	3	3	0	0	0	0	3	3
2010	2	2	1	1	0	0	3	3
2011	3	3	0	0	0	0	3	3

### *Recommendation*

We have followed several practical measures to alleviate fishery interactions with the survey. Trawl fishery interactions generally have decreased; longline fishery interactions have been low except in 2006 and 2007. We will continue to work with association representatives and individual fishermen from the longline and trawl fleets to reduce fishery interactions and ensure accurate estimates of sablefish abundance.

## **Appendix 3B.—Supplemental catch data**

In order to comply with the Annual Catch Limit (ACL) requirements, two new datasets have been generated to help estimate total catch and removals from NMFS stocks in Alaska.

The first dataset, non-commercial removals, estimates total removals that do not occur during directed groundfish fishing activities. This includes removals incurred during research, subsistence, personal use, recreational, and exempted fishing permit activities, but does not include removals taken in fisheries other than those managed under the groundfish FMP. These estimates represent additional sources of removals to the existing Catch Accounting System estimates. For sablefish, these estimates can be compared to the research removals reported in previous assessments (Hanselman et al. 2010) (Table 3B.1). The sablefish research removals are substantial relative to the fishery catch and compared to the research removals for many other species. These research removals support a dedicated longline survey. Additional sources of significant removals are bottom trawl surveys and the International Pacific Halibut Commissions longline survey. Recreational removals are relatively minor for sablefish. Total removals from activities other than directed fishery were near 359 tons in 2010. This is 2.2% of the 2011 recommended ABC of 16,040 and represents a relatively low risk to the sablefish stock. In general, if these removals were accounted for in the stock assessment model, it would likely result in an increase in ABC of comparable magnitude.

The second dataset, Halibut Fishery Incidental Catch Estimation (HFICE), is an estimate of the incidental catch of groundfish in the halibut IFQ fishery in Alaska, which is currently unobserved. To estimate removals in the halibut fishery, methods were developed by the HFICE working group and approved by the Gulf of Alaska and Bering Sea/Aleutian Islands Plan Teams and the Scientific and Statistical Committee of the North Pacific Fishery Management Council. A detailed description of the methods is available in Tribuzio et al. (2011).

These estimates are for total catch of groundfish species in the halibut IFQ fishery and do not distinguish between “retained” or “discarded” catch. These estimates should be considered a separate time series from the current CAS estimates of total catch. Because of potential overlaps HFICE removals should not be added to the CAS produced catch estimates. The overlap will apply when groundfish are retained or discarded during an IFQ halibut trip. IFQ halibut landings that also include landed groundfish are recorded as retained in eLandings and a discard amount for all groundfish is estimated for such landings in CAS. Discard amounts for groundfish are not currently estimated for IFQ halibut landings that do not also include landed groundfish. For example, catch information for a trip that includes both landed IFQ halibut and sablefish would contain the total amount of sablefish landed (reported in eLandings) and an estimate of discard based on at-sea observer information. Further, because a groundfish species was landed during the trip, catch accounting would also estimate discard for all groundfish species based on available observer information and following methods described in Cahalan et al. (2010). The HFICE method estimates all groundfish caught during a halibut IFQ trip and thus is an estimate of groundfish caught whether landed or discarded. This prevents simply adding the CAS total with the HFICE estimate because it would be analogous to counting both retained and discarded groundfish species twice. Further, there are situations where the HFICE estimate includes groundfish caught in State waters and this would need to be considered with respect to ACLs (e.g. Chatham Strait sablefish fisheries). Therefore, the HFICE estimates should be considered preliminary estimates for what is caught in the IFQ halibut fishery. Improved estimates of groundfish catch in the halibut fishery may become available following restructuring of the Observer Program in 2013.

The HFICE estimates of sablefish catch by the halibut fishery are substantial and represent approximately 10% of the annual sablefish ABC (Table 3B.2). Sablefish and halibut are often caught and landed in association with each other by the IFQ fishery. It is unknown what level of sablefish catch reported here is already accounted for as IFQ harvest in the CAS system because the HFICE estimates do not separate retained and discarded catch. If these were strictly additive removals, 10% would represent a significant amount of additional mortality and a potential risk to the stock, but how much is additive is unknown. The HFICE estimates may represent some valuable discard information for sablefish, but that level is unknown until these estimates are separated from the IFQ landings and CAS system.

**References:**

- Cahalan J., J. Mondragon., and J. Gasper. 2010. Catch Sampling and Estimation in the Federal Groundfish Fisheries off Alaska. NOAA Technical Memorandum NMFS-AFSC-205. 42 p.
- Hanselman, D. H., C. Lunsford, and C. Rodgveller. 2010. Alaskan Sablefish. In Stock assessment and fishery evaluation report for the groundfish resources of the GOA and BS/AI as projected for 2010. North Pacific Fishery Management Council, 605 W 4th Ave, Suite 306 Anchorage, AK 99501.pp.
- Tribuzio, CA, S Gaichas, J Gasper, H Gilroy, T Kong, O Ormseth, J Cahalan, J DiCosimo, M Furuness, H Shen, K Green. 2011. Methods for the estimation of non-target species catch in the unobserved halibut IFQ fleet. August Plan Team document. Presented to the Joint Plan Teams of the North Pacific Fishery Management Council.

Table 3B.1 Total removals of sablefish (t) from activities not related to directed fishing, since 1977. Trawl survey sources are a combination of the NMFS echo-integration, small-mesh, GOA, AI, and BS Slope bottom trawl surveys, and occasional short-term research projects. Other is recreational, personal use, and subsistence harvest.

Year	Source	Trawl	Japan US longline survey	Domestic longline survey	IPHC longline survey*	Other	Total
1977		3					3
1978		14					14
1979		27	104				131
1980		70	114				184
1981		88	150				238
1982		108	240				348
1983		46	236				282
1984		127	284				412
1985		186	390				576
1986		123	396				519
1987		117	349				466
1988		15	389	303			707
1989		4	393	367			763
1990		26	272	366			664
1991	<b>Assessment of the sablefish stock in Alaska (Hanselman et al. 2010)</b>	3	255	386			645
1992		0	281	393			674
1993		39	281	408			728
1994		1	271	395			667
1995		0		386			386
1996		13		430			443
1997		1		396			397
1998		26		325	50		401
1999		43		311	49		403
2000		2		290	53		345
2001	11		326	48		386	
2002	3		309	58		370	
2003	16		280	98		393	
2004	2		288	98		387	
2005	18		255	92		365	
2006	2		287	64		352	
2007	17		266	48		331	
2008	3		262	46		310	
2009		14		242	47		257
2010	AKRO	3		291	50	15	359

\* IPHC survey sablefish removals are released and estimates from mark-recapture studies suggest that these removals are expected to produce low mortality. Some state removals are included.

Table 3B.2. Estimates of Alaska sablefish catch (t) from the Halibut Fishery Incidental Catch Estimation (HFICE) working group. AI = Aleutian Islands, WGOA = Western Gulf of Alaska, CGOA = Central Gulf of Alaska, EGOA = Eastern Gulf of Alaska, PWS = Prince William Sound.

<u>Area</u>	<u>2001</u>	<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>
Western/Central AI	27	19	34	18	14	11	36	44	17	23
Eastern AI	18	16	46	26	20	6	4	13	6	7
WGOA	10	9	12	22	21	16	7	12	3	12
CGOA-Shumagin	184	27	36	65	60	47	21	38	10	37
CGOA-Kodiak/ PWS*	802	107	96	89	82	49	57	33	69	63
EGOA-Yakutat	110	324	291	258	240	149	175	103	207	195
EGOA-Southeast	339	335	389	315	269	242	230	184	242	262
Southeast Inside*	459	1,018	1,181	917	786	739	701	574	731	805
Total	1,948	2,231	2,346	2,469	2,194	2,476	1,937	1,874	1,921	1,594

\*These areas include removals from the state of Alaska.

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