

National Transportation Safety Board

## Managing Fatigue in 24/7 Ops: Risks, Fatigue Factors, and Strategies Honorable Mark R. Rosekind, Ph.D. Board Member

USCG Senior Executives May 10, 2012 Guantanamo Bay, Cuba

First NTSB aviation accident to cite fatigue as probable cause



• acute sleep loss, sleep debt, circadian disruption



## Owatonna, MN (July 31, 2008)







#### Miami, Oklahoma (June 26, 2009)

10 fatalities3 serious injuries2 minor injuries5 no injuries

Kia Spectra

Ford

Windstar

Source: Oklahoma State Police

Hyundai

Sonata

## Red Oak, Iowa (April 7, 2011)



#### 2 fatalities



Collision of Tankship Eagle Otome with Cargo Vessel Gull Arrow and Subsequent Collision with the Dixie Vengeance Tow Sabine-Neches Canal, Port Arthur, Texas January 23, 2010









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## Eagle Otome, Port Arthur, TX



#### January 23, 2010



## **Probable Cause/Contributing Factors**

"Contributing to the accident was the first pilot's fatigue, caused by his untreated obstructive sleep apnea and his work schedule, which did not permit adequate sleep;"



## Fatigue Risks

#### awake/alert

asleep

reduced performance

### variability



## Fatigue Risks

degraded 20 – 50%+:

- reaction time
- memory
- communication
- situational awareness

- judgment
- attention
- mood

- increased:
  - irritability
    apathy

attentional lapses
microsleeps



## **Fatigue and Reaction Times**



Doran SM, Van Dongen HP, Dinges DF. Sustained attention performance during sleep deprivation: evidence of state instability. *Archives of Italian Biology: Neuroscience* 2001;139:253-267.

NTSB

## **Fatigue Factors**

sleep

circadian clock

hours awake

sleep disorders



## Sleep Requirement





## **Cumulative Sleep Debt**

Hours

of

Sleep



#### Time (days)

Sleep Need – Actual Sleep = Sleep Debt Sleep debt grows cumulatively over time



Sleep Loss and Alcohol: Performance Equivalents

<u>Sleep loss (hrs)</u>	<u>12oz Beers</u>	BrEC%
2	2 - 3	.045%
4	5 - 6	.095%
6	7 - 8	.102%
8	10 - 11	.190%

Roehrs et al. Sleep, Vol. 26, No. 8, 2003



## "Adapting" to Shift Work

- In most instances, complete circadian adaptation to night shift work never occurs
  - early morning light prevents adaptation
  - reversion to day-active schedule on days off







## Sleep Apnea is a Safety Risk

# > 6 times increased risk for car crash SA performance = .06 - .08 BA



#### **Alertness Reports Often Inaccurate**



Adapted from Sasaki et al., 1986



The Challenges . . .

**Diverse operational requirements** 

Individual differences

Complex physiology History ("that's how its always been") Economics



## The Challenges Preclude . . .

A simple solution

A single solution

**One-size-fits-all** 

"Magic Bullet"





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Home > Transportation Safety > Most Wanted List

#### MOST WANTED LIST

A program to increase the public's awareness of, and support for, action to adopt safety steps that can help prevent accidents and save lives. The following are ten of the current issues.



Addressing Human Fatigue







Safety Management Systems



**Runway Safety** 



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Bus Oc

**Bus Occupant Safety** 



Pilot & Air Traffic Controller Professionalism



Recorders



**Teen Driver Safety** 



Addressing Alcohol-Impaired Driving



Motorcycle Safety



## **NTSB Recommendations**

## MOST WANTED since 1990

## ~200 fatigue recommendations



## Complex Issue: Requires Multiple Solutions



Scheduling Policies and Practices

Education

- Organizational Strategies
- Raising Awareness
- Healthy Sleep
- Vehicle and Environmental Strategies
- Research and Evaluation



NTSB Recommendations: Hours of Service / Scheduling

Science-based hours of service

 Allow for at least 8 hours of uninterrupted sleep

 Reduce schedule irregularity and unpredictability



## NTSB Recommendations: Fatigue Management Systems

- Develop guidance based on empirical and scientific evidence for operators to establish fatigue management systems
- Develop and use a methodology that will continually assess the effectiveness of fatigue management systems



### Examples

## Fatigue Risk Management Systems

Implementation Guide for Operators 1<sup>st</sup> Edition July 2011 Fatigue Risk Management Systems Manual for Regulators

2011 Edition



Doc 9966 - UNEDITED VERSION

## NTSB Recommendations: Education/Strategies

- Develop a fatigue education and countermeasures training program
- Educate operators and schedulers
- Include information on use of strategies: naps, caffeine, etc.
- Review and update materials



NTSB Recommendations: Education/Strategies

> Include information on use of strategies: naps, caffeine, etc.

 No recommendations on specific personal strategies



## Example: NASA Planned Rest Study





## Success requires . . .

# A culture change that supports different attitudes and behaviors



# **Changing Safety Culture**

## Safety goal . . .







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