

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

COMMODITY FUTURES TRADING	§	
COMMISSION,	§	
	§	
Plaintiff,	§	
	§	
v.	§	No. 3:06-CV-1583-M
	§	
CARL W. KING and	§	
CARL W. KING INVESTMENTS, LLP,	§	
	§	
Defendants.	§	

JUDGMENT

In light of the Court's Memorandum Opinion and Order of May 7, 2007, it is **ORDERED, ADJUDGED, and DECREED** that Carl W. King Investments, LLP ("King Investments") is jointly and severally liable for the restitution previously awarded as to Carl W. King, in the amount of \$4,499,146.51.


It is further **ORDERED, ADJUDGED, and DECREED** that King, King Investments, and any other person or entity associated with them, or any successor thereof, are **ENJOINED** from engaging in conduct that violates any of the provisions of the Commodity Exchange Act, 7 U.S.C. §§ 1-27f, and related Commodity Futures Trading Commission Regulations; from engaging in any activity relating to commodity trading, including but not limited to, soliciting, accepting, or receiving funds, revenue, or other property from any person; and from giving advice for compensation, or soliciting prospective clients, participants, or customers, related to the purchase and sale of any commodity futures or options on commodity futures contracts.

It is further **ORDERED, ADJUDGED, and DECREED** that King and King Investments

are jointly and severally liable for a civil penalty of \$449,914.65, to be paid after fulfillment of the restitution ordered here and in King's criminal case.¹

SO ORDERED.

May 22, 2007.



BARBARA M. G. LYNN
UNITED STATES DISTRICT JUDGE
NORTHERN DISTRICT OF TEXAS

¹ The Court allowed the parties an opportunity to object to the Court's imposition of the civil penalty without an evidentiary hearing. The parties did not file any objection.