

IN THE UNITED STATES DISTRICT COURT
 FOR THE NORTHERN DISTRICT OF OHIO
 EASTERN DIVISION

COMMODITY FUTURES TRADING)	CASE NO. 1:04 CV 1403
COMMISSION,)	
)	
Plaintiff,)	
)	
v.)	JUDGE DONALD C. NUGENT
)	
CARNEGIE TRADING GROUP, LTD., <i>et al.</i> ,)	<u>JUDGMENT</u>
)	
Defendants.)	

Pursuant to a Memorandum Opinion of this Court, the Court finds that Mr. Hollenbaugh and/or Mr. Henshaw have violated Sections 4b(a)(2)(i) and (iii) and 4c(b) of the Commodity Exchange Act, 7 U.S.C. §1, *et seq.*, (the “Act”) with respect to their dealings with Mr. Knowles, Mr. Tucker, Mr. Herner, Mr. Vanhoy, Mr. Deyoung, Mr. Werner, Mr. Hoyt, Mr. Kreamer and Mr. Mercer. Carnegie is liable for these violations committed by Mr. Hollenbaugh and Mr. Henshaw within the scope of their employment with Carnegie under Section 2(a)(1)(B) of the Act. Mr. Glase is liable for the violations as a controlling person of Carnegie pursuant to Section 13(b) of the Act. Mr. Glase further violated Commission Regulation 166.3 by failing to supervise Mr. Hollenbaugh and Mr. Henshaw with the required diligence.

Carnegie and Mr. Glase are ordered to pay restitution in the following amounts to the following persons:

Deyoung, Richard	\$30,521.75
Herner, Christopher	\$ 4,000.00
Hoyt, Michael	\$26,835.27

Lively, Alan Brett	\$11,137.90
Media Solutions Inc.,	\$ 6,371.48
Mercer, Johnny	\$46,766.75
Tucker, Clarence/Melissa	\$ 8,933.96
Vanhoy, Tom	\$14,581.78
Werner, Melvin	\$80,822.42
Total:	\$229,971.31

Carnegie and Mr. Glase shall disgorge earnings from 2000-2003 in the amount of \$32,850.00 and pay a civil monetary penalty of \$98,550.00.

IT IS SO ORDERED.

/s/Donald C. Nugent
JUDGE DONALD C. NUGENT

DATED: June 27, 2006