In the United States Court of Federal Claims

OFFICE OF SPECIAL MASTERS

No. 10-672V Filed: September 11, 2012

LUKE G. ANDERSON,	NOT TO BE PUBLISHED
Petitioner,)
v. SECRETARY OF HEALTH AND HUMAN SERVICES,	Stipulation; influenza vaccination;) aplastic anemia; avascular necrosis))
Respondent.))

<u>Lisa A. Roquemore</u>, Law Offices of Lisa A. Roquemore, Irvine, CA, for Petitioner; <u>Chrysovalantis P. Kefalas</u>, U.S. Dep't of Justice, Washington, D.C., for Respondent.

DECISION¹

LORD, Special Master.

On September 7, 2012, the parties in the above-captioned case filed a Stipulation memorializing their agreement as to the appropriate amount of compensation in this case. Luke G. Anderson ("Petitioner") alleges that he suffered injuries related to his receipt of the influenza vaccine, which vaccine is contained in the Vaccine Injury Table, 42 C.F.R § 100.3(a). Specifically, Petitioner alleges that he sustained the first symptom or manifestation of the onset of aplastic anemia and avascular necrosis as a result of receiving an influenza vaccine on October 28, 2008, and that he experienced the residual effects of these injuries for more than six months. Petitioner represents that there has been no prior award or settlement of a civil action for damages on his behalf as a result of his condition. Petitioner seeks compensation related to these injuries pursuant to the National Vaccine Injury Compensation Program, 42 U.S.C. §300aa-10 to 34.

Respondent denies that Petitioner suffered the onset of aplastic anemia and avascular necrosis as the result of his October 28, 2008, influenza vaccination and denies that the influenza vaccine caused his aplastic anemia and avascular necrosis

¹ In accordance with Vaccine Rule 18(b), Petitioner has 14 days to file a proper motion seeking redaction of medical or other information that satisfies the criteria in 42 U.S.C. § 300aa-12(d)(4)(B). Redactions ordered by the special master, if any, will appear in the document as posted on the United States Court of Federal Claims' website.

and current disabilities. Nonetheless, the parties have agreed informally to resolve this matter.

The Court hereby ADOPTS the parties' said Stipulation, attached hereto as Appendix A, and awards compensation in the amount and on the terms set forth therein. Specifically, Petitioner is awarded:

- a. A lump sum of \$2,900,000.00 in the form of a check payable to Petitioner, Luke G. Anderson, which amount represents compensation for all damages that would be available under 42 U.S.C. § 300aa-15(a), except as set forth in paragraph 8.b., of the attached Stipulation, (attached as Appendix A); and
- An amount sufficient to purchase the annuity contract described in paragraph 10 of the attached Stipulation (attached as Appendix A), paid to the life insurance company from which the annuity will be purchased.

The Court thanks the parties for their cooperative efforts in resolving this matter. In the absence of a motion for review filed pursuant to RCFC, Appendix B, the Clerk is directed to enter judgment accordingly.²

IT IS SO ORDERED.

s/Dee Lord
Dee Lord
Special Master

² Pursuant to Vaccine Rule 11(a), the parties can expedite entry of judgment by each party filing a notice renouncing the right to seek review by a United States Court of Federal Claims judge.

IN THE UNITED STATES COURT OF FEDERAL CLAIMS OFFICE OF SPECIAL MASTERS

LUKE G. ANDERSON,		
Petitioner,)	
V.)	No. 10-672V
SECRETARY OF HEALTH)	Special Master Lord
AND HUMAN SERVICES)	ECF
Respondent.)	

STIPULATION

The parties hereby stipulate to the following matters:

- 1. Luke G. Anderson, petitioner, filed a petition for vaccine compensation under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300aa-10 to 34 (the "Vaccine Program"). The petition seeks compensation for injuries allegedly related to petitioner's receipt of the influenza vaccine, which vaccine is contained in the Vaccine Injury Table (the "Table"), 42 C.F.R. § 100.3 (a).
 - 2. Petitioner received his influenza immunization on October 28, 2008.
 - 3. The vaccination was administered within the United States.
- 4. Petitioner alleges that he sustained the first symptom or manifestation of the onset of aplastic anemia and avascular necrosis as a consequence of an influenza vaccine, and that he experienced the residual effects of this injury for more than six months.
- 5. Petitioner represents that there has been no prior award or settlement of a civil action for damages on his behalf as a result of his condition.
- 6. Respondent denies that petitioner suffered the onset of aplastic anemia and avascular necrosis as the result of his October 28, 2008 influenza vaccination and denies that the influenza

vaccine caused his aplastic anemia and avascular necrosis and current disabilities.

- 7. Maintaining their above-stated positions, the parties nevertheless now agree that the issues between them shall be settled and that a decision should be entered awarding the compensation described in paragraph 8 of this Stipulation.
- 8. As soon as practicable after an entry of judgment reflecting a decision consistent with the terms of this Stipulation, and after petitioner has filed an election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), the Secretary of Health and Human Services will issue the following vaccine compensation payments:
 - a. A lump sum of \$2,900,000.00, which amount represents compensation for all damages that would be available under 42 U.S.C. § 300aa-15(a), except as set forth in paragraph 8.b., in the form of a check payable to petitioner;
 - b. An amount sufficient to purchase the annuity contract described in paragraph 10 below, paid to the life insurance company from which the annuity will be purchased (the "Life Insurance Company").
- 9. The Life Insurance Company must have a minimum of \$250,000,000.00 capital and surplus, exclusive of any mandatory security valuation reserve. The Life Insurance Company must have one of the following ratings from two of the following rating organizations:
 - a. A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;
 - b. Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;
 - c. Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA+, or AAA;
 - d. Fitch Credit Rating Company, Insurance Company Claims Paying Ability Rating: AA-, AA, AA+, or AAA.
- 10. The Secretary of Health and Human Services agrees to purchase an annuity contract from the Life Insurance Company for the benefit of petitioner, Luke G. Anderson, pursuant to

which the Life Insurance Company will agree to make payments periodically to Luke G.

Anderson for all remaining damages that would be available under 42 U.S.C. §300aa-15(a), as follows:

a. Beginning as soon as practicable after the date of judgment, \$6,206.26 per month for 15 years life contingent, increasing at 3% compounded annually from the date payments begin.

The payments provided for in this paragraph 10 shall be made as set forth above. Written notice to the Secretary of Health and Human Services and to the Life Insurance Company shall be provided within twenty (20) days of Luke G. Anderson's death.

- 11. The annuity contract will be owned solely and exclusively by the Secretary of Health and Human Services and will be purchased as soon as practicable following the entry of a judgment in conformity with this Stipulation. The parties stipulate and agree that the Secretary of Health and Human Services and the United States of America are not responsible for the payment of any sums other than the amounts set forth in paragraph 8 herein and the amounts awarded pursuant to paragraph 12 herein, and that they do not guarantee or insure any of the future annuity payments. Upon the purchase of the annuity contract, the Secretary of Health and Human Services and the United States of America are released from any and all obligations with respect to future annuity payments.
- 12. As soon as practicable after the entry of judgment on entitlement in this case, and after petitioner has filed both a proper and timely election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), and an application, the parties will submit to further proceedings before the special master to award reasonable attorneys' fees and costs incurred in proceeding upon this petition.

- 13. Petitioner and his attorney represent that they have identified to respondent all known sources of payment for items or services for which the Program is not primarily liable under 42 U.S.C. § 300aa-15(g), including State compensation programs, insurance policies, Federal or State health benefits programs (other than Title XIX of the Social Security Act (42 U.S.C. § 1396 et seq.)), or entities that provide health services on a pre-paid basis.
- 14. Payments made pursuant to paragraph 8 and any amounts awarded pursuant to paragraph 12 of this Stipulation will be made in accordance with 42 U.S.C. § 300aa-15(i), subject to the availability of sufficient statutory funds.
- 15. The parties and their attorneys further agree and stipulate that, except for any award for attorneys' fees and litigation costs, and past unreimbursable expenses, the money provided pursuant to this Stipulation either immediately or as part of the annuity contract, will be used solely for petitioner's benefit as his sole and separate property as contemplated by a strict construction of 42 U.S.C. § 300aa-15(a) and (d), and subject to the conditions of 42 U.S.C. § 300aa-15(g) and (h).
- 16. In return for the payments described in paragraphs 8 and 12, petitioner, in his individual capacity, on behalf of his heirs, executors, administrators, successors or assigns, does forever irrevocably and unconditionally release, acquit and discharge the United States and the Secretary of Health and Human Services from any and all actions or causes of action (including agreements, judgments, claims, damages, loss of services, expenses and all demands of whatever kind or nature) that have been brought, could have been brought, or could be timely brought in the Court of Federal Claims, under the National Vaccine Injury Compensation Program, 42

- U.S.C. § 300 aa-10 et seq., on account of, or in any way growing out of, any and all known or unknown, suspected or unsuspected personal injuries to or death of petitioner resulting from, or alleged to have resulted from, the influenza vaccination administered on or about October 28, 2008, as alleged by petitioner in a petition for vaccine compensation filed on October 5, 2010, in the United States Court of Federal Claims as petition No. 10-672V.
- 17. If petitioner should die prior to entry of judgment, this agreement shall be voidable upon proper notice to the Court on behalf of either or both of the parties.
- 18. If the special master fails to issue a decision in complete conformity with the terms of this Stipulation or if the Court of Federal Claims fails to enter judgment in conformity with a decision that is in complete conformity with the terms of this Stipulation, then the parties' settlement and this Stipulation shall be voidable at the sole discretion of either party.
- 19. This Stipulation expresses a full and complete negotiated settlement of liability and damages claimed under the National Childhood Vaccine Injury Act of 1986, as amended, except as otherwise noted in paragraph 12 above. There is absolutely no agreement on the part of the parties hereto to make any payment or to do any act or thing other than is herein expressly stated and clearly agreed to. The parties further agree and understand that the award described in this Stipulation may reflect a compromise of the parties' respective positions as to liability and/or amount of damages, and further, that a change in the nature of the injury or condition or in the items of compensation sought, is not grounds to modify or revise this agreement.
- 20. Petitioner hereby authorizes respondent to disclose documents filed by petitioner in this case consistent with the Privacy Act and the routine uses described in the National Vaccine

Injury Compensation Program System of Records, No. 09-15-0056.

- 21. This Stipulation shall not be construed as an admission by the United States or the Secretary of Health and Human Services that petitioner suffered the onset of aplastic anemia and avascular necrosis as the result of his influenza vaccination or that the vaccine caused his aplastic anemia and avascular necrosis.
- 22. All rights and obligations of petitioner hereunder shall apply equally to petitioner's heirs, executors, administrators, successors, and/or assigns.

END OF STIPULATION

Respectfully submitted,

PETITIONER:

LUKE G. ANDERSON

ATTORNEY OF RECORD FOR PETITIONER:

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GEOFFREY EVANS, M.D.

Director, Division of

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Healthcare Systems Bureau

U.S. Department of Health

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Dated: September 7, 2012

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