

## CAP PPA CHECKLIST

### I. BASIC INFORMATION:

- a. Name of Project/Location: \_\_\_\_\_
- b. Authority:     \_\_\_ Section 14                     \_\_\_ Section 103  
                  \_\_\_ Section 107                 \_\_\_ Section 111  
                  \_\_\_ Section 205                 \_\_\_ Section 208
- c. Non-Federal Sponsor(s): \_\_\_\_\_
- d. Scheduled date for execution of the PPA: \_\_\_\_\_
- e. Scheduled Advertisement Date: \_\_\_\_\_
- f. Scheduled Award Date: \_\_\_\_\_
- g. Scheduled Project Completion Date: \_\_\_\_\_

### II. PROJECT DOCUMENTS:

- a. Title/Date/Approving Authority of Project Report Supporting PPA: \_\_\_\_\_
- b. Project Management Plan Approval Date: \_\_\_\_\_
- c. Estimated Implementation Cost: \$ \_\_\_\_\_
- d. Date Cost Estimate Prepared and Price Level: \_\_\_\_\_
- e. Date of Latest Economic Analysis: \_\_\_\_\_
- f. Current Economics: BCR     \_\_\_\_\_ @ \_\_\_\_\_ % FY \_\_\_\_\_

### III. PROJECT SPECIFIC: ANSWER QUESTION(S) ADDRESSING SPECIFIC AUTHORITY FOR PROJECT.

#### a. Section 103:

Is a separable recreation feature included in the project? \_\_\_ Yes \_\_\_ No

**b. Section 107:**

Is material from the navigation channel being placed on a beach? \_\_\_ Yes \_\_\_ No

If Yes, is disposal on the beach the least cost alternative? \_\_\_ Yes \_\_\_ No

If No, does the PPA reflect 50/50 cost sharing of the additional cost for disposal?

\_\_\_ Yes \_\_\_ No If No, explain.

**c. Section 111:**

If the Federal share of the implementation costs, including periodic nourishment, exceed \$2,000,000, has specific Congressional authority been received to proceed with the project? \_\_\_ Yes \_\_\_ No \_\_\_ N/A

**d. Section 205:**

Is a separable recreation feature included in the project? \_\_\_ Yes \_\_\_ No

**e. Section 208:**

Is a separable recreation feature included in the project? \_\_\_ Yes \_\_\_ No

**IV. FUNDING HISTORY**

a. Allocations to Date:

	Federal	Non-Federal
Feasibility	_____	_____
Etc.		

b. Remaining Requirements:

Plans & Specifications	_____	_____
Construction	_____	_____
Etc.		

c. Total Allocations:

_____	_____
-------	-------

**V. COST SHARING SUMMARY:**

Purpose (s)	Non- Fed Cash	Non-Fed LERRD	Total Non-Fed Share	Federal Share	Total Implementation Cost
-------------	------------------	------------------	---------------------------	------------------	---------------------------------

_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

Total

- a. Annual Non-Fed OMRR&R Costs As Applicable \_\_\_\_\_
- b. Source of Non-Federal Funds: \_\_\_\_\_
- c. Source, Amount, & Date of Authorization From Granting Agency for Other Agency Funds (Attach Authorizing Letter) : \_\_\_\_\_
- d. Status of Sponsor Obtaining Funds: \_\_\_\_\_

**VI. SPECIAL CONDITIONS**

- a. Sub-agreement Required for Sponsor to Perform: \_\_\_ Yes \_\_\_ No Describe the Sub-agreement and How It Relates to the Sponsor’s Requirements Under the PPA \_\_\_\_\_
- b. As Applicable, Describe the Allocation of Costs Among the Multiple Sponsors: \_\_\_\_\_
- c. As Applicable Describe Special Cost Sharing IAW Section 1156, Public Law 99-662.
- d. List and Provide Cost Estimates For Betterments to be Funded by the Sponsor: \_\_\_\_\_

**VII. REVIEW BY NON-FEDERAL SPONSOR AND ITS COUNSEL**

- a. Does the non-Federal sponsor concur in the PPA as submitted? \_\_\_ Yes \_\_\_ No
- b. Has PPA as submitted , including the Certificate of Authority, been reviewed by the non-Federal sponsor’s counsel? \_\_\_ Yes \_\_\_ No

**VIII. CERTIFICATION FOR DELEGATED PPA’s:**

**a. PROJECT PLAN**

Has the Project Report (Item IIa) been approved by the Director of Civil Works or other official to which authority has been delegated? \_\_\_ Yes \_\_\_ No

If there were conditions associated with the approval, have they been resolved with the organizational level attaching the conditions? \_\_\_ Yes \_\_\_ No \_\_\_ N/A

If the project to be described in the PPA deviates from the approved project document plan, was it approved by the approving authority? \_\_\_ Yes \_\_\_ No \_\_\_ N/A

**b. FUNDING**

If funds were added by Congress, has guidance been furnished by HQUSACE regarding the scope of the project to be built and any limiting language to be included in the PPA? \_\_\_ Yes \_\_\_ No \_\_\_ N/A

Does the proposal comply with HQUSACE Congressional add guidance? \_\_\_ Yes \_\_\_ No \_\_\_ N/A

**c. AUTHORITY**

Is authority adequate to complete the project as proposed? \_\_\_ Yes \_\_\_ No

**d. ENVIRONMENTAL COMPLIANCE**

Have all applicable environmental requirements been met and clearances received? \_\_\_ Yes \_\_\_ No

Show actual or scheduled completion dates for environmental compliance.

Dates of Environmental Compliance : FONSI Signed \_\_\_ EIS Filed \_\_\_ ROD Signed \_\_\_  
ESA Sec. 7 Concluded \_\_\_ CZM Consistency Determination \_\_\_  
401 Certification \_\_\_ or 404(r) \_\_\_ 404(b) (1) \_\_\_ Sec 103 MPRSA Eval \_\_\_  
Sec 106 NHPA (SHPO and/or ACHP) \_\_\_ USFWS Coord. Act Rpt. \_\_\_  
Clean Air Act \_\_\_

Have the costs of compliance with the above clearances been adequately defined and included in the project cost estimate to ensure the sponsor is not required to provide unexpected funds? \_\_\_ Yes \_\_\_ No

**e. FINANCING PLAN**

Has the Project Manager certified that the sponsor has the funds to share in the project costs as required by the PPA? \_\_\_ Yes \_\_\_ No

**f. PPA**

Is the PPA in accordance with the model PPA for this type project? \_\_\_ Yes \_\_\_ No

**g. DISTRICT COUNSEL REVIEW OF PPA**

Has the District Counsel reviewed and approved the draft PPA for legal sufficiency?

\_\_\_ Yes (Certification attached) \_\_\_ No

**IX. PPA NOT DELEGATED FOR APPROVAL: PPA MUST BE SUBMITTED TO HQUSACE FOR ASA(CW) APPROVAL WHEN THERE ARE DEVIATIONS FROM THE MODEL PPA OR THE FOLLOWING APPLY:**

a. If the PPA includes any deviation from the approved Project Report, describe them and the reasons why.

b. If there are issues/controversies that must be resolved to enable the PPA to be signed, describe how and when they will be resolved.

c. If the project is a Congressional add and the PPA covers more than the limit of Federal appropriations, describe why and how this can be recommended.

**X. AUTHENTICATION:**

\_\_\_\_\_  
Project Manager

Date: \_\_\_\_\_

\_\_\_\_\_  
DDE (PM)

Date: \_\_\_\_\_

\_\_\_\_\_  
District Commander

Date: \_\_\_\_\_