

Tenant Rental Assistance Certification System

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

Date of Submission	5/21/2009
Agency	Department of Housing and Urban Development
Bureau	Working Capital Fund
Name of this Capital Asset	HSG - 251780 - Tenant Rental Assistance Certification System (TRACS)
What kind of investment will this be in this Budget Year?	Mixed Life Cycle

Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

Benefits of this investment are: 1) reduction in operational and maintenance cost, 2) streamline business processes across MFH programs eliminating redundant processes and data, 3) improved system security and audit trails, 4) strong internal controls complying with Federal Financial systems requirements 5) PMA alignment to Improve Financial Performance, Improve HUD's Management and Performance and to reduce improper payments. The ROI is 872%.

TRACS is a mixed program/financial system that support Rental Housing Assistance (RHA) Programs. TRACS interfaces with OCFO account systems to obligate over \$5.4 billion in new budgetary authority annually and makes payments of about \$8 billion. This investment aligns with the RHA LOB defined by HUD's EA and HUD's Strategic Goals. It supports the mission of RHA to ensure safe, decent and affordable housing for qualified low-income families, create opportunities for self-sufficiency & economic independence and to assure fiscal integrity by participants. Accomplishments and planned milestones for FY 2007 - FY2010 are:

FY2007

- 1 Completed iMAX planning to replace TRACSMAIL currently used by the Industry to submit data to HUD.
- 2 Completed planning the Subsidy Contract module to be used by field office staff to process & update contract data.
- 3 Completed analysis & design of iMAX & subsidy contract module web-based application services with an enterprise prospective.
- 4 Initiated planning to develop a Business Modernization Plan (BMP).

FY2008

- 1 Develop phase 1 of iMAX for implementation on 8/08. Realizing a savings in the first year of \$500K.
- 2 Develop phase 1 of the subsidy contract module for implementation on 9/08.
- 3 Complete development of the BMP with a portal prospective for integrating with MFH systems.
- 4 Develop TRACS 202C HUD Handbook 4350.3 compliance release for implementation on 8/08.

FY2009

- 1 Decommission TRACSMAIL 11/08.
- 2 Develop Phase 2 of iMAX to accept XML data formatting with real-time front-end edits.

- 3 Develop Phase 2 of subsidy contracts to improve contract processing from 150 to 90 days.
- 4 Incorporate iMAX in MFH portal environment.
- 5 Analyze and design Error Tracking Log Phase I to capture over/under payment errors.

FY2010

- 1 Plan, design & development online filing for storage and retrieval of contract & payment documents.
- 2 Work with PIH to define an integrated single source tenant database.
- 3 Develop Error Tracking Log.

Did the Agency's Executive/Investment Committee approve this request?	Yes
If "yes," what was the date of this approval?	7/24/2008
Did the Project Manager review this Exhibit?	Yes
Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.	Yes
Will this investment include electronic assets (including computers)?	Yes
Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	No
If "yes," is an ESPC or UESC being used to help fund this investment?	
If "yes," will this investment meet sustainable design principles?	
If "yes," is it designed to be 30% more energy efficient than relevant code?	
Does this investment directly support one of the PMA initiatives?	Yes
Briefly describe how this asset directly supports the identified initiative(s)?	Eliminating Improper Payments Expanded E-Government Financial Performance Housing and Urban Development Management and Performance TRACS is aligned with the President's Management Agenda to improve financial performance and to address budget and performance integration initiatives. Enhancements to the TRACS Subsidy Payment Suspension Initiative, MF Enterprise Income Verification (EIV) initiatives, and enforcement of the MFH 90% Compliance Rule for unsubstantiated payments, directly addresses HUD's Management and Performance initiatives.
Is this investment for information technology?	Yes
If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.	
What is the level of the IT Project? (per CIO Council PM Guidance)	Level 2
What project management qualifications does the Project Manager have? (per CIO Council's	(1) Project manager has been validated as qualified for this investment

PM Guidance):

If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? Yes

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section B: Summary of Spending (All Capital Assets)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in thousands, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT			
* Costs in thousands			
	PY 2008	CY 2009	BY 2010
TOTAL BUDGETARY RESOURCES	3.20615	5.3573	3.577

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section C: Acquisition/Contract Strategy (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.

Section D: Performance Information (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.

Section E: Security and Privacy (IT Capital Assets Only)

SENSITIVE DATA: The information in this section was omitted completely.

Section F: Enterprise Architecture (EA) (IT Capital Assets Only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Is this investment included in your agency's target enterprise architecture? Yes

If "no," please explain why this investment is not included in your agency's target enterprise architecture?

Is this investment included in the agency's EA Transition Strategy? Yes

Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Part II: Planning, Acquisition And Performance Information

Section A: Alternatives Analysis (All Capital Assets)

Did you conduct an alternatives analysis for this project? Yes

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A-94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section B: Risk Management (All Capital Assets)

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Does the investment have a Risk Management Plan? Yes

What is the date of the risk management plan? 3/31/2008

SENSITIVE DATA: Some of the questions/responses from this section were omitted.

Section C: Cost and Schedule Performance (All Capital Assets)

SENSITIVE DATA: The information in this section was omitted completely.