

PRIVATE SECTOR GRANTEE DUE DILIGENCE

I. Grantee Profile

In addition, potential private sector Grantees must provide the information listed below.

1. Name of firm and business address (street address only), including telephone and fax numbers.
2. Year established (include predecessor companies and year(s) established, if appropriate).
3. Type of ownership (e.g. public, private or closely held).
4. If private or closely held company, provide list of shareholders and the percentage of their ownership.
5. List of directors and principal officers (President, Chief Executive Officer, Vice-President(s), Secretary and Treasurer; provide full names including first, middle and last). Please place an asterisk (*) next to the names of those principal officers who will be involved in the Feasibility Study.
6. If Grantee is a subsidiary, indicate if Grantee is a wholly-owned or partially-owned subsidiary. Provide the information requested in items 1 through 5 above for the Grantee's parent(s).
7. Project Manager's name, address, telephone number, e-mail address and fax number.

II. Grantee Representations

As part of its due diligence review process, USTDA requires that potential private sector Grantees provide it with the information detailed below. In addition to answering all questions presented below, please attach copies of all documents requested.

1. Grantee is duly organized, validly existing and in good standing under the laws of _____. Grantee has all the requisite power and authority to conduct its business as presently conducted and to execute and deliver a contract to the Contractor for the performance of the Feasibility Study. Grantee is not debarred, suspended, or to the best of its knowledge or belief, proposed for debarment or ineligible for the award of grants by any federal or state governmental agency or authority.

2. Grantee has included its Charter or Articles of Incorporation and a certificate of good standing issued within one month of the date of signature below, or the equivalents thereof, issued by the government of _____.
3. Neither the Grantee nor any of its principal officers have, within the three-year period preceding the submission of this proposal, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing an international, federal, state or local government contract or subcontract; violation of any antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating federal or state criminal tax laws, or receiving stolen property.
4. Neither the Grantee, nor any of its principal officers, is presently indicted for, or otherwise criminally or civilly charged with, commission of any of the offenses enumerated in paragraph 2 above.
5. There are no tax liens pending against the assets, property or business of the Grantee. The Grantee, has not, within the three-year period preceding the submission of this proposal, been notified of any delinquent taxes in an amount that exceeds US\$3,000 for which the liability remains unsatisfied. Taxes are considered delinquent if (a) the tax liability has been fully determined, with no pending administrative or judicial appeals; and (b) a taxpayer has failed to pay the tax liability when full payment is due and required.
6. The Grantee has not commenced a voluntary case or other proceeding seeking liquidation, reorganization or other relief with respect to itself of its debts under any bankruptcy, insolvency or other similar law. The Grantee has not had filed against it an involuntary petition under any bankruptcy, insolvency or similar law. The Grantee shall notify USTDA if any of the representations included herein are no longer true and correct at the time of its entry into a contract with the Contractor.

Name of Authorized Representative: _____

Signature of Authorized Representative: _____

Date: _____