



National Synchrotron Radiation Research Center



# Inelastic X-ray Scattering by Electronic Excitations

## The Taiwan Beamline Perspective (BL12XU@SPRING-8)

Yong Cai

SPRING-8 Group

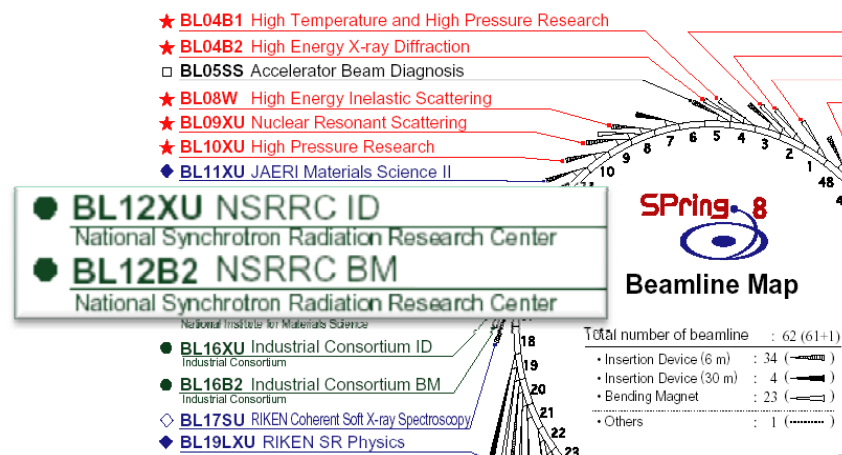
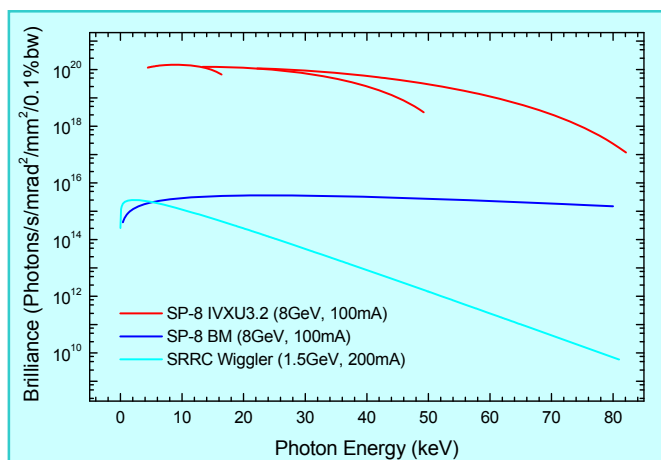
National Synchrotron Radiation Research Center, Taiwan

NSLS-II Seminar, 11 April 2007



# The Taiwan Beamlines at SPring-8

- The biggest scientific investment overseas for Taiwan in her history
  - ✦ US\$10M initial construction cost for 5 years, subsequent annual operation and instrumentation upgrade budget US\$500 ~ 800K (excl. salary)
- **Motivation:** To extend Taiwan Light Source's spectral range to hard x-rays



## Two Beamlines:

- ✦ **BL12B2: Biostructure & Materials Research**  
Multiple Purposes (Scattering, Powder Diffraction, Protein Crystallography)
- ✦ **BL12XU: Inelastic X-ray Scattering**  
For a broad range of applications, focusing on the study of electronic excitations in correlated electron systems and high-pressure physics (sciences)



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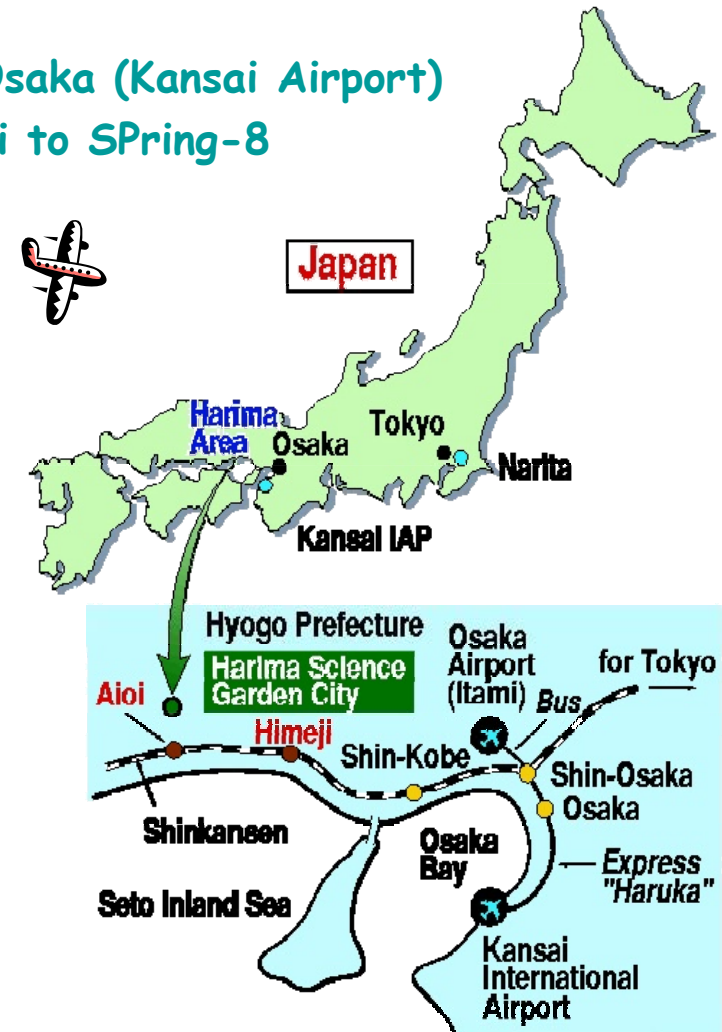
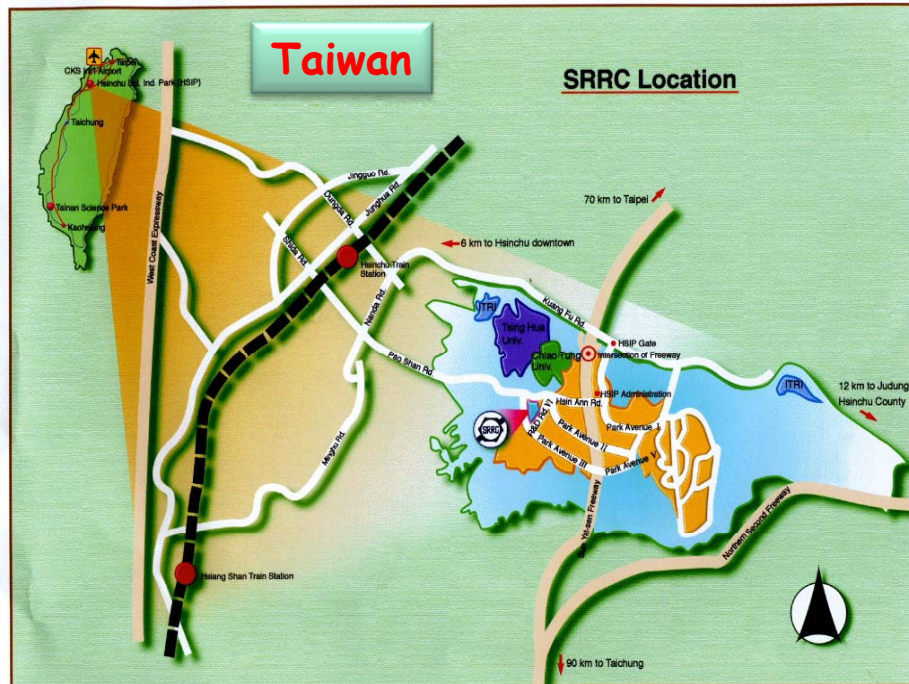


(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Location and Access

## Close Proximity:

- ✚ Flight time 2.5 hours between Taipei and Osaka (Kansai Airport)
- ✚ Fast train connection 2.5 hours from Kansai to SPring-8
- ✚ Almost the same time zone





# SPring-8 Site



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(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Outline

## ■ Brief Review of IXS by Electronic Excitations

### ✚ Theory and Experiment (BL12XU Setup)

## ■ Performance and Selected Results

### ✚ Element-Specific Applications (XRS/XES/FPY-XAS)

XRS: High pressure phases of  $\text{H}_2\text{O}$ , liquid & solid He,  $\text{SiO}_2$ ,  $\text{Ba}_8\text{Si}_{46}$  ...

XES/XAS: P/T-induced charge instability in TmTe, Prussian Blue ...

### ✚ Low-Energy Charge Dynamics in $(\omega, q)$ Space (NIXS/RIXS)

NIXS: Graphite,  $\text{MgB}_2$ , Py-SO,  $\text{CaMnO}_3$ , NiO ...

RIXS at the K-edge of TM: NiO,  $\text{Sr}_2\text{CuO}_3$ ,  $\text{La}_2\text{CuO}_4/\text{La}_2\text{NiO}_4$  ...

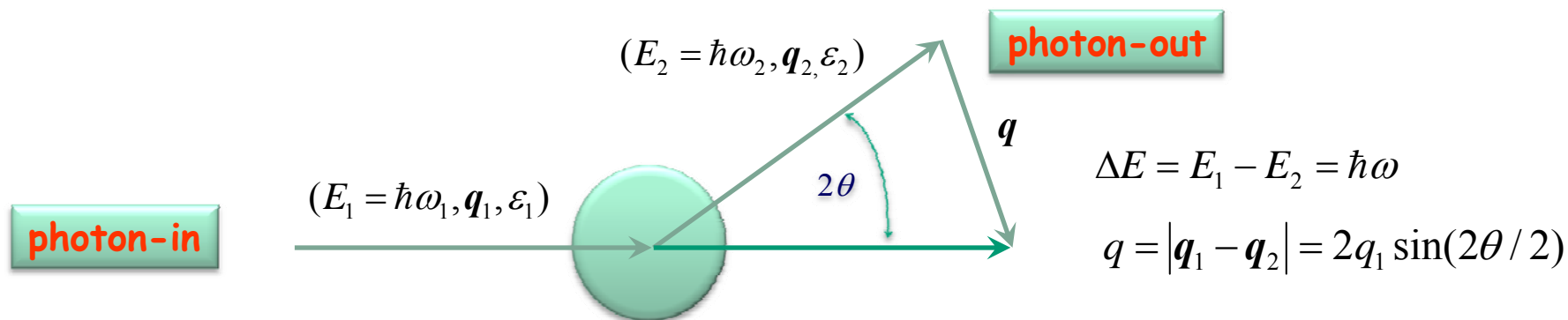
## ■ Possible Further Developments and Outlook

### ✚ Possible further developments

### ✚ Outlook with the Taiwan Photon Source



# IXS by Electronic Excitations



A rather weak scattering probe:

$$\left(\frac{d\sigma}{d\Omega}\right)_0 = r_0^2 (\vec{e}_i \cdot \vec{e}_f)^2 \left(\frac{\omega_f}{\omega_i}\right) \approx 10^{-25} \text{ cm}^2$$

$$\left(\frac{d\sigma}{d\Omega}\right)_0 = \frac{4}{a_B^2 q^4} \approx 10^{-15} \text{ cm}^2$$

**Hard x-rays:**  
Thomson scattering

**Fast electrons:**  
Rutherford scattering

**Therefore IXS measures properties of the scattering system by itself, but requires intense hard x-ray beam for practical applications**

➔ **Possible only with 3<sup>rd</sup> generation photon sources !!**





# Advantages of IXS

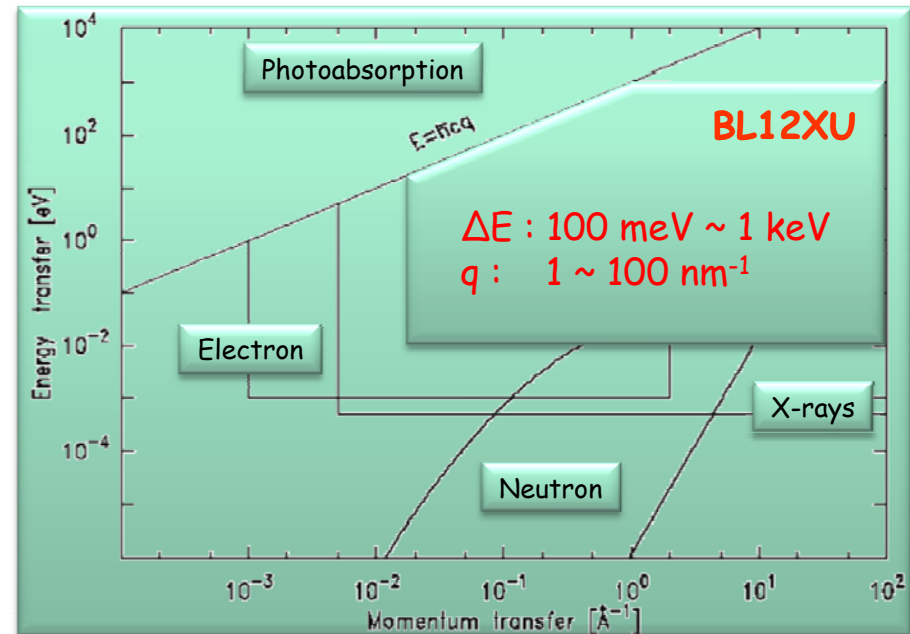
... as compared to other scattering probes (light, neutrons, electrons)

## Kinematics

- ✦ Accessible  $(\Delta E, q)$  covers the full range of dielectric response:  
 $\Delta E$  : meV  $\sim$  keV  $q$  : typical BZ sizes
- ✦  $\Delta E$  and  $q$  are effectively decoupled

## Coupling to matter

- ✦ Coupled directly to the electronic charge, therefore sensitive to all kinds of electronic excitations
- ✦ Truly bulk-sensitive, in comparison with VUV and SX photoemission and absorption
- ✦ Applicable to systems under external environments (high pressure, electric and magnetic fields, high and low temperatures, etc.), and systems that are not compatible with vacuum (e.g., liquids)
- ✦ Energy tuneability provides element specific experiments (XRS/XES/PFY-XAS)
- ✦ Small beam size offers the possibility to study small samples (incl., HP-DAC samples)



# Resonant and/or Non-resonant IXS?

## Interaction Hamiltonian with Electrons

(neglecting spin and orbital degrees of freedom)

$$H_{\text{int}} = H_{\text{int}}^{(1)} + H_{\text{int}}^{(2)} = \sum_j \frac{e^2}{2mc^2} \mathbf{A}_j^2 + \sum_j \frac{e}{mc} \mathbf{A}_j \cdot \mathbf{p}_j$$

- $\mathbf{A}^2$  - term : 1<sup>st</sup> order expansion, photon-in photon-out process
- $\mathbf{A} \cdot \mathbf{p}$  - term : 2<sup>nd</sup> order expansion, involving intermediate states

## Double Differential Cross Section

$$\frac{d^2\sigma}{d\Omega d\omega_2} \propto \sum_{I,F} \left| \underbrace{\langle F | H_{\text{int}}^{(1)} | I \rangle}_{\text{Non-resonant}} + \sum_N \frac{\langle F | H_{\text{int}}^{(2)} | N \rangle \langle N | H_{\text{int}}^{(2)} | I \rangle}{\underbrace{E_N - (E_I + \hbar\omega_1) - i\Gamma_N}_{\text{Resonant}}} + \dots \right|^2$$

Non-resonant

Resonant

Non-resonant IXS (NIXS):

$$\frac{d^2\sigma}{d\Omega d\omega_2} = \left( \frac{d\sigma}{d\Omega} \right)_0 S(\mathbf{q}, \omega)$$

$$S(\mathbf{q}, \omega) = -\frac{\hbar q^2}{4\pi^2 e^2 n} \cdot \text{Im} \varepsilon^{-1}(\mathbf{q}, \omega) = -\frac{1}{\pi n} \cdot \text{Im} \chi(\mathbf{q}, \omega)$$

- ✦  $S(\mathbf{q}, \omega)$ : charge density-density correlation function
- ✦ Fluctuation-dissipation theorem provides the macroscopic connection
- ✦ First-principles calculations possible

Resonant IXS (RIXS):

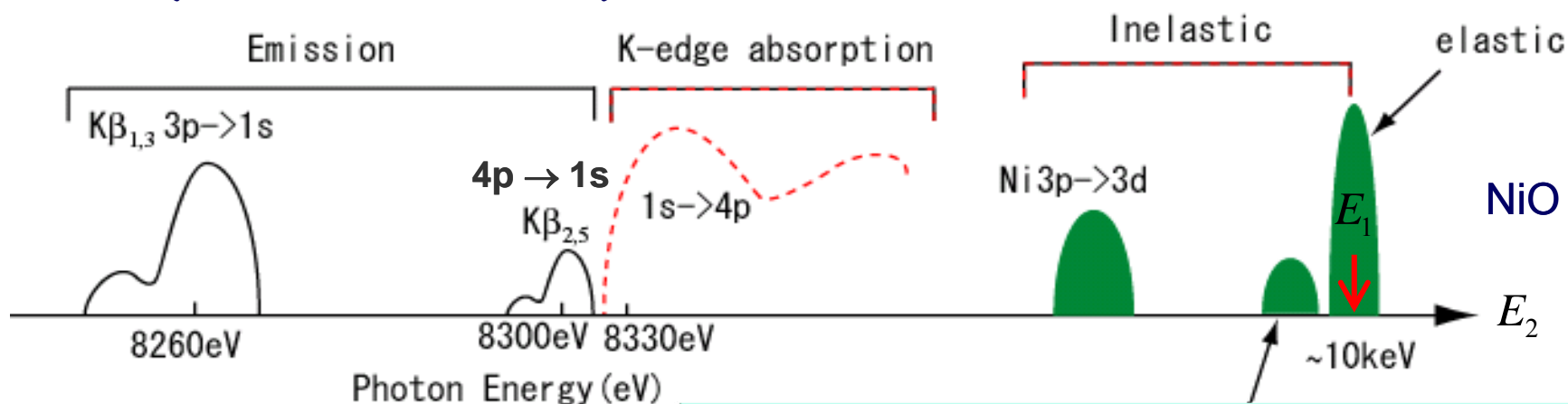
- ✦ Secondary processes involving intermediate states
- ✦ Resonant enhancement
- ✦ Element, charge, and spin specific experiments (RXES/PFY-XAS)
- ✦ Non-dipole excitations
- ✦ Theo: Model dependent





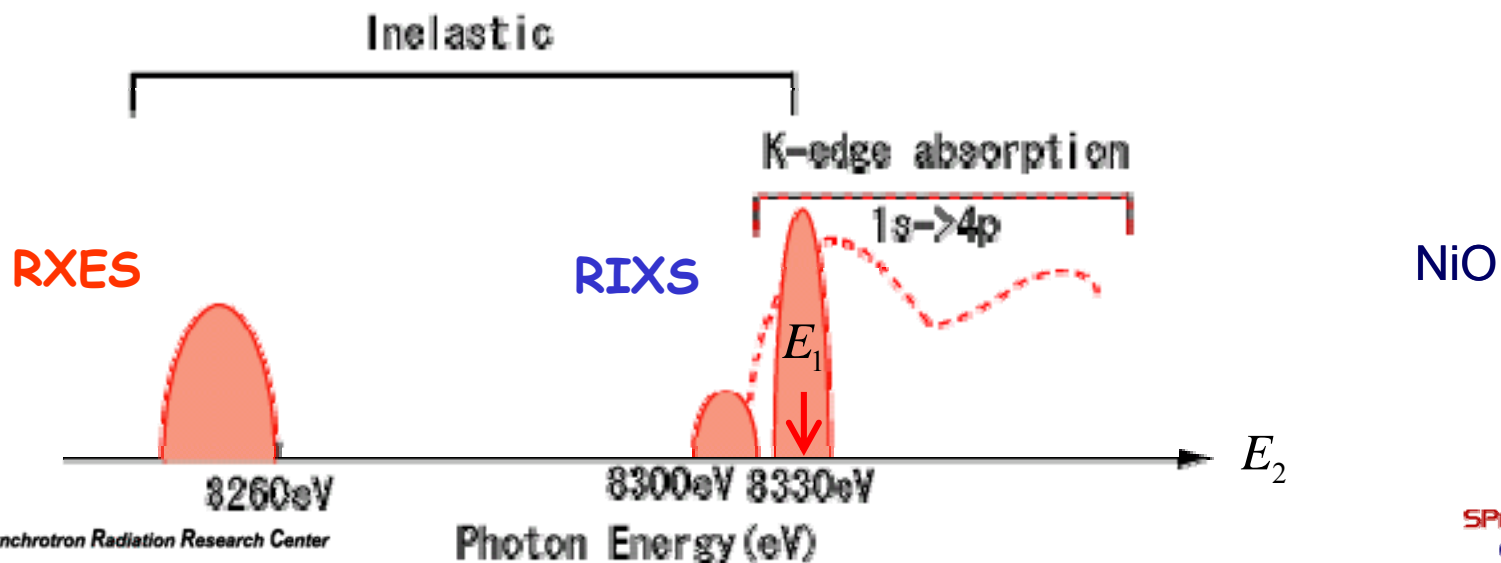
# IXS Spectroscopic Information

## ■ NIXS (XRS/XES/PFY-XAS)



Loss features by phonons, magnons, orbitons, excitons, gap excitations, plasmons, and electron-hole pair excitations, etc

## ■ RIXS (RXES/PFY-XAS)



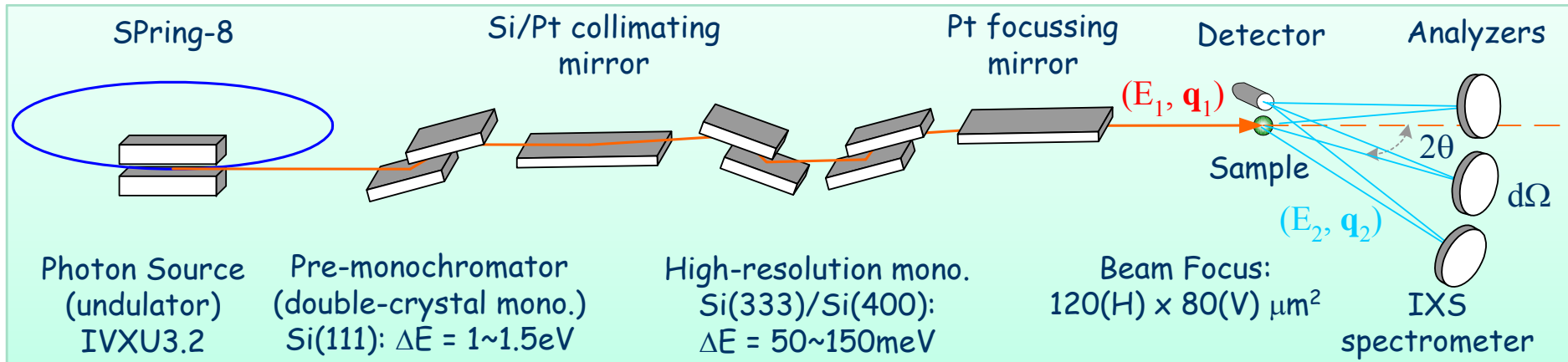
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(Yong Cai, NSLS-II Seminar, 11 April 2007)

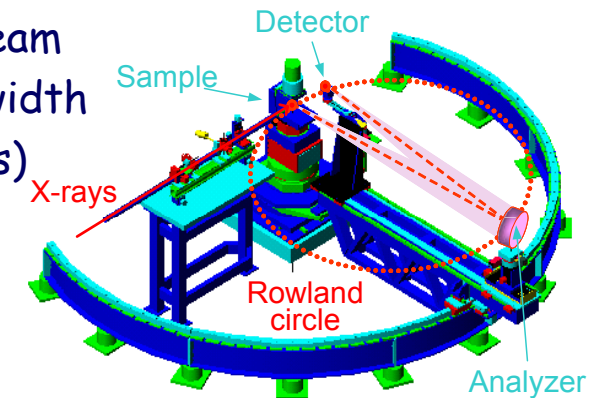
# Taiwan IXS Beamline (BL12XU@SPring-8)

■ Design principle 1: Maximize the flux within the required energy width !



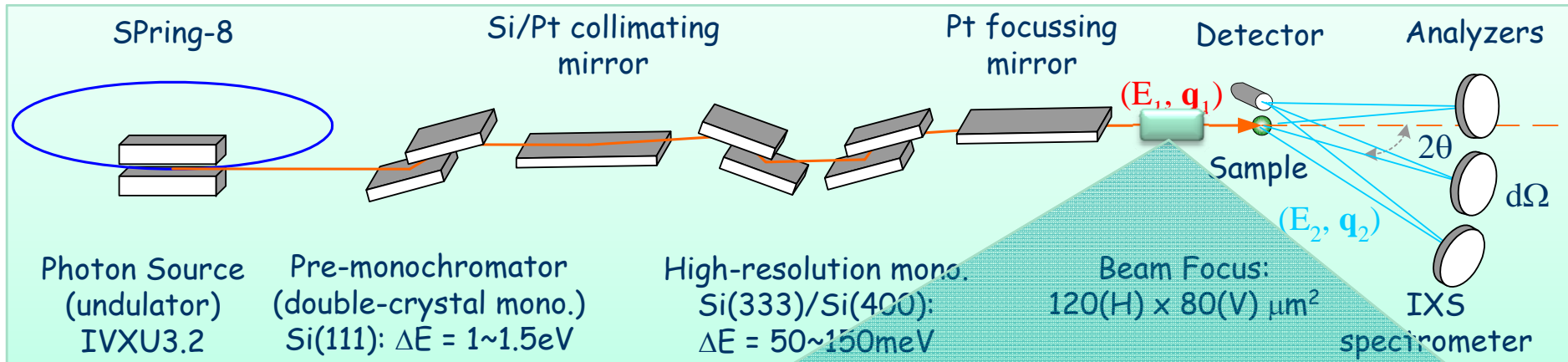
■ Design principle 2: Maximize flexibility to accommodate changing needs !

- ✦ Total energy resolution : 60 ~ 350 meV, or ~ 1 eV with DCM beam
- ✦ Flux:  $1 \times 10^{13}$  photons/sec/eV, and basically scaled with band width
- ✦ Beam size :  $120 \times 80 \mu\text{m}^2$  ( $16 \times 20 \mu\text{m}^2$  optional with KB mirrors)
- ✦ Energy transfer range: 100 meV ~ 1 keV with a single setup
- ✦ q-range : 1 ~ 100  $\text{nm}^{-1}$
- ✦ Count-rate : 0.1 ~ 100 cps (system dependent)



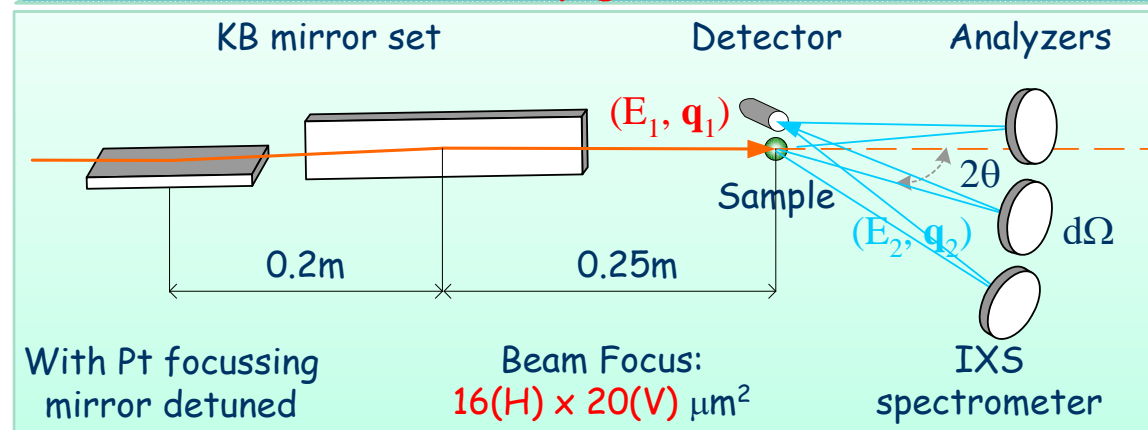
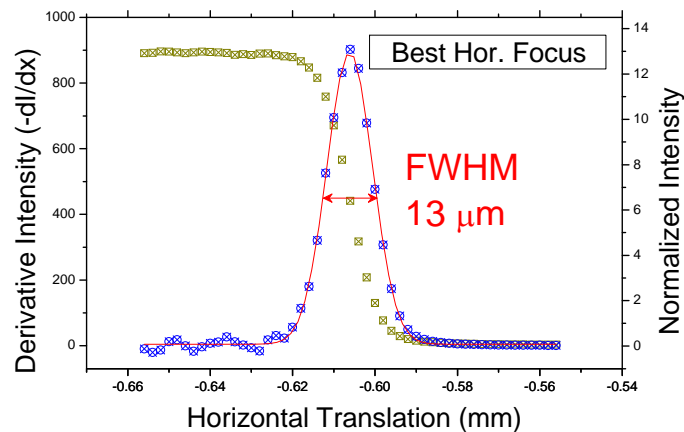
# Taiwan IXS Beamline (BL12XU@SPring-8)

Micro focusing system (optional), compact and low cost



Routinely achieving  $16(\text{H}) \times 20(\text{V}) \mu\text{m}^2$

Transmission up to 80%  
Flux density gain ~ 20 times



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(Huang et al, AIP Conf. Proc. 879, 971)

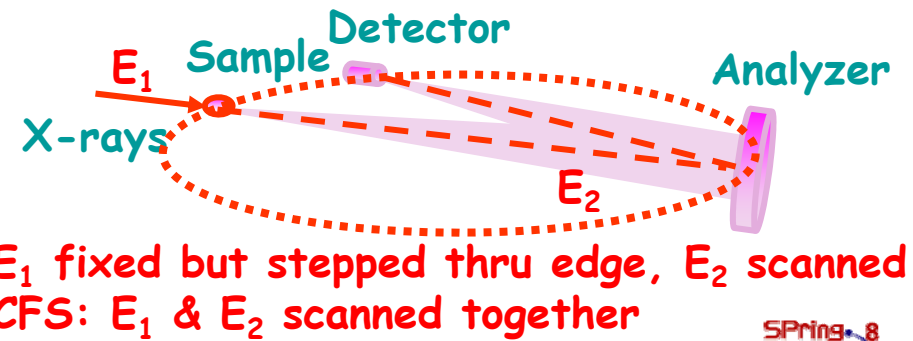
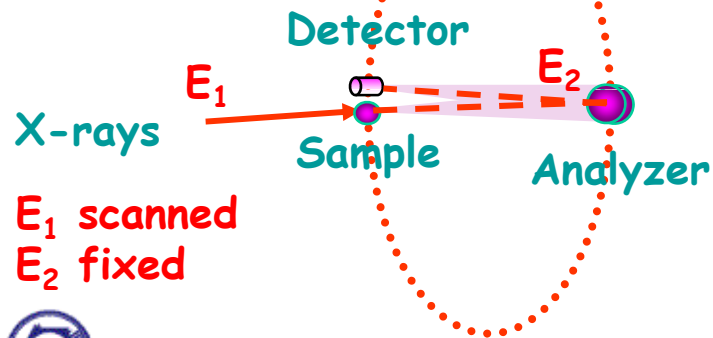


(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Spectrometer Setups

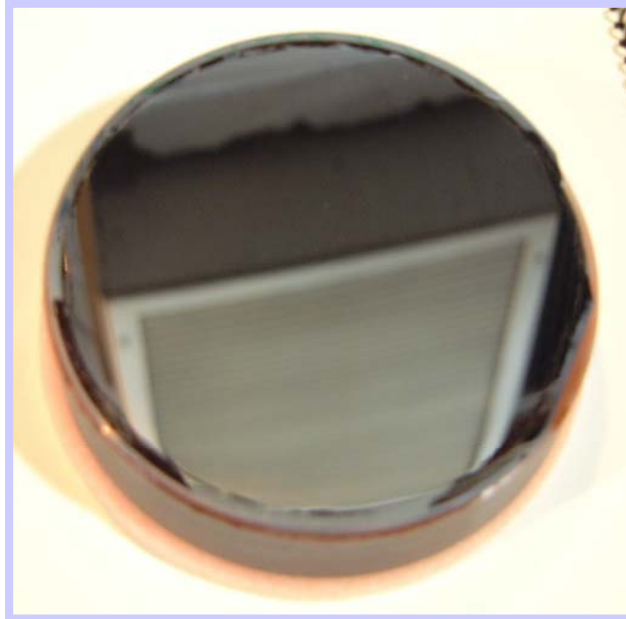
■ NIXS Setup

■ RIXS Setup



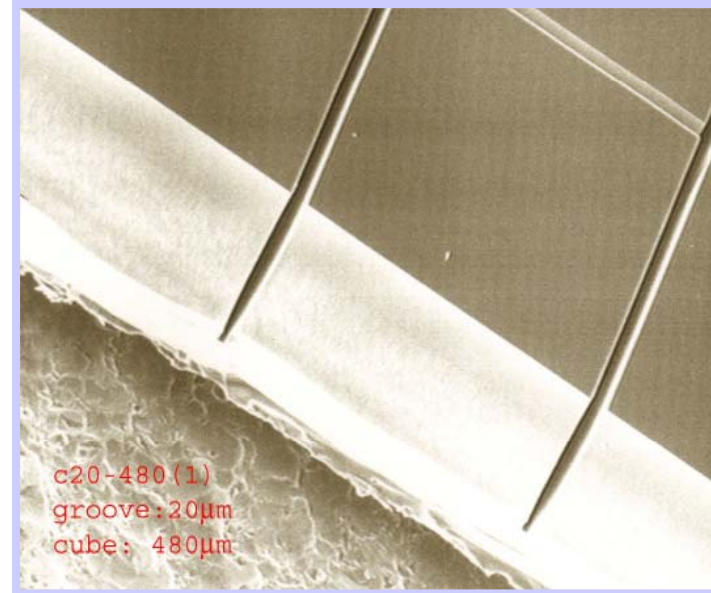


# X-ray Crystal Analyzers



## Spherically bent Si(111) analyzer

- ✚ Bending radius: 2m
- ✚ Diameter: 100mm
- ✚ Wafer thickness: 0.5mm diced with the DRIE technique.

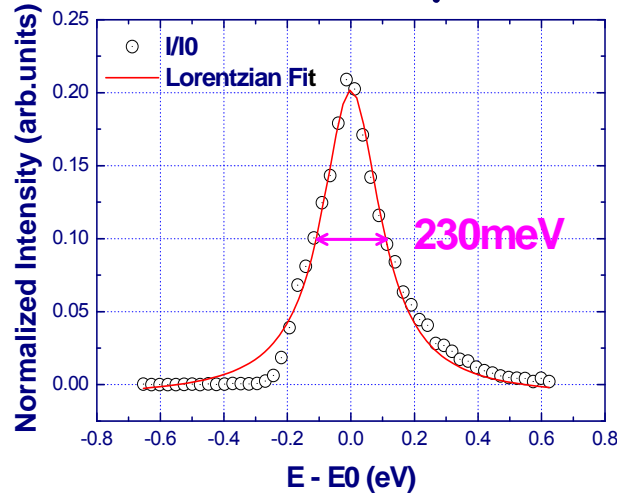


SEM image of a Si(111) test wafer diced with the DRIE technique under similar conditions as those for the analyzer shown on the left.

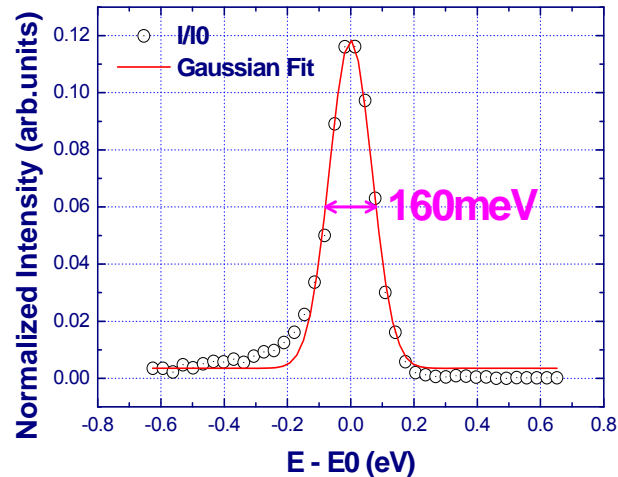


# Analyzer Performance

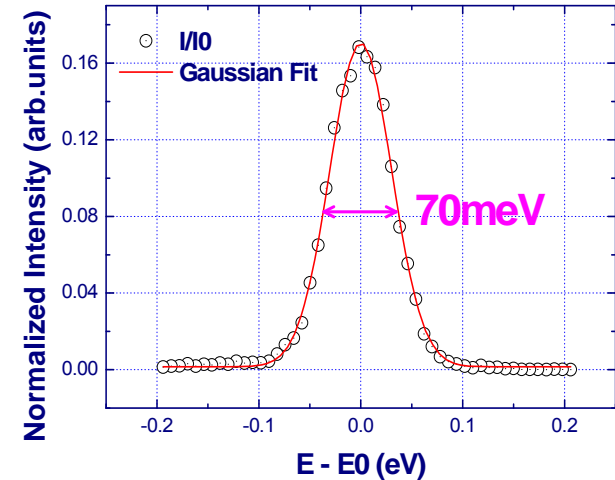
 Bent Analyzer



 DRIE Analyzer



 Diced Analyzer



## Test Conditions

- ✚ Incident Beam: 50 meV width @ 9886.2 eV
- ✚ Scatter: 2 mm thick PMMA
- ✚ Analyzer: 2-m bending radius, Si(555) reflection at near backscattering

➡ Controlled dicing tailors the strain left in the wafer, allowing tuning of the energy resolution to match the incident beam band width

- The most efficient configuration for a given energy resolution !



# RIXS Analyzers (R=1,2m)

Si (hkl)	Back Scattering	$\theta=89\text{deg}$	3d Transitional Metal K-edge										$\theta=60\text{deg}$	
		Emin	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Emax		
004	4.5661	4.5918	Ti											5.2725
133	4.9758	5.0038		V										5.7455
224	5.5923	5.6237			Cr									6.4574
115	5.9315	5.9649				Mn								6.8491
333	5.9315	5.9649												6.8491
044	6.4574	6.4937					Fe							7.4564
135	6.7533	6.7913						Co						7.7981
026	7.2196	7.2602							Ni					8.3365
335	7.4855	7.5276												8.6435
444	7.9087	7.9532												9.1322
117	8.1521	8.1979												9.4132
155	8.1521	8.1979												9.4132
246	8.5424	8.5904												9.8639
137	8.7682	8.8175												10.1247
355	8.7682	8.8175												10.1247
008	9.1322	9.1835												10.5449
337	9.3438	9.3963												10.7893



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Available analyzers



(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Configurations and Performance

## NIXS Setups

Beamline @ 9884.7 eV			IXS Spectrometer	
HRM Configuration	Flux ( $\times 10^{11}$ photons/sec)	Bandwidth (meV)	Multiple Analyzer(s)	Resolution (meV)
Si(333)	1.5	50	Si(555) 2m diced (x9)	70
Si(400)	5.7	150	Si(555) 2m diced (x9)	175
			Si(555) 2m bent (x3)	300
None (DCM)	120	1250	Si(555) 1m bent (x1)	1300

## RIXS Setups

Beamline		IXS Spectrometer		
Resolution Mode	Bandwidth ( $\Delta E/E$ )	Spherical Analyzer	Energy Range (eV)	Resolution (eV)
LR: Si(111) DCM	$1.4 \times 10^{-4}$	1m bent	Various edges	~1
HR: Si(400) HRM	$1.5 \times 10^{-5}$	2m bent	Various edges	~0.35





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- ✚ Theory and Experiment (BL12XU Setup)

## ■ Performance and Selected Results

- ✚ **Element-Specific Applications (XRS/XES/FPY-XAS)**

  - XRS: High pressure phases of  $H_2O$** , liquid & solid He,  $SiO_2$ ,  $Ba_8Si_{46}$  ...

  - XES/XAS: P/T-induced charge instability in TmTe, Prussian Blue ...

- ✚ **Low-Energy Charge Dynamics in  $(\omega, q)$  Space (NIXS/RIXS)**

  - NIXS: Graphite,  $MgB_2$ , Py-SO,  $CaMnO_3$ , NiO ...

  - RIXS at the K-edge of TM: NiO,  $Sr_2CuO_3$ ,  $La_2CuO_4/La_2NiO_4$  ...

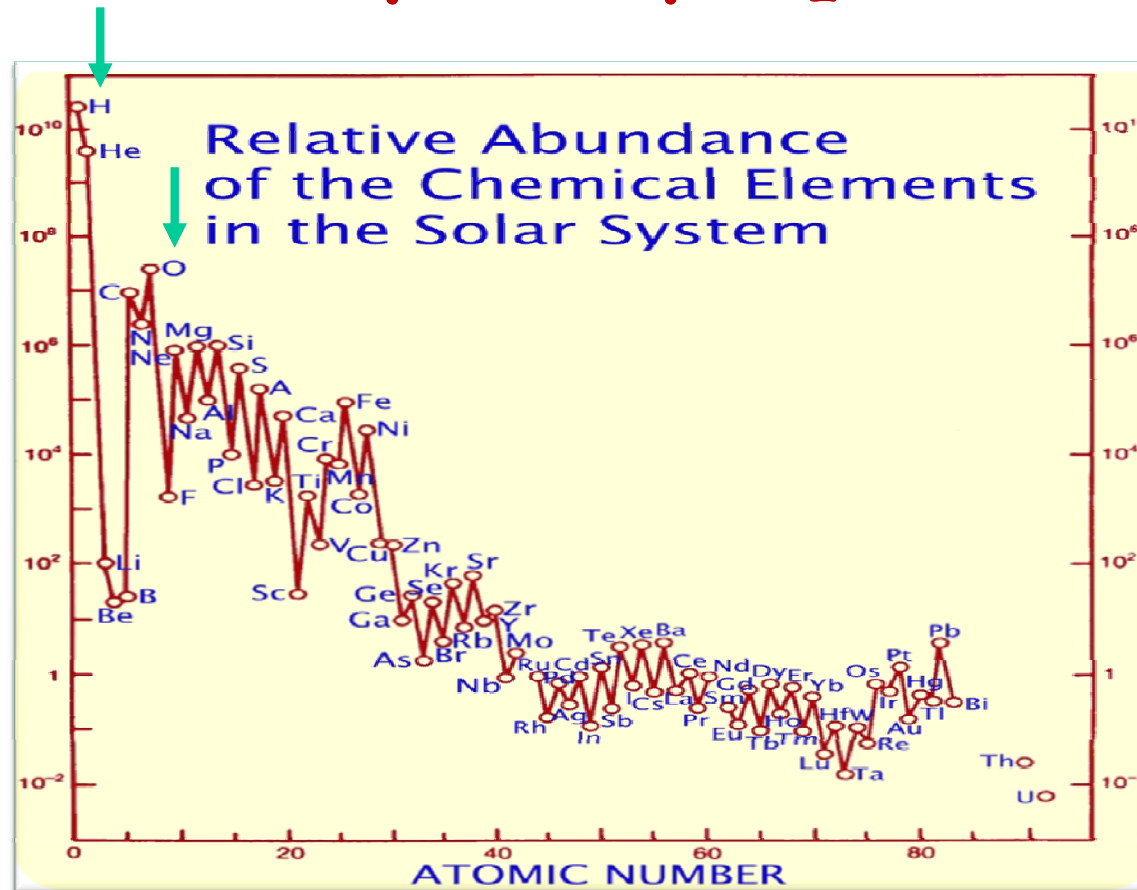
## ■ Possible Further Developments and Outlook

- ✚ Possible further developments

- ✚ Outlook with the Taiwan Photon Source



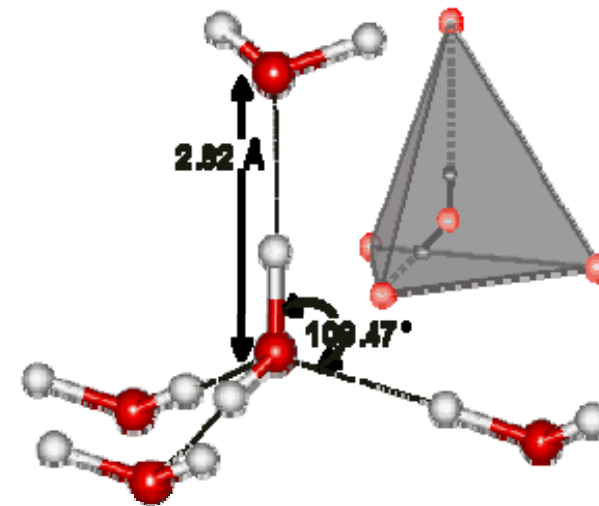
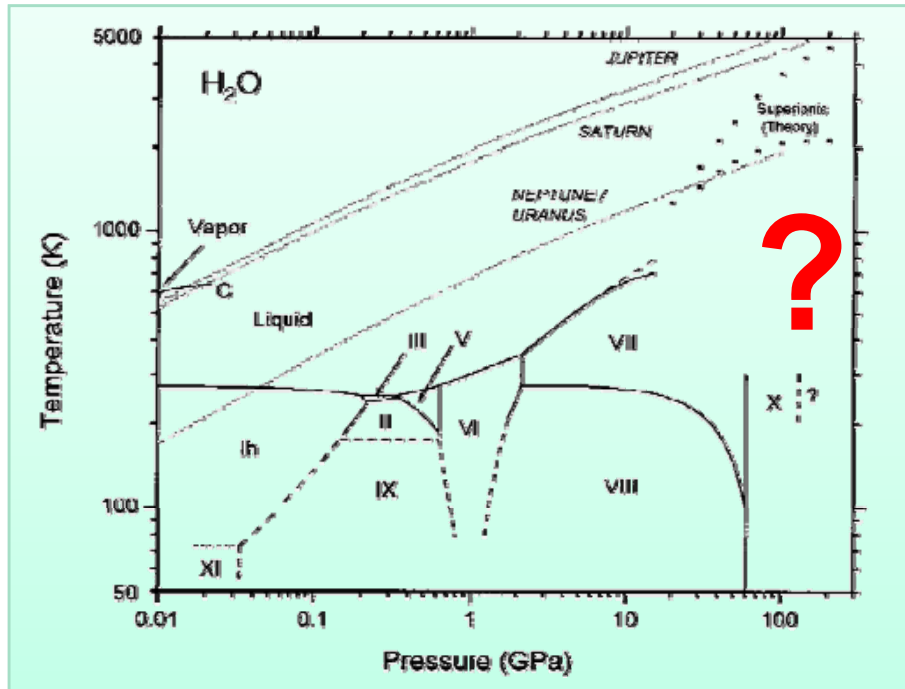
# Why Study H<sub>2</sub>O?



- H<sub>2</sub>O consists of the first and third most abundant elements in the solar system, and plays an exceedingly important role in a wide range of geophysical, planetary, physical, chemical, and biological systems.



# Phase Diagram of H<sub>2</sub>O (before 2004)



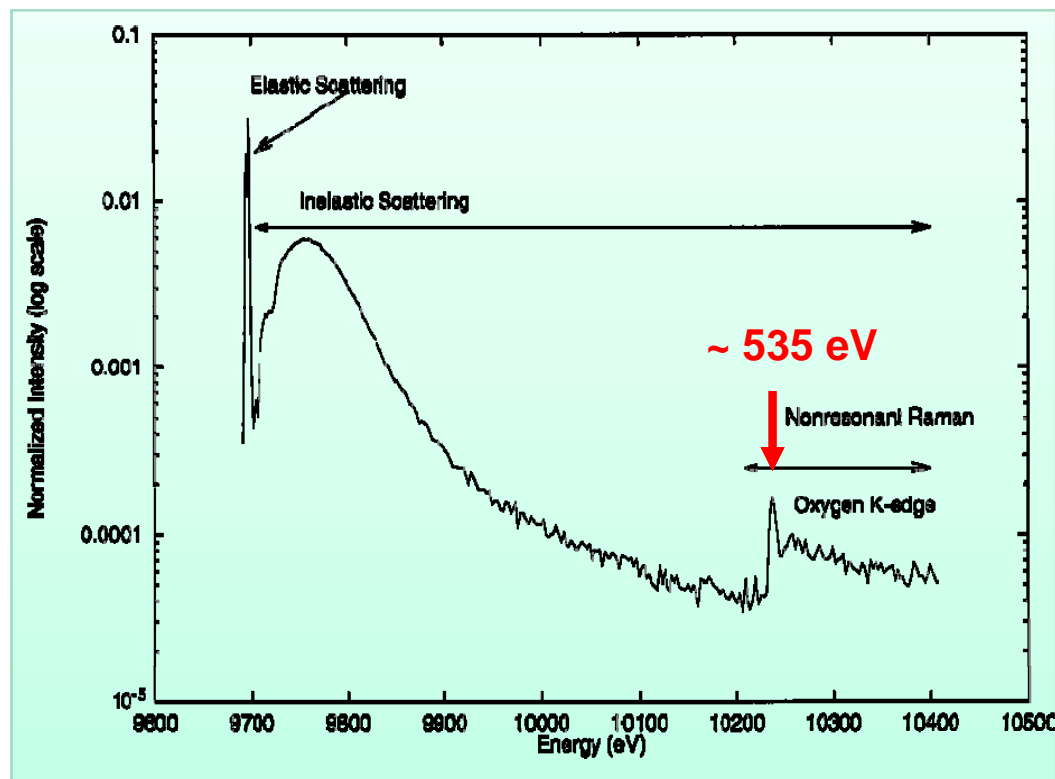
Structure of Ice I<sub>h</sub>

After de Pater & Lissauer (2001)

- There exist at least 15 phases including stable, metastable, crystalline, and amorphous phases of H<sub>2</sub>O. All of these phases observed over modest P-T below 60 GPa conditions have structures closely dictated by the Pauling ice rules (Pauling, 1935). At higher pressures, symmetrization of the hydrogen-bonded network occurs, forming an ionic state, ice X.



# X-ray Raman Scattering (XRS)



Bowron et al, Phys. Rev. B 62, R9223

**A powerful probe that provides detailed information on the local electronic bonding structure of light elements under high pressure**

- ✚ First observed by Das Gupta in the 1950's
- ✚ Clear connection to XAS was established theoretically by Mizuno and Ohmura and experimentally by Suzuki in 1967, **with different strengths**
- ✚ Synchrotron Radiation experiments by Tohji and Udagawa in 1987
- ✚ Established as a routine technique in the 1990's -- see work by Uwe Bergmann (Bergmann et al. Microc. 71 (2002) 221).
- ✚ High-pressure DAC applications in recent years



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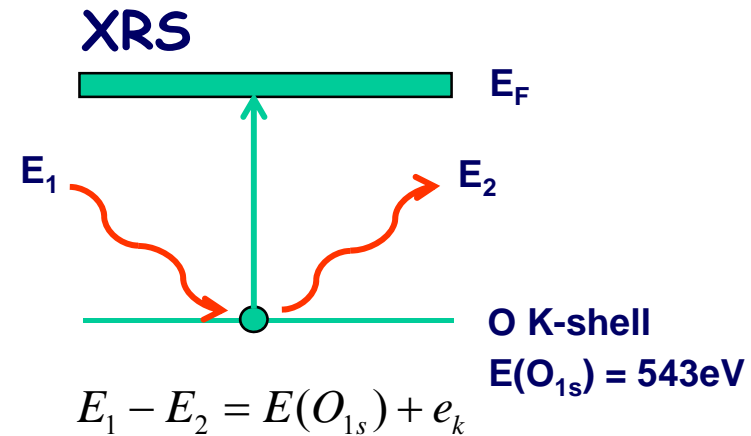
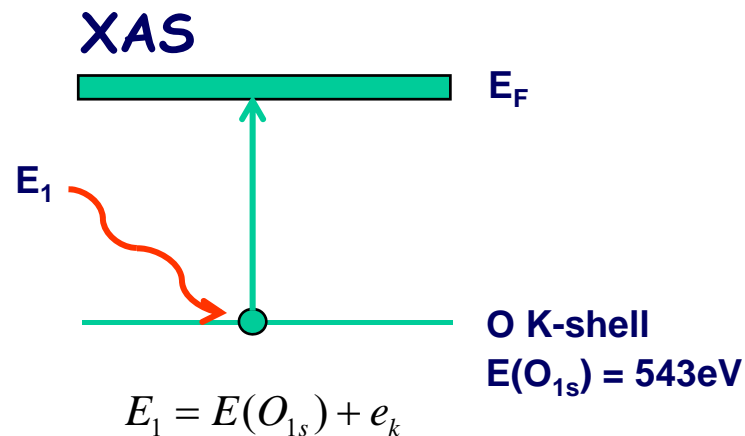


(Yong Cai, NSLS-II Seminar, 11 April 2007)



# XAS versus XRS

- The energy of the incident photon in XAS = The energy transfer in XRS  
The momentum transfer  $q$  = photon polarization vector



- Limitations due to the use of soft x-rays for light elements:
  - Surface sensitivity
  - Restricted sample environments
  - e.g., incompatible with high pressure

- Advantages of using hard x-rays :
  - Non-dipole regimes ( $qr \geq 1$ )
  - True bulk sensitivity
  - Flexible sample environments
  - e.g., compatible with high pressure



# XRS: Possible Applications

## Various Systems

- ✦ Aqueous systems, organisms with high water content (vacuum non-compatible)
- ✦ First and second row elements
- ✦ Transition metal L edges and M-edges

## High Pressure / Temperature

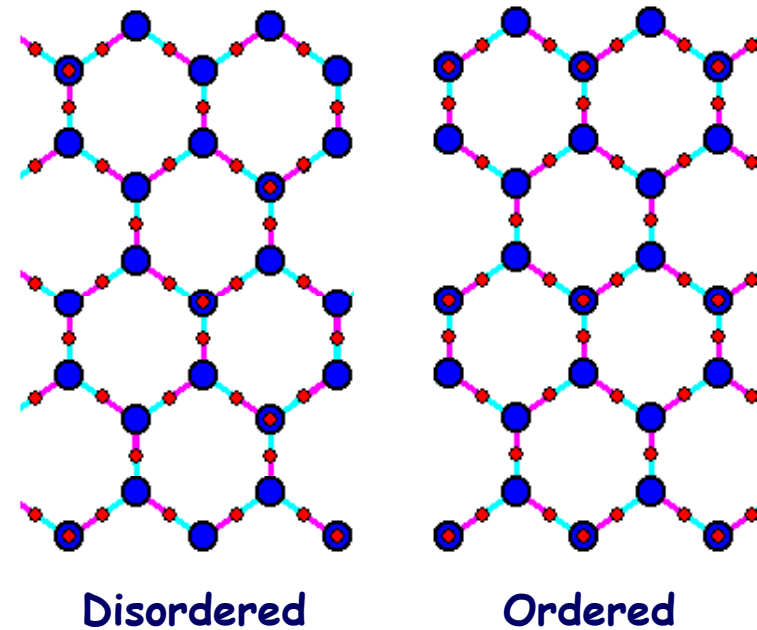
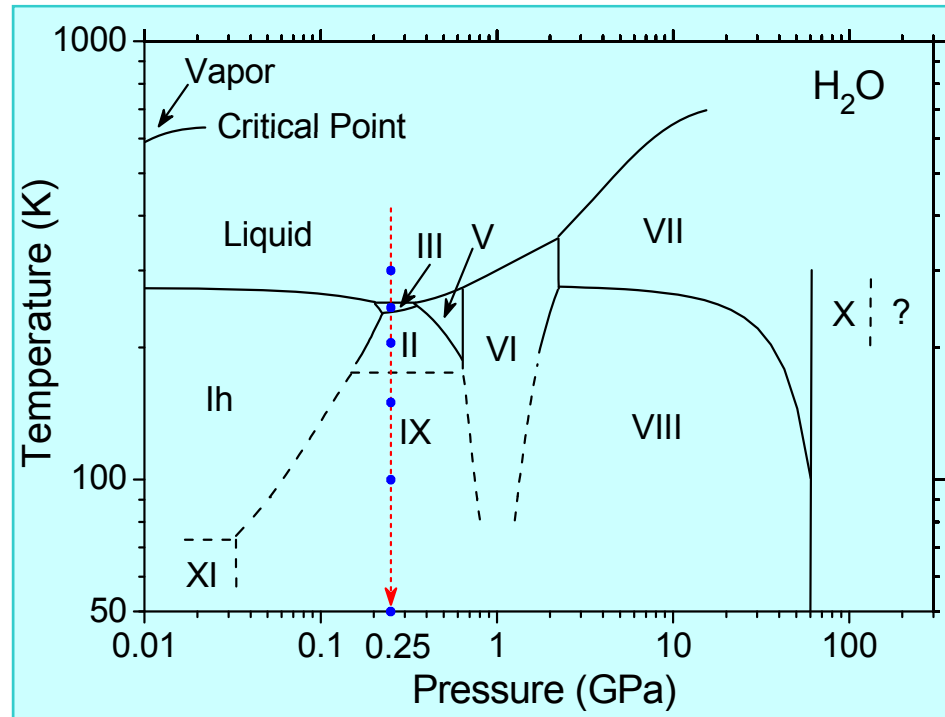
- ✦ Different forms of ice, supercritical water and aqueous solutions
- ✦ Methane hydrates
- ✦ N<sub>2</sub>, O<sub>2</sub>, CO, CO<sub>2</sub>, NO, etc.
- ✦ Hydrogen storage in nanotubes under pressure
- ✦ Pressure-induced superconductivity (most light elements)

## Notable High-Pressure Related Works:

- ✦ W.L. Mao *et al.*, "Bonding Changes in Compressed Superhard Graphite", *SCIENCE* **302**, 425 (2003)
- ✦ Y. Meng *et al.*, "The Formation of sp<sup>3</sup> Bonding in Compressed BN", *Nature Mat.* **3**, 111 (2004)
- ✦ Y.Q. Cai *et al.*, "Ordering of Hydrogen Bonds in High-Pressure Low-Temperature H<sub>2</sub>O", *Phys. Rev. Lett.* **94**, 025502 (2005)
- ✦ S.K. Lee *et al.*, "Probing of Bonding Changes in B<sub>2</sub>O<sub>3</sub> Glasses at High Pressure with Inelastic Xray Scattering", *Nature Mat.* **4**, 851 (2005).
- ✦ W.L. Mao *et al.*, "X-ray-induced Dissociation of H<sub>2</sub>O and Formation of an O<sub>2</sub>-H<sub>2</sub> Alloy at High Pressure", *SCIENCE* **314**, 636 (2006).



# Proton Disordered to Ordered Transition



(after S. Dutch, University of Wisconsin)

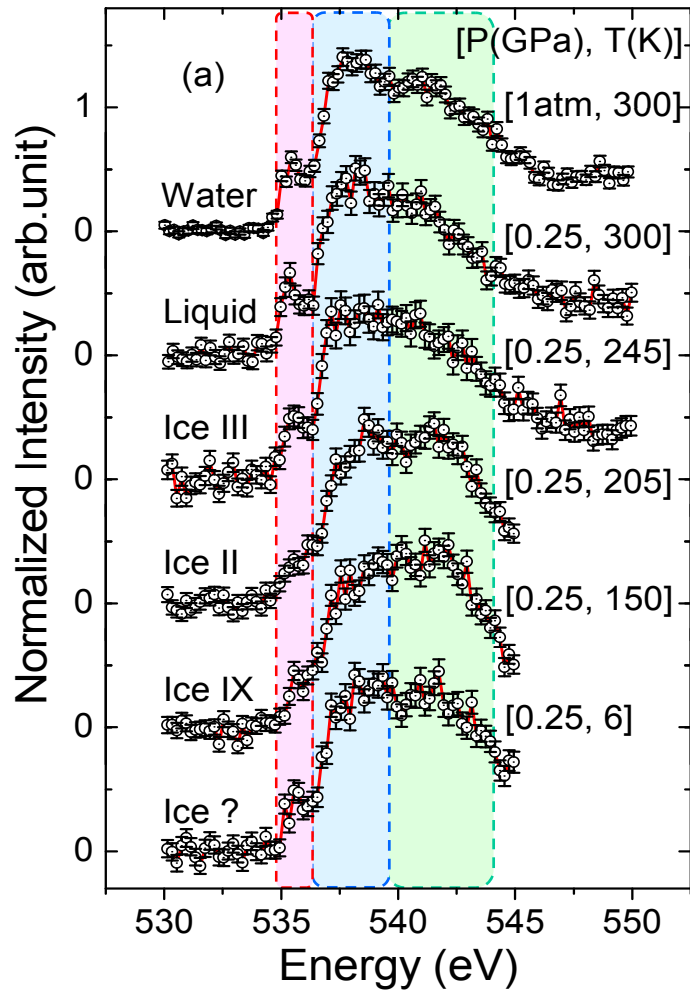
- Proton disordered to ordered phase transitions:
  - ice III - ice IX (two O sites)
  - ice VI - ice VIII (one O sites)

Ice polymorph	Crystal structure	Proton Network
Ice III / ice VI	Tetragonal	Disordered
Ice II	Rhombohedral	Ordered
Ice IX / ice VIII	Tetragonal	Ordered

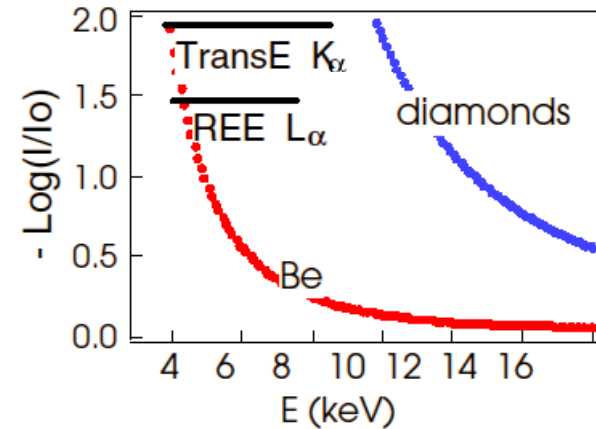
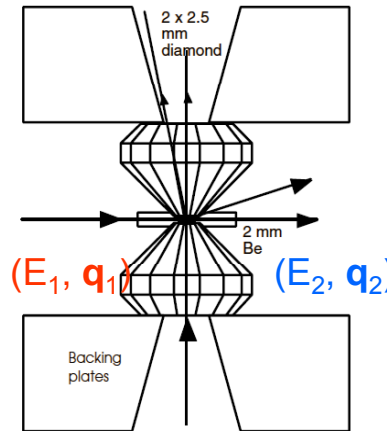


# Effect of Proton-Ordering in H<sub>2</sub>O

High resolution (300meV) allows fine details of the edge structure to be resolved !



Pre-, Main-, Post-edge



Scattering thru Be: Less absorption, larger angular range!

Ice polymorph	Crystal structure	Proton Network
Ice III	Tetragonal	Disordered (~75%)
Ice II	Rhombohedral	Ordered (100%)
Ice IX	Tetragonal	Ordered (~92%)

The decrease of the pre-edge intensity is a key signature of proton ordering in the H<sub>2</sub>O frame work!

(Cai et al, Phys. Rev. Lett. 94, 025502)

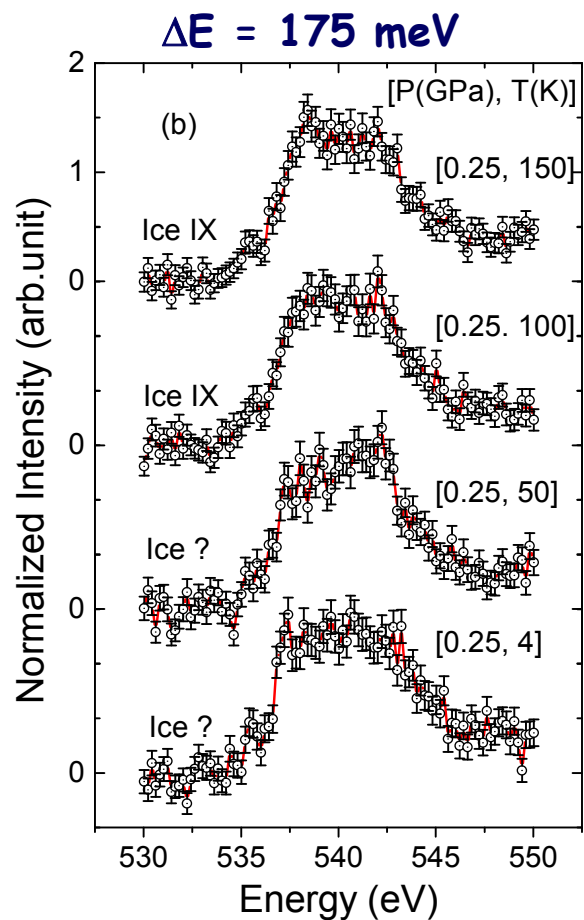


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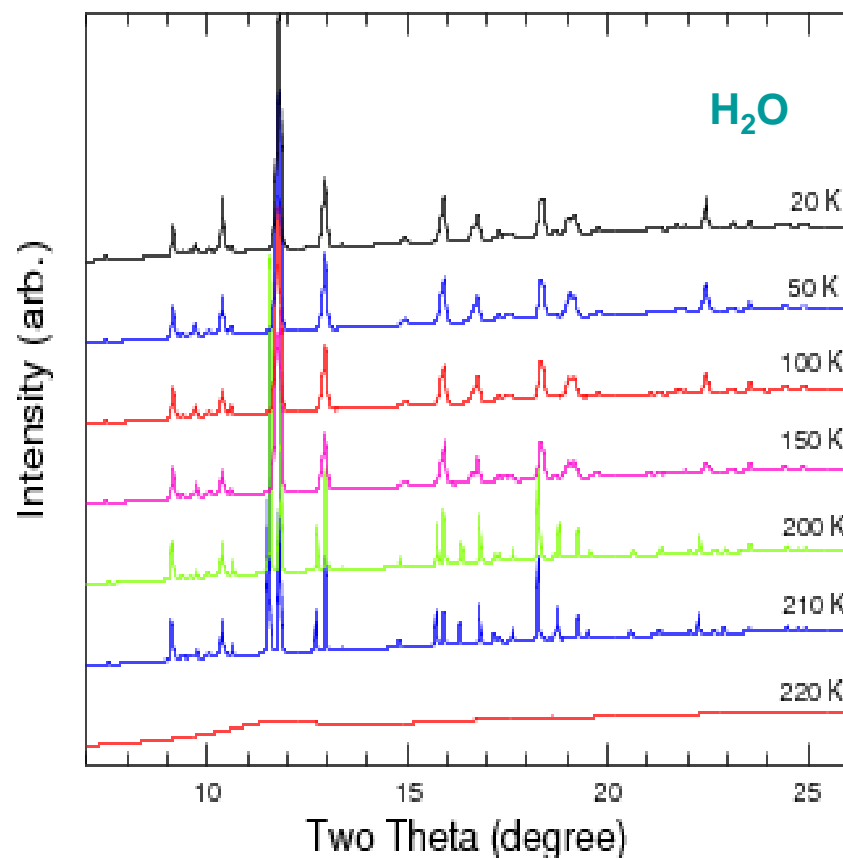


(Yong Cai, NSLS-II Seminar, 11 April 2007)

# A New LT Phase ?



XRD at 0.26 GPa and various temperatures



**XRS: is a sensitive probe to local bonding variations**

**XRD: provides information on long-range structural variations**



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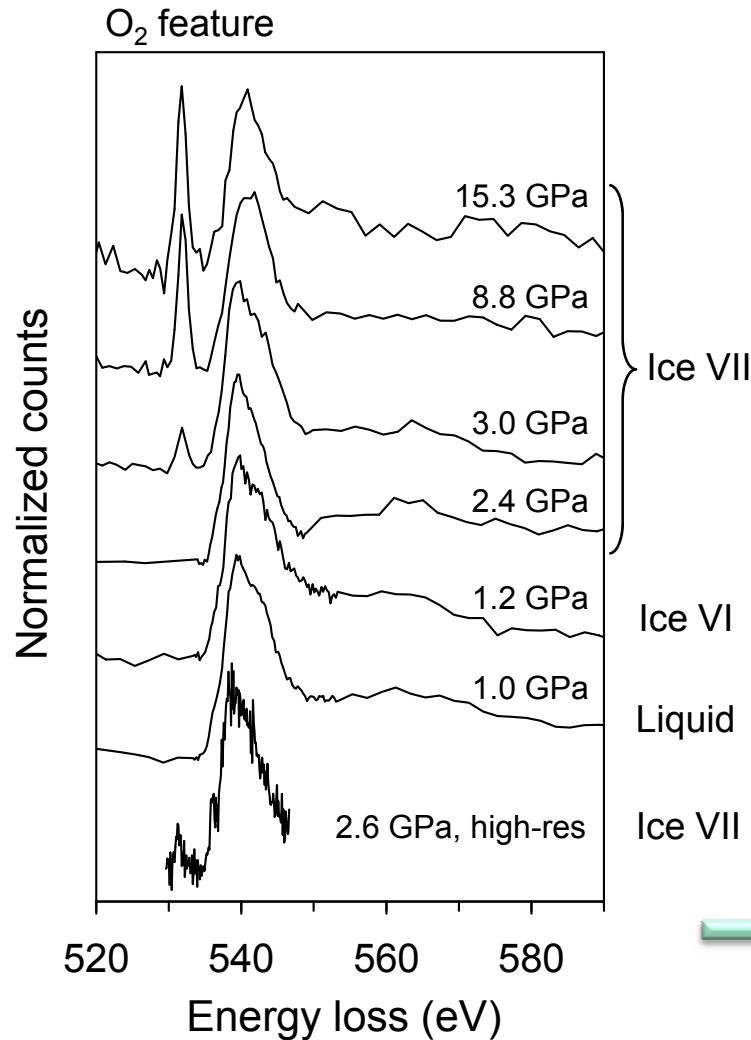
(Cai et al, unpublished)



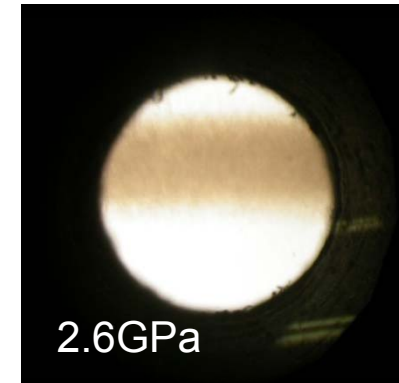
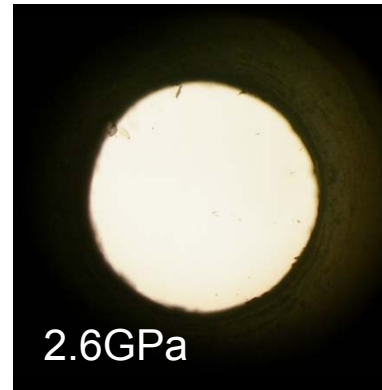
(Yong Cai, NSLS-II Seminar, 11 April 2007)



# X-ray-induced Dissociation of H<sub>2</sub>O



Before and after X-radiation



At pressure above 2.5 GPa, H<sub>2</sub>O cleaves under prolong x-radiation and forms a new alloy of H<sub>2</sub> and O<sub>2</sub> molecules that does not follow Pauling's ice rules!



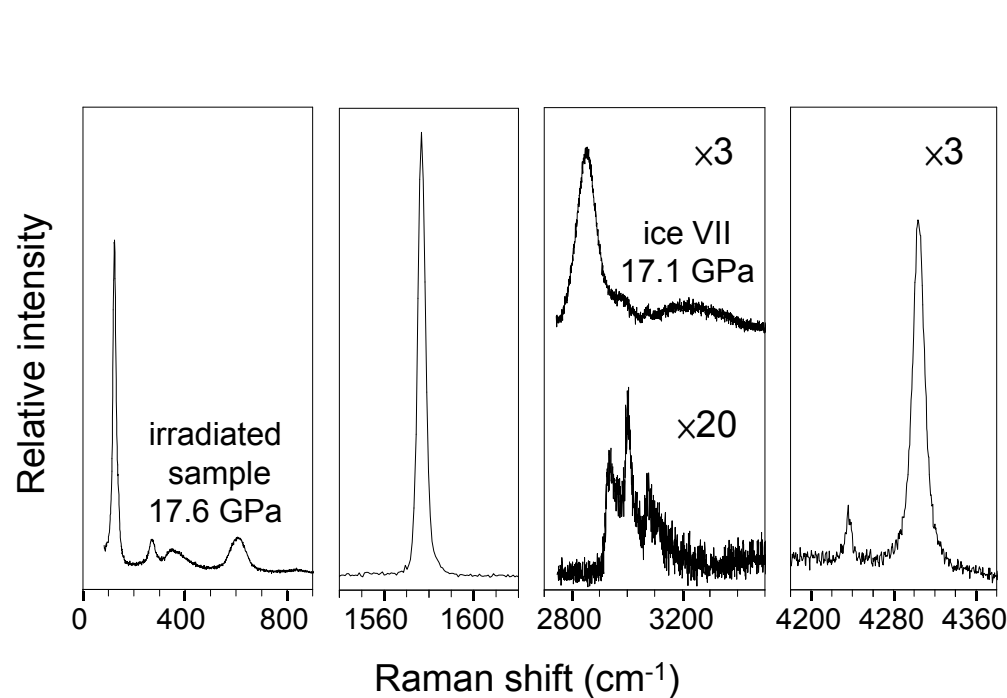
New way to study H<sub>2</sub> and O<sub>2</sub> interaction

(W.L. Mao et al, Science 314, 636)

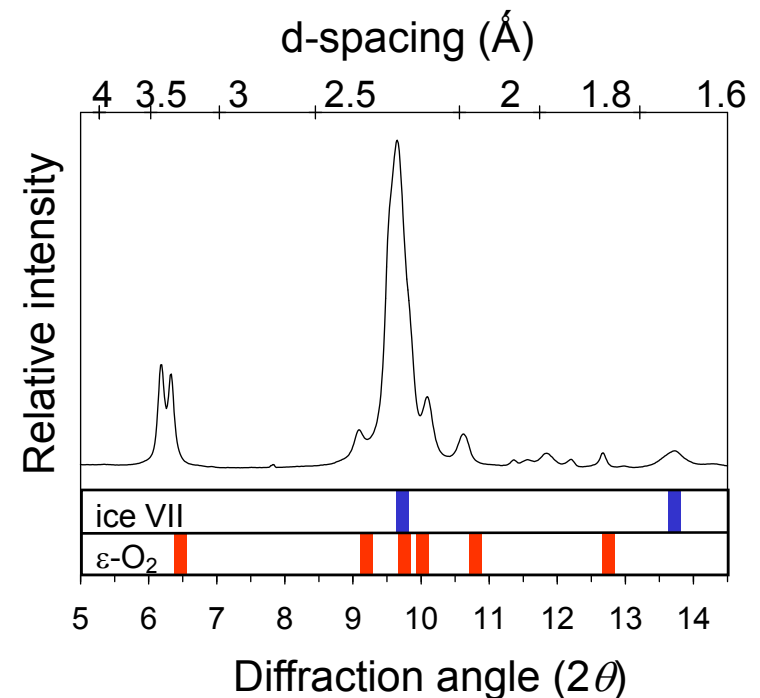


# Synergy for HP Sciences

- An excellent example of HP research that illustrates the necessity of using a multitude of experimental techniques for proper characterization of the system (**A scenario of Elephant and the Blind Men**).



**Laser Raman Spectroscopy**



**X-ray Diffraction**

(W.L. Mao et al, Science 314, 636)



National Synchrotron Radiation Research Center



(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Outline

## ■ Brief Review of IXS by Electronic Excitations

- ✚ Theory and Experiment (BL12XU Setup)

## ■ Performance and Selected Results

- ✚ Element-Specific Applications (XRS/XES/FPY-XAS)

XRS: High pressure phases of  $\text{H}_2\text{O}$ , liquid & solid He,  $\text{SiO}_2$ ,  $\text{Ba}_8\text{Si}_{46}$  ...

XES/XAS: P/T-induced charge instability in TmTe, Prussian Blue ...

- ✚ Low-Energy Charge Dynamics in  $(\omega, q)$  Space (NIXS/RIXS)

**NIXS:** Graphite,  $\text{MgB}_2$ , Py-SO,  $\text{CaMnO}_3$ , NiO ...

RIXS at the K-edge of TM: NiO,  $\text{Sr}_2\text{CuO}_3$ ,  $\text{La}_2\text{CuO}_4/\text{La}_2\text{NiO}_4$  ...

## ■ Possible Further Developments and Outlook

- ✚ Possible further developments

- ✚ Outlook with the Taiwan Photon Source



# NIXS of Charge Dynamics: Key Aspects

$$S(\mathbf{q}, \omega) = -\frac{\hbar q^2}{4\pi^2 e^2 n} \cdot \text{Im} \varepsilon^{-1}(\mathbf{q}, \omega) = -\frac{1}{\pi n} \cdot \text{Im} \chi(\mathbf{q}, \omega)$$

- Fluctuation-Dissipation Theorem links  $S(\mathbf{q}, \omega)$  to  $\varepsilon(\mathbf{q}, \omega)$ , the latter defines all physical responses of the target system to external field: **Insight into the excitations of the N-particle many-body system and their interplay with the electronic structure:**

$$\mathbf{D}_{\text{Internal}} = \varepsilon \mathbf{E}_{\text{external}} = (\varepsilon_1 + i\varepsilon_2) \mathbf{E}_{\text{external}}$$

- Generally,  $\varepsilon(\mathbf{q}, \omega)$  is a complex function of momentum and energy
  - The real part represents features of collective excitations (e.g., plasmons)
  - The imaginary part describes single-particle excitation (e.g., interband transitions).
  - For core excitations, the real part and the imaginary part are well decoupled ( $\varepsilon_1 \sim 1$ ,  $\varepsilon_2 \sim \mu$ ), while they are strongly coupled for valence excitations



# NIXS v's Optical & EELS Studies

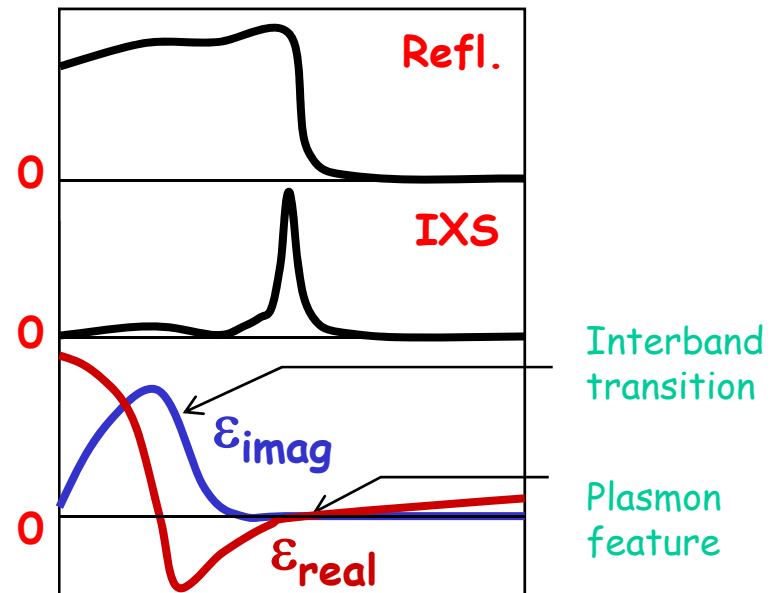
- Compared to optical studies ( $q=0$ ), the  $q$ -dependent information provides full knowledge of the charge dynamics and a stringent test of theory
- IXS is almost free of multiple scattering (cf. EELS), even at high  $q$ , providing a clean way to study the charge dynamics at high  $q$ , where short-range correlation effects showing interesting and new physics can be expected
- Cross section is proportional to  $q^2$ , in contrast to  $q^{-4}$  in the case of EELS
- At sufficiently high  $q$ , non-dipole transitions become possible, allowing additional excitation channels to be explored
- One derives  $\varepsilon(\mathbf{q}, \omega)$  by using the f-sum rule:

$$\int \text{Im} \varepsilon^{-1}(\mathbf{q}, \omega) \omega d\omega = -2\pi^2 e^2 n / m$$

and the Kramer-Kronig transformation:

$$\varepsilon_1(\mathbf{q}, \omega) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{\omega' \varepsilon_2(\mathbf{q}, \omega')}{(\omega')^2 - \omega^2} d\omega'$$

➔ Practical application of NIXS to study  $\varepsilon(\mathbf{q}, \omega)$  has just started!

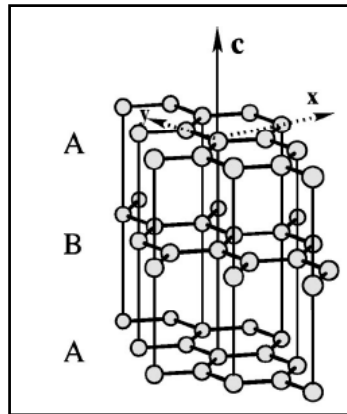




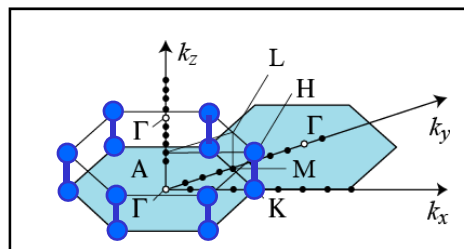
# Graphite

- Similar crystallographic and electronic structure to C-based novel materials  $C_{60}$  or nanotubes
- Various types of properties such as superconductivity, H storage, or super hard form, induced by intercalation, nano-structuring, or applying pressure

Crystal structure

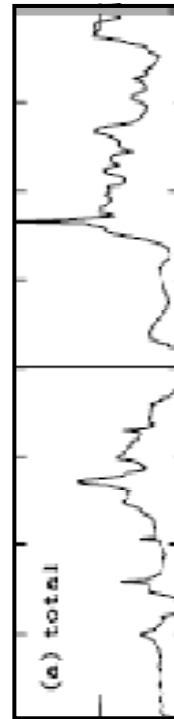


Brillouin zone

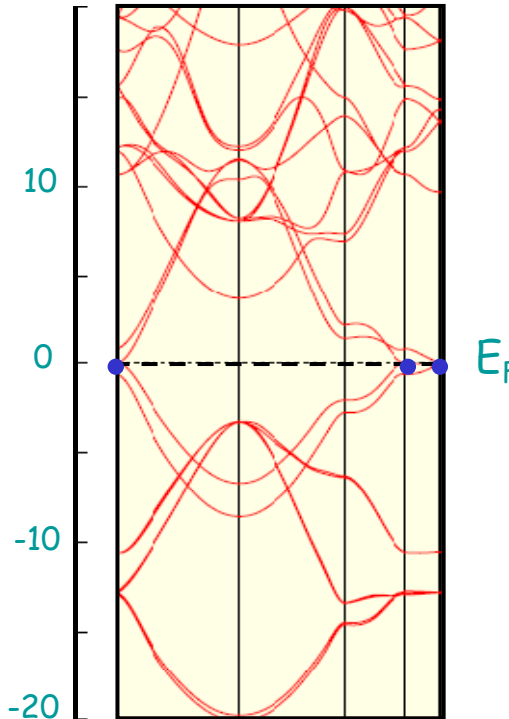


Gapless

DOS



20eV



K  $\Gamma$  M K H

An important fact is that graphite is semi metallic. However, there are few reports that have observed semi-metallic electronic structure.



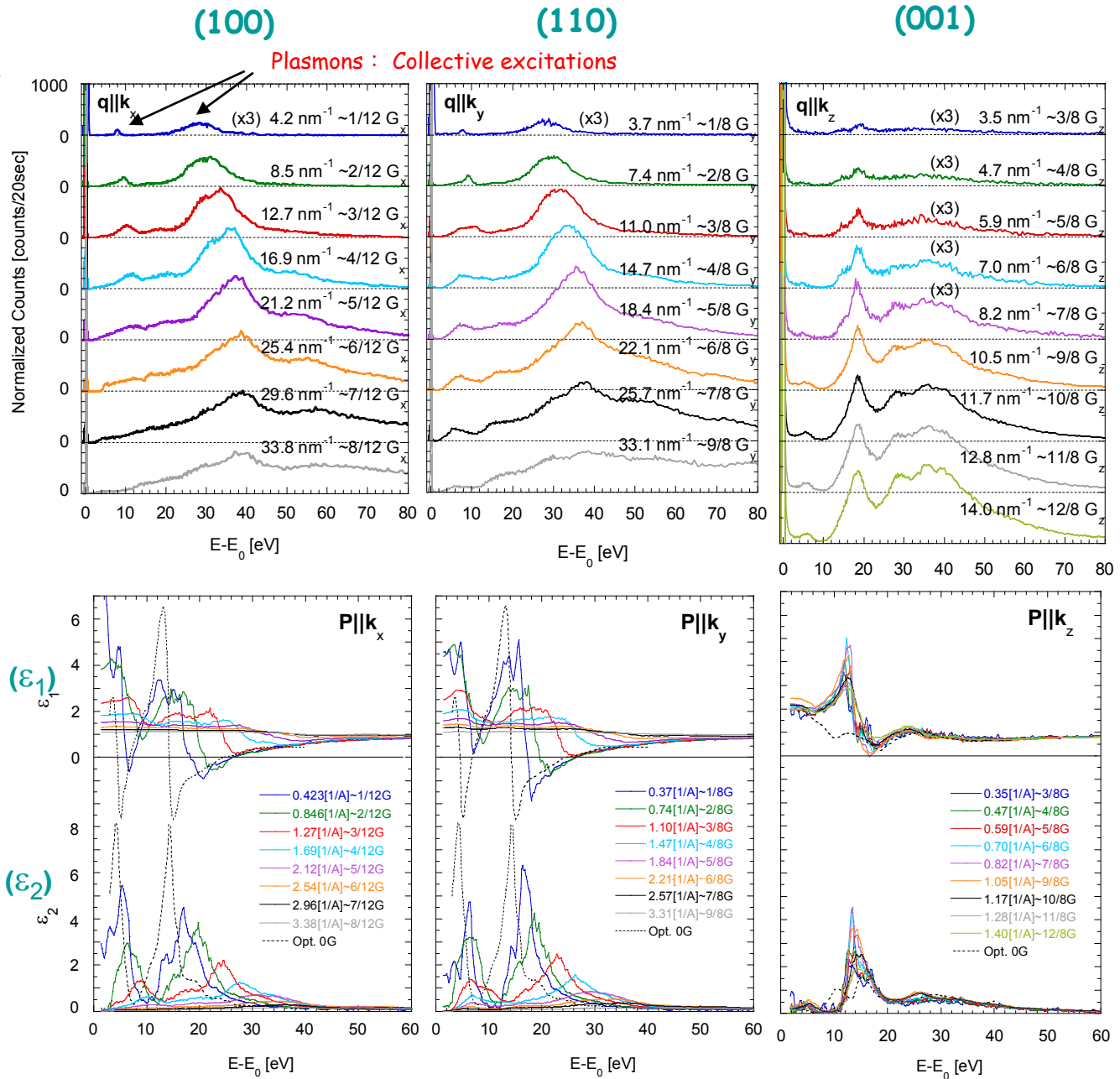
# Graphite

## IXS spectra:

Collective mode and single-particle mode are mixed.

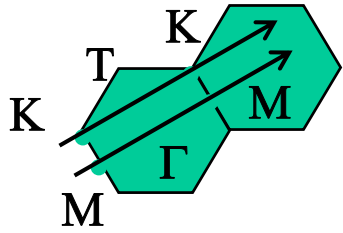
KK Transform.

## Dielectric function



# Graphite

$q \parallel [100]$



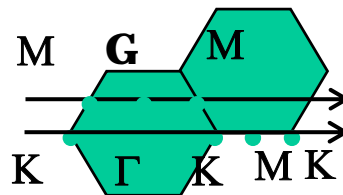
allowed

forbidden

$\sigma \rightarrow \sigma^*$   
 $\pi \rightarrow \pi^*$

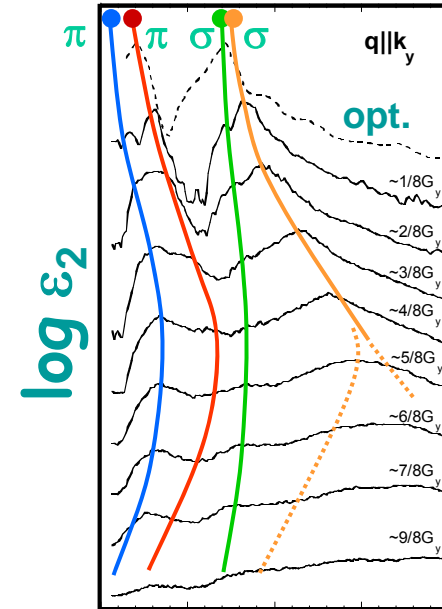
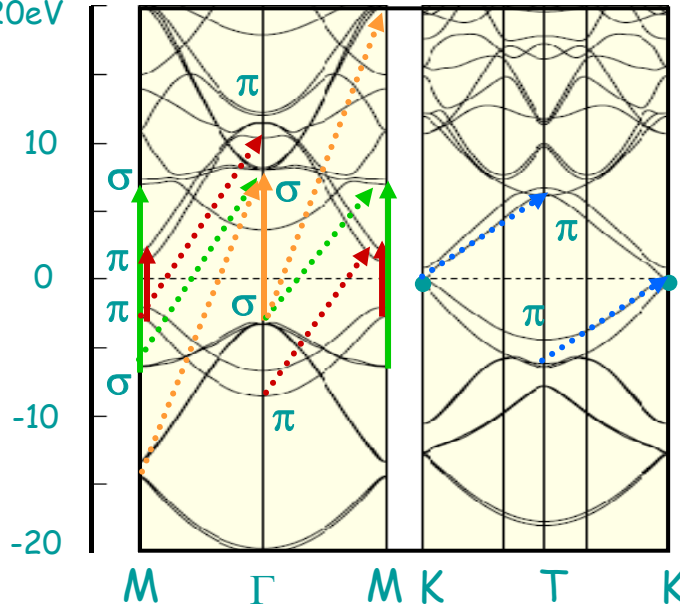
$\pi \rightarrow \sigma^*$   
 $\sigma \rightarrow \pi^*$

$q \parallel [110]$



\*Optical data: EXP. Klucker et al. Phys. Status Solidi B 65 703 (1974)  
THEO: Marinopolous et al. Phys. Rev. B 69 245419 (2004)

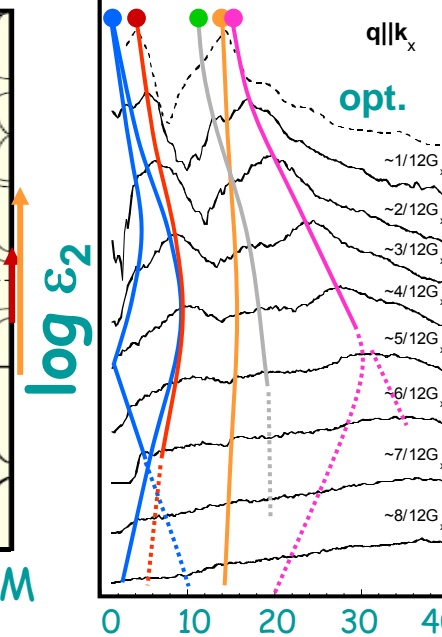
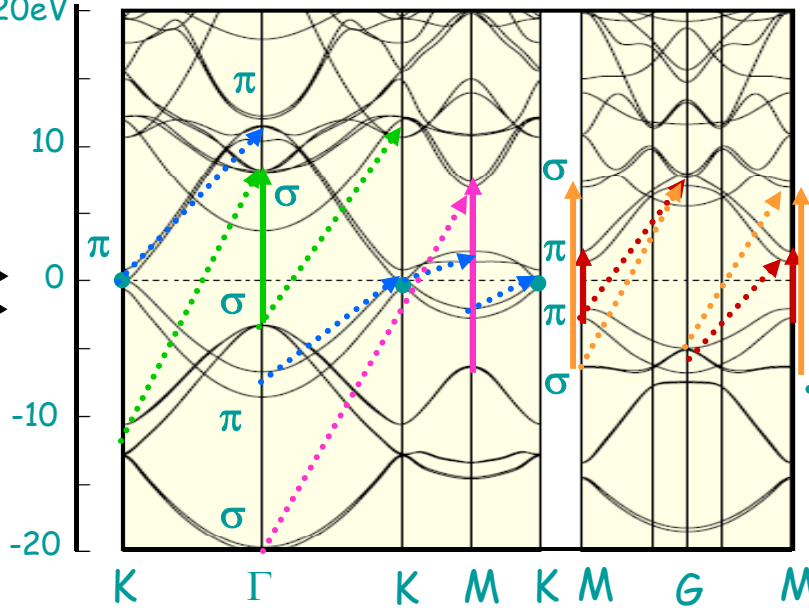
20eV



$q=0$   
(opt. \*)

$q=9/8G$

20eV



$q=0$   
(opt. \*)

$q=8/12G$



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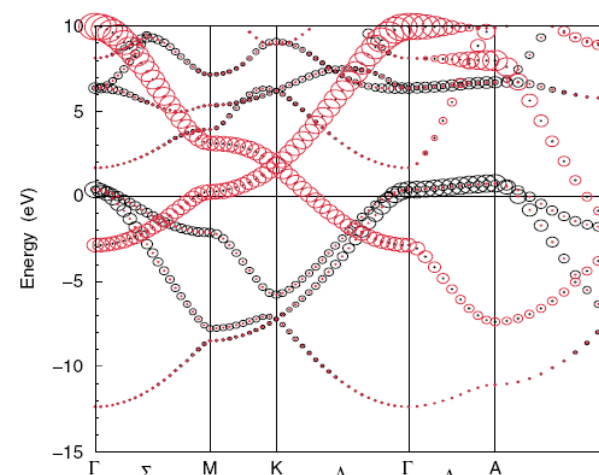
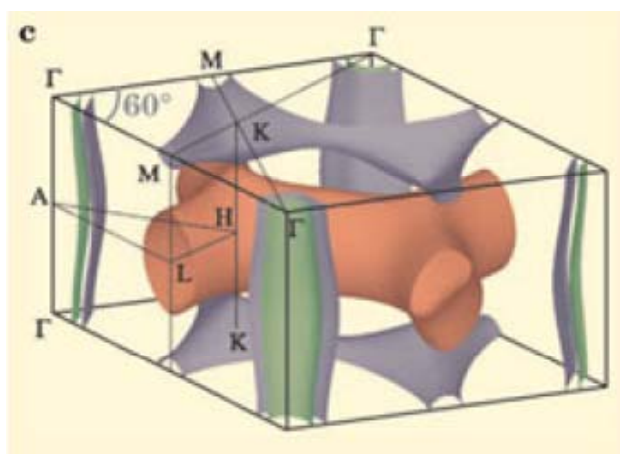
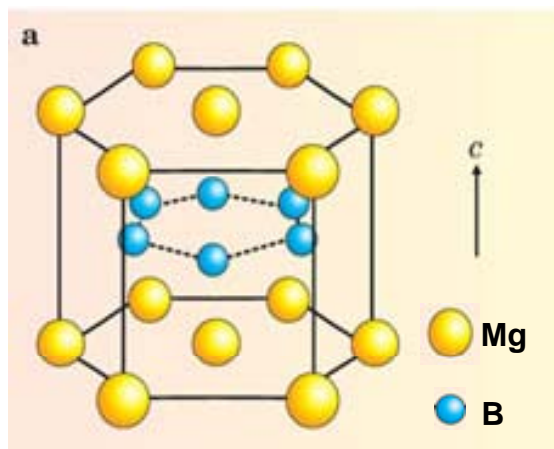
**IXS clearly observes semi-metallic features in graphite**

(Yong Cai, NLS-II Seminar, 11 April 2007)



# Superconductivity of $\text{MgB}_2$

- Superconductivity ( $T_c=39\text{K}$ ) is dominated by strong e-p coupling between the B sublattice (the  $E_{2g}$  optical phonons) and the 2D boron  $\sigma$  bands



Strong charge inhomogeneity

Kortus et al, *Phys. Rev. Lett.* 86, 4656

○ B  $\pi$ -bands

○ B  $\sigma$ -bands

## The Realization of a Demon?

**Demon = distinct electron motion** (D. Pines, 1956), formed in the presence of two distinct types of carriers in the system (the heavy B 2D  $\sigma$  holes and the light 3D  $\pi$  electrons in  $\text{MgB}_2$ ). The light carriers could screen the Coulomb repulsion of the heavy carriers effectively, thereby reducing the plasmon frequency of the heavy carriers, leading to the so-called acoustic plasmon that follows generally:  $\omega_a = v_a q$ , where  $v_a \sim$  the Fermi velocity of the heavy carriers.



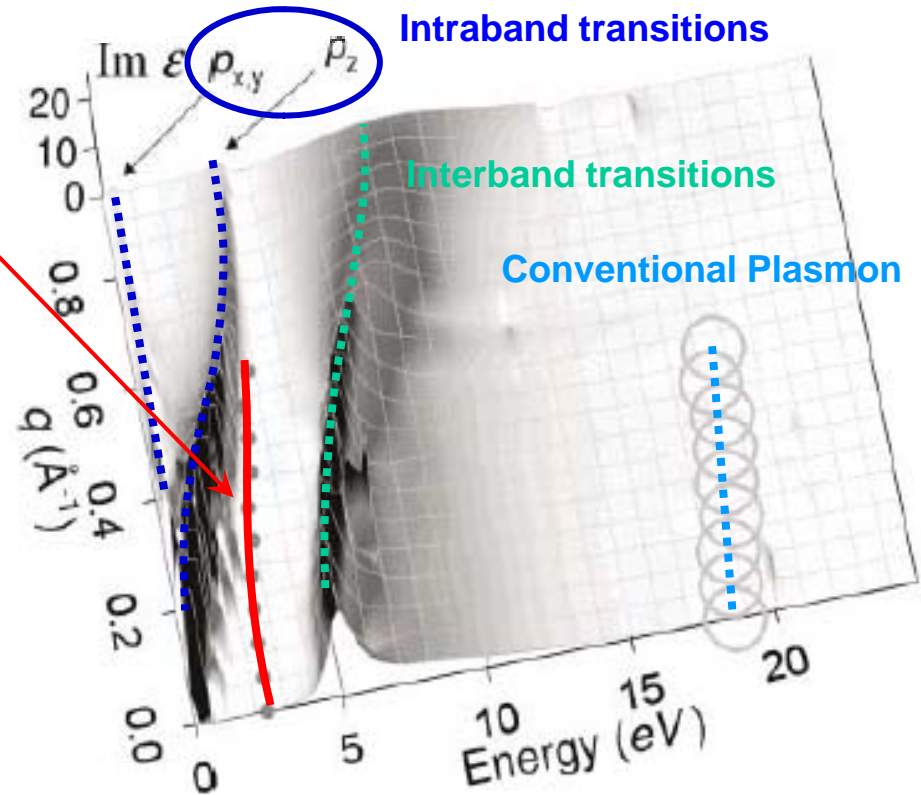
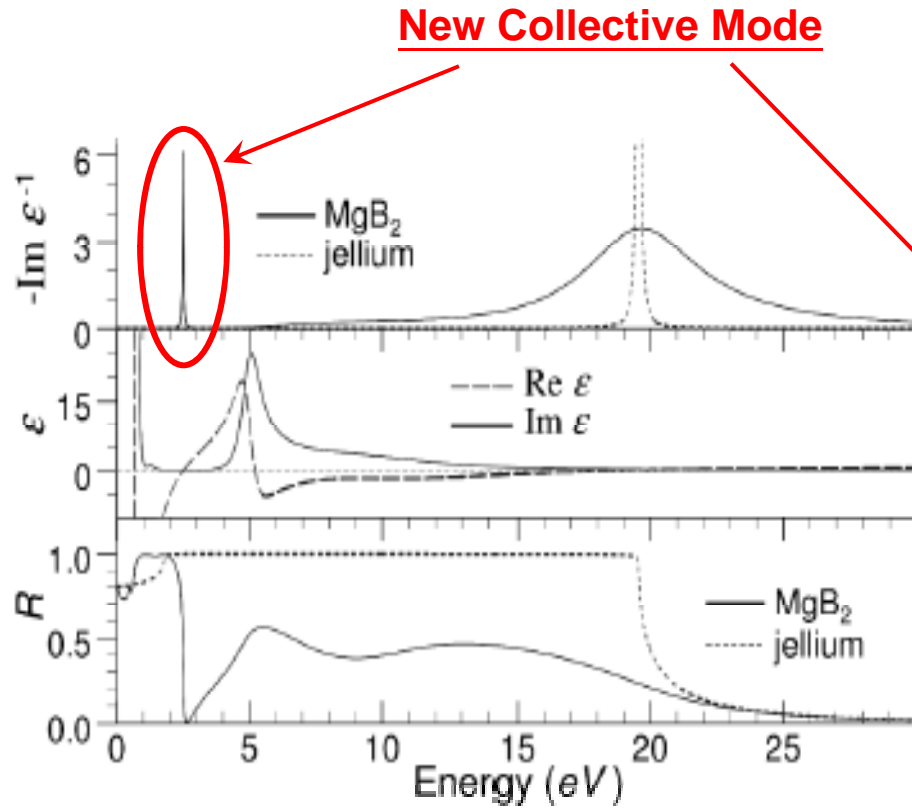
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(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Dynamical Response of MgB<sub>2</sub>

(W. Ku et al, Phys. Rev. Lett. 88, 057001)



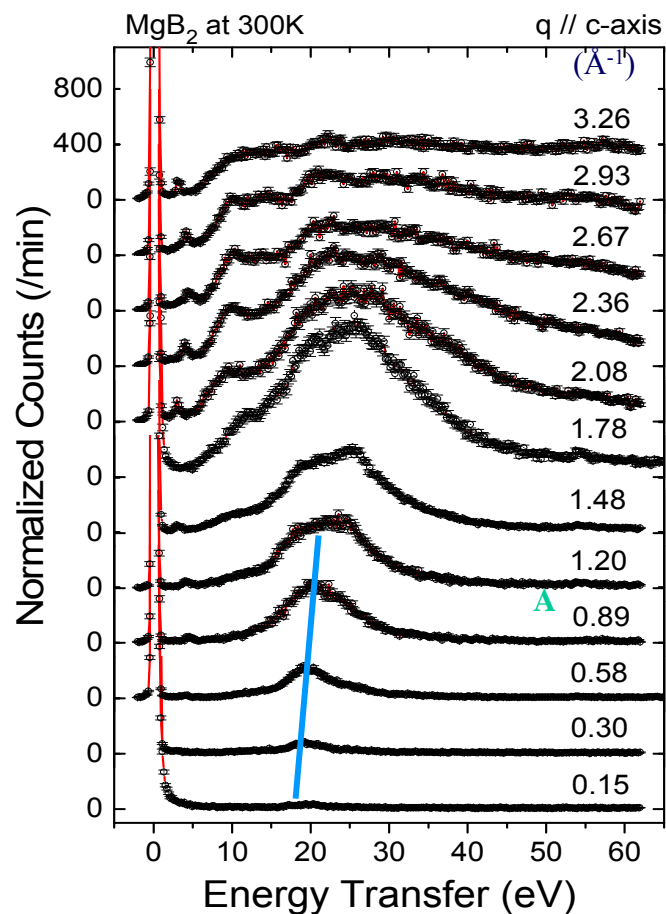
- At low  $q$ , crystal-local field effects (CLFE) are negligible, the new collective mode disappears into particle-hole continuum by Landau damping as  $q$  increases





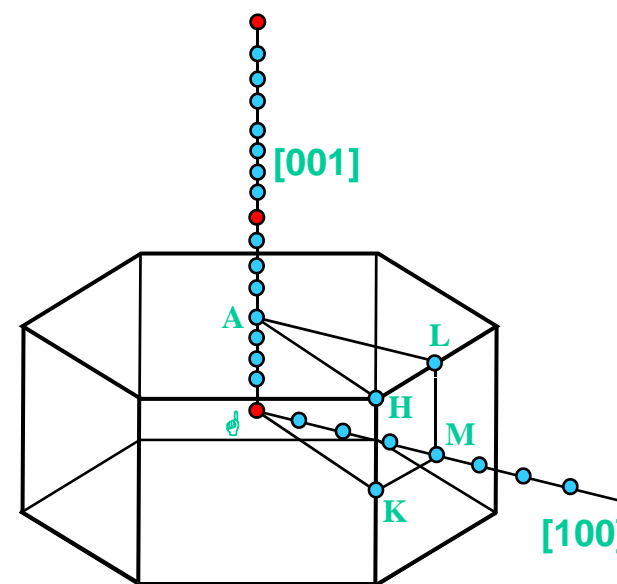
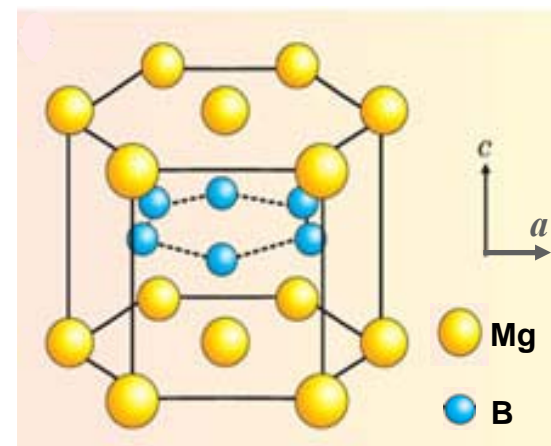
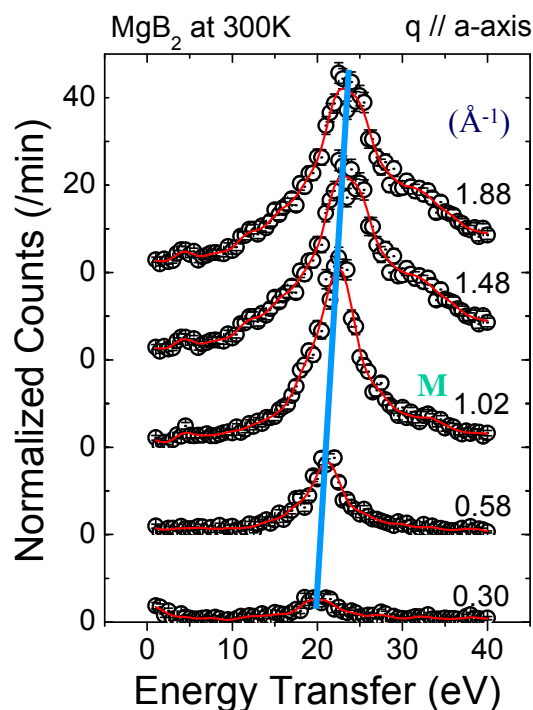
# Charge Dynamics in $(\omega, q)$ Space: $\text{MgB}_2$

$q // c\text{-axis [001]}$   $\Delta E = 250 \text{ meV}$



$\Delta q = 0.06 \text{ \AA}^{-1}$

$q // a\text{-axis [100]}$   $\Delta E = 65 \text{ meV}$



Bulk plasmon of conduction electrons

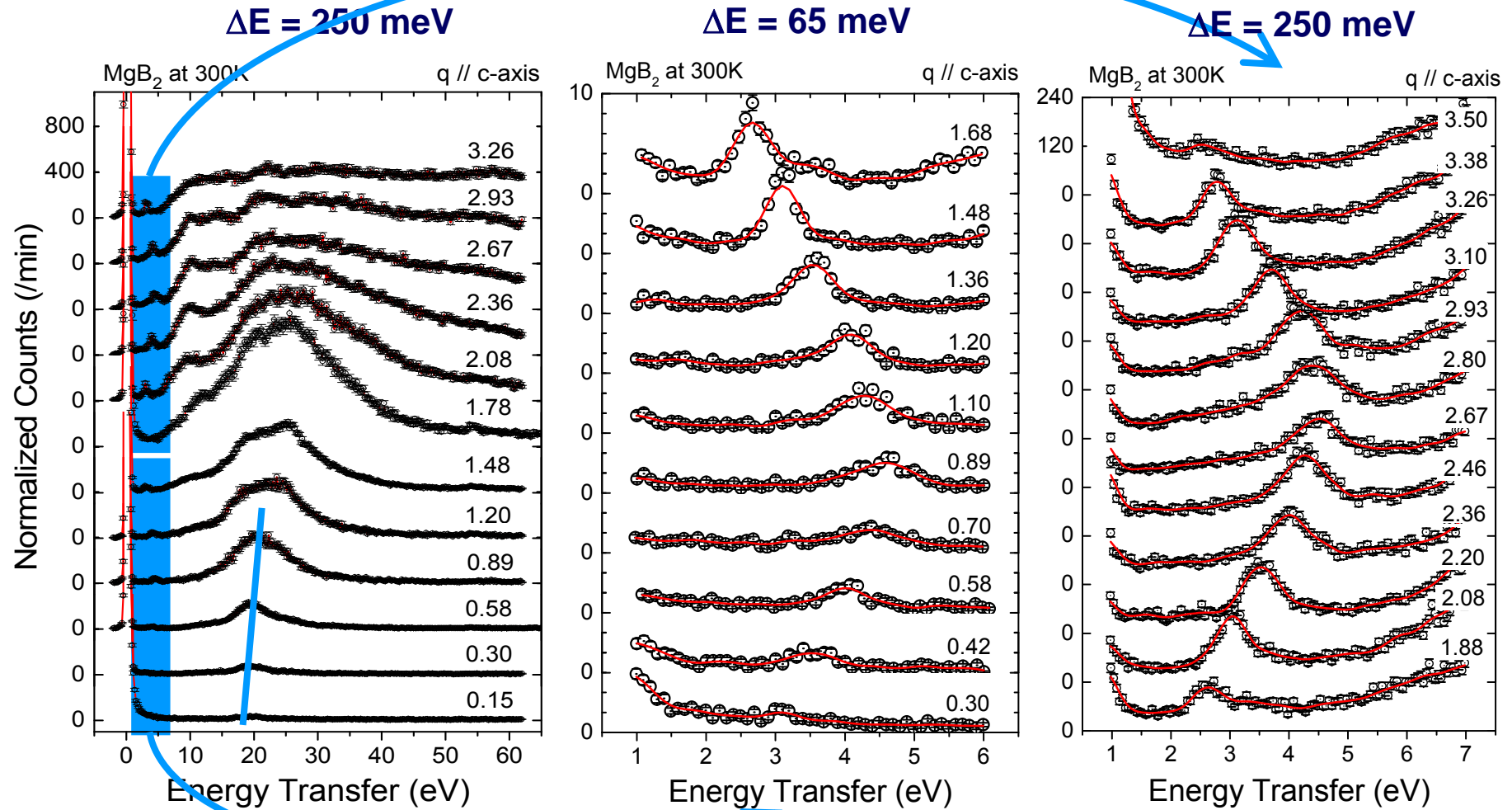


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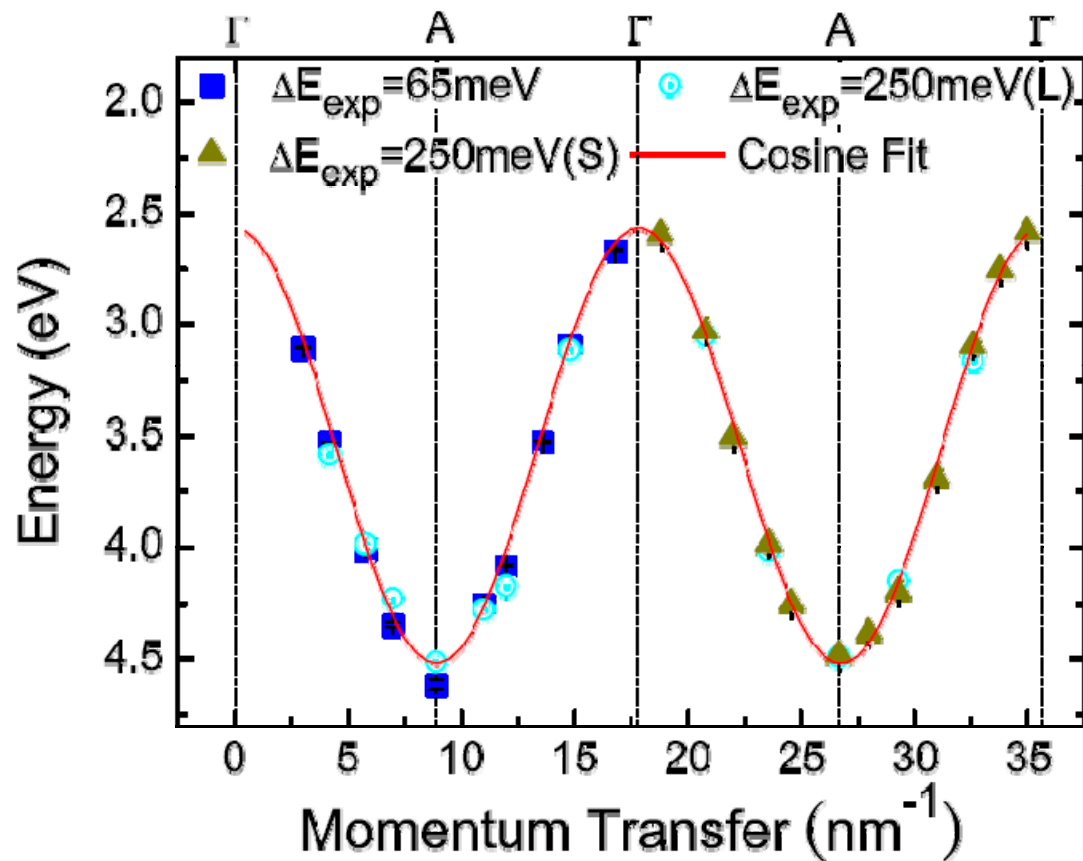
(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Low-Energy Charge Excitations: $q//c^*$ -axis



# Periodic Dispersion: $q//c^*$ -axis

First ever periodic collective charge excitation observed in a metallic system !



Empirical energy dispersion follows entirely a cosine function :

$$\omega = \omega_0 - 2\gamma \cos(qc)$$

$$\omega_0 = 3.55 \text{ eV}$$

$$\gamma = 0.49 \text{ eV}$$

$$c = 3.52 \text{ \AA}$$



# Periodicity of Dielectric Function

$$\varepsilon_{\vec{G}, \vec{G}'} \left( \vec{q} - \vec{G}_q; \omega \right) = \varepsilon_{\vec{G}, \vec{G}'} \left( \vec{k}; \omega \right)$$



# Periodicity of the Dielectric Response?

The density-response matrix can be expressed in terms of the *inverse* of the dielectric matrix as

$$\chi_{\vec{G},\vec{G}'}(\vec{q}-\vec{G}_q;\omega) = v^{-1}(\vec{q}-\vec{G}_q+\vec{G}) \left( \left[ \varepsilon(\vec{q}-\vec{G}_q;\omega) \right]_{\vec{G},\vec{G}'}^{-1} - \delta_{\vec{G},\vec{G}'} \right)$$

For the latter, we have derived the exact result,

$$\begin{aligned} \left[ \varepsilon(\vec{q}-\vec{G}_q;\omega) \right]_{\vec{G}_q,\vec{G}_q}^{-1} &= \frac{1}{\varepsilon_{\vec{G}_q\vec{G}_q}(\vec{q}-\vec{G}_q;\omega)} \\ &+ F(\vec{q},\vec{q}-\vec{G}_q;\omega) \left[ \varepsilon(\vec{q}-\vec{G}_q,\omega) \right]_{\vec{G}=\vec{0},\vec{G}=\vec{0}}^{-1} \end{aligned}$$

for  $q$ 's in BZ's higher than the first one.



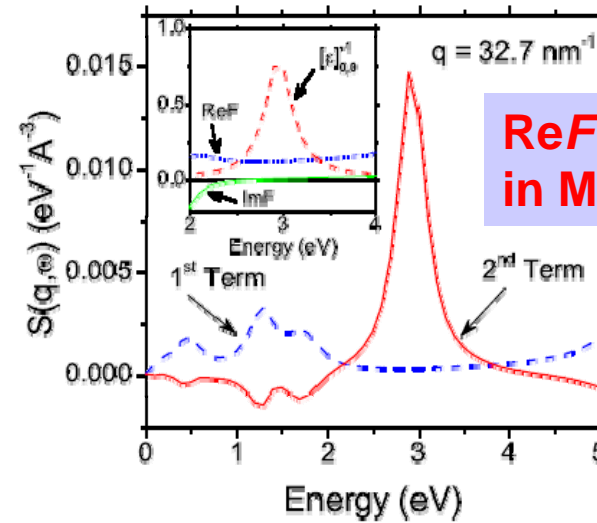


# MgB<sub>2</sub>: Strong CLFE at Large q !

## Matrix Response to Include CLFE

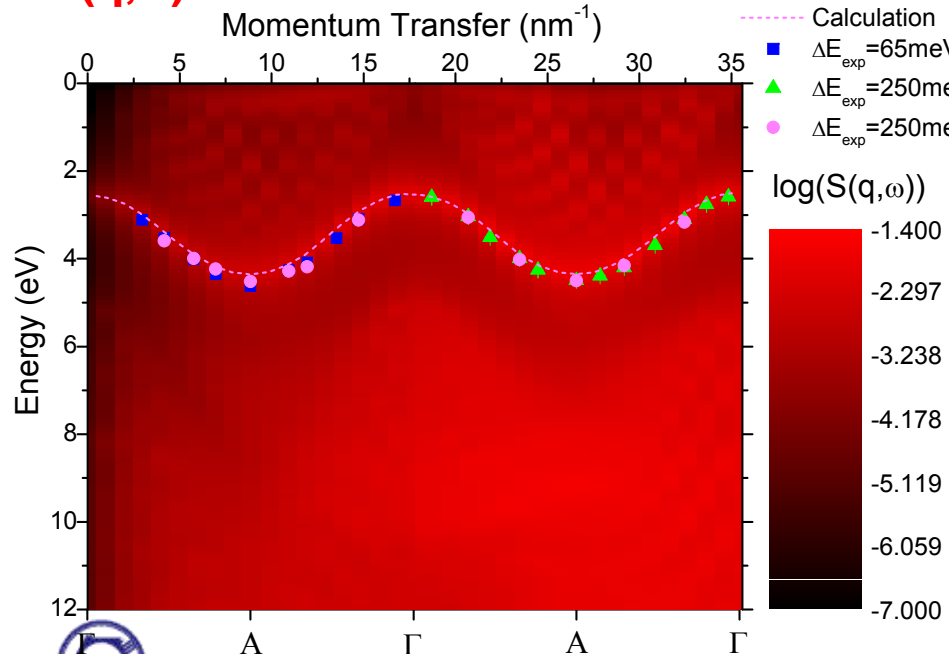
$$\left[ \varepsilon(\mathbf{q} - \mathbf{G}_q, \omega) \right]_{\mathbf{G}_q, \mathbf{G}_q}^{-1} = \frac{1}{\varepsilon_{\mathbf{G}_q, \mathbf{G}_q}(\mathbf{q} - \mathbf{G}_q, \omega)} + F(\mathbf{q}, \mathbf{q} - \mathbf{G}_q; \omega) \left[ \varepsilon(\mathbf{q} - \mathbf{G}_q, \omega) \right]_{0,0}^{-1}$$

## F Function is Material-Dependent

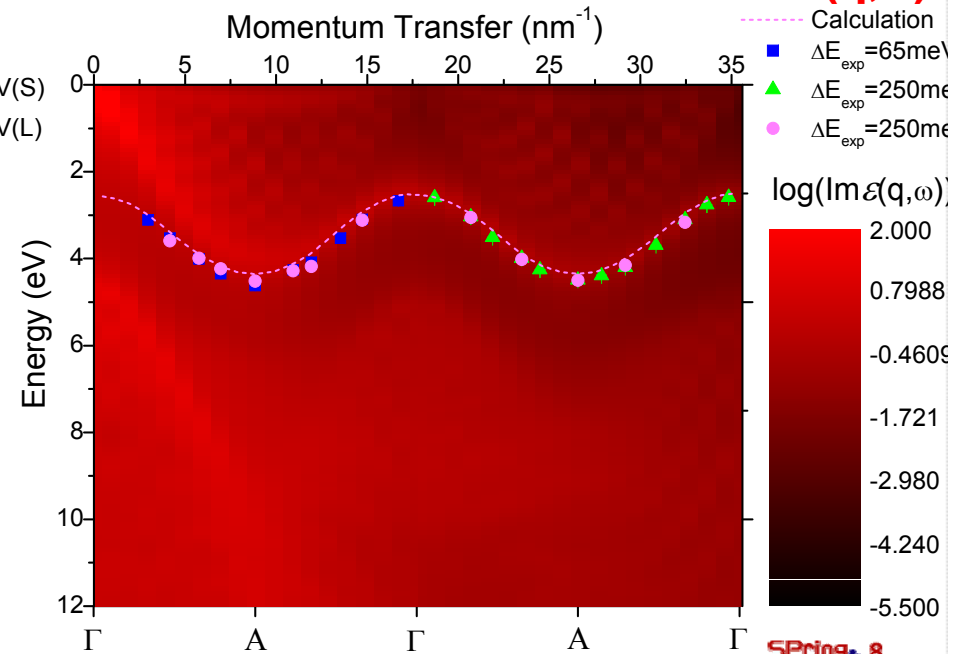


ReF ~ 60 times larger in MgB<sub>2</sub> than Al, Si !

## S(q, ω)



## Imε(q, ω)



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(Cai et al, Phys. Rev. Lett. 97, 176402)



(Yong Cai, NSLS-II Seminar, 11 April 2007)

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## ■ Possible Further Developments and Outlook

- ✚ Possible further developments

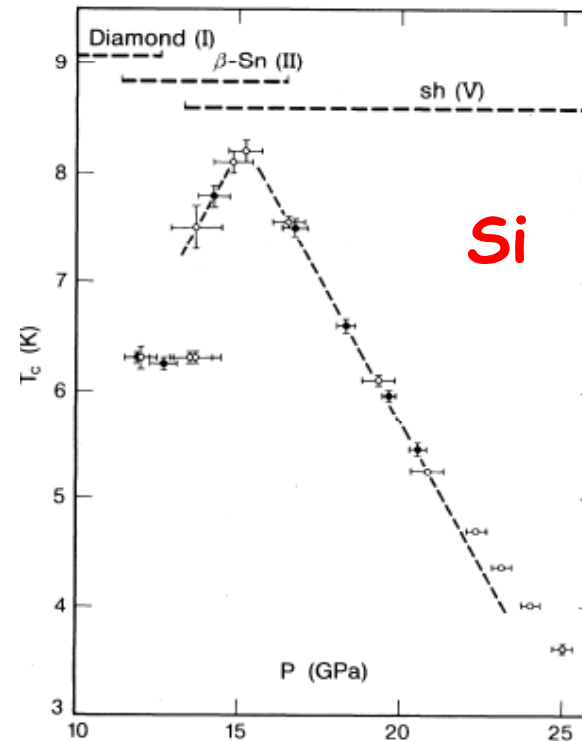
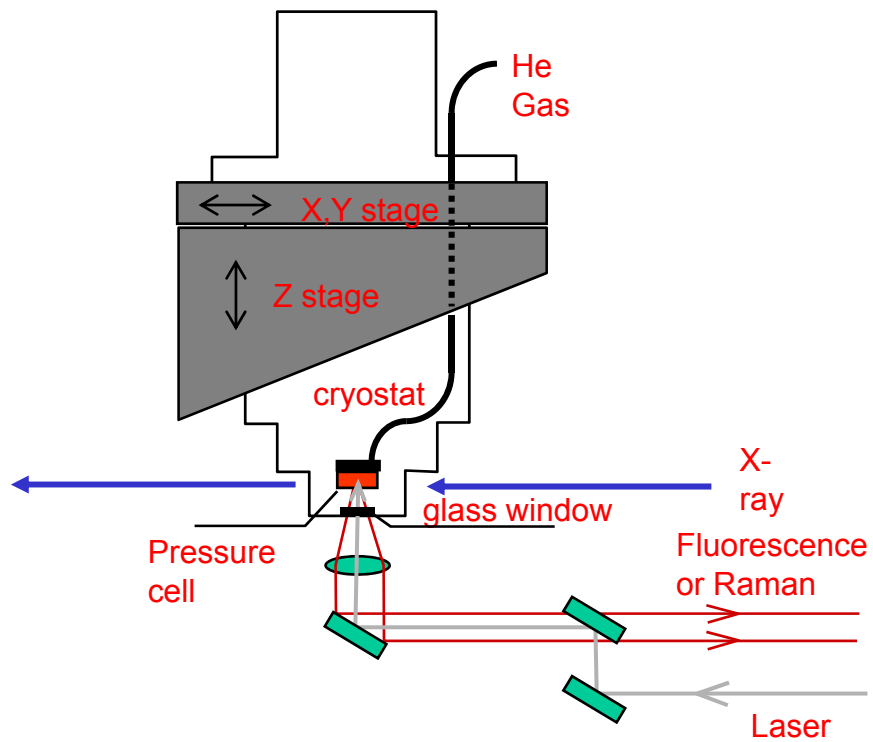
- ✚ Outlook with the Taiwan Photon Source



# Future Plan 1

IXS under high pressure at low temperature (already started)

- Dynamic variation of temperature and pressure
- Laser Raman spectroscopy to ensure phases of samples.



Chang et al. Phys. Rev. Lett. 54 2375 (1985)



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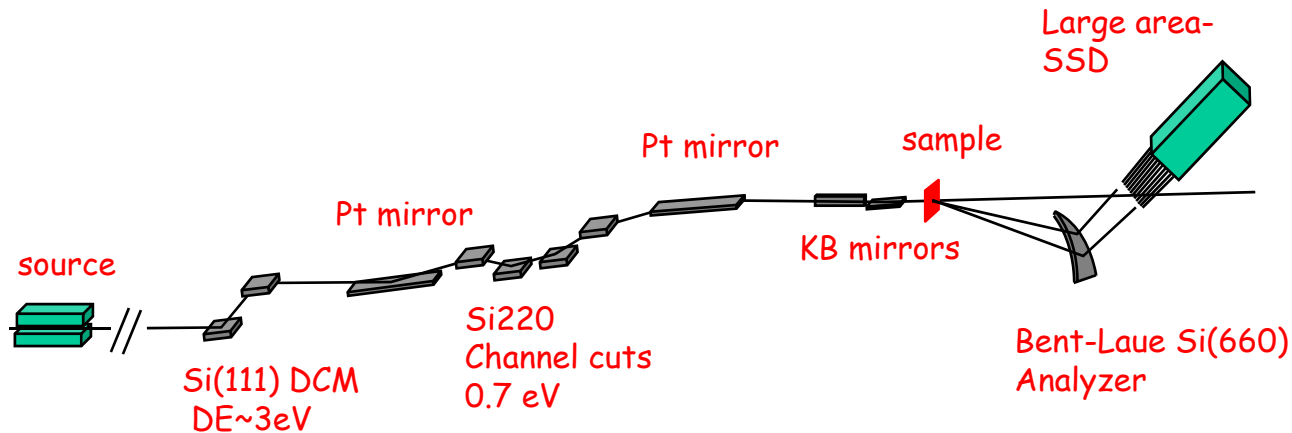


(Yong Cai, NSLS-II Seminar, 11 April 2007)

# Future Plan 2

## IXS using 20 keV photons

- High penetration power for XRS of compounds of heavy elements
- Increased flexibility for high pressure experiments (e.g., no-Be gaskets)
- RIXS on 4d, 5f compounds ( $E_B \sim 20$  keV)



- Total energy resolution :  $\sim 1$  eV
- Flux:  $5 \times 10^{12}$  photons/sec/eV
- Beam size :  $20 \times 20 \mu\text{m}^2$
- Energy transfer range:  $\sim 1$  keV
- q-range :  $10 \sim 200 \text{ nm}^{-1}$
- Count-rate : ???



# New 3-GeV Taiwan Photon Source (TPS)



- 1. Instrumentation Building
- 2. Utility Building I
- 3. Utility Building II
- 4. TPS Utility Building
- 5. TLS Storage Ring
- 6. Research Building
- 7. Administration Building
- 8. TPS Storage & Booster Ring
- 9. International Activity Centre
- 10. Medical Imaging Lab



**TPS**  
Taiwan Photon Source



National Synchrotron Radiation Research Center



(Yong Cai, NSLS-II Seminar, 11 April 2007)

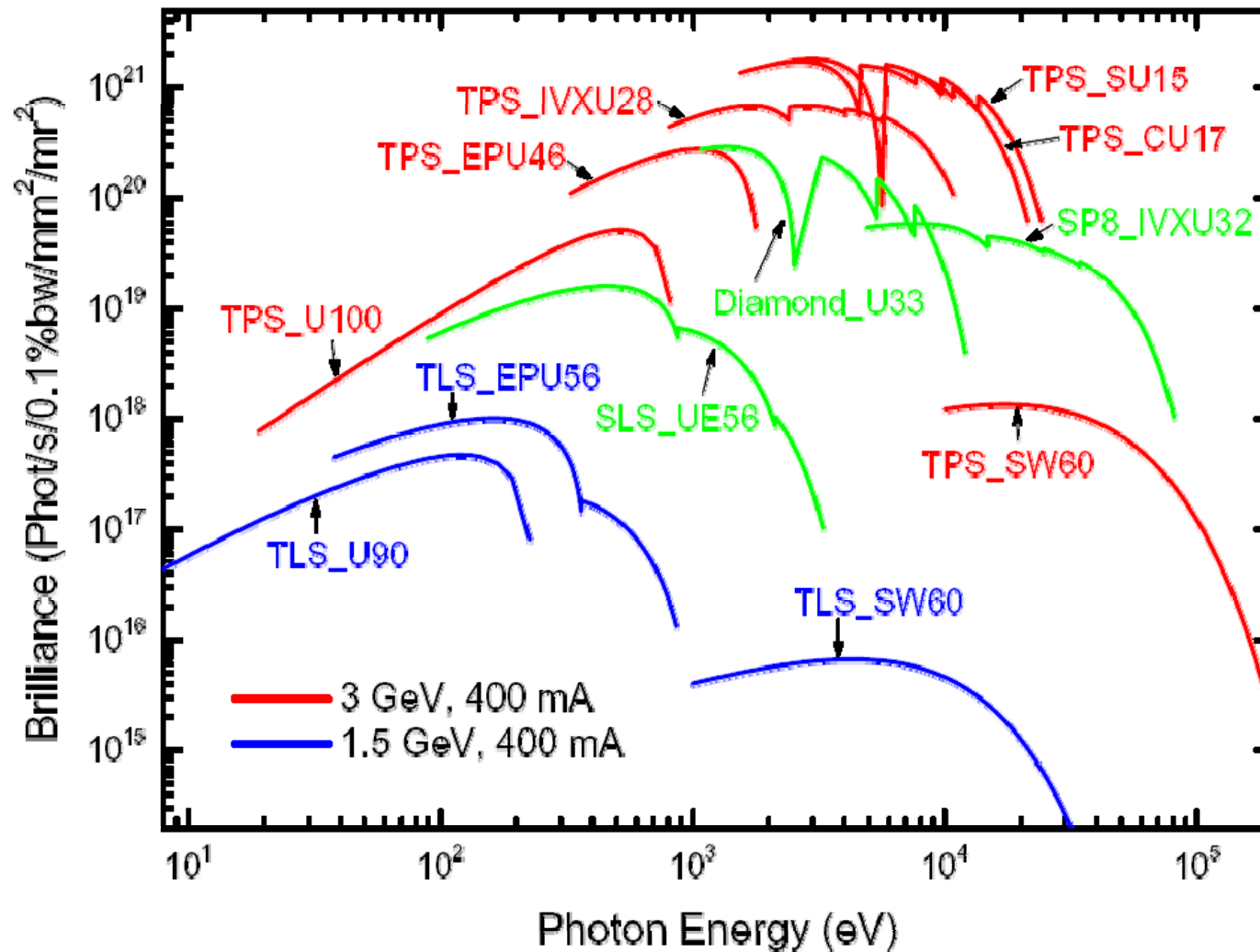


# TPS Parameters - Not the Final

TPS	Non-achromatic 24p18K1	Achromatic 24p18L1
Energy (GeV)	3.0	
Beam current (mA)	400	
Circumference (m)	518.4	
Nat. emittance $\varepsilon_x$ (nm-rad)	1.7 ( $\varepsilon_{x\text{eff}} = 2.17$ LS center)	5.8
Cell / symmetry / structure	24 / 6 / DBA	
$\beta_x / \beta_y / \eta_x$ (m) LS middle	10.59 / 9.39 / 0.11	12.9 / 9.79 / 0.0
RF frequency (MHz)	499.654	
RF voltage (MV)	3.5	
Harmonic number	864	
SR loss/turn, dipole (MeV)	0.98733	
Straights	11.72m*6+7m*18	
Betatron tune $\nu_x / \nu_y$	26.22 / 12.28	26.24 / 12.28



# Brilliance Comparison with Other Sources



	SU15
Photon energy (keV)	2.5-25
Current (mA)	400
$\lambda$ (mm)	15
$N_{\text{period}}$	133
$B_y$ ( $B_x$ ) (T)	1.5
$K_{\text{max}}$	2.1
L (m)	2
Gap (mm)	5.6
Peak Power density (kW/mr <sup>2</sup> )	170
Total power (kW)	23.5
Type	SC



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# Summary

- Versatile, state-of-the-art IXS facilities at 3<sup>rd</sup> generation synchrotrons provides a powerful probe of the charge dynamics of a wide variety of systems, including those under extreme pressure and temperature.
- The improved energy and momentum resolution and wide scan range have allowed experimental dielectric functions to be studied with unprecedented details.
- IXS experiments are flux limited. An ideal instrument should therefore provide the flexibility that allows maximum available flux within the appropriate energy band width for the problem to be attacked.
- New 3<sup>rd</sup> generation medium energy rings such as the NSLS-II and the TPS provide new opportunities and challenges.



# Acknowledgements

## NSRRC

- ✦ Nozomu HIRAOKA
- ✦ Hirofumi ISHII
- ✦ Ignace JARRIGE (now with JAEA)
- ✦ Paul CHOW (now at HP-CAT, APS)
- ✦ Cheng-Chi CHEN: Mechanical Engineering
- ✦ Shen-Yaw PERNG: Analyzer Development
- ✦ Duan-Jen WANG: Analyzer Development
- ✦ Chien-Te CHEN: Project Director

## Key Collaborators

- ✦ Chi-Chang KAO, BNL, USA
- ✦ Eric SHIRLEY, NIST, USA
- ✦ John S. TSE, NRC, Canada
- ✦ Wei KU, Phys., BNL, USA
- ✦ Adolfo G. EGUILUZ, UT, USA
- ✦ Dave MAO, GL-CIW, USA
- ✦ Donglai FENG, U. Fudan, China
- ✦ Changyoung Kim, Yonsei U., Korea
- ✦ Alfred BARON, RIKEN/JASRI, Japan
- ✦ Shik SHIN, RIKEN, Japan
- ✦ Ahbay SHUKLA, U. P&M. Curie, France
- ✦ Jean-Pascal RUEFF, Soleil, France

## Funding

- ✦ NSC and NSRRC

*Thank You!*



National Synchrotron Radiation Research Center



(Yong Cai, NSLS-II Seminar, 11 April 2007)