

2011 National Evaluation of the Title III-C Elderly Nutrition Services State Unit on Aging (SUA) Survey

INTRODUCTION

Thank you for helping us with the National Evaluation of the Title III-C Elderly Nutrition Services. The study will involve a census of all State Units on Aging as well as a large number of Area Agencies on Aging, Local Service Providers, program participants and eligible non-participants. This survey will collect information that is not available either in the State Program Report or the NASUA State of Aging report.

- The survey should be completed by the person in the SUA who is most familiar with the Elderly Nutrition Program.
- When completing the survey, please use a black or blue pen and write in the spaces provided.
- Unless questions specifically indicate that more than one answer may be given, please mark only one answer per question.
- If you have any questions regarding the study or completing the State Unit on Aging Survey, please contact Rhoda Cohen at 1-800-232-8024 or email: rcohen@mathematica-mpr.com
- The information you provide will be used only for statistical purposes. In accordance with the Confidential Information Protection and Statistical Efficiency Act of 2002, your responses will not be disclosed in identifiable form without your consent.
- Participation is completely voluntary. We thank you for your cooperation and participation in this very important study.
- If you do not have exact information available to answer certain questions, your best estimate will be fine.

A. ORGANIZATIONAL STRUCTURE, STAFF AND VOLUNTEERS

A1. How many Area Agencies on Aging (AAA) are there currently in your state?

____|____| AAAs

A2. Of the total number of Area Agencies on Aging currently in your state, please record the number of AAAs that are characterized by each of the various types of planning and service area boundaries.

Planning and Service Area Boundaries	Number of AAAs	Don't Know
a. Single-county	____ ____	^d <input type="checkbox"/>
b. Multi-county	____ ____	^d <input type="checkbox"/>
c. Single city/metro area	____ ____	^d <input type="checkbox"/>
d. Multiple city/metro area	____ ____	^d <input type="checkbox"/>
e. Other (<i>Specify</i>)..... _____	____ ____	^d <input type="checkbox"/>

A3. Does the SUA currently employ a Nutrition Program Administrator who plans, develops, administers, implements and evaluates the Elderly Nutrition Program?

¹ Yes

⁰ No

^d Don't know

→ GO TO A6

A4. Is the Nutrition Program Administrator a registered dietitian or state credentialed nutrition professional?

¹ Yes

⁰ No

^d Don't know

A5. What program responsibilities does the Nutrition Program Administrator currently have other than the Elderly Nutrition Program?

MARK ALL THAT APPLY

- 1 Other food and nutrition programs (e.g., SNAP, Senior Farmers' Market Nutrition Program (SFMNP), etc.)
- 2 Non-food and nutrition programs (e.g., transportation services, senior centers, etc.) *(Specify)*
-
- 3 No other program responsibilities

A6. How many employees who are registered dietitians and/or state credentialed nutrition professionals currently work at least part of their time on the Elderly Nutrition Program?

|__| EMPLOYEES

B. AGING AND DISABILITY RESOURCE CENTERS (ADRCs)

B1. Has the Elderly Nutrition Program staff been involved in developing or reviewing the current intake process or assessment tools for nutrition services for the Aging and Disability Resource Center site(s) in your state?

- 1 Yes
- 0 No
- 2 ADRC is not operational → GO TO C1
- d Don't know

B2. Do Aging and Disability Resource Center sites in your state currently assess clients for nutrition service needs as part of the client intake and assessment?

- 1 Yes, all sites
- 2 Yes, some sites
- 0 No
- d Don't know

B3. Do the Aging and Disability Resource Center sites currently use client intake and assessments for nutrition services that are consistent across the state?

- 1 Yes
- 0 No
- 2 Only one site in state
- d Don't know

C. CONSUMER DIRECTION

The next questions are about self-directed care. Self-directed care is defined as programs and services, in which clients can choose to select, manage and dismiss their workers. This may also be referred to as “consumer-directed” care.

C1. Does the SUA currently have policies that permit self-directed home and community-based services for older adults?

- 1 Yes
 - 0 No
 - 4 Don't know
- GO TO D1

C2. Do the self-directed home and community-based service programs for older adults include nutrition services as allowable services?

- 1 Yes, all self-directed programs allow nutrition services
 - 2 Yes, some self-directed programs allow nutrition services
 - 0 No
 - 4 Don't know
- GO TO D1

C3. What options are currently allowed for delivery of self-directed nutrition services?

MARK ALL THAT APPLY

- 1 Payments to friends or family
- 2 Restaurant vouchers
- 3 Congregate nutrition services
- 4 Home-delivered nutrition services
- 5 No policy exists about allowable service delivery
- 6 Other (*Specify*)

D. FOOD SAFETY

D1. Does the SUA currently have any formal policies, guidance or regulations for managing food borne illnesses in the Elderly Nutrition Program?

- 1 Yes
 - 0 No
 - 4 Don't know
- GO TO D3

D2. Which of the following entities were involved in the development of the SUA's current food borne illness policy for the Elderly Nutrition Program?

- 1 AAAs
- 2 Local service providers
- 3 State or local health department
- 4 State department of agriculture
- 5 None of the above
- 4 Don't know

D3. Does the SUA currently have formal policies, guidance or regulations for managing food recalls?

- 1 Yes
 - 0 No
 - 4 Don't know
- GO TO D5

D4. Which of the following entities were involved in the development of the SUA's current food recall policy?

- 1 AAAs
- 2 Local service providers
- 3 State or local health department
- 4 State department of agriculture
- 5 None of the above
- 4 Don't know

D5. Are local service providers currently required by the SUA to report incidents of food borne illness that occur in the Elderly Nutrition Program (congregate or home-delivered nutrition programs) to each of the following entities?

	Yes	No	Don't Know
a. AAA.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
b. SUA	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
c. State or local health department	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>

D6. During the past 3 years, how many different times was the food served in the congregate nutrition program associated with an outbreak of food-borne illness?

|_|_| TIMES

o Zero

d Don't know

→ GO TO D8

D7. In total, how many clients got sick during the past 3 years?

|_|_|_|_| CONGREGATE NUTRITION PROGRAM CLIENTS

d Don't know

D8. During the past 3 years, how many different times was food served in the home-delivered nutrition program associated with an outbreak of food-borne illness?

|_|_| TIMES

o Zero

d Don't know

→ GO TO E1

D9. In total, how many clients got sick in the past 3 years?

|_|_|_|_| HOME-DELIVERED NUTRITION PROGRAM CLIENTS

d Don't know

E. NUTRITION PROGRAM QUALITY/MONITORING/SITE VISITS

E1. Please indicate how the DRIs (dietary reference intakes) and Dietary Guidelines for Americans (2005) had been implemented throughout the Elderly Nutrition Program in your state as of December 2010?

Implementation Status	Dietary Reference Intakes (DRIs)	Dietary Guidelines for Americans
a. Full implementation throughout the state	1 <input type="checkbox"/>	1 <input type="checkbox"/>
b. Full implementation <i>in portions</i> of the state	2 <input type="checkbox"/>	2 <input type="checkbox"/>
c. Partial implementation throughout the state	3 <input type="checkbox"/>	3 <input type="checkbox"/>
d. Very little implementation throughout the state	4 <input type="checkbox"/>	4 <input type="checkbox"/>
e. Don't Know	d <input type="checkbox"/>	d <input type="checkbox"/>

E2. Has the SUA established a formal policy for the Elderly Nutrition Program regarding the implementation of the DRI or the Dietary Guidelines for Americans?

- 1 Yes, DRI only
- 2 Yes, Dietary Guidelines for Americans only
- 3 Yes, both DRI and the Dietary Guidelines
- 0 No, neither DRI or the Dietary Guidelines → GO TO E4

E3. When were the SUA's formal policies regarding the DRI or Dietary Guidelines last updated?

|_|_|_|_| YEAR

- d Don't know

E4. How frequently are SUA policies on the implementation of the DRIs or Dietary Guidelines in the Elderly Nutrition Program updated?

- 1 Yearly
- 2 After every reauthorization of the Older Americans Act (OAA)
- 3 After changes are made to the DRI, Dietary Guidelines for Americans or food service codes
- 4 Every 1-5 years
- 5 Other (*Specify*)

- 6 No schedule is used
- d Don't know

E5. Has the SUA established a formal policy for the Elderly Nutrition Program regarding the implementation of state and local food service codes?

- 1 Yes
- 0 No
- d Don't know

E6. Does the SUA currently include assessments in any of the following areas to monitor the AAAs' implementation of the Elderly Nutrition Program?

MARK ALL THAT APPLY

- 1 Nutrient quality
- 2 Client satisfaction
- 3 Food service quality
- 4 Targeting of service
- 5 Outreach activities
- 6 Access to service
- 7 Reporting of data
- 8 Fiscal management
- 9 None of the above
- d Don't know

E7. Which of the following does the SUA currently use to contribute to the nutrient quality of the meals in the Elderly Nutrition Program?

MARK ALL THAT APPLY

- 1 Statewide catering contract
- 2 State approved menus to AAAs
- 3 Credentialed nutrition professional to approve AAA submitted menus
- 4 Computer assisted menu analysis
- 5 Site visits
- 6 Training of AAAs and local service providers
- 7 Technical assistance
- 8 Monitoring of AAAs
- 9 AAA assurance of nutrient quality
- 0 None of the above
- d Don't know

F. DATA AND PERFORMANCE

F1. How do AAAs currently report Elderly Nutrition Program data to the SUA?

MARK ALL THAT APPLY

1 Software/computer system

2 Email

3 Phone

4 Mail

5 Other (*Specify*)

d Don't know

→ GO TO F3

F2. Are all AAAs in your state currently required to use the same software for reporting Elderly Nutrition Program data?

1 Yes

0 No

d Don't know

F3. Does the SUA currently require AAAs to report Elderly Nutrition Program data beyond that required in the AoA State Program Report?

1 Yes

0 No

d Don't know

→ GO TO F5

F4. What specific data are currently collected beyond what is required for the State Program Report?

MARK ALL THAT APPLY

- 1 Nutrition program service reports/program performance data
- 2 Quality assurance findings
- 3 Fiscal management reports
- 4 None of the above
- d Don't know

F5. Has the SUA or AAA established Elderly Nutrition Program performance measures at the AAA level?

- 1 Yes
- 0 No
- d Don't know

F6. Does the SUA currently share Elderly Nutrition Program performance data with the public?

- 1 Yes
- 0 No
- d Don't know

F7. How frequently are AAAs required to report Elderly Nutrition Program data to the SUA?

- 1 Continuously
- 2 Monthly
- 3 Quarterly
- 4 Semi-annually
- 5 Annually
- 6 Other (*Specify*)

-
- d Don't know

F8. Does the SUA currently use Elderly Nutrition Program performance data for any of the following purposes?

MARK ALL THAT APPLY

- 1 To monitor AAAs' Elderly Nutrition Program performance
- 2 To provide the basis for technical assistance
- 3 To provide information to other state agencies
- 4 To provide information to the state legislature
- 5 To justify or prepare state budget requests
- 6 To develop new programs
- 7 To improve existing programs
- 8 To inform program planning
- 9 None of the above
- d Don't know

G. NUTRITION NEEDS ASSESSMENT (COMMUNITY/INDIVIDUAL)

G1. During the previous 5 years, have *community* needs assessments for elderly nutrition services been conducted?

- 1 Yes, a state-wide community needs assessment that includes nutrition has been done → **GO TO G3**
 - 2 Yes, one or more local level (PSA-level) community needs assessments that include nutrition have been done
 - 3 No assessment has been done
 - d Don't know
- **GO TO G4**

G2. Did the local level community needs assessment(s) follow a consistent protocol that included nutrition?

- 1 Yes
- 0 No
- d Don't know

G3. Were results from the community needs assessment(s) pertaining to nutrition utilized or incorporated into the state plan?

- 1 Yes
- 0 No
- d Don't know

G4. Does the SUA currently issue formal policies or guidance to the AAAs or local service providers on the conduct of *individual* nutrition needs assessment in the Elderly Nutrition Program?

- Yes
 - No
 - Don't know
- GO TO H1

G5. Is a consistent *individual* nutrition needs assessment currently required at the local level (AAA or local service provider) for the Elderly Nutrition Program? Please exclude the NSI/DETERMINE Checklist from your response.

- Yes
- No
- Don't know

H. STATE AND AREA PLANS

H1. Does the OAA required State Plan on Aging currently include a nutrition services component?

- Yes
- No
- Don't know

H2. How was the Elderly Nutrition Program staff involved in developing the current OAA required State Plan on Aging?

MARK ALL THAT APPLY

- Consulted during development
- Participated in writing nutrition related components
- Reviewed or commented on drafts of the state plan
- None of the above
- ENP staff were not involved in the development of the current OAA required State Plan on Aging
- Don't know

H3. Does the Area Plan for Aging format currently include a nutrition services component?

- Yes
- No
- Don't know

I. EMERGENCY NUTRITION SERVICE

11. Does the SUA currently have an Emergency Preparedness Plan that includes nutrition services?

MARK ALL THAT APPLY

- 1 Yes, for short-term emergencies
 - 2 Yes, for long-term emergencies
 - 0 No
 - 4 Don't know
- **GO TO I4**

12. Does the SUA currently have policies that require AAA contracts or grants to local service providers to include how nutrition services are to be provided during local emergencies?

- 1 Yes
- 0 No
- 4 Don't know

13. Which of the following components are included in the current SUA Emergency Preparedness Plan for nutrition services?

MARK ALL THAT APPLY

- 1 Plan for communications between organizations as well as with clients
- 2 Plan for the provision of food and water
- 3 Plan for identifying and addressing the health and wellness needs of nutrition clients
- 4 None of the above
- 4 Don't know

14. With which of the following entities does the SUA currently have a relationship to help meet the needs of Elderly Nutrition Program clients during emergencies?

MARK ALL THAT APPLY

- 1 County/local organizations
- 2 Red Cross
- 3 FEMA citizens' corps
- 4 National Voluntary Organizations Active in Disasters (VOAD) or their members (e.g., Feeding America, Catholic Charities, The Jewish Federations)
- 5 Private sector entities involved in disasters
- 6 None of the above
- 4 Don't know

J. TRAINING AND TECHNICAL ASSISTANCE

J1. During the past 2 years, which of the following has the SUA done to provide training and technical assistance for the Elderly Nutrition Program?

MARK ALL THAT APPLY

- 1 Held specific trainings that focus on the Elderly Nutrition Program and related topics
- 2 Held general trainings that cover a range of programs and services, including the Elderly Nutrition Program and related topics
- 3 Held trainings on the Elderly Nutrition Program and related topics in conjunction with other state or local agencies or organizations (e.g., state health department)
- 4 None of the above
- 5 Don't know

J2. During the past 2 years, on which of the following topics has the SUA provided training to AAAs or local service providers?

MARK ALL THAT APPLY

- 1 Nutrition quality
- 2 Food safety
- 3 Food service
- 4 Nutrition education
- 5 Nutrition counseling
- 6 Program evaluation or outcome measurement
- 7 None of the above
- 8 Don't know

K. TARGETING

The next question is about targeting. Targeting is defined as modifying or adapting services and outreach to attract and meet the needs of identified groups who may be under-represented or are considered in special need of services. Target populations are defined by the Older Americans Act as... “older individuals with greatest economic need and older individuals with greatest social need (with particular attention to low-income older individuals, including low-income minority older individuals, older individuals with limited English proficiency, and older individuals residing in rural areas).”

K1. What mechanisms does the SUA use to insure targeting of Elderly Nutrition Program services?

- 1 Formal policies
- 2 Guidance
- 3 Regulations
- 4 Contract language
- 5 Area plan review and approval
- 6 Monitoring of AAAs
- 7 None of the above
- 8 Don't know

L. PRIORITIZATION OF SERVICES

The next 3 questions are about prioritization. Prioritization is defined as establishing criteria to be used as a basis for making decisions to serve some individuals before others when resources are limited.

L1. Which of the following best describes how the SUA's current prioritization policy was set for the Elderly Nutrition Program?

- 1 Prioritization policy is set by the SUA
- 2 Prioritization policy is set by the SUA with input from AAAs
- 3 Prioritization policy is set by the AAAs with input from SUA
- 4 Prioritization policy is set by the AAAs
- 5 Prioritization policy is set by the local service providers
- 6 No prioritization policy exists
- 8 Don't know

L2. Are prioritization criteria statewide or do they vary by AAA?

- 1 Prioritization criteria are statewide
- 2 Prioritization criteria are AAA specific
- 3 Prioritization criteria are local service provider specific
- 8 Don't know

L3. Which of the following criteria are used to determine Elderly Nutrition Program service priority according to SUA policy?

MARK ALL THAT APPLY

Criteria	Congregate meal prioritization	Home-delivered meal prioritization
a. ADL and/or IADL impairment minimum (e.g., 3+ ADL impairments).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
b. Lack of informal/family support.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
c. Geographic isolation (e.g., rural)	1 <input type="checkbox"/>	2 <input type="checkbox"/>
d. Social isolation (e.g., lives alone)	1 <input type="checkbox"/>	2 <input type="checkbox"/>
e. Chronic health condition (e.g., diabetes).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
f. Poor housing or lack of kitchen access	1 <input type="checkbox"/>	2 <input type="checkbox"/>
g. Homebound	1 <input type="checkbox"/>	2 <input type="checkbox"/>
h. Racial/ethnic minority	1 <input type="checkbox"/>	2 <input type="checkbox"/>
i. Advanced age (e.g., 75+, 85+)	1 <input type="checkbox"/>	2 <input type="checkbox"/>
j. Low income (e.g., % of federal poverty level) .	1 <input type="checkbox"/>	2 <input type="checkbox"/>
k. Limited English proficiency	1 <input type="checkbox"/>	2 <input type="checkbox"/>
l. Dementia or cognitive impairment.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
m. Food insecurity/hunger	1 <input type="checkbox"/>	2 <input type="checkbox"/>
n. Nutrition risk assessment	1 <input type="checkbox"/>	2 <input type="checkbox"/>
o. Adult day care participation	1 <input type="checkbox"/>	2 <input type="checkbox"/>
p. Long-term care need for service.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
q. Short-term care need for service	1 <input type="checkbox"/>	2 <input type="checkbox"/>
r. Other (<i>Specify</i>).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
s. No prioritization criteria.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>
t. Criteria are not set by the SUA.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>

M WAITING LISTS

M1. Does the SUA currently have policies, guidance or regulations pertaining to the creation and management of waiting lists for Elderly Nutrition Program services?

MARK ALL THAT APPLY

- 1 Yes, for the home-delivered nutrition service
- 2 Yes, for the congregate nutrition service
- 0 No
- d Don't know

M2. Does the SUA currently maintain or have access to information on waiting lists for any of the following services?

MARK ALL THAT APPLY

- 1 Yes, for home-delivered nutrition service
- 2 Yes, for congregate nutrition service
- 3 Yes, for other OAA services
- 0 No
- d Don't know

N. ACCESSING SERVICES/ELIGIBILITY

The following two questions ask about eligibility criteria. Eligibility criteria refer to criteria used to determine who may receive services regardless of program resource limitations.

N1. Does the SUA have specific policies, guidance or regulations on the eligibility criteria for the Home-Delivered Nutrition Program?

- 1 Yes
 - 0 No
 - d Don't know
- GO TO N3

N2. Which of the following best describes how eligibility criteria are set for the home-delivered nutrition program?

MARK ONE

- 1 Eligibility is set by the SUA
- 2 Eligibility is set at the AAA level but must be consistent with SUA policy
- 3 Eligibility is set at the AAA level
- 4 Eligibility is set at the local service provider level
- d Don't know

N3. Does the SUA currently have policies, guidance or regulations regarding the location of congregate nutrition sites?

- 1 Yes
- 0 No
- d Don't know

N4. Does the SUA currently have policies, guidance or regulations regarding the accessibility of congregate nutrition sites, that is, sites are compliant with the Americans with Disabilities Act?

- 1 Yes
- 0 No
- d Don't know

N5. What percent of congregate sites in your state are accessible as defined by the Americans with Disabilities Act?

- ____|____|____| %
- d Don't know

O. NUTRITION EDUCATION

O1. Currently, how often does the SUA require the AAA or local service provider to offer nutrition education?

MARK ONE

- 1 Monthly
- 2 Quarterly
- 3 Semi-annually
- 4 Annually
- 5 No policy exists at the SUA level on frequency of nutrition education
- 6 Nutrition education only provided by the SUA and not by AAA or local service provider
- 7 Other (*Specify*)

- d Don't know

O2. Currently, does the SUA have formal policies, guidance or regulations on the qualifications of staff that provide nutrition education at the AAA or local service provider level?

- 1 Yes
- 0 No
- d Don't know

O3. Currently, does the SUA require that AAAs or local service providers develop a nutrition education plan?

- 1 Yes
 - 0 No
 - d Don't know
- GO TO P1

O4. What is the SUA's role with regard to the AAA/local service provider nutrition education plan?

MARK ALL THAT APPLY

- 1 The SUA must approve the plan
 - 2 The SUA provides guidance on developing the plan
 - 3 The SUA sets minimum components of the plan
 - 4 The SUA monitors the plan
 - 5 Other (*Specify*)
-
- d Don't know

P. NUTRITION COUNSELING

P1. Currently, does the SUA require that nutrition counseling be available in each PSA (provided by the AAA or their service providers)?

- 1 Yes
- 0 No
- d Don't know

P2. Currently, does the SUA have policies, guidance or regulations related to nutrition counseling on any of the following topics?

MARK ALL THAT APPLY

- 1 Criteria for authorizing nutrition counseling
- 2 Qualifications of the nutrition counseling staff
- 3 Content of the nutrition counseling
- 4 None of the above
- d Don't know

Q. BUDGET AND FISCAL

Q1. Which of the following budget related activities involve the participation of Elderly Nutrition Program staff?

MARK ALL THAT APPLY

- 1 Providing research or analysis on the implications of budget options
- 2 Preparing or reviewing budget justification materials
- 3 Determining budget request amounts
- 4 Determining budget allocation
- 5 None of the above
- d Don't know

Q2. Which of the following does the Elderly Nutrition Program staff currently monitor at the SUA or AAA level?

MARK ALL THAT APPLY

- 1 Expenditures per meal
- 2 Expenditures per client
- 3 Contract costs
- 4 Program income
- 5 Funding sources
- 6 None of the above
- d Don't know

Q3. Does the SUA have policy, guidance, or regulations related to AAA and local service provider offering private pay/fee-for-service nutrition services?

- 1 Yes
- 0 No
- d Don't know

Q4. Please indicate how much your SUA encourages or discourages AAAs or service providers to operate private pay/fee-for-service nutrition programs for older adults?

- 1 Strongly encourages
- 2 Encourages
- 3 Allows private pay but neither encourages nor discourages the activity
- 4 Discourages
- 5 Prohibits
- d Don't know

Q5. Is there a statewide unit rate for the following nutrition services programs and were nutrition program staff involved in setting the unit rate?

Nutrition Program	Statewide Unit Rate			Program staff involved in setting unit rate		
	Yes	No	Don't know	Yes	No	Don't know
a. Congregate nutrition program.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
b. Home-delivered nutrition program.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
c. Medicaid waiver nutrition services.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>

R. PROGRAM CONTRIBUTIONS

The next questions ask about the SUA policy regarding participant contributions for the Elderly Nutrition Program.

R1. Does the SUA currently have a policy regarding the...

	Yes	No	Don't know
a. Collection and/or management of participant contributions for the Elderly Nutrition Program?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
b. Distribution of participant contributions for the Elderly Nutrition Program?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
c. Spending of participant contributions for the Elderly Nutrition Program?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>

R2. Does the SUA currently have specific policies on the non-coercion of participants with regard to participant contributions?

- 1 Yes
- 0 No
- d Don't know

R3. How does the SUA determine if participant contributions to the Elderly Nutrition Program are used to expand services?

MARK ALL THAT APPLY

- 1 AAAs and local service providers are required to spend participant contributions first and then other funds
- 2 AAAs and local service providers are required to report data on services delivered using participant contributions
- 3 The SUA monitors program data (e.g., service units, people served) in relation to participant contributions reported.
- 4 Other (*Specify*)

d Don't know

S. FACILITIES AND EQUIPMENT

S1. Currently, does the SUA provide equipment, either directly or through designated funding, for use by the Elderly Nutrition Program (home-delivered nutrition or congregate nutrition programs)?

- 1 Yes
- 0 No
- d Don't know

S2. Currently, does the SUA provide any facilities, either directly or through designated funding for use by the Elderly Nutrition Program (home-delivered nutrition or congregate nutrition programs)?

- 1 Yes
- 0 No
- d Don't know

T. INTEGRATION WITH OTHER FOOD AND NUTRITION PROGRAMS

T1. Currently, to what extent does the Elderly Nutrition Program staff collaborate with each of the following food and nutrition partners to improve access or service delivery to older adults (e.g., through modification/streamlining of application process, review or development of policies, etc.)?

	Extent of Collaboration				
	Very much	Somewhat	A little	Not at all	Not applicable
a. Supplemental Nutrition Assistance Program (SNAP).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	n <input type="checkbox"/>
b. Senior Farmers' Market Nutrition Program (SFMNP).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	n <input type="checkbox"/>
c. Commodity Supplemental Food Program (CSFP).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	n <input type="checkbox"/>
d. Child and Adult Care Food Program (CACFP).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	n <input type="checkbox"/>
e. The Emergency Food Assistance Program (TEFAP).....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	n <input type="checkbox"/>

T2. Have the Elderly Nutrition Program staff collaborated with the following food and nutrition programs in any of the following ways?

Type of Collaboration	MARK ALL THAT APPLY				
	SNAP	SFMNP	CSFP	CACFP	TEFAP
a. Participate in review or development of policies or procedures.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
b. Promote older adult access to the program	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
c. Participate in training and technical assistance.....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
d. Participate in committees and workshops	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>

U. INTEGRATION WITH NON-FOOD AND NUTRITION PROGRAMS

U1. Have the Elderly Nutrition Program staff been involved with case management, information and referral/assistance or ADRC services in any of the following ways?

MARK ALL THAT APPLY

- 1 Review or development of policies, guidance or regulations regarding the inclusion of nutrition services
- 2 Development or review of screening protocols
- 3 Implementation of screening protocols
- 4 Development or review of assessment tools
- 5 Development or review of referral/assistance process
- 6 Implementation of referral/assistance process
- 7 Provision of training
- 8 Receipt of training from non-food nutrition program
- n Not applicable, no consistent state level intake, assessment or referral process

U2. Have the Elderly Nutrition Program staff been involved with evidence-based health promotion and disease prevention programs (e.g., chronic disease self-management program) in any of the following way?

- 1 Management of evidence-based health promotion and disease prevention grants
- 2 Promotion of inclusion of nutrition program clients as participants
- 3 Participation in outreach activities
- 4 Coordination with state health department evidence-based grantees
- 5 None of the above
- n Not applicable, no evidence-based health promotion and disease prevention grants

V. MEDICAID WAIVER

V1. Currently, does the SUA administer a Medicaid waiver program for the elderly?

- 1 Yes
 - 0 No → **GO TO V3**
 - 2 State does not have a Medicaid waiver program for the elderly
 - d Don't know
- **GO TO W1**

V2. Which of the following services are provided under the current state Medicaid waiver program for the elderly?

MARK ALL THAT APPLY

- 1 Nutrition assessment
- 2 Nutrition counseling
- 3 Nutrition risk reduction
- 4 Home-delivered meals
- 5 Medical nutrition therapy
- 6 Dietitian services
- 7 Nutritional supplements
- 8 None of the above
- d Don't know

V3. Was the SUA Elderly Nutrition Program staff involved with the current state Medicaid waivers for the elderly by...

	Yes	No	Don't know
a. Reviewing policies related to nutrition services?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
b. Providing input regarding the use of nutritional supplements in the waiver programs?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>

V4. Are the following consistent across Medicaid waiver and the Elderly Nutrition Programs?

	Yes	No	Don't know
a. Are nutrition standards consistent?	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
b. Are food safety standards consistent?	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
c. Are nutrition counseling services consistent?	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>
d. Are cost or rates for nutrition services consistent?.....	1 <input type="checkbox"/>	0 <input type="checkbox"/>	d <input type="checkbox"/>

W. COORDINATION/COLLABORATION/PARTNERSHIPS

W1. Please mark your five most important partners or collaborators specifically for the Elderly Nutrition Program.

MARK ONLY FIVE

- 1 Hospital or nursing facility state associations
 - 2 State transportation department or agency
 - 3 State Medicaid agency/unit
 - 4 State Medicaid waiver agency/unit
 - 5 Veterans Affairs (state or federal)
 - 6 State public housing department or agency
 - 7 Supplemental Nutrition Assistance Program (SNAP)
 - 8 Supplemental Nutrition Assistance Program – Education (SNAP-Ed)
 - 9 Food Distribution Program on Indian Reservations (FDPIR)
 - 10 Commodity Supplemental Nutrition Program (CSNP)
 - 11 The Emergency Food Assistance Program (TFAP)
 - 12 Child and Adult Care Food Program (CACFP)
 - 13 Senior Farmers Market Nutrition Program (SFMNP)
 - 14 OAA Title VI (Native American, Alaska Native and Native Hawaiian Elders) program
 - 15 Other Older Americans Act (OAA) programs
 - 16 Aging and Disability Resource Center program
 - 17 Non OAA funded Home delivered nutrition programs (e.g. Meals on Wheels)
 - 18 State public health departments or agencies
 - 19 Other state human services agencies or programs
 - 20 Elder abuse prevention programs or Adult Protective Services (APS)
 - 21 Legal services for older adults
 - 22 Energy assistance (LIHEAP)
 - 23 State association of area agencies on aging
 - 24 Other stakeholder organizations
 - 25 Professional Organizations
 - 26 Foundations
 - 27 Churches, synagogues, mosques, faith-based organizations
 - 28 College or university
 - 29 Volunteer bureaus/organizations
 - 30 Private Industry
 - 31 Other (*Specify*)
-
- 32 None of the above
- 33 Don't know
- **GO TO W3**

W2. For each partner/collaborator that you marked in Question W1, please record the partner/collaborator number from Question W1 and indicate which activities you jointly engage in for the Elderly Nutrition Program.

	First Partner Number	Second Partner Number	Third Partner Number	Fourth Partner Number	Fifth Partner Number
	□□	□□	□□	□□	□□
a. TA or training about fundraising	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
b. Shared resources	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
c. Advocacy	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
d. Strategic planning	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
e. Public education	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
f. Development of policies, guidance or regulations	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
g. Development of procedures	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
h. Service delivery	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
i. Shared outreach	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
j. Targeting special populations	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
k. Training/technical assistance	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
l. Development of consumer materials.	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
m. Promotion of older adult nutrition issues in other agencies/programs....	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
n. None of the above	1 <input type="checkbox"/>	2 <input type="checkbox"/>	3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
Don't know	d <input type="checkbox"/>	d <input type="checkbox"/>	d <input type="checkbox"/>	d <input type="checkbox"/>	d <input type="checkbox"/>

W3. Is there one or more OAA Title VI Nutrition and Supportive Services for Native American, Alaska Native and Native Hawaiian Program in your state?

- 1 Yes
 - 0 No
 - d Don't know
- } → GO TO X1

W4. What are the major areas in which your SUA currently collaborates with Title VI programs?

MARK ALL THAT APPLY

Areas of Partnership or Collaboration

- 1 TA or training about fundraising
- 2 Shared resources
- 3 Advocacy
- 4 Strategic planning
- 5 Public education
- 6 Development of policies, guidance or regulations
- 7 Development of procedures
- 8 Service delivery
- 9 Shared outreach
- 10 Targeting special populations
- 11 Training/technical assistance
- 12 Development of consumer materials
- 13 Promotion of older adult nutrition issues in other agencies/programs
- 14 None of the above
- d Don't know

X. FUNDING/RESOURCE ALLOCATION

The next questions are about total expenditures incurred by your SUA during the most recently completed fiscal year. Total expenditures include service, administrative, and overhead expenditures.

X1. When did your most recently completed fiscal year end?

____/____/____
MONTH DAY YEAR

X2. During the most recently completed fiscal year, what were the total expenditures for your SUA, including expenditures for the Elderly Nutrition Program?

\$ _____

d Don't know

X3. During the most recently completed fiscal year, what were the total expenditures for the Elderly Nutrition Program? This includes expenditures from funds received from the OAA plus expenditures from any additional sources of funds for the Elderly Nutrition Program.

\$ |__|__|__|,|__|__|__|,|__|__|__|

Don't know

X4. During the most recently completed fiscal year, how much did your SUA spend for the Elderly Nutrition Program from each of the following sources?

Funding Category	Congregate nutrition expenditures	Home-delivered nutrition expenditures
a. All federal funding sources		
1. Older Americans Act funds including NSIP	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
2. Other HHS funds (e.g., SSBG)	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
3. Other non-HHS funds (e.g., USDA, VA)	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
4. Multiple federal funds (unidentified)	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
b. All state funding sources		
1. General state funds	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
2. State lottery funds	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
3. State targeted tax funds	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
4. Other state funds (<i>Specify</i>)	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know
c. Other funding sources, excluding AAA and local service provider funds	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know	\$ __ __ __ , __ __ __ , __ __ __ <input type="checkbox"/> Don't know

X5. Which of the following statements best describes how decisions are currently made on transferring funds among congregate nutrition, home-delivered nutrition, and supportive services programs?

- 1 SUA alone determines amounts
- 2 SUA determines amounts with consultation with AAAs or local providers
- 3 SUA and AAAs make a joint decision
- 4 SUA determines the amounts based solely on the amounts requested by AAAs
- 5 Don't know

Y. CONTACT INFORMATION

Y1. Please provide contact information for the person who completed this questionnaire.

Contact Name: _____

Title or Role in SUA: _____

Email Address: _____

Telephone Number: |_|_|_|_|-|_|_|_|_|-|_|_|_|_|
Area Code

THANK YOU FOR COMPLETING THIS SURVEY. WE VALUE YOUR PARTICIPATION.

Please Return to:

Rhoda Cohen, Survey Director
Mathematica Policy Research
P.O. Box 2393
Princeton, NJ 08543-2393

If you have any questions, please call Ms. Cohen at 1-800-232-8024.