

Table 11.
Statutory Invention Resistrations (SIRs)
Published

(FY 1995 - FY 1999)

Assignee	1995	1996	1997	1998	1999
Total	130	104	83	68	53
Air Force	6	6	5	4	1
Army	20	16	5	-	4
Energy	6	2	-	1	1
Navy	17	9	11	6	8
USA ¹	4	5	5	1	2
Other Than U.S. Government	77	66	57	56	37

- Represents zero.

¹United States of America—no agency indicated in database.

Table 12.
U.S. Government Agency Patents¹

(FY 1990 - FY 1999)

Agency	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	Total
Total	897	1,137	1,185	1,153	1,225	1,135	921	923	1,013	955	10,544
Agriculture	44	52	48	57	38	44	48	39	68	79	517
Air Force	107	126	138	126	130	104	101	78	81	83	1,074
Army	145	129	172	147	194	163	138	169	160	146	1,563
Commerce	9	15	17	21	28	35	22	21	16	20	204
Energy	203	218	218	193	201	146	60	70	69	48	1,426
EPA	5	5	4	7	5	4	7	9	2	4	52
FCC	-	-	-	-	-	-	-	-	-	1	1
HEW/HHS	35	46	67	88	99	96	110	144	148	153	986
Interior	8	14	23	9	10	13	20	6	3	6	112
Library of Congress	-	-	-	-	-	1	1	-	-	-	2
NASA	125	124	166	155	148	157	102	92	104	87	1,260
Navy	200	384	314	333	360	352	299	279	347	306	3,174
NSA	2	3	3	5	6	4	3	1	3	7	37
Postal Service	-	1	-	-	-	1	1	1	-	-	4
State Department	-	-	-	-	-	1	-	-	-	-	1
Transportation	-	2	-	2	1	-	-	-	3	1	9
Treasury	-	-	-	-	-	-	-	1	-	-	1
TVA	9	8	5	1	2	5	4	4	2	6	46
USA ²	5	10	10	9	3	9	5	9	7	8	75

- Represents zero.

¹Data in this table represent utility patents assigned to agencies at the time of patent issue.

²United States of America — no agency indicated in database.

Table 13.
Reexamination
(FY 1995 - FY 1999)

Activity	1995	1996	1997	1998	1999
Requests filed, total	392	418	376	350	385
By patent owner	138	194	157	168	173
By third party	253	223	215	178	181
Commissioner ordered	1	1	4	4	31
Determinations on requests, total	398	414	391	348	367
Requests granted:					
By examiner	372	386	357	315	327
By petition	-	8	4	2	1
Requests denied	26	20	30	31	39
Requests known to have related litigation	100	89	65	66	62
Filings by discipline, total	392	418	376	350	385
Chemical	101	127	123	120	138
Electrical	131	127	100	94	107
Mechanical	160	164	153	136	140

- Represents zero.

Table 14.
Summary of Contested Patent Cases

(Within the Patent and Trademark Office, as of September 30, 1999)

Item	Total
EX PARTE CASES	
Appeals¹:	
Cases pending as of 9/30/98	8,889
Cases filed during FY 99	4,040
Disposals during FY 99, total	5,767
Decided, total	4,585
Affirmed	1,311
Affirmed-in-part	504
Reversed	1,588
Other disposals, total	1,182
Dismissed/withdrawn	175
Remanded	1,007
Cases pending as of 9/30/99	8,344
RECONSIDERATIONS	
Cases pending as of 9/30/99	34
INTER PARTES CASES	
Inter partes cases, FY 99, total	524
Cases pending as of 9/30/98	433
Cases declared or reinstated during FY 99	91
Cases terminated during FY 99	187
Cases pending as of 9/30/99	337

¹Jurisdiction of an appeal passes to the Board of Patent Appeals and Interferences after the examiner has written the answer and after the time for filing a reply brief to the answer has passed.

Table 15.
Summary of Trademark Examining Activities

(FY 1995 - FY 1999)

Item	1995	1996	1997	1998	1999
Applications for registration:					
Applications filed	150,508	170,783	188,080	193,034	240,308
Applications including additional classes ¹	175,307	200,640	224,355	232,384	295,165
Disposal of trademark applications:					
Registrations	75,372	91,339	112,509	106,279	104,324
Abandonments	42,214	49,189	64,409	71,838	77,184
Trademark first actions	176,764	198,160	226,651	238,191	338,937
Applications approved for publication	118,727	127,481	149,721	145,209	181,366
Certificates of registration issued, total					
1946 Act principal register	44,531	56,022	60,416	56,730	57,046
Principal register:					
ITU-Statements of Use register	18,513	19,683	33,131	29,287	26,810
1946 Act supplemental register	2,618	2,969	3,747	3,617	3,918
Total active certificates of registration (as of 9/30)	751,783	784,667	839,071	901,805	931,273
Renewal of registration:					
Applications filed	7,220	7,543	6,720	7,413	7,944
Registrations renewed	6,785	7,346	7,389	6,504	6,280
Affidavits, Sec. 8/15:					
Affidavits filed	23,497	22,169	20,781	33,231	33,104
Affidavits disposed	17,935	33,661	24,533	26,199	29,119
Affidavits for benefits under Sec. 12(c):					
Affidavits filed	3	6	2	-	-
Published under Sec. 12(c)	4	11	11	8	3
Amendments to Allege Use filed	5,169	6,232	7,292	6,955	3,554
Statements of Use filed	19,869	25,388	31,784	37,060	34,367
Notices of Allowance issued	51,473	71,117	80,693	78,072	82,940
Pendency—average months:					
Between filing and examiner's first action	5.3	5.9	6.4	7.02	4.6
Between filing, registration (Use Applications) abandonments, and NOAs	16.7	16.5	16.9	17.8	18.9
Between filing and issuing a NOA (Intent to Use applications)	16.4	15.9	16.3	17.8	18.9

- Represents zero.

¹“Applications filed” refers simply to the number of individual trademark applications received by the PTO. There are, however, 47 different classes of items in which a trademark may be registered. An application must request registration in at least one class, but may request registration in multiple classes. Each class application must be individually researched for registerability. “Applications filed, including additional classes” reflects this fact, and therefore more accurately reflects the trademark business workload.

Table 16.
**Trademark Applications Filed for Registration
and Renewal and Trademark Affidavits Filed**

(FY 1980 - FY 1999)

Year	For registration	For renewal	Section 8 affidavit	Section 12(c) affidavit
1980	52,149	5,892	13,633	85
1981	55,152	5,693	17,071	40
1982	73,621	5,760	15,068	55
1983	51,014	5,438	12,544	46
1984	61,480	5,926	13,519	5
1985	64,677	5,275	8,823	29
1986	69,253	5,660	8,519	19
1987	70,002	5,871	16,644	34
1988	76,813	6,763	18,316	23
1989	83,169	6,127	17,986	104
1990	127,294	6,602	20,636	5
1991	120,365	5,634	25,763	1
1992	125,237	6,355	20,982	25
1993	139,735	7,173	21,999	5
1994	155,376	7,004	20,850	4
1995	175,307	7,346	23,497	3
1996	200,640	7,543	22,169	6
1997	224,355	6,720	20,781	2
1998	232,384	7,413	33,231	-
1999	295,165	7,944	33,104	-

- Represents zero.

Table 17.
Summary of Pending Trademark Applications

(As of September 30, 1999)

Stage of processing	Applications	Classes
Pending applications, total	444,616	547,660
In preexamination processing	84,806	85,538
Under examination, total	265,941	345,167
Applications under initial examination	151,965	196,125
Amended, awaiting action by Examiner	137,613	179,411
Awaiting first action by Examiner	14,352	16,714
ITU applications pending Use	78,431	100,646
Applications under second examination	6,101	8,031
Administrative processing of Statements of Use	921	1,115
Undergoing second examination	1,384	1,680
Amended, awaiting action by Examiner	3,796	5,236
Other pending applications¹	29,444	40,365
In postexamination processing	93,869	116,955
(Includes all application in all phases of publication and issue and registration)		

¹Includes applications pending before the Trademark Trial and Appeal Board and suspended cases.

Table 18.
**Trademarks Registered, Renewed, and Published
 Under Section 12(c)¹**

(FY 1980 - FY 1999)

Year	Certificates of registration issued	Renewed	Published under 12(c)
1980	14,614	5,862	54
1981	31,306	5,884	77
1982	39,025	6,070	71
1983	41,179	5,695	74
1984	45,475	5,678	22
1985	63,122	5,177	27
1986	48,971	5,550	29
1987	47,522	4,415	24
1988	46,704	5,884	29
1989	51,802	9,209	84
1990	56,515	7,122	19
1991	43,152	6,416	19
1992	62,067	5,733	13
1993	74,349	6,182	21
1994	59,797	6,136	11
1995	65,662	6,785	4
1996	78,674	7,346	11
1997	97,294	7,389	11
1998	89,634	6,504	8
1999	87,774	6,280	3

¹Includes withdrawn numbers.

Table 19.
Trademark Applications Filed by Residents of the United States

(FY 1999)

State/territory	1999	State/territory	1999	State/territory	1999
Total	250,616	Kentucky	1,303	Oklahoma	1,260
Alabama	1,337	Louisiana	1,112	Oregon	2,588
Alaska	189	Maine	652	Pennsylvania	7,837
Arizona	4,214	Maryland	4,526	Rhode Island	945
Arkansas	673	Massachusetts	9,004	South Carolina	1,344
California	54,859	Michigan	5,417	South Dakota	323
Colorado	6,002	Minnesota	5,246	Tennessee	3,104
Connecticut	5,034	Mississippi	408	Texas	13,932
Delaware	3,506	Missouri	3,838	Utah	2,647
District of Columbia	2,135	Montana	325	Vermont	572
Florida	13,331	Nebraska	886	Virginia	5,674
Georgia	6,307	Nevada	2,353	Washington	5,604
Hawaii	654	New Hampshire	1,226	West Virginia	306
Idaho	593	New Jersey	9,243	Wisconsin	3,154
Illinois	12,224	New Mexico	688	Wyoming	265
Indiana	2,765	New York	26,603	Puerto Rico	199
Iowa	1,210	North Carolina	4,095	Virgin Islands	24
Kansas	1,268	North Dakota	267	U.S. Pacific Islands ¹	17
		Ohio	7,285	United States ²	43

¹Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

²No State indicated in database, includes APO filings.

Table 20.
Trademarks Registered to Residents of the United States
(FY 1999)

State/territory	1999	State/territory	1999	State/territory	1999
Total	76,355	Kentucky	346	Oklahoma	345
Alabama	321	Louisiana	251	Oregon	721
Alaska	54	Maine	167	Pennsylvania	1,949
Arizona	701	Maryland	1,013	Rhode Island	266
Arkansas	198	Massachusetts	1,844	South Carolina	297
California	8,736	Michigan	1,460	South Dakota	70
Colorado	1,132	Minnesota	1,561	Tennessee	583
Connecticut	797	Mississippi	115	Texas	2,633
Delaware	15,797	Missouri	1,047	Utah	622
District of Columbia	514	Montana	93	Vermont	167
Florida	2,801	Nebraska	220	Virginia	995
Georgia	1,365	Nevada	1,066	Washington	1,263
Hawaii	156	New Hampshire	246	West Virginia	67
Idaho	158	New Jersey	1,777	Wisconsin	986
Illinois	2,989	New Mexico	163	Wyoming	69
Indiana	870	New York	5,219	Puerto Rico	36
Iowa	409	North Carolina	1,084	Virgin Islands	4
Kansas	338	North Dakota	59	U.S. Pacific Islands ¹	4
		Ohio	2,106	United States ²	8,105

¹Represents residents of American Samoa, Guam, and miscellaneous U.S. Pacific Islands.

²No State indicated in database. When a trademark is registered, the trademark database is corrected to indicate the home state of the entity registering the trademark.